

Attachment I: Summary of Public Housing and HCV Waivers and Alternative Requirements
 (Refer back to the Notice using the item code for a full description and more detailed information.)
 This chart summarizes the waivers authorized under this Notice and the availability period for each. As stated in Section 5, PHAs must keep written documentation on the waivers applied by the PHA as well as the effective dates. To fulfill those requirements, PHAs may but are not required to utilize the last two columns to record this information.

| Item | Statutory and regulatory waivers | Summary of alternative requirements | Availability Period Ends | Did PHA implement waiver and alternative requirement? | Date of PHA adoption |
|--|--|---|---|---|----------------------|
| PH and HCV-1 PHA 5-Year and Annual Plan Submission Dates: Significant Amendment Requirements | <u>Statutory Authority</u> Section 5A(a)(1), Section 5A(b)(1), Section 5A(g), Section 5A(h)(2) | <ul style="list-style-type: none"> Alternative dates for submission Changes to significant amendment process | <ul style="list-style-type: none"> Varies based on FYE 12/31/20 | No | |
| PH and HCV-2 Family Income and Composition: Delayed Annual Examinations | <u>Statutory Authority</u> Section 3(a)(1) <u>Regulatory Authority</u> §§ 982.516(a)(1), 960.257(a) | <ul style="list-style-type: none"> Permits the PHA to delay the annual reexamination of income and family composition HCV PHAs must implement HCV-7 for impacted families if they implement this waiver | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |

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| PH and HCV-3 Family Income and Composition: Annual Examination; Income Verification Requirements | 960.259(c), 982.516(a) <u>Sub-regulatory</u> <u>Guidance</u> PIH Notice 2018-18 | <ul style="list-style-type: none"> income hierarchy, including the use of EIV, and will allow PHAs to consider selfcertification as the highest form of income verification PHAs that implement this waiver will be responsible for addressing material income discrepancies that may arise later | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |

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| PH and HCV-4 Family Income and Composition: Interim Examinations | Statutory Authority Section 3(a)(1) Regulatory Authority §§ 5.233(a)(2), 982.516(c)(2), 960.257(a), (b) and (d), 960.259(c) Sub-regulatory Guidance PIH Notice 2018-18 | <ul style="list-style-type: none"> • Waives the requirement to use the income verification requirements, including the use of EIV, for interim reexaminations | <ul style="list-style-type: none"> • 12/31/20 | Yes | 4/10/20 |
|--|---|--|--|-----|---------|

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| PH and HCV-5 Enterprise Income Verification (EIV) Monitoring | Statutory and regulatory waivers Regulatory Authority § 5.233 Sub-regulatory Guidance PIH Notice 2018-18 | <ul style="list-style-type: none"> • Waives the mandatory EIV monitoring requirements. | <ul style="list-style-type: none"> • 12/31/20 | Yes | 4/10/20 |
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| PH and HCV-6 Family Self-Sufficiency (FSS) Contract of Participation: Contract Extension | Regulatory Authority § 984.303(d) | <ul style="list-style-type: none"> Provides for extensions to FSS contract of participation | • 12/31/20 | No | |
|--|--|--|--------------------------|---|----------------------|
| PH and HCV-7 Waiting List: Opening and Closing; Public Notice | Regulatory Authority § 982.206(a)(2) Sub-regulatory Guidance PIH Notice 2012-34 | <ul style="list-style-type: none"> Waives public notice requirements for opening and closing waiting list Requires alternative process | • 12/31/20 | No | |
| HQS-1 Initial Inspection Requirements | Statutory Authority Section 8(o)(8)(A)(i), Section 8(o)(8)(C) Regulatory Authority §§ 982.305(a), | <ul style="list-style-type: none"> Changes initial inspection requirements, allowing for owner certification | • 12/31/20 | Yes | 4/1/20 |
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| | 982.305(b), 982.405 | <ul style="list-style-type: none"> that there are no lifethreatening deficiencies Where self-certification was used, PHA must inspect the unit no later than 1-year anniversary of date of owner's certification. | <ul style="list-style-type: none"> 1-year anniversary of date of owner's certification | | |
| <p>HQS-2: Project-Based Voucher (PBV) Pre-HAP Contract Inspections: PHA Acceptance of Completed Units</p> | <p>Statutory Authority: Section 8(o)(8)(A) <u>Regulatory Authority:</u> §§ 983.103(b), 983.156(a)(1)</p> | <ul style="list-style-type: none"> Changes inspection requirements, allowing for owner certification that there are no lifethreatening deficiencies Where self-certification was used, PHA must inspect the unit no later than 1-year anniversary of date of owner's certification. | <ul style="list-style-type: none"> 12/31/20 1-year anniversary of date of owner's certification | Yes | 4/10/20 |
| <p>HQS-3 Initial Inspection: NonLife-Threatening Deficiencies (NLT) Option</p> | <p>Statutory Authority Section 8(o)(8)(A)(ii) <u>Sub-regulatory Guidance</u> HOTMA HCV Federal</p> | <ul style="list-style-type: none"> Allows for extension of up to 30 days for owner repairs of non-life threatening conditions | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |

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| HQS-4 HQS Initial Inspection Requirement: Alternative Inspection Option | Register Notice January 18, 2017 Statutory Authority Section 8(o)(8)(A)(iii) Sub-regulatory Guidance HOTMA HCV Federal Register Notice January 18, 2017 | <ul style="list-style-type: none"> Under Initial HQS Alternative Inspection Option - allows for commencement of assistance payments based on owner certification there are no life-threatening deficiencies Where self-certification was used, PHA must inspect the unit no later than 1-year anniversary of date of owner's certification. | 12/31/20 | Yes | 4/10/20 |
| HQS-5 HQS Inspection Requirement: Biennial Inspections | Statutory Authority Section 8(o)(D) Regulatory Authority §§ 982.405(a), 983.103(d) | <ul style="list-style-type: none"> Allows for delay in biennial inspections All delayed biennial inspections must be completed as soon as reasonably possible but by no later than 1 year after the date on which | <ul style="list-style-type: none"> 10/31/20 1 year after the date on which the biennial inspection would have been required absent the waiver | No | |

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| HQS-6 HQS Interim Inspections | Statutory Authority Section 8(o)(8)(F) Regulatory Authority §§ 982.405(g), 983.103(e) | <ul style="list-style-type: none"> the biennial inspection would have been required absent the waiver. • Waives the requirement for the PHA to conduct interim inspection and requires alternative method • Allows for repairs to be verified by alternative methods | • 12/31/20 | Yes | 4/10/20 |
| HQS-7 PBV Turnover Unit Inspections | Regulatory Authority § 983.103(c) | <ul style="list-style-type: none"> • Allows for PBV turnover units to be filled based on owner certification there are no life-threatening deficiencies • Allows for delayed full HQS inspection NLT than 1-year anniversary of date of owner's certification. | <ul style="list-style-type: none"> • 12/31/20 • 1-year anniversary of date of owner's certification | No | |

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| HQS-8: PBV HAP Contract: HQS Inspections to Add or Substitute Units | Statutory Authority Section 8(o)(8)(A) Regulatory Authority §§ 983.207(a), 983.207(b) Sub-regulatory Guidance HOTMA HCV Federal Register Notice January 18, 2017 | <ul style="list-style-type: none"> Allows for PBV units to be added or substituted in the HAP contract based on owner certification there are no lifethreatening deficiencies Allows for delayed full HQS inspection NLT 1-year anniversary of date of owner's certification | <ul style="list-style-type: none"> 12/31/20 1-year anniversary of date of owner's certification | No | |
| HQS-9 HQS Quality Control Inspections | Regulatory Authority §§ 982.405(b), 983.103(e)(3) | <ul style="list-style-type: none"> Provides for a suspension of the requirement for QC sampling inspections | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |
| HQS-10 Housing Quality Standards: Space and Security | Regulatory Authority § 982.401(d) | <ul style="list-style-type: none"> Waives the requirement that each dwelling unit have at least 1 bedroom or living/sleeping room for each 2 persons. | Remains in effect one year from lease term or date of this Notice, whichever is longer | Yes | 4/10/20 |
| HQS-11 Homeownership Option: Initial | Statutory Authority Section 8(o)(8)(A)(i), Section 8(y)(3)(B) | <ul style="list-style-type: none"> Waives the requirement to perform an initial HQS inspection in | <ul style="list-style-type: none"> 12/31/20 | No | |

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| HQS Inspection | Regulatory Authority § 982.631(a) | <ul style="list-style-type: none"> order to begin making homeownership assistance payments Requires family to obtain independent professional inspection | | | |
| HCV-1 Administrative Plan | Regulatory Authority § 982.54(a) | <ul style="list-style-type: none"> Establishes an alternative requirement that policies may be adopted without board approval Any provisions adopted informally must be adopted formally NLT December 31, 2020 | <ul style="list-style-type: none"> 9/30/20 12/31/20 | Yes | 4/10/20 |
| HCV-2 Information When Family is Selected: PHA Oral Briefing | Regulatory Authority §§ 982.301(a)(1), 983.252(a) | <ul style="list-style-type: none"> Waives the requirement for an oral briefing Provides for alternative methods to conduct required voucher briefing | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |

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| HCV-3 Term of Voucher: | <u>Regulatory Authority</u> § 982.303(b)(1) | <ul style="list-style-type: none"> Allows PHAs to provide voucher | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |
| Extensions of Term | | extensions regardless of current PHA policy | | | |
| HCV-4 PHA Approval of Assisted Tenancy: When HAP Contract is Executed | <u>Regulatory Authority</u> § 982.305(c) | <ul style="list-style-type: none"> Provides for HAP payments for contracts not executed within 60 days PHA must not pay HAP to owner until HAP contract is executed | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |
| HCV-5 Absence from Unit | <u>Regulatory Authority</u> § 982.312 | <ul style="list-style-type: none"> Allows for PHA discretion on absences from units longer than 180 days PHAs must not make HAP payments beyond 12/31/20 for units vacant more than 180 consecutive days | <ul style="list-style-type: none"> 12/31/20 | Yes | 4/10/20 |

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| HCV-6 Automatic Termination of HAP Contract | <u>Regulatory Authority</u> § 982.455 | <ul style="list-style-type: none"> Allows PHA to extend the period of time after the last HAP payment is made before the HAP contract | • 12/31/20 | Yes | 4/10/20 |
| HCV-7 Increase in Payment Standard During HAP Contract Term | <u>Regulatory Authority</u> § 982.505(c)(4) | <ul style="list-style-type: none"> Provides PHAs with the option to increase the payment standard for the family at any time after the effective date of the increase, rather than waiting for the next regular reexamination to do so. | • 12/31/20 | Yes | 4/10/20 |
| HCV-8 Utility Allowance Schedule: Required Review and Revision | <u>Regulatory Authority</u> § 982.517 | <ul style="list-style-type: none"> Provides for delay in updating utility allowance schedule | • 12/31/20 | Yes | 4/10/20 |

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| HCV-12 Family Unification Program (FUP): Timeframe for Referral | Statutory Authority Section 8(x)(2) | <ul style="list-style-type: none"> Allows PHAs to accept referrals of otherwise eligible youth who will leave foster care within 120 days | • 12/31/20 | No | |
| HCV-13 Homeownership: Maximum Term | Regulatory Authority § 982.634(a) | <ul style="list-style-type: none"> Allows a PHA to extend homeownership | • 12/31/20 | No | |

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| of Assistance | | assistance for up to 1 additional year | | | |
| HCV-14 Mandatory Removal of Unit from PBV HAP Contract | Regulatory Authority §§ 983.211(a); 983.258 | <ul style="list-style-type: none"> Allows a PHA to keep a PBV unit under contract for a period of time that extends beyond 180 from the last HAP but does not extend beyond December 31, 2020 | • 12/31/20 | No | |

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| PH-1 Fiscal Closeout of Capital Grant Funds | Regulatory Authority § 905.322(b) | <ul style="list-style-type: none"> • Extension of deadlines for ADCC and AMCC | Varies by PHA | No | |
| PH-2 Total Development Costs | Regulatory Authority § 905.314(c) - (d) | <ul style="list-style-type: none"> • Waives the TDC and HCC limits permitting approval of amounts in excess of published TDC by 25% to 50% on a case by case basis | Applies to development proposals submitted to HUD no later than December 31, 2021 | No | |
| PH-3 Cost and Other Limitations: Types of Labor | Regulatory Authority § 905.314(j) | <ul style="list-style-type: none"> • Allows for the use of force account labor for modernization | <ul style="list-style-type: none"> • 12/31/20 | No | |

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| | | activities in certain circumstances | | No | |

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| PH-4 ACOP: Adoption of Tenant Selection Policies | <u>Regulatory Authority § 960.202(c)(1)</u> | <ul style="list-style-type: none"> Establishes an alternative requirement that policies may be adopted without board approval Any provisions adopted informally must be adopted formally NLT December 31, 2020 | <ul style="list-style-type: none"> 9/30/20 12/31/20 | | |
| PH-5 Community Service and Self- Sufficiency Requirement (CSSR) | <u>Statutory Authority Section 12(c)</u> <u>Regulatory Authority §§ 960.603(a) and 960.603(b)</u> | <ul style="list-style-type: none"> Temporarily suspends CSSR | <ul style="list-style-type: none"> 3/31/21 | No | |
| PH-6 Energy Audits | <u>Regulatory Authority § 965.302</u> | <ul style="list-style-type: none"> Allows for delay in due dates of energy audits | One year beyond 2020 audit deadline | No | |
| PH-7 Over-Income Families | <u>Statutory Authority Section 16(a)(5)</u> <u>Sub-regulatory Guidance</u> | <ul style="list-style-type: none"> Changes to timeframes for determination of over-income | <ul style="list-style-type: none"> 12/31/20 | No | |

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| | Housing Opportunity Through Modernization Act of 2016: Final Implementation of the Public Housing Income Limit 83 FR 35490, Notice PIH 2019-11 | | | No | |
| PH-8 Resident Council Elections | Regulatory Authority § 964.130(a)(1) | <ul style="list-style-type: none"> Provides for delay in resident council elections | <ul style="list-style-type: none"> 12/31/20 | No | |
| PH-9 Review and Revision of Utility Allowance | Regulatory Authority § 965.507 | <ul style="list-style-type: none"> Provides for delay in updating utility allowance schedule | <ul style="list-style-type: none"> 12/31/20 | No | |
| PH-10 Tenant Notifications for Changes to Project Rules and Regulations | Regulatory Authority § 966.5 | <ul style="list-style-type: none"> Advance notice not required except for policies related to tenant charges | <ul style="list-style-type: none"> 12/31/20 | No | |

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| PH-11: Designated Housing Plan Renewals | <u>Statutory Authority:</u> Section 7(f) | <ul style="list-style-type: none"> • Extends the Plan's effective period through December 31, 2020, for Plans due to expire between the date of this Notice and December 31, 2020. | • 12/31/20 | No | |
| PH-12: Public Housing Agency Annual Self-Inspections | <u>Statutory Authority:</u> Section 6(f)(3) <u>Regulatory Authority:</u> § 902.20(d) | <ul style="list-style-type: none"> • Waives the requirement that the PHA must inspect each project | • 12/31/20 | No | |
| 11a PHAS | <u>Regulatory Authority</u> 24 CFR Part 902 | <ul style="list-style-type: none"> • Allows for alternatives related to inspections • PHA to retain prior year PHAS score unless requests otherwise | HUD will resume issuing new PHAS scores starting with PHAS with FYE dates of 3/31/21 | N/A | N/A |

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| 11b SEM-AP | <u>Regulatory Authority</u> 24 CFR Part 985 | <ul style="list-style-type: none"> • PHA to retain prior year SEM-AP score unless requests otherwise | HUD will resume issuing new SEM-AP scores starting with PHAs with FYE dates of 3/31/21 | N/A | N/A |
| 11c Uniform Financial Reporting Standards; Filing of Financial Reports; Reporting Compliance Dates | <u>Regulatory Authority</u> §§ 5.801(c), 5.801(d)(1) | <ul style="list-style-type: none"> • Allows for extensions of financial reporting deadlines | Varies by PHA FYE | Yes | 4/1/22 |
| 12a PHA Reporting Requirements on HUD Form 50058 | <u>Regulatory Authority</u> 24 CFR Part 908, § 982.158 <u>Sub-regulatory Guidance</u> PIH Notice 2011-65 | <ul style="list-style-type: none"> • Waives the requirement to submit 50058 within 60 days • Alternative requirement to submit within 90 days of the effective date of action | • 12/31/20 | Yes | 4/1/22 |

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| 12b Designated Housing Plans: HUD 60-Day Notification | Statutory Authority Section 7(e)(1) | • Allows for HUD to delay notification about designated housing plan | • 7/31/20 | N/A | N/A |
| 12c Extension of Deadline for | Statutory Authority Section 9(j) | • Provides a one-year extension | For all open Capital Fund grants, one-year extension from the | No | |

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| Programmatic Obligation and Expenditure of Capital Funds | Regulatory Authority § 905.306(d)(5) | | obligation and expenditure end dates in LOCCS as of April 10, 2020 | | |

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