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**Town of Yorktown** 363 Underhill Avenue, Yorktown Heights, NY 10595

Project Manual Volume 1 of 1

# **Catskill Water Supply**

# **Drinking Water Fluoridation Project**

# Contract 19-2

September 2019



Prepared By:

# Arcadis of New York, Inc.

44 S. Broadway, 9th Floor White Plains, NY 10602

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# **INVITATION TO BID**

## CONTRACT No. 19-2

#### <u>Town of Yorktown</u> Catskill Water Supply Drinking Water Fluoridation Project

Sealed proposals will be received by the **Town Clerk** of the Town of Yorktown, Westchester County, **New York, at the Town Hall, 363 Underhill Avenue, Yorktown Heights, New York, until 11:00 A.M., on Friday November 1, 2019 for the Catskill Water Supply Drinking Water Fluoridation Project.** 

Bids will be received for the following:

Contract No. 19-2 Part 1 – General Construction Contract No. 19-2 Part 2 – Electrical Work

A mandatory pre-bid inspection will be held at 11 a.m. on Wednesday October 16, 2019 at the Catherine Street Pump Station, Old Crompond Road and Garden Lane, Yorktown Heights, New York. Representatives of the Engineer and Owner will be present to discuss the Project. Bidders are required to attend and sign the attendance sheet.

The work consists of constructing, complete with all equipment and accessories, a fluoride storage and feed system at the Catherine Street Pump Station in accordance with the Bidding Documents heretofore prepared by Arcadis of New York, Inc.

Bids shall be made on the separate Bid Proposal Forms and must be accompanied by a Bid Bond acceptable to the Town or a Certified Cashier's Check drawn on a solvent bank in the amount of not less than 10% of total amount of the Bid. Checks should be made payable to the Town of Yorktown, New York, and are to be held by the Town of Yorktown as a guarantee for the proper execution and delivery of Contract and Bonds to secure the faithful performance thereafter. In default of such execution and delivery of Contract and Bonds, the amount of the deposit represented by the check shall be forfeited to and retained by the Town of Yorktown as liquidated damages.

The bidder assumes the risk of any delay in the mail or in the handling of mail by the employees of the Town of Yorktown. Whether sent by mail or means of personal delivery, the bidder assumes the responsibility for having bids in on the time and the place specified above.

The Town of Yorktown reserves the right to waive any informalities in the bids, to reject any or all bids and reserves the right to accept that bid which it deems most favorable to **the interests of the Town of Yorktown. No bidder may withdraw his bid within ninety (90)** days after the actual date of the opening thereof.

Specifications and standard proposals for the bid may be obtained at the office of the Town Clerk at said Town Hall.

Bid documents may also be obtained on the Town of Yorktown's website at <u>www.yorktownny.org</u> and <u>www.EmpireStateBidSystem.com.</u>

All questions shall be submitted in writing to the Town Clerk at 363 Underhill Avenue, Yorktown Heights, NY 10598; email <u>dquast@yorktownny.org</u>. The subject heading for all e-mails shall be:

# Subject: Catskill Water Supply Drinking Water Fluoridation Project.

No response will be given to questions received less than seven (7) calendar days before the Bid opening date.

If mailed, sealed proposals must be addressed in care of the Town Clerk at the above address.

A submitted bid will consist of the following:

- 1. One original completed **Bid Proposal Form**, signed on behalf of Bidder with information for all blanks supplied, and a detailed listing of any exceptions taken by Bidder; as well as review and acknowledgment of all Addenda on the Bid Forms, and
- 2. A signed and notarized Non-Collusive Bidding Certificate.

Diana L. Quast Town Clerk

#### Dated: September 24, 2019

# Town of Yorktown, NY <u>Contract 19-2</u> <u>Catskill Water Supply Drinking Water Fluoridation Project</u> <u>INSTRUCTIONS TO BIDDERS</u>

## (ALL CONTRACTS)

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#### ARTICLE 1 – DEFINED TERMS

- 1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in the Bidding Requirements have the meanings indicated below which are applicable to both the singular and plural thereof.
  - A. *Issuing Office*—The office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.

#### ARTICLE 2 – COPIES OF BIDDING DOCUMENTS

- 2.01 Complete sets of the Bidding Documents may be obtained from the Issuing Office in the number and format stated in the advertisement or invitation to bid.
- 2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

#### ARTICLE 3 – QUALIFICATIONS OF BIDDERS

- 3.01 Bidders shall be experienced in the kind of Work to be performed, shall have the necessary equipment therefor, and shall possess sufficient capital to properly execute the Work within the time allowed. Bids received from Bidders who have previously failed to complete work within the time required, or who have previously performed similar work in an unsatisfactory manner, may be rejected. A Bid may be rejected if Bidder cannot show that Bidder has the necessary ability, facilities, equipment, and resources to commence the Work at the time prescribed and thereafter to prosecute and complete the Work at the rate or within the times specified. A Bid may be rejected if Bidder is already obligated for the performance of other work which would delay the commencement, prosecution or completion of the Work.
- 3.02 To demonstrate qualifications to perform the Work, Bidder shall complete and submit with its Bid the Qualifications Statement which is bound in the Project Manual. Bidders may be asked to and shall furnish additional data to demonstrate Bidder's qualifications.
- 3.03 A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.
- 3.04 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.
- 3.05 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder's representations and certifications.
- 3.06 Bidders shall be qualified to do business in the jurisdiction where the Project is located or covenant to obtain such qualification prior to signing the Agreement.
- 3.07 Bidders are advised that the Project includes Minority and Women Owned Business Enterprise Requirements. Bidders shall meet the goals set forth as fully described elsewhere.

#### ARTICLE 4 – SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE

#### 4.01 *Site and Other Areas*

A. The Site is identified in the Bidding Documents, and is owned by the New York City Department of Environmental Protection (NYCDEP). The Town of Yorktown has a Land Use Permit in place with the NYCDEP. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

#### 4.02 *Existing Site Conditions*

- A. Subsurface and Physical Conditions; Hazardous Environmental Conditions
  - 1. The Supplementary Conditions identify:
    - a. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
    - b. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities);
    - c. reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
    - d. Technical Data contained in such reports and drawings.
  - 2. Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions (as may be modified by the Supplementary Conditions), has been identified and established in the proposed Contract Documents. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
  - 3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.

- B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or adjacent to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.
- C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions, as may be modified by the Supplementary Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions, as may be modified by the Supplementary Conditions.
- 4.03 *Site Visits and Testing by Bidders* 
  - A. A single Site visit has been scheduled as indicated in the Invitation to Bid. Participants will meet at the Catherine Street Pump Station, Old Crompond Road and Garden Lane, Yorktown, NY. No other Site visits will be allowed.
  - B. Bidder is not required to perform any subsurface testing, or exhaustive investigations of Site conditions.
  - C. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to perform such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
  - D. Bidder shall comply with all applicable laws and regulations regarding excavation and location of utilities (including Underground Facilities), obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.
  - E. Bidder shall fill all holes and promptly clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

#### 4.04 *Owner's Safety Program*

A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions or the Division 01 Specifications.

#### 4.05 *Other Work at the Site*

A. Reference is made to Article 8 of the General Conditions and the Division 01 Specifications for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

#### ARTICLE 5 – BIDDER'S REPRESENTATIONS

- 5.01 It is the responsibility of each Bidder before submitting a Bid to:
  - A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;
  - B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
  - C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work;
  - D. carefully study all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data have been identified in the Supplementary Conditions, especially with respect to Technical Data have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings;
  - E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress,

and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs;

- F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
- G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- H. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
- I. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and
- J. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.
- 5.02 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with all requirements of Articles 4 and 5 of these Instructions to Bidders, that without exception the Bid is premised upon performing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, or procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in the Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing the Work.

#### ARTICLE 6 – PRE-BID CONFERENCE

- 6.01 A pre-bid conference will be held at the time and location stated in the advertisement or invitation to bid.
- 6.02. Representatives of Owner and Engineer will be present at the pre-bid conference to discuss the Project. Bidders are required to attend and participate in the conference.

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Bids will not be accepted from any prospective Bidder who does not attend the prebid conference. Engineer will transmit to all prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective.

#### ARTICLE 7 – INTERPRETATIONS AND ADDENDA

- 7.01 All questions about the meaning or intent of the Bidding Documents shall be submitted in writing as noted in the Invitation to Bid. To receive consideration, questions must be received at least seven days prior to the date for the opening of Bids. Interpretations or clarifications considered necessary in response to such questions will be issued by Addenda transmitted or delivered to each entity recorded as having received the Bidding Documents from the Issuing Office. Addenda may be issued after the stated period and before the receipt of Bids to change the date or time for receipt of Bids, or to make minor changes or clarifications to the Bidding Documents that will not have a significant effect on price. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 7.02 Addenda may also be issued to clarify, correct or change the Bidding Documents as deemed advisable by Engineer. Such Addenda, if any, will be issued in the manner and within the time period stated in Paragraph 7.01 of these Instructions to Bidders.

#### ARTICLE 8 – BID SECURITY

- 8.01 A Bid shall be accompanied by bid security made payable to Owner in the amount specified in the Invitation to Bid.
- 8.02 When a bid bond is furnished as bid security, the bond shall be in the form of the specimen bid bond form bound into the Project Manual. Bid bond shall be issued by a surety complying with the requirements of Paragraph 6.01 of the General Conditions, as may be modified by the Supplementary Conditions.
- 8.03 Bid Security of Successful Bidder
  - A. The bid security of the Successful Bidder will be retained until such Bidder has executed the Contract Documents, furnished the required contract security, and complied with the other conditions of the Notice of Award, whereupon the bid security will be returned or disposed of in accordance with Paragraph 8.05.
  - B. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within the number of days after the Notice of Award as indicated in Article 21 of these Instructions to Bidders for delivery of the executed Contract, Owner may annul the Notice of Award and may retain from the bid security an amount equal to the damages which Owner may suffer by reason of

such failure. Said damages shall be the difference between that Bidder's Bid and the next-lowest, responsive Bid submitted by a responsible Bidder, but such amount shall not exceed the bid security amount, and, if there is no such next-lowest, responsive Bid submitted by a responsible Bidder, then the bid security amount of that Bidder .

#### 8.04 Bid Security of Other Bidders

- A. The bid security of other Bidders whom Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of the seventh day after the Effective Date of the Contract or the 91st day after the Bid opening whereupon the bid security furnished by such Bidders will be returned or disposed of in accordance with Paragraph 8.05.
- B. The bid security of Bidders whom Owner believes do not have a reasonable chance of receiving an award will be returned (or disposed of in accordance with Paragraph 8.05) within seven days of the opening of Bids.
- 8.05 When the submitted form of bid security is a bid bond, Owner may, at Owner's option, destroy the bid bond submitted instead of returning it to the Bidder.

#### ARTICLE 9 – CONTRACT TIMES

9.01 The number of days within which the Work is to be substantially completed, and completed and ready for final payment are set forth in the Agreement.

#### ARTICLE 10 – LIQUIDATED AND SPECIAL DAMAGES

- 10.01 Provisions for liquidated and special damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Agreement.
- 10.02 Where New York State Department of Health determines that Contractor is not in compliance with the requirements of the Contract and Contractor refuses to comply with such requirements, or if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals, Contractor shall be obligated to pay to the New York State Department of Health liquidated damages.
  - A. Such liquidated damages shall be calculated as an amount equaling the difference between:
    - 1. All sums identified for payment to MWBEs had the Contractor achieved the contractual MWBE goals; and
    - 2. All sums actually paid to MWBEs for work performed or materials supplied under the Contract.

B. In the event a determination has been made which requires the payment of liquidated damages and such identified sums have not been withheld by the New York State Department of Health, Contractor shall pay such liquidated damages to the New York State Department of Health within sixty (60) days after they are assessed by the New York State Department of Health unless prior to the expiration of such sixtieth day, the Contractor has filed a complaint with the Director of the Division of Minority and Woman Business Development pursuant to Subdivision 8 of Section 313 of the Executive Law in which event the liquidated damages shall be payable if Director renders a decision in favor of the New York State Department of Health.

#### <u>ARTICLE 11 – SUBSTITUTE AND "OR-EQUAL" ITEMS</u>

- 11.01 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or "or-equal" items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or "or-equal" item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the Effective Date of the Contract. The procedure for submittal of any such request by Contractor and consideration by Engineer is set forth in the General Conditions which may be supplemented by the Division 01 Specifications.
- 11.02 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Contract Documents, including Addenda (if any). Any assumptions regarding the possibility of post-bid-opening approvals of "or-equal" or substitute requests are made at Bidder's sole risk.
- 11.03 Refer to Specifications Section 01 25 00, Substitution Procedures, for the period of time (if any) after the Effective Date of the Contract during which Engineer will accept applications for substitute items of material or equipment and substitutes for construction procedures indicated in the Contract Documents.

#### ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS

12.01 A Bidder shall be prepared to retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of the Work if required by the Bidding Documents (most commonly in the Specifications) to do so. Bidders shall engage Minority and Women Business Enterprises as described herein. If a prospective Bidder objects to retaining any such Subcontractor, Supplier, or other individual or entity, and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.

- 12.02 Subsequent to the submittal of the Bid, Owner may not require the Successful Bidder or Contractor to retain any Subcontractor, Supplier, or other individual or entity against which Contractor has reasonable objection.
- 12.03 Owner Review of Proposed Subcontractors, Suppliers, or Other Such Entities
  - A. The apparent Successful Bidder, and any other Bidder so requested, shall within five days after the Bid opening, submit to Owner the MWBE Utilization Plan.
- 12.04 If apparent Successful Bidder fails to submit the MWBE Utilization Plan or cannot achieve the Contract required utilization goals, Owner may award the Contract to the next lowest Bidder that proposes to meet the requirements. Inability to achieve required MWBE Utilization will constitute grounds for forfeiture of the bid security of any Bidder.

#### ARTICLE 13 – PREPARATION OF BID

- 13.01 The Bid Form
  - A. The Bid shall be made using the Bid Form included in the Bidding Documents. The Bid Form shall not be altered in any way.
  - B. The Bid shall be made on an unbound copy of the Bid Form.
  - C. All blanks in the Bid Form shall be completed in ink or by typewriter and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A bid price shall be indicated in both words and numerals for each item listed therein for the associated prime contract. Ditto marks shall not be used.
- 13.02 Execution of Bid
  - A. A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be indicated.
  - B. A Bid by a partnership shall be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The partnership's address for receiving notices shall be indicated.
  - C. A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the firm's address for receiving notices shall be indicated.

- D. A Bid by an individual shall show the Bidder's name and the individual's address for receiving notices.
- E. A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The joint venture's address for receiving notices shall be indicated.
- F. The associated name shall be typed or printed in ink below the each signature.
- 13.03 Completion of Bid Form
  - A. The Bid shall contain an acknowledgment of the receipt of all Addenda, the numbers of which shall be filled in at the space provided on the Bid Form.
  - B. Postal and e-mail addresses and telephone number for communications regarding the Bid shall be indicated.
  - C. The Bid shall contain evidence of Bidder's authority and qualification to do business in the jurisdiction where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid.
- 13.04 Required attachments to the Bid are indicated at Article 7 of the Bid Form. Each document shall be properly completed and executed in the manner described in Paragraph 13.02 of the Instructions to Bidders unless another manner is indicated.

#### ARTICLE 14 – BASIS OF BIDS

- 14.01 Base Bid with Alternatives
  - A. Bidders shall submit a Bid on a lump sum basis for the Base Bid and include a separate price for each alternative item described in the Bidding Documents and as provided for in the Bid Form. The price for each alternative item will be the amount added to or deleted from the Base Bid if Owner selects the alternative item.
  - B. In the comparison of Bids, alternative items will be applied in the same order of priority as listed in the Bid Form.

#### ARTICLE 15 – SUBMITTAL OF BID

15.01 Bid shall be enclosed in an opaque sealed envelope plainly marked on the outside with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of the Bidder, and Bidder's license or registration number (when applicable). Bid shall be accompanied by bid security and other required documents in accordance with the Bidding Documents.

15.02 If the Bid is sent by mail or other delivery method, the sealed envelope containing the Bid shall be enclosed in a separate envelope plainly marked on the outside with the notation "BID ENCLOSED". A mailed Bid shall be addressed as noted in the Invitation to Bid.

#### ARTICLE 16 – MODIFICATION OR WITHDRAWAL OF BID

- 16.01 Withdrawal Prior to Bid Opening
  - A. A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.

#### 16.02 Modification Prior to Bid Opening

- A. If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 16.03 Withdrawal After Bid Opening
  - A. If within 48 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bidder's bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

#### ARTICLE 17 – OPENING OF BIDS

17.01 Bids will be opened at the time and place where Bids are to be submitted and, unless obviously non-responsive, will be read aloud publicly. An abstract of the Bids, including alternative items (if any), will be made available to Bidders after the opening.

#### ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE

18.01 All Bids shall remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the bid security (or dispose of same in accordance with Paragraph 8.05 of the Instructions to Bidders) prior to the end of that period.

#### ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT

#### 19.01 *Rejection of Bids; Disqualification of Bidders*

- A. Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, non-responsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as non-responsive; provided that Owner also reserves the right to waive all minor informalities not involving price, time, or changes in the Work.
- B. Owner reserves the right to reject any Bid that, in its sole discretion, is considered to be unbalanced or unreasonable as to the amount bid for any bid item.
- C. More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.
- D. Owner reserves the right to reject any Bid not accompanied by required documentation and bid security.
- E. Owner reserves the right to reject Bids for the reasons indicated in Paragraph 3.01 of these Instructions to Bidders.
- 19.02 If a Contract is to be awarded, Owner will award the Contract to the Bidder who has been neither disqualified nor rejected pursuant to Paragraph 19.01 or other provisions of these Instructions to Bidders, and who submitted the lowest responsive Bid.
- 19.03 Evaluation of Bids
  - A. In evaluating the Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternatives, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.
  - B. *Single Lump Sum*: The Bid with the lowest lump sum price will be the apparent low Bid.
  - C. Where prices are to be indicated on the Bid Form in both words and numerals, discrepancies between words and numerals will be resolved in favor of words. Arithmetic discrepancies will be resolved as indicated in Paragraph 14.02.C of these Instructions to Bidders.

- 19.04 In evaluating Bidders, Owner will consider the qualifications of Bidders, whether the Bids comply with the prescribed requirements, the alternatives (if any), the prices submitted, and other data as may be requested in the Bid Form, with the Bid, or prior to the Notice of Award.
- 19.05 Owner may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors, Suppliers, and other individuals or entities must be submitted as provided in the Bidding Documents.
- 19.06 Owner may perform such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.
- 19.07 If a Contract is awarded, Owner will issue to Successful Bidder a written Notice of Award, issued with accompanying documents as indicated in Articles 20 and 21 of these Instructions to Bidders.

#### ARTICLE 20 – BONDS AND INSURANCE

- 20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements for furnishing by Contractor of performance and payment bonds and insurance. Performance bond, payment bond, and other contract bonds (if any) required by the Contract Documents shall be furnished on the forms included in the Contract Documents.
- 20.02 In accordance with Paragraph 2.01 of the General Conditions, when the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation (the latter furnished in accordance with Paragraph 6.02 of the General Conditions) acceptable form in accordance with the Contract Documents.

#### ARTICLE 21 – SIGNING OF AGREEMENT

21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days after the date indicated on the Notice of Award, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner together with other Contract Documents. Within ten days thereafter, Owner shall deliver one fully-executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

21.02 The Contract Times will commence running as provided in Paragraph 4.01 of the General Conditions, as may be modified by the Supplementary Conditions.

ARTICLE 22 – Not Used

#### ARTICLE 23 – Not Used

#### ARTICLE 24 – ADDITIONAL REQUIREMENTS

24.01 Equal Opportunities for Minorities and Women. The following provisions shall apply:

- A. The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status;
- B. The Contractor shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts;
- C. The Contractor shall undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation;
- D. At the request of the Owner, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative shall not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative shall affirmatively cooperate in the implementation of the Contractor's obligations herein; and
- E. The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the State contract, all qualified applicants shall be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- 24.02 Minority and Women Owned Business Enterprises
  - A. For purposes of this contract to comply with the Owner's Contract with the New York State Department of Health, a goal is hereby established of 30% for Minority and Women-Owned Business Enterprises ("MWBE") participation. The goal on the eligible portion of this contract will be 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs).

- B. For purposes of providing meaningful participation by MWBEs on the Contract and achieving the Contract Goals established herein, Contractor should reference the directory of New York State Certified MBWEs found at the following internet address: <u>https://ny.newnycontracts.com/</u> Additionally, Contractor is encouraged to contact the Division of Minority and Woman Business Development ((518) 292-5250; (212) 803-2414; or (716) 846-8200) to discuss additional methods of maximizing participation by MWBEs on the Contract.
- C. Where MWBE goals have been established herein, pursuant to 5 NYCRR §142.8, Contractor must document "good faith efforts" to provide meaningful participation by MWBEs as subcontractors or suppliers in the performance of the Contract. In accordance with Section 316-a of Article 15-A and 5 NYCRR §142.13, the Contractor acknowledges that if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth in the Contract, such a finding constitutes a breach of contract and the Contractor shall be liable to the New York State Department of Health for liquidated or other appropriate damages, as set forth herein.
- D. The Contractor shall submit an MWBE Utilization Plan (Form #1) either prior to, or at the time of, the execution of the contract. Contractor further agrees that a failure to submit and/or use such MWBE Utilization Plan shall constitute a material breach of the terms of the Contract.

+ + END OF INSTRUCTIONS TO BIDDERS + +

#### <u>Contract 19-2</u> <u>Catskill Water Supply Drinking Water Fluoridation Project</u> <u>BID FORM</u> Contract No. 19-2 Part 1 – General Construction

#### TABLE OF ARTICLES

- 1. Bid Recipient
- 2. Bidder's Acknowledgements
- 3. Bidder's Representations
- 4. Bidder's Certifications
- 5. Basis of Bid
- 6. Time of Completion
- 7. Attachments to this Bid
- 8. Defined Terms
- 9. Bid Submittal

#### ARTICLE 1 – BID RECIPIENT

1.01 This Bid is submitted to:

Town Clerk Town of Yorktown 363 Underhill Avenue Yorktown Heights, NY 10598

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into a Contract with Owner, by executing the Agreement form included in the Bidding Documents, to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

#### ARTICLE 2 – BIDDER'S ACKNOWLEDGEMENTS

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 90 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

#### ARTICLE 3 – BIDDER'S REPRESENTATIONS

- 3.01 In submitting this Bid, Bidder represents that:
  - A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges

#### 02328010.0000

receipt of the following Addenda.

Addendum Date

- B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Bidder has carefully studied all: reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs.
- F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- I. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.

J. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### ARTICLE 4 – BIDDER'S CERTIFICATIONS

- 4.01 Bidder certifies that:
  - A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
  - B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
  - C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
  - D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of the Paragraph 4.01.D;
    - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process;
    - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
    - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
    - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### ARTICLE 5 – BASIS OF BID

5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

Item	Description	Unit	Amount
1	Lump Sum Bid Price for Base Bid	1 LS	\$
2	Miscellaneous Work Allowance (Contingency allowance in accordance with Section 01 21 00, Allowances, the lump sum of (mandatory sum to be included in Bid total)	n/a	\$ 30,000.00
3	Additional Excavation	15 CY	\$
4	Bid Alternate A – Catherine Street Flow Meter and Vault [Add]	n/a	\$
5	Bid Alternate B – Repaving Pump Station Driveway [Add]	n/a	\$
6	Bid Alternate C – Removal of Catskill Aqueduct Suction Piping [Add]	n/a	\$

#### ARTICLE 6 - TIME OF COMPLETION

- 6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 6.02 Bidder accepts the provisions of the Agreement as to liquidated damages and special damages in the event of failure to complete the Work within the Contract Times. Bidder also accepts the provisions for performance damages, if any, included in the Contract Documents.

#### ARTICLE 7 – ATTACHMENTS TO THIS BID

- 7.01 The following documents are attached to and made a condition of this Bid:
  - A. Required bid security.
  - B. Required Qualifications Statement with supporting data.
  - C. Listing of Subcontractors, Suppliers, and other individuals and entities required to be identified in this Bid.
  - D. Affidavit of non-collusion.
  - E. Evidence of authority to do business in the jurisdiction of the Project; or a written covenant to obtain such license within the time for acceptance of Bids.

- F. Contractor's License No. \_\_\_\_\_, or evidence of Bidder's ability to obtain a contractor's license in the jurisdiction of the Site and a covenant by Bidder to obtain said license within the time for acceptance of Bids.
- G. MWBE Utilization Plan.

#### ARTICLE 8 – DEFINED TERMS

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

#### ARTICLE 9 – BID SUBMITTAL

9.01 This Bid submitted by:

BIDDER: [Indicate correct name of bidding entity]

By: [Signature]
[Printed name] (If Bidder is a corporation, a limited liability company, a partnership, or a joint venture, attach evidence of authority to sign.)
Attest: [Signature]
[Printed name]
Title:
Submittal Date:
Address for giving notices:
Telephone Number:
Fax Number:
02328010.0000 00 41 13-5

Contact Name and e-mail address:

Bidder's License No.:

(where applicable)

+ + END OF BID FORM + +

# <u>Contract 19-2</u> <u>Catskill Water Supply Drinking Water Fluoridation Project</u> <u>BID FORM</u> Contract No. 19-2 Part 2 – Electrical Work

#### TABLE OF ARTICLES

- 1. Bid Recipient
- 2. Bidder's Acknowledgements
- 3. Bidder's Representations
- 4. Bidder's Certifications
- 5. Basis of Bid
- 6. Time of Completion
- 7. Attachments to this Bid
- 8. Defined Terms
- 9. Bid Submittal

#### ARTICLE 1 – BID RECIPIENT

1.01 This Bid is submitted to:

Town Clerk Town of Yorktown 363 Underhill Avenue Yorktown Heights, NY 10598

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into a Contract with Owner, by executing the Agreement form included in the Bidding Documents, to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

#### ARTICLE 2 – BIDDER'S ACKNOWLEDGEMENTS

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security. This Bid will remain subject to acceptance for 90 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

#### ARTICLE 3 – BIDDER'S REPRESENTATIONS

- 3.01 In submitting this Bid, Bidder represents that:
  - A. Bidder has examined and carefully studied the Bidding Documents, and any data and reference items identified in the Bidding Documents, and hereby acknowledges receipt of the following Addenda.

Addendum No.	Addendum Date

- B. Bidder has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfied itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Bidder is familiar with and has satisfied itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Bidder has carefully studied all: reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and any Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs.
- F. Bidder agrees, based on the information and observations referred to in the preceding paragraph, that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and confirms that the written resolution thereof by Engineer is acceptable to Bidder.
- I. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work.

J. The submission of this Bid constitutes an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, and that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

#### ARTICLE 4 – BIDDER'S CERTIFICATIONS

- 4.01 Bidder certifies that:
  - A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
  - B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
  - C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
  - D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of the Paragraph 4.01.D;
    - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process;
    - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
    - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
    - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### ARTICLE 5 – BASIS OF BID

5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

Item	Description	Amount
1	Lump Sum Bid Price for Base Bid	\$
2	Miscellaneous Work Allowance	\$ 8,000.00
3	Bid Alternate A – Catherine Street Flow Meter Vault Ductbank, Wire & Conduit [Add]	\$

#### ARTICLE 6 - TIME OF COMPLETION

- 6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 6.02 Bidder accepts the provisions of the Agreement as to liquidated damages and special damages in the event of failure to complete the Work within the Contract Times. Bidder also accepts the provisions for performance damages, if any, included in the Contract Documents.

#### ARTICLE 7 – ATTACHMENTS TO THIS BID

- 7.01 The following documents are attached to and made a condition of this Bid:
  - A. Required bid security.
  - B. Required Qualifications Statement with supporting data.
  - C. Listing of Subcontractors, Suppliers, and other individuals and entities required to be identified in this Bid.
  - D. Affidavit of non-collusion.
  - E. Evidence of authority to do business in the jurisdiction of the Project; or a written covenant to obtain such license within the time for acceptance of Bids.
  - F. Contractor's License No. \_\_\_\_\_, or evidence of Bidder's ability to obtain a contractor's license in the jurisdiction of the Site and a covenant by Bidder to obtain said license within the time for acceptance of Bids.
  - G. MWBE Utilization Plan.

#### ARTICLE 8 – DEFINED TERMS

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

#### ARTICLE 9 – BID SUBMITTAL

9.01 This Bid submitted by:

BIDDER: [Indicate correct name of bidding entity]

By: [Signature]	
[Printed name]	
(If Bidder is a corpora attach evidence of auth	tion, a limited liability company, a partnership, or a joint venture, nority to sign.)
Attest: [Signature]	
[Printed name]	
Title:	
Submittal Date:	
Address for giving not	ices:
Telephone Number:	
Fax Number:	
Contact Name and e-n address:	nail
Bidder's License No.:	
	(where applicable)

+ + END OF BID FORM + +

+ + NO TEXT THIS PAGE + +
#### NON-COLLUSIVE BIDDING CERTIFICATION

This Non-Collusive Bidding Certificate is made pursuant to Section 103-d of the General Municipal Law of the State of New York. By submission of this bid, Bidder and each person signing on behalf of Bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his or her knowledge and belief:

The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement for the purpose of restricting competition, as to any matter relating to such prices with any other Bidder or with any competitor;

Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by Bidder and will not knowingly be disclosed by Bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1)(2) and (3) above, have not been complied with; provided, however, that if in any case Bidder cannot make the foregoing certification, Bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefore. Where (1)(2) and (3) above have not been complied with, the bid shall not be considered for award nor shall any award be made unless the head of the purchasing unit of the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

Dated:			Bidder:		
			(Legal	name of	person, firm or corporation)
By:		Print Name:			Title:
(Signature)					
NOTARY:					
State of New	York	) Town of		_)ss.:	
On the	day of	ir	n the year 2017	before me	e, the undersigned, personally
appeared		,	personally know	wn to me	or proved to me on the basis
of satisfactory	v evidence to b	e the individua	l(s) whose name	e(s) is (ar	e) subscribed to the within
instrument and	d acknowledge	ed to me that he	e/she/they execu	ited the sa	ame in his/her/their
capacity(ies),	and that by his	s/her/their signation the individual	ature(s) on the in	nstrument	t, the individual(s), or the strument
Person upon o			(b) acted, enced		

(Notary Public)

# New York State Department of Health M/WBE UTILIZATION PLAN

### MINORITY OWNED BUSINESS ENTERPRISE (MBE) INFORMATION

In order to achieve the MBE Goals, bidder expects to subcontract with New York State certified MINORITY-OWNED entities as follows:

MBE Firm (Exactly as Registered)	Description of Work (Products/Services) [MBE]	Projected MBE Dollar Amount
Name		\$
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number ( ) -		
Name		\$
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number ( ) -		
Name		\$
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number ( ) -		

Form #1 -Page 2 of 3

# New York State Department of Health M/WBE UTILIZATION PLAN

### WOMEN OWNED BUSINESS ENTERPRISE (WBE) INFORMATION

In order to achieve the WBE Goals, bidder expects to subcontract with New York State certified WOMEN-OWNED entities as follows:

-

\_

WBE Firm (Exactly as Registered)	Description of Work (Products/Services) [WBE]	Projected WBE Dollar Amount
Name		<u>\$</u>
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number		
Name		\$
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number ( ) <del>-</del>		
Name		<u>\$</u>
Address		
City, State, ZIP		
Employer I.D.		
Telephone Number ( ) -		

Form #1 -Page 3 of 3

-



# **BID BOND**

Any singular reference to Bidder, Surety, Owner or other party shall be considered plural where applicable.

BIDDER (Name and Address):

SURETY (Name, and Address of Principal Place of Business):

#### OWNER (Name and Address):

Town of Yorktown 363 Underhill Avenue Yorktown Heights, NY 10598

#### BID

Bid Due Date: Description (*Project Name— Include Location*): Catskill Water Supply Drinking Water Fluoridation Project

#### BOND

Bor	nd Number:				
Dat	e:				
Per	nal sum			\$	
		(Words)			(Figures)
Surety a this Bid	nd Bidder, intending to be legally Bond to be duly executed by an a	bound herel authorized off	oy, subjec ficer, agei	t to the terms set forth belo nt, or representative.	w, do each cause
DIDDLI		(Seal)	JUNETI		(Seal)
Bidder's	Name and Corporate Seal	(Jear)	Surety's	Name and Corporate Seal	(Seal)
By:			By:		
	Signature			Signature (Attach Power of	Attorney)
	Print Name		-	Print Name	
	Title		-	Title	
Attest:			Attest:		
	Signature		-	Signature	
	Title			Title	
Note: Ad	ddresses are to be used for giving	any required	notice.		
Provide	execution by any additional parti	ies, such as jo	oint ventu	rers, if necessary.	
	EJCDC® C-4	130, Bid Bond (Pena	al Sum Form).	Published 2013.	

Prepared by the Engineers Joint Contract Documents Committee	
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1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond shall be Owner's sole and exclusive remedy upon default of Bidder.

2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.

- 3. This obligation shall be null and void if:
  - 3.1 Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
  - 3.2 All Bids are rejected by Owner, or
  - 3.3 Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).

4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.

5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.

6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after the Bid due date.

7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.

8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.

9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.

10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.

11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

EJCDC <sup>®</sup> C-430, Bid Bond (Penal Sum Form). Published 2013.	
Prepared by the Engineers Joint Contract Documents Committee.	
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# **QUALIFICATIONS STATEMENT**

Prepared by



Issued and Published Jointly by







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# **QUALIFICATIONS STATEMENT**

# THE INFORMATION SUPPLIED IN THIS DOCUMENT IS CONFIDENTIAL TO THE EXTENT PERMITTED BY LAWS AND REGULATIONS

1.	SUBMITTED BY:	
	Official Name of Firm:	
	Address:	
2.	SUBMITTED TO:	
3.	SUBMITTED FOR:	
	Owner:	
	Project Name:	
	TYPE OF WORK:	
4.	CONTRACTOR'S CONTACT INF	ORMATION
	Contact Person:	
	Title:	
	Phone:	
	Email:	

#### 5. AFFILIATED COMPANIES:

Name:

Address:

### 6. TYPE OF ORGANIZATION:

SOLE PROPRIETORSHIP

Name of Owner:

Doing Business As:

Date of Organization:

] <u>PARTNERSHIP</u>

Date of Organization:

Type of Partnership:

Name of General Partner(s):

**CORPORATION** 

State of Organization:

Date of Organization:

**Executive Officers:** 

- President:

- Vice President(s):

- Treasurer:

- Secretary:

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LIMITED LIABILITY COMPANY	
State of Organization:	
Date of Organization:	
Members:	
JOINT VENTURE	
Sate of Organization:	
Date of Organization:	
Form of Organization:	
Joint Venture Managing Partner	
- Name:	
- Address:	
Joint Venture Managing Partner	
- Name:	
- Address:	
Joint Venture Managing Partner	
- Name:	
- Address:	
-	

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# 7. LICENSING

8.

9.

Jurisdiction:	
Type of License:	
License Number:	
Jurisdiction:	
Type of License:	
License Number:	
CERTIFICATIONS	CERTIFIED BY:
Disadvantage Business Ent	erprise:
Minority Business Enterpri	se:
Woman Owned Enterprise	
Small Business Enterprise:	
Other (	):
BONDING INFORMATION	
Bonding Company:	
Address:	
-	
Bonding Agent:	
Address:	
-	
-	
Contact Name:	
Phone:	
Aggregate Bonding Capacit	ty:
Available Bonding Capacity	y as of date of this submittal:
EJCDC <sup>*</sup> C-451, Q Copyright © 2013 National Society of Professiona and American Society of C Page (	Qualifications Statement. al Engineers, American Council of Engineering Companies, Civil Engineers. All rights reserved. 00 45 13-4 of 8

#### **10.** FINANCIAL INFORMATION

Financial Institution:	
Address:	
Account Manager:	
Phone:	

INCLUDE AS AN ATTACHMENT AN AUDITED BALANCE SHEET FOR EACH OF THE LAST 3 YEARS

#### **11.** CONSTRUCTION EXPERIENCE:

Current Experience:

List on **Schedule A** all uncompleted projects currently under contract (If Joint Venture list each participant's projects separately).

Previous Experience:

List on **Schedule B** all projects completed within the last 5 Years (If Joint Venture list each participant's projects separately).

Has firm listed in Section 1 ever failed to complete a construction contract awarded to it?

YES NO

If YES, attach as an Attachment details including project wwner's contact information.

Has any corporate officer, partner, joint venture participant, or proprietor ever failed to complete a construction contract awarded to them in their name or when acting as a principal of another entity?



If YES, attach as an Attachment details including Project Owner's contact information.

Are there any judgments, claims, disputes or litigation pending or outstanding involving the firm listed in Section 1 or any of its officers (or any of its partners if a partnership or any of the individual entities if a joint venture)?



If YES, attach as an Attachment details including Project Owner's contact information.

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#### 12. SAFETY PROGRAM:

Name of Contractor's Safety Officer:\_

Include the following as attachments:

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) <u>OSHA Form 300A - Summary of Occupational Injuries and Illnesses</u> for each of the past 5 years. When requested by Owner or Engineer after receipt of Bids, promptly submit OSHA Form 300 – Log of Work-Related Injuries and Illnesses, for each of the past 5 years.

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) list of all OSHA Citations & Notifications of Penalty (monetary or other) received within the last 5 years (indicate disposition as applicable) - <u>IF NONE SO STATE.</u>

Provide as an Attachment Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) list of all safety citations or violations under any state all received within the last five years (indicate disposition as applicable) - <u>IF NONE SO STATE.</u>

Provide the following for the firm listed in Section V (and for each proposed Subcontractor furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) the following (attach additional sheets as necessary):

Workers' compensation Experience Modification Rate (EMR) for the last 5 years:

YEAR	EMR	
YEAR	 EMR	
YEAR	 EMR	
YEAR	EMR	
YEAR	 EMR	

Total Recordable Frequency Rate (TRFR) for the last 5 years:

YEAR	TRFR	
YEAR	 TRFR	
YEAR	TRFR	
YEAR	TRFR	
YEAR	 TRFR	

Total number of man-hours worked for the last 5 Years:

YEAR TOTAL NUMBER OF MAN-HOURS YEAR TOTAL NUMBER OF MAN-HOURS YEAR TOTAL NUMBER OF MAN-HOURS	
YEAR TOTAL NUMBER OF MAN-HOURS TOTAL NUMBER OF MAN-HOURS	_
YEAR TOTAL NUMBER OF MAN-HOURS	_
	_
YEAR TOTAL NUMBER OF MAN-HOURS	_

Provide Contractor's (and Contractor's proposed Subcontractors and Suppliers furnishing or performing Work having a value in excess of 10 percent of the total amount of the Bid) Days Away From Work, Days of Restricted Work Activity or Job Transfer (DART) incidence rate for the particular industry or type of Work to be performed by Contractor and each of Contractor's proposed Subcontractors and Suppliers) for the last 5 years:

YEAR	 DART	
YEAR	 DART	
YEAR	DART	
YEAR	DART	
YEAR	DART	

#### 13. EQUIPMENT:

#### MAJOR EQUIPMENT:

List on Schedule C all pieces of major equipment available for use on Owner's Project.

I HEREBY CERTIFY THAT THE INFORMATION SUBMITTED HEREWITH, INCLUDING ANY ATTACHMENTS, IS TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

NAME OF ORGANIZATION:			
BY:			
TITLE:			
DATED:			
NOTARY ATTEST:			
SUBSCRIBED AND SWORN TO BEFORE ME			
THIS DAY OF, 20			
NOTARY PUBLIC - STATE OF			
MY COMMISSION EXPIRES:			
REQUIRED ATTACHMENTS			
1. Schedule A (Current Experience).			

- 2. Schedule B (Previous Experience).
- 3. Schedule C (Major Equipment).
- 4. Audited balance sheet for each of the last 3 years for firm named in Section 1.
- 5. Evidence of authority for individuals listed in Section 7 to bind organization to an agreement.
- 6. Resumes of officers and key individuals (including Safety Officer) of firm named in Section 1.
- 7. Required safety program submittals listed in Section 13.
- 8. Additional items as pertinent.

SCHEDULE A

#### CURRENT EXPERIENCE

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

#### SCHEDULE B

PREVIOUS EXPERIENCE (Include ALL Projects Completed within last 5 years)

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

#### SCHEDULE B

#### PREVIOUS EXPERIENCE (Include ALL Projects Completed within last 5 years)

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				
	Name: Address: Telephone:	Name: Company: Telephone:				

#### SCHEDULE C - LIST OF MAJOR EQUIPMENT AVAILABLE

ITEM	PURCHASE DATE	CONDITION	ACQUIRED VALUE

### + + END OF QUALIFICATIONS STATEMENT + +

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# <u>Contract 19-2</u> <u>Catskill Water Supply Drinking Water Fluoridation Project</u> <u>AGREEMENT</u>

THIS AGREEMENT is by and between the Town of Yorktown, 363 Underhill Avenue, Yorktown Heights, NY 10598 (hereinafter called Owner) and

(hereinafter called Contractor).

Owner and Contractor, in consideration of the mutual covenants hereinafter set forth, agree as follows:

#### ARTICLE 1 – THE WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: Contractor shall at its own cost and expense provide all labor, services, tools, materials, equipment, and incidentals necessary to complete all the Work as specified or indicated in the Contract Documents to construct the Catskill Water Supply Drinking Water Fluoridation Project, General Contract / Electrical Contract. The Work is generally described in Specifications Section 01 12 13, Summary of Work.

#### ARTICLE 2 – PROJECT

2.01 The Project for which the Work under the Contract Documents is a part is generally described as follows:

All materials, labor, equipment and incidentals as required to full construct and bring into successful operation the Catskill Water Supply Drinking Water Fluoridation Project.

#### ARTICLE 3 – ENGINEER

- 3.01 The part of the Project that pertains to the Work was designed by Arcadis of New York, Inc., 44 S. Broadway; 9<sup>th</sup> Floor, White Plains, NY 10602.
- 3.02 The Owner has retained Arcadis of New York, Inc., 44 S. Broadway; 9<sup>th</sup> Floor, White Plains, NY 10602 ("Engineer") to act as Owner's representative, assume all duties and responsibilities of, and have the rights and authority assigned to, Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

#### ARTICLE 4 – CONTRACT TIMES

4.01 *Time of the Essence* 

#### 02328010.0000

- A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.02 *Contract Times Dates* 
  - A. *Substantial Completion and Completed and Ready for Final Payment*: The Work shall be substantially completed on or before June 30, 2020, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before July 31, 2020.
- 4.03 Liquidated Damages
  - A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with the Contract. The parties also recognize the delays, expense, and difficulties involved in proving, in a legal or arbitration proceeding, the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):
    - 1. *Substantial Completion*: Contractor shall pay Owner \$2,000 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified in Paragraph 4.02.A above for Substantial Completion until the Work is substantially complete.
    - 2. *Completion of Remaining Work*: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$2,000 for each day that expires after such time until the Work is completed and ready for final payment.
    - 3. Liquidated damages for failing to timely attain Substantial Completion and final completion are not additive and will not be imposed concurrently.

#### 4.04 Special Damages

A. In addition to the amount provided for liquidated damages, Contractor shall reimburse Owner (1) for any fines or penalties imposed on Owner as a direct result of the Contractor's failure to attain Substantial Completion according to the Contract Times, and (2) for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Substantial Completion (as duly adjusted pursuant to the Contract), until the Work is substantially complete.

- B. After Contractor achieves Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times, Contractor shall reimburse Owner for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Work to be completed and ready for final payment (as duly adjusted pursuant to the Contract), until the Work is completed and ready for final payment.
- C. Where New York State Department of Health determines that Contractor is not in compliance with the requirements of the Contract and Contractor refuses to comply with such requirements, or if Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals, Contractor shall be obligated to pay to the New York State Department of Health liquidated damages. Such liquidated damages shall be calculated as an amount equaling the difference between:
  - 1. All sums identified for payment to MWBEs had the Contractor achieved the contractual MWBE goals; and
  - 2. All sums actually paid to MWBEs for work performed or materials supplied under the Contract.
- 4.05 Owner may deduct liquidated damages and special damages as determined by the provisions of this Article 4 from progress payments due Contractor under the Contract.

### ARTICLE 5 – CONTRACT PRICE

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents the amounts that follow, subject to adjustment under the Contract.
  - A. For all Work, a lump sum of: \$\_\_\_\_\_. All specific cash allowances are included in the above price in accordance with Paragraph 13.02 of the General Conditions.

### ARTICLE 6 – PAYMENT PROCEDURES

- 6.01 Submittal and Processing of Payments
  - A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed as provided in the General Conditions, as may be augmented by the Supplementary Conditions and the Specifications.
- 6.02 *Progress Payments; Retainage*

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment on or about the 15<sup>th</sup> day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract. A progress payment will not be made whenever the value of the Work completed since the last previous progress payment is less than \$5,000.00.
  - 1. Prior to Substantial Completion
    - a. Progress payments will be made in the amount of 95 percent of the Work completed, (with the balance being retainage), less the aggregate of payments previously made and less such amounts as Engineer shall determine, or Owner may withhold, in accordance with Paragraph 15.01 of the General Conditions; and
  - 2. Upon Substantial Completion
    - a. Upon Substantial Completion of all the Work under the Contract, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 150 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the "punch list" of Work items to be completed or corrected prior to final payment.

#### 6.03 Final Payment

A. Upon final completion and acceptance of the Work in accordance with Paragraph 15.06 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 15.06 of the General Conditions, as may be modified by the Supplementary Conditions.

#### <u>ARTICLE 7 – NOT USED</u>

### ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS

- 8.01 As part of the inducement for Owner to enter into this Contract, Contractor makes the following representations:
  - A. Contractor has examined and carefully studied the Contract Documents, and any data and reference items identified in the Contract Documents.

- B. Contractor has visited the Site, conducted a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Contractor is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, especially with respect to Technical Data in such reports and drawings.
- E. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (3) Contractor's safety precautions and programs.
- F. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- J. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

#### ARTICLE 9 – CONTRACT DOCUMENTS

#### 9.01 *Contents*

- A. The Contract Documents consist of the following:
  - 1. This Agreement (pages 1 to \_\_\_\_, inclusive).
  - 2. Performance Bond (pages 1 to \_\_\_\_, inclusive).
  - 3. Payment Bond (pages 1 to \_\_\_\_, inclusive).
  - 4. General Conditions (pages 1 to 72, inclusive).
  - 5. Supplementary Conditions (pages 1 to \_\_\_, inclusive), and the following:
    - a. Wage Determination Schedule, comprised of a cover sheet and \_\_\_\_pages.
  - 6. Specifications, as listed in the table of contents of the Project Manual.
  - 8. Drawings (not attached but incorporated by reference) consisting of 22 sheets, bearing the following general title: Catskill Water Supply Drinking Water Fluoridation Project dated August 2019.
  - 9. Addenda consisting of Numbers\_\_\_\_\_ to \_\_\_\_, inclusive.
  - 10. Exhibits to this Agreement enumerated as follows:
    - a. Exhibit 1, Contractor's MWBE Utilization Plan.
  - 11. The following, which may be delivered or issued on or after the Effective Date of the Contract, and are not attached hereto:
    - a. Notice to Proceed.
    - b. Work Change Directive(s).
    - c. Change Order(s).
    - d. Field Order(s).
- B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above). Documents not attached are incorporated by reference.
- C. There are no Contract Documents other than those listed above in this Article 9.

D. The Contract Documents may only be amended, modified, or supplemented as provided in the General Conditions, as may be modified by the Supplementary Conditions.

#### ARTICLE 10 - MISCELLANEOUS

- 10.01 *Terms* 
  - A. Terms used in this Agreement will have the meanings indicated in the General Conditions and the Supplementary Conditions.
- 10.02 Assignment of Contract
  - A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.
- 10.03 Successors and Assigns
  - A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.
- 10.04 Severability
  - A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- 10.05 Contractor's Certifications
  - A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:
    - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;

- 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial noncompetitive levels, or (c) to deprive Owner of the benefits of free and open competition;
- 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
- 4. "coercive practice" means harming or threatening to harm directly or indirectly persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on	, 20 (which is the Effective
Date of the Contract).	
OWNER:	CONTRACTOR:

By:			By:	
Title:			Title:	
	(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)			
Attest:		_	Attest:	
Title:			Title:	
Address for giving notices:		Address for giving notices:		

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, limited liability company, attach evidence of attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)

(If Contractor is a corporation, partnership, or authority to sign.)

+ + END OF AGREEMENT + +



### **PERFORMANCE BOND**

 CONTRACTOR (name and address):
 SURETY (name and address of principal place of business):

 OWNER (name and address):
 OWNER (name and address):

 CONSTRUCTION CONTRACT
 Effective Date of the Contract:

 Amount:
 Description (name and location):

 BOND
 Bond Number:

 Date (not earlier than the Effective Date of the Contract):
 Amount:

 Modifications to this Bond Form:
 None
 See Paragraph 16

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

#### **CONTRACTOR AS PRINCIPAL**

#### SURETY

(seal)	(seal)
Contractor's Name and Corporate Seal	Surety's Name and Corporate Seal
By: Signature	By: Signature (attach power of attorney)
Print Name	Print Name
Title	Title
Attest:	Attest:
Signature	Signature
Title	Title

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

EJCDC® C-610, Performance Bond Copyright © 2013 National Society of Professional Engineers, American Council of Engineering Companies, and American Society of Civil Engineers. All rights reserved. Page 00 61 13.13-1 of 3 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.

2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.

3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond shall arise after:

The Owner first provides notice to the Contractor and 3.1 the Surety that the Owner is considering declaring a Contractor Default. Such notice shall indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 shall be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement shall not waive the Owner's right, if any, subsequently to declare a Contractor Default;

3.2 The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and

3.3 The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.

4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 shall not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.

5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:

5.1 Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;

5.2 Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;

5.3 Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence,

to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or

5.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or

5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.

6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment or the Surety has denied liability, in whole or in part, without further notice the Owner shall be entitled to enforce any remedy available to the Owner shall be entitled to the Owner shall be entitled to enforce any remedy available to the Owner shall be entitled to enforce any remedy available to the Owner.

7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner shall not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety shall not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:

7.1 the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;

7.2 additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and

7.3 liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.

8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.

9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.

10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

11. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and shall be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears.

13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

#### 14. Definitions

14.1 Balance of the Contract Price: The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.

14.2 Construction Contract: The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.

14.3 Contractor Default: Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.

14.4 Owner Default: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.

14.5 Contract Documents: All the documents that comprise the agreement between the Owner and Contractor.

15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

16. Modifications to this Bond are as follows:

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# **PAYMENT BOND**

CONTRACTOR (name and address):

SURETY (name and address of principal place of business):

OWNER (name and address):

CONSTRUCTION CONTRACT Effective Date of the Contract: Amount: Description (name and location):	
BOND	
Bond Number:	
Date (not earlier than the Effective Date of the Contract): Amount:	
Modifications to this Bond Form: None See Paragraph 18	

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

SURETY
(seal)
Surety's Name and Corporate Seal
Ву:
Signature (attach power of attorney)
Print Name
Title
Attest:
Signature
ītle

Notes: (1) Provide supplemental execution by any additional parties, such as joint venturers. (2) Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

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- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
- 2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond shall arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
- When the Owner has satisfied the conditions in Paragraph
   the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
- 5. The Surety's obligations to a Claimant under this Bond shall arise after the following:
  - 5.1 Claimants who do not have a direct contract with the Contractor,
    - 5.1.1 have furnished a written notice of nonpayment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
    - 5.1.2 have sent a Claim to the Surety (at the address described in Paragraph 13).
  - 5.2 Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).

- 6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
- 7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
  - 7.1 Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
  - 7.2 Pay or arrange for payment of any undisputed amounts.
  - 7.3 The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 shall not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.
- 8. The Surety's total obligation shall not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond shall be credited for any payments made in good faith by the Surety.
- 9. Amounts owed by the Owner to the Contractor under the Construction Contract shall be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfy obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- 10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
- 11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.

- 12. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.
- 13. Notice and Claims to the Surety, the Owner, or the Contractor shall be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, shall be sufficient compliance as of the date received.
- 14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.
- 15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

#### 16. Definitions

- 16.1 **Claim:** A written statement by the Claimant including at a minimum:
  - 1. The name of the Claimant;
  - The name of the person for whom the labor was done, or materials or equipment furnished;
  - 3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
  - 4. A brief description of the labor, materials, or equipment furnished;
  - 5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
  - The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
  - 7. The total amount of previous payments received by the Claimant; and

- 8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.
- 16.2 Claimant: An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond shall be to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.
- 16.3 **Construction Contract:** The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.
- 16.4 **Owner Default**: Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 16.5 **Contract Documents:** All the documents that comprise the agreement between the Owner and Contractor.
- 17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.
- 18. Modifications to this Bond are as follows:

+ + NO TEXT THIS PAGE + +
This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



Issued and Published Jointly by



AMERICAN COUNCIL OF ENGINEERING COMPANIES







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#### **ARTICLE 1 – DEFINITIONS AND TERMINOLOGY**

#### 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
  - 1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  - 2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
  - 3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  - 5. Bidder—An individual or entity that submits a Bid to Owner.
  - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  - 7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  - 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  - 9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
  - 10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer is decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer's decision regarding a Change Proposal; or seeking resol

has declined to address. A demand for money or services by a third party is not a Claim.

- 11. Constituent of Concern—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. ("CERCLA"); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5101 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. ("RCRA"); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
- 12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
- 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. *Cost of the Work*—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. Engineer—The individual or entity named as such in the Agreement.
- 21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.
- 23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.

- 24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
- 26. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
- 27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
- 30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
- 31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
- 32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or "RPR" includes any assistants or field staff of Resident Project Representative.
- 33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals and the performance of related construction activities.
- 35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.

- 37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
- 38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
- 39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion thereof.
- 41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
- 42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
- 44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
- 45. Underground Facilities—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
- 46. Unit Price Work—Work to be paid for on the basis of unit prices.
- 47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.

48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

#### 1.02 *Terminology*

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. Intent of Certain Terms or Adjectives:
  - 1. The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day:
  - 1. The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. Defective:
  - 1. The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
    - a. does not conform to the Contract Documents; or
    - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
    - c. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. Furnish, Install, Perform, Provide:
  - 1. The word "furnish," when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
  - 2. The word "install," when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.

- 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a wellknown technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

#### ARTICLE 2 – PRELIMINARY MATTERS

- 2.01 Delivery of Bonds and Evidence of Insurance
  - A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
  - B. *Evidence of Contractor's Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
  - C. *Evidence of Owner's Insurance*: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.
- 2.02 *Copies of Documents* 
  - A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
  - B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.
- 2.03 Before Starting Construction
  - A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:
    - 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
    - 2. a preliminary Schedule of Submittals; and

3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

#### 2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

#### 2.05 Initial Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
  - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  - 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

#### 2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.
- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or

computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

#### ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

#### 3.01 Intent

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- 3.02 *Reference Standards* 
  - A. Standards Specifications, Codes, Laws and Regulations
    - Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
    - 2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

#### 3.03 *Reporting and Resolving Discrepancies*

- A. *Reporting Discrepancies*:
  - 1. *Contractor's Verification of Figures and Field Measurements*: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict,

error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.

- 2. *Contractor's Review of Contract Documents*: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
- 3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.
- B. *Resolving Discrepancies*:
  - 1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
    - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
    - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

#### 3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

#### 3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:
  - have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

#### ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

- 4.01 *Commencement of Contract Times; Notice to Proceed* 
  - A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.
- 4.02 *Starting the Work* 
  - A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.
- 4.03 *Reference Points* 
  - A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

#### 4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.

- 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

#### 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. abnormal weather conditions;
  - acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
  - 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.
- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.

G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

### ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

- 5.01 *Availability of Lands* 
  - A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
  - B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
  - C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.
- 5.02 Use of Site and Other Areas
  - A. Limitation on Use of Site and Other Areas:
    - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
    - If a damage or injury claim is made by the owner or occupant of any such land or area 2. because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part

by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work*: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning*: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. Loading of Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

#### 5.03 Subsurface and Physical Conditions

- A. *Reports and Drawings*: The Supplementary Conditions identify:
  - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
  - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
  - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

#### 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
  - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
  - 2. is of such a nature as to require a change in the Drawings or Specifications; or
  - 3. differs materially from that shown or indicated in the Contract Documents; or
  - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review*: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Possible Price and Times Adjustments:
  - 1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
    - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,

- c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
  - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

#### 5.05 *Underground Facilities*

- A. *Contractor's Responsibilities*: The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
  - 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
  - 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
    - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
    - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;
    - c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
    - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor*: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after

becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.

- C. *Engineer's Review*: Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Possible Price and Times Adjustments:
  - Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
    - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
    - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
    - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
    - d. Contractor gave the notice required in Paragraph 5.05.B.
  - 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
  - 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

- 5.06 Hazardous Environmental Conditions at Site
  - A. *Reports and Drawings*: The Supplementary Conditions identify:
    - 1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
    - 2. Technical Data contained in such reports and drawings.
  - B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
    - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
    - 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
    - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
  - C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
  - D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
  - If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose Ε. removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a gualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

#### **ARTICLE 6 – BONDS AND INSURANCE**

#### 6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.
- 6.02 Insurance—General Provisions
  - A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
  - B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
  - C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is

maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.

- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.
- 6.03 *Contractor's Insurance* 
  - A. *Workers' Compensation*: Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
    - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
    - 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
    - 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).

- 4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered*: Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
  - 1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
  - 2. claims for damages insured by reasonably available personal injury liability coverage.
  - 3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content*: Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:
  - 1. Products and completed operations coverage:
    - a. Such insurance shall be maintained for three years after final payment.
    - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
  - 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
  - 3. Broad form property damage coverage.
  - 4. Severability of interest.
  - 5. Underground, explosion, and collapse coverage.
  - 6. Personal injury coverage.
  - 7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.
  - 8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.
- D. *Automobile liability*: Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability*: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor's pollution liability insurance*: Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result

of pollution conditions arising from Contractor's operations and completed operations. This insurance shall be maintained for no less than three years after final completion.

- G. Additional insureds: The Contractor's commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor's professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
  - 1. include at least the specific coverages provided in this Article.
  - 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
  - 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.
  - 4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
  - 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

#### 6.04 Owner's Liability Insurance

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

#### 6.05 *Property Insurance*

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
  - include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
  - 2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.
  - 3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
  - 4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).

- 5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
- 6. extend to cover damage or loss to insured property while in transit.
- 7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- 8. allow for the waiver of the insurer's subrogation rights, as set forth below.
- 9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
- 10. not include a co-insurance clause.
- 11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
- 12. include performance/hot testing and start-up.
- 13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.
- B. *Notice of Cancellation or Change*: All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles*: The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
- E. *Additional Insurance*: If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

#### 6.06 Waiver of Rights

- All policies purchased in accordance with Paragraph 6.05, expressly including the builder's Α. risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
  - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
  - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.
- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.
- 6.07 *Receipt and Application of Property Insurance Proceeds* 
  - A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the

policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.

- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

#### ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

- 7.01 Supervision and Superintendence
  - A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
  - B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.
- 7.02 *Labor; Working Hours* 
  - A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
  - B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.
- 7.03 Services, Materials, and Equipment
  - A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
  - B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and

guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

#### 7.04 "Or Equals"

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that:
      - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
      - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
      - 3) it has a proven record of performance and availability of responsive service; and
      - 4) it is not objectionable to Owner.
    - b. Contractor certifies that, if approved and incorporated into the Work:
      - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
      - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.

- D. *Effect of Engineer's Determination*: Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request*: If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer considered the proposed item as a substitute pursuant to Paragraph 7.05.

#### 7.05 Substitutes

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
  - 1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
  - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
  - 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
    - a. shall certify that the proposed substitute item will:
      - 1) perform adequately the functions and achieve the results called for by the general design,
      - 2) be similar in substance to that specified, and
      - 3) be suited to the same use as that specified.
    - b. will state:
      - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
      - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
      - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
    - c. will identify:
      - 1) all variations of the proposed substitute item from that specified, and

- 2) available engineering, sales, maintenance, repair, and replacement services.
- d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost*: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. *Effect of Engineer's Determination*: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

#### 7.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.
- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.
- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.

- O. Nothing in the Contract Documents:
  - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
  - 2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

## 7.07 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

# 7.08 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

- 7.09 *Taxes* 
  - A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.
- 7.10 *Laws and Regulations* 
  - A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
  - B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
  - C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.
- 7.11 *Record Documents* 
  - A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.
- 7.12 Safety and Protection
  - A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
    - 1. all persons on the Site or who may be affected by the Work;

- 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
- 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).
- G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.
- 7.13 Safety Representative
  - A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.
- 7.14 Hazard Communication Programs
  - A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or

exchanged between or among employers at the Site in accordance with Laws or Regulations.

- 7.15 *Emergencies* 
  - A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.
- 7.16 Shop Drawings, Samples, and Other Submittals
  - A. Shop Drawing and Sample Submittal Requirements:
    - 1. Before submitting a Shop Drawing or Sample, Contractor shall have:
      - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
      - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
      - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
      - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
    - 2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
    - 3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.
  - B. *Submittal Procedures for Shop Drawings and Samples*: Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.
    - 1. Shop Drawings:
      - a. Contractor shall submit the number of copies required in the Specifications.
      - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to

provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.

- 2. Samples:
  - a. Contractor shall submit the number of Samples required in the Specifications.
  - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.
- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals*: Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. Engineer's Review:
  - 1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
  - 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
  - 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
  - 4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
  - 5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
  - 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
  - 7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.

- 8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.
- E. *Resubmittal Procedures*:
  - 1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
  - 2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
  - 3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.
- 7.17 Contractor's General Warranty and Guarantee
  - A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.
  - B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
    - 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
    - 2. normal wear and tear under normal usage.
  - C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
    - 1. observations by Engineer;
    - 2. recommendation by Engineer or payment by Owner of any progress or final payment;
    - 3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
    - 4. use or occupancy of the Work or any part thereof by Owner;
    - 5. any review and approval of a Shop Drawing or Sample submittal;
    - 6. the issuance of a notice of acceptability by Engineer;
    - 7. any inspection, test, or approval by others; or
    - 8. any correction of defective Work by Owner.

D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

# 7.18 Indemnification

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
  - 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
  - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

# 7.19 Delegation of Professional Design Services

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop

Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

# ARTICLE 8 – OTHER WORK AT THE SITE

- 8.01 Other Work
  - A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
  - B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
  - C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
  - D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

## 8.02 Coordination

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
  - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

#### 8.03 *Legal Relationships*

- If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's A. employees, any other contractor working for Owner, or any utility owner for whom the Owner is responsible causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.

D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

# **ARTICLE 9 – OWNER'S RESPONSIBILITIES**

- 9.01 *Communications to Contractor* 
  - A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.
- 9.02 Replacement of Engineer
  - A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.
- 9.03 Furnish Data
  - A. Owner shall promptly furnish the data required of Owner under the Contract Documents.
- 9.04 Pay When Due
  - A. Owner shall make payments to Contractor when they are due as provided in the Agreement.
- 9.05 Lands and Easements; Reports, Tests, and Drawings
  - A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
  - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
  - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.
- 9.06 Insurance
  - A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.
- 9.07 Change Orders
  - A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

- 9.08 Inspections, Tests, and Approvals
  - A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.
- 9.09 *Limitations on Owner's Responsibilities* 
  - A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- 9.10 Undisclosed Hazardous Environmental Condition
  - A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.
- 9.11 *Evidence of Financial Arrangements* 
  - A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).
- 9.12 Safety Programs
  - A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
  - B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

# ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

- 10.01 Owner's Representative
  - A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.
- 10.02 Visits to Site
  - A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
  - B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during

or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

## 10.03 *Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.
- 10.04 *Rejecting Defective Work* 
  - A. Engineer has the authority to reject Work in accordance with Article 14.
- 10.05 Shop Drawings, Change Orders and Payments
  - A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
  - B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
  - C. Engineer's authority as to Change Orders is set forth in Article 11.
  - D. Engineer's authority as to Applications for Payment is set forth in Article 15.
- 10.06 *Determinations for Unit Price Work* 
  - A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.
- 10.07 Decisions on Requirements of Contract Documents and Acceptability of Work
  - A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

# 10.08 *Limitations on Engineer's Authority and Responsibilities*

A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.

- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.
- 10.09 Compliance with Safety Program
  - A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

# ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

- 11.01 Amending and Supplementing Contract Documents
  - A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
    - 1. Change Orders:
      - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
      - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
    - 2. *Work Change Directives*: A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an

adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.

3. *Field Orders*: Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

# 11.02 *Owner-Authorized Changes in the Work*

A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

# 11.03 Unauthorized Changes in the Work

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.
- 11.04 Change of Contract Price
  - A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
  - B. An adjustment in the Contract Price will be determined as follows:
    - 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
    - 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
    - 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on

the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).

- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
  - 1. a mutually acceptable fixed fee; or
  - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
    - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
    - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.04.C.2.a and 11.04.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;
    - d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
    - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
    - f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

# 11.05 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

# 11.06 Change Proposals

A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under

the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.

- 1. *Procedures*: Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal.
- 2. *Engineer's Action*: Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
- 3. *Binding Decision*: Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals*: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

# 11.07 Execution of Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
  - 1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  - 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  - 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
  - 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.

- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.
- 11.08 Notification to Surety
  - A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

## ARTICLE 12 – CLAIMS

- 12.01 Claims
  - A. *Claims Process*: The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
    - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
    - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
    - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
  - B. *Submittal of Claim*: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
  - C. *Review and Resolution*: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
  - D. Mediation:
    - 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
    - 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim

submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.

- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim*: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. *Final and Binding Results*: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

# ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

- 13.01 *Cost of the Work* 
  - A. *Purposes for Determination of Cost of the Work*: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
    - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
    - 2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
  - B. *Costs Included*: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
    - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work. Payroll costs of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable

thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.

- 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
- 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
- 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
- 5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
  - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
  - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes

other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. *Costs Excluded*: The term Cost of the Work shall not include any of the following items:
  - 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.
- D. *Contractor's Fee*: When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.
- E. *Documentation*: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

# 13.02 Allowances

A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.

- B. Cash Allowances: Contractor agrees that:
  - 1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
  - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

## 13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

# ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

- 14.01 Access to Work
  - A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.
- 14.02 Tests, Inspections, and Approvals
  - A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
  - B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
  - C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
  - D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
    - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
    - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
    - 3. by manufacturers of equipment furnished under the Contract Documents;
    - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
    - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to

cover the same and Engineer had not acted with reasonable promptness in response to such notice.

## 14.03 Defective Work

- A. *Contractor's Obligation*: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement*: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages*: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

#### 14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

# 14.05 Uncovering Work

A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.

- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
  - If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
  - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.
- 14.06 Owner May Stop the Work
  - A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.
- 14.07 *Owner May Correct Defective Work* 
  - A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
  - B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
  - C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as setoffs against payments due under Article 15. Such claims, costs, losses and damages will

include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.

D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

# ARTICLE 15 - PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

- 15.01 *Progress Payments* 
  - A. *Basis for Progress Payments*: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
  - B. Applications for Payments:
    - 1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
    - 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
    - 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
  - C. *Review of Applications*:
    - 1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
    - 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:

- a. the Work has progressed to the point indicated;
- b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
- c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work, or
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or

- e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.
- D. Payment Becomes Due:
  - 1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.
- E. Reductions in Payment by Owner.
  - 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
    - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
    - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
    - c. Contractor has failed to provide and maintain required bonds or insurance;
    - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
    - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
    - f. the Work is defective, requiring correction or replacement;
    - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
    - h. the Contract Price has been reduced by Change Orders;
    - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
    - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
    - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
    - I. there are other items entitling Owner to a set off against the amount recommended.
  - 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount

remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.

3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

## 15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

# 15.03 Substantial Completion

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.

- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

# 15.04 Partial Use or Occupancy

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
  - 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

# 15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

#### 15.06 Final Payment

- A. Application for Payment:
  - 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of

inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.

- 2. The final Application for Payment shall be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
  - d. a list of all disputes that Contractor believes are unsettled; and
  - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- 3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Application and Acceptance:
  - If, on the basis of Engineer's observation of the Work during construction and final 1. inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. *Completion of Work*: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.
- D. *Payment Becomes Due*: Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer (less any further sum Owner is entitled to set off against Engineer's recommendation,

including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

- 15.07 Waiver of Claims
  - A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
  - B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

## 15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. correct the defective repairs to the Site or such other adjacent areas;
  - 2. correct such defective Work;
  - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

# ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

- 16.01 Owner May Suspend Work
  - A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.
- 16.02 Owner May Terminate for Cause
  - A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
    - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
    - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
    - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
    - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
  - B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
    - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and
    - 2. enforce the rights available to Owner under any applicable performance bond.
  - C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
  - D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
  - E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses,

and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.
- 16.03 Owner May Terminate For Convenience
  - A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
    - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
    - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
    - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
  - B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

# 16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for

expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

## ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

#### 17.01 *Methods and Procedures*

- A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this Article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
  - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes*: For any dispute subject to resolution under this Article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
  - 2. agree with the other party to submit the dispute to another dispute resolution process; or
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

#### **ARTICLE 18 – MISCELLANEOUS**

- 18.01 *Giving Notice* 
  - A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
    - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
    - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

#### 18.02 *Computation of Times*

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.
- 18.03 Cumulative Remedies
  - A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

#### 18.04 Limitation of Damages

A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

#### 18.05 No Waiver

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.
- 18.06 Survival of Obligations
  - A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

#### 18.07 Controlling Law

- A. This Contract is to be governed by the law of the state in which the Project is located.
- 18.08 Headings
  - A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.
### <u>Contract 19-2</u> <u>Catskill Water Supply Drinking Water Fluoridation Project</u> <u>SUPPLEMENTARY CONDITIONS</u>

### <u>SCOPE</u>

These Supplementary Conditions amend or supplement EJCDC<sup>®</sup> C-700, Standard General Conditions of the Construction Contract (2013 edition) (hereinafter, "General Conditions"). All provisions of the General Conditions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions which are defined in the General Conditions have the meanings assigned to them in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to the singular and plural thereof.

The address system used in these Supplementary Conditions conforms to the address system used in the General Conditions, with the prefix "SC" added thereto.

SC-1.01.A.11 Add the following new sentence to Paragraph 1.01.A.11 of the General Conditions:

Lead-based paint shall be as defined in HUD's publication, "Guidelines for the Evaluation and Control of Lead-Based Paint in Housing" (e.g., paint containing a lead concentration in excess of 1.0 mg/sq. cm or 0.5 percent lead by weight), or as otherwise prescribed in Laws or Regulations.

SC-1.01.A.16 Add the following to Paragraph 1.01.A.16 of the General Conditions:

Whenever the Project is to be constructed under multiple direct Contracts, the term "Contractor" shall mean the appropriate prime Contractor. Whenever a specific prime Contractor is referred to, terms such as "General Contractor", "Electrical Contractor", or other appropriate Contract-indicating term will be used. The terms "Contractor" and "CONTRACTOR" have the same meaning.

SC-1.01.A.20 Add the following to Paragraph 1.01.A.20 of the General Conditions:

The terms "Engineer" and "ENGINEER" have the same meaning.

SC-1.01.A.28 Add a new sentence to Paragraph 1.01.A.28 that is to read as follows:

The terms "Owner" and "OWNER" have the same meaning.

SC-1.01.A.39 Add the following to Paragraph 1.01.A.39 of the General Conditions:

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Trucking, shipping, and delivery firms, consultants, and entities performing testing or inspection retained by Contractor or any Subcontractor are considered to be Subcontractors.

SC-1.01.A.43 Add the following to Paragraph 1.01.A.43 of the General Conditions:

Entities that rent construction equipment or machinery, but are not incorporated into the Work, are considered to be Suppliers. If such rental entity furnishes both equipment and one or more personnel to operate and maintain the equipment, such entity is a Subcontractor.

- SC-1.01.A.49 Add the following new definition immediately after Paragraph 1.01.A.48 of the General Conditions:
  - 49. *Consulting Engineer*—The firm of Arcadis of New York, Inc. and subsidiaries, affiliates, and its duly authorized agents, such agents acting within the scope of the particular duties entrusted to them.
- SC-2.01 Delete in their entirety Paragraphs 2.01.B and C of the General Conditions and insert the following in their place:
  - B. *Evidence of Contractor's Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner copies of the policies of insurance (including all endorsements, and identification of applicable self-insured retentions and deductibles) required to be provided by Contractor in Article 6 of the General Conditions and associated Supplementary Conditions. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
  - C. *Evidence of Owner's Insurance:* After receipt from Contractor of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor copies of the policies of insurance to be furnished by Owner (if any) under Article 6 of the General Conditions, as may be modified by the Supplementary Conditions. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- SC-2.02 Delete in its entirety Paragraph 2.02.A of the General Conditions and replace with the following:

- A. Owner shall furnish to Contractor two copies of conformed Contract Documents incorporating and integrating all Addenda and any amendments negotiated prior to the Effective Date of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies of the conformed Contract Documents will be furnished upon request at the cost of reproduction.
- SC-4.05 Add the following new paragraphs after Paragraph 4.05.G of the General Conditions:
  - H. Change Proposals for an increase in the Contract Times shall set forth in detail the following: (i) circumstances that form the basis for the requested change in Contract Times, (ii) the date upon which each cause of delay began to affect the progress of the Work, (iii) the date upon which each cause of delay ceased to affect the progress of the Work, and (iv) the number of days' increase in the Contract Times claimed as a consequence of each such cause of delay. Contractor shall furnish such supporting documentation as Engineer may require including, where appropriate, a revised Progress Schedule indicating all the activities affected by the circumstances forming the basis of the Change Proposal.
  - I. Contractor shall not be entitled to a separate increase in the Contract Times for each one of the number of causes of delay which may have concurrent effects, or for the interrelated effects on the progress of the Work, or for concurrent delays within Contractor's control.
- SC-5.03 Delete in their entirety Paragraphs 5.03.A and 5.03.B of the General Conditions and replace with the following:
  - A. No reports of explorations or tests of subsurface conditions at or adjacent to the Site, or drawings of physical conditions relating to existing surface or subsurface structures at the Site, are known to Owner.
- SC-5.06 Add the following paragraphs after Paragraph 5.06.A.2 of the General Conditions:
  - 3. The following reports regarding Hazardous Environmental Conditions at the Site are known to Owner:
    - a. Report dated November 28, 2018, prepared by Arcadis of New York, Inc.. Technical Data contained in such report upon whose accuracy Contractor may rely are those indicated in the definition of Technical Data in the General Conditions.
  - 4. Contractor may examine copies of reports and drawings identified in SC 5.06.A.3 at Town Hall during regular business hours upon 24 hours' notice to the Town Clerk, or may request copies from Engineer at the cost of reproduction and postage; contact Engineer to

discuss preferred delivery method and to determine costs.

- SC-6.01.B Modify Paragraph 6.01.B of the General Conditions by adding, after the words "the form prescribed by the Contract", the words, "on the specimen bond forms bound in the Project Manual".
- SC 6.03 Add the following new paragraph immediately after Paragraph 6.03.J of the General Conditions:

K. The limits of liability for insurance required by Paragraph 6.03 of the General Conditions shall provide coverage for not less than the following amounts, or greater where required by Laws and Regulations:

1. Workers' Compensation, and related coverages under Paragraphs 6.03.A.1 and A.2 of the General Conditions:

State:	Statutory
Federal, if applicable (e.g., Longshoreman's):	Statutory
Employer's Liability \$	500,000

2. Contractor's Commercial General Liability under Paragraphs 6.03.B and 6.03.C of the General Conditions:

	General Aggregate	\$ 2,000,000
	Products – Completed Operations Aggregate	\$
	Personal and Advertising Injury	\$_1,000,000
	Each Occurrence (Bodily Injury and Property Damage)	\$ _1,000,000
3.	Automobile Liability under Paragraph Conditions:	6.03.D of the General
	Combined Single Limit of	\$ 1,000,000
4.	Excess or Umbrella Liability:	
	Per Occurrence	\$ 5,000,000
	General Aggregate	\$ 5,000,000

SC 6.03.A Add to Paragraph 6.03.A the following new paragraph:

SC.6.03.A.5. with respect to insurance required, in accordance with New York State General Municipal Law, Section 108, if the Work under

this Contract is of such character that the employees engaged thereon are required to be insured under the provisions of the workers' compensation law, the Contract shall be void and of no effect unless the person or entity making or performing the Contract shall secure compensation for the benefit of, and keep insured during the life of the Contract, such employees, in compliance with the provisions of the workers' compensation law.

SC 7.01.B Amend Paragraph 7.01.B of the General Conditions by adding the following sentence:

Unless the Owner otherwise agrees in writing, the superintendent will be Contractor's representative at the Site and shall have authority to act on behalf of Contractor. All communications given to or received from the superintendent shall be binding on Contractor.

SC 7.06.P Add the following new paragraphs immediately after Paragraph 7.06.O of the General Conditions:

P. Contractor shall comply with the stipulated Minority and Women-Owned Business Enterprises ("MWBE") participation as a requirement of this Contract.

- SC-7.09 Add the following new paragraphs immediately after Paragraph 7.09.A of the General Conditions:
  - B Owner is exempt from payment of sales and compensating use taxes of the State of New York and of cities and counties thereof on all materials to be incorporated into the Work.
    - 1. Owner will furnish the required certificates of tax exemption to Contractor for use in the purchase of supplies and materials to be incorporated into the Work.
    - 2. Owner's exemption does not apply to construction tools, machinery, equipment, or other property purchased by or leased by Contractor, or to supplies or materials not incorporated into the Work.
- SC-7.10 Add the following new paragraph immediately after Paragraph 7.10.C of the General Conditions:
  - D. Refer to Article SC-19 for Laws and Regulations that, by terms of said Laws and Regulations, are to be included in the Contract Documents. The failure to include in Article SC-19 any Law or Regulation applicable to the performance of the Work does not diminish Contractor's responsibility to comply with all Laws and Regulations applicable to the performance of the Work.

- SC-7.14 Add the following new paragraph immediately after Paragraph 7.14.A of the General Conditions:
  - B Multiple Prime Contracts: General Contractor shall be responsible for coordinating exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with all Laws and Regulations. General Contractor shall provide a centralized location for the maintenance of the material safety data sheets or other hazard communication information required to be made available by any employer on the Site. Location of the material safety data sheets or other hazard communication information shall be readily accessible to the employees of employers on the Site. Each other Contractor or employer shall furnish to the General Contractor material safety data sheets and other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with all Laws and Regulations.
- SC-7.18 Delete in its entirety Paragraph 7.18 of the General Conditions and replace with the following:

### SC-7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage:
  - 1. is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of real or personal property (other than the Work itself), including the loss of use resulting therefrom; and
  - 2. is caused by any act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable, regardless of whether or not caused in part by an individual or entity indemnified hereunder or whether liability is imposed upon such indemnified party by Laws or Regulations.

- B. In any and all claims against Owner or Engineer or any of their, officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph SC-7.18.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph SC-7.18.A shall not be limited in any way by the amount or types of insurance provided by Contractor under Article 6 of the General Conditions and the Supplementary Conditions.
- D. The indemnification obligations of Contractor under Paragraph SC-7.18.A shall not extend to the sole negligence or willful misconduct of Owner or Engineer or of the officers, directors, members, partners, employees, agents, and consultants and subcontractors of each and any of them.
- SC-10.03 Add new paragraphs immediately after Paragraph 10.03.A of the General Conditions that are to read as follows:
  - B. The Resident Project Representative (RPR) will be Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions.
    - 1. General: RPR's dealings in matters pertaining to the Work in general shall be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner only with the knowledge of and under the direction of Engineer.
    - 2. Schedules: Review the Progress Schedule, Schedule of Submittals, and Schedule of Values prepared by Contractor and consult with Engineer concerning their acceptability.
    - 3. Conferences and Meetings: Attend meetings with Contractor, such as pre-construction conferences, progress meetings, pre-installation meetings, and other Project-related meetings, and prepare and circulate copies of minutes thereof (unless other entity is required to do so under the Contract Documents).
    - 4. Liaison:

- a. Serve as Engineer's liaison with Contractor. Working principally through Contractor's authorized representative or designee, assist in providing information regarding the provisions and intent of the Contract Documents.
- b. Assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's onsite operations.
- c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.
- 5. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
- 6. Shop Drawings, Samples, and Other Contractor Submittals:
  - a. Record date of receipt of Samples and Contractor-approved Shop Drawings and other submittals.
  - b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
  - c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing, Sample, or other submittal for which RPR believes that the submittal has not been approved or accepted (as applicable) by Engineer.
- 7. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR's recommendations, if any, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.
- 8. Review of Work and Rejection of Defective Work:
  - a. Conduct onsite observations of Contractor's work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
  - b. Report to Engineer whenever RPR believes that any part of Contractor's work in progress is defective, will not produce a completed Project that conforms generally to the Contract Documents, or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
- 9. Inspections, Tests, and System Start-ups:
  - a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are performed in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.

- b. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.
- 10. Records:
  - a. Prepare a daily report or keep a diary or log book, recording Contractor's hours on the Site, Subcontractors present at the Site, weather conditions, data relative to questions of Change Orders, Field Orders, Work Change Directives, or changed conditions, Site visitors, deliveries of equipment or materials, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
  - b. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all prime Contractors, Subcontractors, and major Suppliers of materials and equipment.
  - c. Maintain records for use in preparing Project documentation.
- 11. Reports:
  - a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the Progress Schedule and Schedule of Submittals.
  - b. Draft and recommend to the Engineer proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.
  - c. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, force majeure or delay events, damage to property by fire or other causes, or the discovery of any Constituent of Concern or Hazardous Environmental Condition.
- 12. Payment Requests: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the Schedule of Values, Work completed, and materials and equipment delivered at the Site (or otherwise suitably stored) but not incorporated in the Work.
- 13. Certificates, Operation and Maintenance Manuals: During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.
- 14. Completion:

- a. Participate in Engineer's visits to the Site to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of a "punch list" of items to be completed or corrected.
- b. Participate in Engineer's final visit to the Site to determine completion of the Work, in the company of Owner and Contractor, and prepare a final "punch list" of items to be completed and deficiencies to be remedied (if any).
- c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the notice of acceptability of the Work.
- C. The RPR shall not:
  - 1. Authorize any deviation from the Contract Documents, or use of "or-equal" or substitute materials, equipment, or procedures.
  - 2. Exceed limitations of Engineer's authority as set forth in the Contract Documents.
  - 3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.
  - 4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences, or procedures of Contractor's work.
  - 5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
  - 6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.
  - 7. Accept Shop Drawings, Samples, or other submittals from anyone other than Contractor.
  - 8. Authorize Owner to occupy the Project in whole or in part.

### SC-13.01.B.5.c Delete in its entirety Paragraph 13.01.B.5.c of the General Conditions and replace with the following:

- c. Construction Equipment and Machinery:
  - 1) Rentals of all construction equipment and machinery, and the parts thereof, and the costs of transporting, loading, unloading, assembly, dismantling, and removal thereof, are compensable as Cost of the Work, regardless of whether such item is owned by Contractor, Subcontractor, or others.
  - 2) Rental of construction equipment and machinery shall cease when the use thereof is no longer necessary for the Work. Periods of inactivity for such construction equipment or machinery will not be compensable unless

agreed upon in writing by Owner, unless the costs of disassembly, removal, transportation, reassembly, and remobilization, as submitted to and accepted by Owner (with advice of Engineer) would exceed the cost of continuing to rent the item(s) during the period(s) of inactivity. Contractor is responsible for obtaining Owner's written approval for compensation for construction equipment and machinery for periods of inactivity. Owner is not responsible for retroactively approving such inactivity. "Period of inactivity" for such items includes periods when the construction equipment or machinery is not used or necessary for the logical and efficient progression of the Work, or when other, available equipment or machinery is suitable for performing the given task.

- All costs of construction equipment and machinery shall 3) be in accordance with the terms of applicable rental agreements submitted by Contractor and approved in writing by Owner (with advice of Engineer) prior to Contractor's mobilization of such construction equipment or machinery to the Site. Should applicable rental agreement(s) not be submitted by Contractor in a timely manner, or should Owner not issue Owner's written approval of such agreement(s), then reimbursable costs for renting such construction equipment and machinery shall be in accordance with Paragraph SC-13.01.B.5.c.4) and other applicable provisions of the Contract Documents. Costs for all construction equipment and machinery owned by Contractor or Subcontractor and used in performing the Work shall be as set forth in Paragraph 13.01.B.5.c.4) and other applicable provisions of the Contract Documents.
- Costs for construction equipment and machinery not 4) covered by Owner's written approval of an associated rental agreement in accordance with Paragraph SC-13.01.B.5.c.3), will be eligible for compensation at the rate indicated for such item in the Rental Rate Blue Book, by Straight-time hourly rate will be EquipmentWatch. computed by dividing the associated monthly rate by 176. Such rates will include all operating costs, including fuel. Compensable time includes the time the construction equipment or machinery is in use on the changed Work and the costs of transporting, loading, unloading, assembly, dismantling, and removal (in accordance with Paragraphs SC-13.01.B.5.c.1) and 2)) when directly attributable to the changed Work.
- 5) Overtime Use of Construction Equipment and Machinery: Unless Owner agrees otherwise, via applicable rental

agreement(s) submitted to and approved by Owner in writing, where construction equipment or machinery compensated on the basis of Cost of the Work is used in excess of 40 hours per week, such compensation shall be as follows:

- a) For up to and including 40 hours per week, compensation will be at the straight-time rate for the applicable item.
- b) For greater than 40 hours and up to and including 80 hours per week, compensation per hour will be at 60 percent of the hourly rate allowed for straight-time.
- c) For greater than 80 hours per week, compensation per hour will be at 40 percent of the hourly rate for straight-time.
- d) *Example*: For a straight-time rental rate of \$100 per hour, and 92 hours of equipment use in one week, the eligible cost would be:

Straight-time:	40 hours x	\$100/hr	=\$4,000
41-80 hours:	40 hours x	\$100/hr x 0.6	=\$2,400
81-92 hours:	12 hours x	\$100/hr x 0.4	= \$480
Total:			\$6,880

- 6) Construction equipment and machinery reimbursement requirements apply only to serviceable construction equipment and machinery capable of efficiently performing its intended function at the Site. Construction equipment and machinery not in compliance with this Paragraph SC-13.01.B.5.c.6) is not eligible for compensation.
- 7) Compensation paid Contractor for a given item of Contractor-owned construction equipment or machinery will be capped at, and shall not exceed, the comparable purchase price of such item of equal or comparable capacity and capability.
- SC-15.01.E.1.j Delete in its entirety Paragraph 15.01.E.1.j of the General Conditions and replace with the following:
  - j. liquidated damages, special damages, or both, have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work, or performance damages (if any) have been incurred in accordance with the Contract Documents :
- SC 15.03.B Add the following new subparagraph to Paragraph 15.03.B of the General Conditions:
  - 1. If some or all the inspected Work has been determined not to be at a

point of Substantial Completion and will require re-inspection or retesting by Engineer, the cost of such re-inspection, re-testing, or both, including the cost of time, travel, and other expenses, shall be paid by Contractor to Owner. If Contractor does not pay, or the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15 of the General Conditions.

### ARTICLE SC-19 – STATUTORY REQUIREMENTS

SC-19.01 This Article contains portions of certain Laws or Regulations which, by provision of Laws or Regulations, are required to be included in the Contract Documents. The material included in this Article may not be complete or current. Contractor's obligation to comply with all Laws and Regulations applicable to the Work is set forth in Paragraph 7.10 of the General Conditions.

### SC-19.02 *Nondiscrimination in Employment:*

- A. During the performance of the Contract:
  - 1. Contractor shall not discriminate against any employee or applicant for employment because of race, creed, color, disability, sex or national origin, and will take affirmative action to insure that they are afforded equal employment opportunities without discrimination because of race, creed, color, disability, sex or national origin,. Such action shall be taken with reference but not limited to: recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff or termination, rates of pay or other forms of compensation, and selection for training or retraining, including apprenticeship and on-the-job training.
  - Contractor shall send to each labor union or representative of workers 2. with which Contractor has or is bound by a collective bargaining or other agreement or understanding, a notice, to be provided by the State New York State Division of Human Rights, advising such labor union or representative of the Contractor agreement under clauses 1. through 8. hereinafter called "non- discrimination clauses". If Contractor was directed to do so by Owner as part of the Bidding Requirements, Contractor shall request labor union or representative to furnish Contractor with a written statement that such labor union or representative will not discriminate because of race, creed, color, disability, sex or national origin, and that such labor union or representative either will affirmatively cooperate within the limits of its legal and contractual authority, in the implementation of the policy and provisions of these non-discrimination clauses or that it consents and agrees that recruitment, employment, and the terms and conditions of employment under this Contract shall be in accordance with the purposes and provisions of these non-discrimination clauses. If such labor union or representative fails or

refuses to comply with such a request that it furnish such a statement, Contractor shall promptly notify the New York State Division of Human Rights of such failure or refusal.

- 3. Contractor shall post and keep posted in conspicuous places at the Site and Contractor's principal places of business, available to employees and applicants for employment, notices to be provided by the New York State Division of Human Rights setting forth the substance of the provisions of clauses 1. through 2. and such provisions of the State's Laws against discrimination as the New York State Division of Human Rights shall determine.
- 4. Contractor shall state, in all solicitations or advertisements for employees placed by or on behalf of Contractor, that all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, disability, sex or national origin.
- 5. Contractor shall comply with the provisions of the Executive Law, Human Rights Law, Article 15, will furnish all information and reports deemed necessary by the New York State Division of Human Rights under these non-discrimination clauses and such sections of the Executive Law, and shall permit access to Contractor's books, records, and accounts by the New York State Division of Human Rights, the Attorney General, District Commissioner of the New York State Division of Housing and Community Renewal and the New York State Industrial Commission for purposes of investigation to ascertain compliance with these non-discrimination clauses of the New York State Executive Law, Article 15 (Human Rights Law).
- 6. This Contract may be forthwith terminated or suspended, in whole or in part, by Owner upon the basis of a finding made by the New York State Division of Human Rights that Contractor has not complied with these non-discrimination clauses, and Contractor may be declared ineligible for future contracts made by or on behalf of the State or a public authority or agency of the State or housing authority, or an urban renewal agency, or contracts requiring the approval of the New York State Division of Housing and Community Renewal, until he has satisfied the New York State Division of Human Rights after conciliation efforts by the Division of Human Rights have failed to achieve compliance with these non-discrimination clauses and after a verified complaint has been filed with the New York State Division of Human Rights, notice thereof has been given to Contractor and an opportunity has been afforded him to be heard publicly before three members of the New York State Division of Human Rights. Such sanctions may be imposed and remedies invoked independently of or in addition to sanctions and remedies otherwise provided by law.
- 7. If this Contract is terminated under clause 6., in addition to other rights of Owner provided by the Contract Documents upon breach by Contractor, Contractor shall hold Owner harmless against additional expenses or costs incurred by Owner in completing the Work or in purchasing the services, materials, equipment or supplies contemplated under the Contract

Documents, and Owner may withhold payments from Contractor in an amount sufficient for this purpose and recourse may be had against the surety on the Performance Bond if necessary.

8. Contractor shall include the provisions of clauses 1. through 2. in every subcontract or purchase order altered only to reflect the proper identity of the parties in such a manner that such provisions will be binding upon each Subcontractor and Supplier as to operations to be performed within the State of New York. Contractor shall take such actions in enforcing such provisions of such subcontract or purchase order as Owner may direct, including sanctions or remedies for non-compliance. If Contractor becomes involved in or is threatened with litigation with a Subcontractor or Supplier as a result of such direction by Owner, Contractor shall promptly so notify the State Attorney General, requesting the State Attorney General to intervene and to protect the interest of the State of New York.

### SC-19.03 *Minimum Prevailing Wage Rates:*

- A. Labor on this Contract shall be performed in accordance with the requirements of Article 8 (Sections 220 through 224, inclusive) of the New York State Labor Law. The supplements to be provided and wages to be paid to workers, laborers, and mechanics employed in the Work, determined pursuant to Section 220 of the New York State Labor Law, are set forth in the schedules, including minimum prevailing wage rates, attached to and hereby made part of these Supplementary Conditions.
- B. Wage rates and supplemental benefits indicated in the attached schedules are subject to change. Wage rates and supplemental benefits to be paid and provided by Contractor shall be those prevailing at the time the Work is being performed. Contractor shall be continually aware of changes in the prevailing wage provisions and shall pay, at no additional cost to Owner, not less than the minimum prevailing wage. Contractor, each Subcontractor, and each lower-tier subcontractor shall post in a prominent and accessible place at the Site a legible statement of all wage rates and supplements as specified in the Contract Documents to be paid or provided, as the case may be, for the various classes of mechanics, workers, or laborers employed on the Work. Such posted statement shall be written in plain English, be appropriate for the conditions to which it is exposed, and comply with New York State Labor Law Section 220.
- C. Wage rates paid workers employed in the performance of the Work shall not be less than those determined by the New York State Department of Labor.
- D. Contractor shall submit to Owner not less than once per month certified payrolls for Contractor's employees, and employees of Subcontractors and

lower-tier subcontractors, using New York State Department of Labor forms and formats.

E. To the extent applicable, Contractor, Subcontractors, and lower-tier subcontractors shall comply with the "anti-kickback" provisions of the federal Copeland Act and the overtime pay and workplace safety provisions of the federal Contract Work Hours and Safety Standards Act.

### SC-19.04 *Certification of Health and Safety Training:*

- A. Contractor shall comply with New York State Labor Law, Section 220-h, effective July 18, 2008.
- B. For public work where the Contract Price is \$250,000.00 or more, all laborers, workers, and mechanics employed in performing the Work, either by Contractor, Subcontractor, or other person doing or contracting to do the whole or a part of the Work contemplated by the Contract Documents, shall be certified prior to performing any work, as having successfully completed a course in construction safety and health by the United States Department of Labor's Occupational Safety and Health Administration that is at least ten hours in duration ("OSHA 10").
- C. Contractor, Subcontractors, and lower-tier subcontractors shall attach a copy of proof of completion of OSHA 10 course to the first certified payroll submitted to Owner and on each succeeding payroll where a new or additional employee is first listed. Acceptable proof of completion of an OSHA 10 course includes, but is not limited to, the following:
  - 1. Copies of bona fide course completion card;
  - 2. Training roster, attendance record, or other documentation from the certified trainer pending the issuance of the card.
  - 3. Other valid proof.
- D. A certification by the employer attesting that employees have completed such course is not sufficient proof that the course has been completed. Questions regarding this requirement may be directed to the New York State Department of Labor, Bureau of Public Work, (518) 485-5696.

### SC-19.05 *Payments to Subcontractors:*

- A. In accordance with New York State General Municipal Law, Section 106-b:
  - 1. Contractor shall pay Subcontractors and Suppliers amounts due them under the terms of the subcontract or purchase agreement for materials or equipment, less any amounts withheld in accordance with applicable agreements, within seven days of Contractor's receipt of payment from Owner. Contractor shall pay to each Subcontractor or Supplier who has not received payment due in the time indicated interest at the interest rate in effect on the date such payment is made by Contractor. Interest shall be

determined in accordance with Section 756-b(1)(b) of the New York State General Business Law. Accrual of interest shall commence on the start of the eighth day following Contractor's receipt of payment from Owner, and shall end on the date on which payment is made by Contractor. Payment of interest due is the sole responsibility of the Contractor.

- 2. Within seven days of Contractor's receipt of any payment from Owner, the Contractor shall pay each Subcontractor and Supplier the proceeds from the payment representing the value of the Work performed and materials furnished by Subcontractor or Supplier and reflecting the percentage of the Subcontractor's Work completed or the Supplier's material or equipment furnished in the payment request approved and paid by Owner and based upon the actual value of the subcontract or purchase order less an amount necessary to satisfy claims, liens or judgments, if any, against Subcontractor or Supplier that have not been suitably discharged and less retained amount, if any, as hereafter described. Contractor shall retain not more than five percent of each payment to the Subcontractor or Supplier except that Contractor may retain in excess of five percent but not more than ten percent of each payment to Subcontractor provided that, prior to entering into a subcontract with Contractor, the Subcontractor is unable or unwilling to provide a performance bond and a labor and material payment bond both in the full amount of the subcontract at the request of Contractor. However, Contractor shall retain nothing from those payments representing proceeds owed the Subcontractor or Supplier from Owner's payments to the Contractor for the remaining amounts of the Contract Price after the Work, or portions thereof, are Substantially Complete. If Contractor has failed to submit a request for payment of the remaining amounts of the Contract Price within 90 days of Substantial Completion as provided in New York State General Municipal Law, Section 106-b(1), then any clause in the subcontract between Contractor and the Subcontractor or Supplier stating that payment by Contractor to such Subcontractor or Supplier is contingent upon payment by Owner to Contractor shall be deemed invalid. Within seven days of receipt of payment from Contractor, the Subcontractor or Supplier shall pay each of his subcontractors and suppliers in the same manner as Contractor has paid the Subcontractor or Supplier, including interest as provided in this Paragraph SC-18.05. Nothing provided in the Contract Documents shall create any obligation on the part of Owner to pay or to see to the payment of any moneys to Subcontractor or Supplier from any subcontractor or supplier, nor shall anything provided herein serve to create a relationship in contract or otherwise, implied or expressed, between Subcontractor or Supplier and Owner.
- 3. In the event that the terms of payment on a public works project, as provided under New York State General Municipal Law, Section 106-b, are pre-empted or superseded as a result of the provisions of any federal Law or Regulation, the terms of this Paragraph SC-18.05 shall not apply.

+ + END OF DOCUMENT + +

02328010.0000

Roberta Reardon, Commissioner



Andrew M. Cuomo, Governor

Town of Yorktown

Vanessa McPherson, Principal Engineer Arcadis U.S., Inc. 44 S. Broadway; 9th Floor White Plains NY 10602

Schedule Year Date Requested 09/24/2019 PRC#

2019 through 2020 2019012478

Location **Catherine Street Facility** Proiect ID# 19-2 Project Type Construct a fluoride storage and feed system at the Catherine Street Pump Station.

### PREVAILING WAGE SCHEDULE FOR ARTICLE 8 PUBLIC WORK PROJECT

Attached is the current schedule(s) of the prevailing wage rates and prevailing hourly supplements for the project referenced above. A unique Prevailing Wage Case Number (PRC#) has been assigned to the schedule(s) for your project.

The schedule is effective from July 2019 through June 2020. All updates, corrections, posted on the 1st business day of each month, and future copies of the annual determination are available on the Department's website www.labor.ny.gov. Updated PDF copies of your schedule can be accessed by entering your assigned PRC# at the proper location on the website.

It is the responsibility of the contracting agency or its agent to annex and make part, the attached schedule, to the specifications for this project, when it is advertised for bids and /or to forward said schedules to the successful bidder(s), immediately upon receipt, in order to insure the proper payment of wages.

Please refer to the "General Provisions of Laws Covering Workers on Public Work Contracts" provided with this schedule, for the specific details relating to other responsibilities of the Department of Jurisdiction.

Upon completion or cancellation of this project, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice, **OR** fill out the electronic version via the NYSDOL website.

### NOTICE OF COMPLETION / CANCELLATION OF PROJECT

Date Completed:

Date Cancelled:

Name & Title of Representative:

Phone: (518) 457-5589 Fax: (518) 485-1870 W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12240

### **General Provisions of Laws Covering Workers on Article 8 Public Work Contracts**

#### Introduction

The Labor Law requires public work contractors and subcontractors to pay laborers, workers, or mechanics employed in the performance of a public work contract not less than the prevailing rate of wage and supplements (fringe benefits) in the locality where the work is performed.

#### **Responsibilities of the Department of Jurisdiction**

A Department of Jurisdiction (Contracting Agency) includes a state department, agency, board or commission: a county, city, town or village; a school district, board of education or board of cooperative educational services; a sewer, water, fire, improvement and other district corporation; a public benefit corporation; and a public authority awarding a public work contract.

The Department of Jurisdiction (Contracting Agency) awarding a public work contract MUST obtain a Prevailing Rate Schedule listing the hourly rates of wages and supplements due the workers to be employed on a public work project. This schedule may be obtained by completing and forwarding a "Request for wage and Supplement Information" form (PW 39) to the Bureau of Public Work. The Prevailing Rate Schedule MUST be included in the specifications for the contract to be awarded and is deemed part of the public work contract.

Upon the awarding of the contract, the law requires that the Department of Jurisdiction (Contracting Agency) furnish the following information to the Bureau: the name and address of the contractor, the date the contract was let and the approximate dollar value of the contract. To facilitate compliance with this provision of the Labor Law, a copy of the Department's "Notice of Contract Award" form (PW 16) is provided with the original Prevailing Rate Schedule.

The Department of Jurisdiction (Contracting Agency) is required to notify the Bureau of the completion or cancellation of any public work project. The Department's PW 200 form is provided for that purpose.

Both the PW 16 and PW 200 forms are available for completion online.

#### Hours

No laborer, worker, or mechanic in the employ of a contractor or subcontractor engaged in the performance of any public work project shall be permitted to work more than eight hours in any day or more than five days in any week, except in cases of extraordinary emergency. The contractor and the Department of Jurisdiction (Contracting Agency) may apply to the Bureau of Public Work for a dispensation permitting workers to work additional hours or days per week on a particular public work project.

There are very few exceptions to this rule. Complete information regarding these exceptions is available on the "4 Day / 10 Hour Work Schedule" form (PW 30.1).

### Wages and Supplements

The wages and supplements to be paid and/or provided to laborers, workers, and mechanics employed on a public work project shall be not less than those listed in the current Prevailing Rate Schedule for the locality where the work is performed. If a prime contractor on a public work project has not been provided with a Prevailing Rate Schedule, the contractor must notify the Department of Jurisdiction (Contracting Agency) who in turn must request an original Prevailing Rate Schedule form the Bureau of Public Work. Requests may be submitted by: mail to NYSDOL, Bureau of Public Work, State Office Bldg. Campus, Bldg. 12, Rm. 130, Albany, NY 12240; Fax to Bureau of Public Work (518) 485-1870; or electronically at the NYSDOL website www.labor.ny.gov.

Upon receiving the original schedule, the Department of Jurisdiction (Contracting Agency) is REQUIRED to provide complete copies to all prime contractors who in turn MUST, by law, provide copies of all applicable county schedules to each subcontractor and obtain from each subcontractor, an affidavit certifying such schedules were received. If the original schedule expired, the contractor may obtain a copy of the new annual determination from the NYSDOL website www.labor.ny.gov.

The Commissioner of Labor makes an annual determination of the prevailing rates. This determination is in effect from July 1st through June 30th of the following year. The annual determination is available on the NYSDOL website www.labor.ny.gov.

### **Payrolls and Payroll Records**

Every contractor and subcontractor MUST keep original payrolls or transcripts subscribed and affirmed as true under penalty of perjury. Payrolls must be maintained for at least three (3) years from the project's date of completion. At a minimum, payrolls must show the following information for each person employed on a public work project: Name, Address, Last 4 Digits of Social Security Number, Classification(s) in which the worker was employed, Hourly wage rate(s) paid, Supplements paid or provided, and Daily and weekly number of hours worked in each classification.

The filing of payrolls to the Department of Jurisdiction is a condition of payment. Every contractor and subcontractor shall submit to the Department of Jurisdiction (Contracting Agency), within thirty (30) days after issuance of its first payroll and every thirty (30) days thereafter, a transcript of the original payrolls, subscribed and affirmed as true under penalty of perjury. The Department of Jurisdiction (Contracting Agency) shall collect, review for facial validity, and maintain such payrolls.

In addition, the Commissioner of Labor may require contractors to furnish, with ten (10) days of a request, payroll records sworn to as their validity and accuracy for public work and private work. Payroll records include, by are not limited to time cards, work description sheets, proof that supplements were provided, cancelled payroll checks and payrolls. Failure to provide the requested information within the allotted ten (10) days will result in the withholding of up to 25% of the contract, not to exceed \$100,000.00. If the contractor or subcontractor does not maintain a place of business in New York State and the amount of the contract exceeds \$25,000.00, payroll records and certifications must be kept on the project worksite.

The prime contractor is responsible for any underpayments of prevailing wages or supplements by any subcontractor.

All contractors or their subcontractors shall provide to their subcontractors a copy of the Prevailing Rate Schedule specified in the public work contract as well as any subsequently issued schedules. A failure to provide these schedules by a contractor or subcontractor is a violation of Article 8, Section 220-a of the Labor Law.

All subcontractors engaged by a public work project contractor or its subcontractor, upon receipt of the original schedule and any subsequently issued schedules, shall provide to such contractor a verified statement attesting that the subcontractor has received the Prevailing Rate Schedule and will pay or provide the applicable rates of wages and supplements specified therein. (See NYS Labor Laws, Article 8. Section 220-a).

### Determination of Prevailing Wage and Supplement Rate Updates Applicable to All Counties

The wages and supplements contained in the annual determination become effective July 1st whether or not the new determination has been received by a given contractor. Care should be taken to review the rates for obvious errors. Any corrections should be brought to the Department's attention immediately. It is the responsibility of the public work contractor to use the proper rates. If there is a question on the proper classification to be used, please call the district office located nearest the project. Any errors in the annual determination will be corrected and posted to the NYSDOL website on the first business day of each month. Contractors are responsible for paying these updated rates as well, retroactive to July 1st.

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. To the extent possible, the Department posts rates in its possession that cover periods of time beyond the July 1st to June 30th time frame covered by a particular annual determination. Rates that extend beyond that instant time period are informational ONLY and may be updated in future annual determinations that actually cover the then appropriate July 1st to June 30th time period.

### Withholding of Payments

When a complaint is filed with the Commissioner of Labor alleging the failure of a contractor or subcontractor to pay or provide the prevailing wages or supplements, or when the Commissioner of Labor believes that unpaid wages or supplements may be due, payments on the public work contract shall be withheld from the prime contractor in a sufficient amount to satisfy the alleged unpaid wages and supplements, including interest and civil penalty, pending a final determination.

When the Bureau of Public Work finds that a contractor or subcontractor on a public work project failed to pay or provide the requisite prevailing wages or supplements, the Bureau is authorized by Sections 220-b and 235.2 of the Labor Law to so notify the financial officer of the Department of Jurisdiction (Contracting Agency) that awarded the public work contract. Such officer MUST then withhold or cause to be withheld from any payment due the prime contractor on account of such contract the amount indicated by the Bureau as sufficient to satisfy the unpaid wages and supplements, including interest and any civil penalty that may be assessed by the Commissioner of Labor. The withholding continues until there is a final determination of the underpayment by the Commissioner of Labor or by the court in the event a legal proceeding is instituted for review of the determination of the Commissioner of Labor.

The Department of Jurisdiction (Contracting Agency) shall comply with this order of the Commissioner of Labor or of the court with respect to the release of the funds so withheld.

### **Summary of Notice Posting Requirements**

The current Prevailing Rate Schedule must be posted in a prominent and accessible place on the site of the public work project. The prevailing wage schedule must be encased in, or constructed of, materials capable of withstanding adverse weather conditions and be titled "PREVAILING RATE OF WAGES" in letters no smaller than two (2) inches by two (2) inches.

The "Public Work Project" notice must be posted at the beginning of the performance of every public work contract, on each job site.

Every employer providing workers. compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers. Compensation Board in a conspicuous place on the jobsite.

Every employer subject to the NYS Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers, notices furnished by the State Division of Human Rights.

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the NYS Department of Labor.

#### **Apprentices**

Employees cannot be paid apprentice rates unless they are individually registered in a program registered with the NYS Commissioner of Labor. The allowable ratio of apprentices to journeyworkers in any craft classification can be no greater than the statewide building trade ratios promulgated by the Department of Labor and included with the Prevailing Rate Schedule. An employee listed on a payroll as an apprentice who is not registered as above or is performing work outside the classification of work for which the apprentice is indentured, must be paid the prevailing journeyworker's wage rate for the classification of work the employee is actually performing.

NYSDOL Labor Law, Article 8, Section 220-3, require that only apprentices individually registered with the NYS Department of Labor may be paid apprenticeship rates on a public work project. No other Federal or State Agency of office registers apprentices in New York State.

Persons wishing to verify the apprentice registration of any person must do so in writing by mail, to the NYSDOL Office of Employability Development / Apprenticeship Training, State Office Bldg. Campus, Bldg. 12, Albany, NY 12240 or by Fax to NYSDOL Apprenticeship Training (518) 457-7154. All requests for verification must include the name and social security number of the person for whom the information is requested.

The only conclusive proof of individual apprentice registration is written verification from the NYSDOL Apprenticeship Training Albany Central office. Neither Federal nor State Apprenticeship Training offices outside of Albany can provide conclusive registration information.

It should be noted that the existence of a registered apprenticeship program is not conclusive proof that any person is registered in that program. Furthermore, the existence or possession of wallet cards, identification cards, or copies of state forms is not conclusive proof of the registration of any person as an apprentice.

#### **Interest and Penalties**

In the event that an underpayment of wages and/or supplements is found:

- Interest shall be assessed at the rate then in effect as prescribed by the Superintendent of Banks pursuant to section 14-a of the Banking Law, per annum from the date of underpayment to the date restitution is made.
- A Civil Penalty may also be assessed, not to exceed 25% of the total of wages, supplements, and interest due.

#### Debarment

Any contractor or subcontractor and/or its successor shall be ineligible to submit a bid on or be awarded any public work contract or subcontract with any state, municipal corporation or public body for a period of five (5) years when:

- Two (2) willful determinations have been rendered against that contractor or subcontractor and/or its successor within any consecutive six (6) year period.
- There is any willful determination that involves the falsification of payroll records or the kickback of wages or supplements.

#### **Criminal Sanctions**

Willful violations of the Prevailing Wage Law (Article 8 of the Labor Law) may be a felony punishable by fine or imprisonment of up to 15 years, or both.

#### Discrimination

No employee or applicant for employment may be discriminated against on account of age, race, creed, color, national origin, sex, disability or marital status.

No contractor, subcontractor nor any person acting on its behalf, shall by reason of race, creed, color, disability, sex or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the work to which the employment relates (NYS Labor Law, Article 8, Section 220-e(a)).

No contractor, subcontractor, nor any person acting on its behalf, shall in any manner, discriminate against or intimidate any employee on account of race, creed, color, disability, sex, or national origin (NYS Labor Law, Article 8, Section 220-

e(b) ).

The Human Rights Law also prohibits discrimination in employment because of age, marital status, or religion.

There may be deducted from the amount payable to the contractor under the contract a penalty of \$50.00 for each calendar day during which such person was discriminated against or intimidated in violation of the provision of the contract (NYS Labor Law, Article 8, Section 220-e(c)).

The contract may be cancelled or terminated by the State or municipality. All monies due or to become due thereunder may be forfeited for a second or any subsequent violation of the terms or conditions of the anti-discrimination sections of the contract (NYS Labor Law, Article 8, Section 220-e(d)).

Every employer subject to the New York State Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers notices furnished by the State Division of Human Rights.

### Workers' Compensation

In accordance with Section 142 of the State Finance Law, the contractor shall maintain coverage during the life of the contract for the benefit of such employees as required by the provisions of the New York State Workers' Compensation Law.

A contractor who is awarded a public work contract must provide proof of workers' compensation coverage prior to being allowed to begin work.

The insurance policy must be issued by a company authorized to provide workers' compensation coverage in New York State. Proof of coverage must be on form C-105.2 (Certificate of Workers' Compensation Insurance) and must name this agency as a certificate holder.

If New York State coverage is added to an existing out-of-state policy, it can only be added to a policy from a company authorized to write workers' compensation coverage in this state. The coverage must be listed under item 3A of the information page.

The contractor must maintain proof that subcontractors doing work covered under this contract secured and maintained a workers' compensation policy for all employees working in New York State.

Every employer providing worker's compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers' Compensation Board in a conspicuous place on the jobsite.

#### **Unemployment Insurance**

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the New York State Department of Labor.

Roberta Reardon, Commissioner



Andrew M. Cuomo, Governor

Town of Yorktown

Vanessa McPherson, Principal Engineer Arcadis U.S., Inc. 44 S. Broadway; 9th Floor White Plains NY 10602 Schedule Year Date Requested PRC#

2019 through 2020 09/24/2019 2019012478

LocationCatherine Street FacilityProject ID#19-2Project TypeConstruct a fluoride storage and feed system at the Catherine Street Pump Station.

### **Notice of Contract Award**

New York State Labor Law, Article 8, Section 220.3a requires that certain information regarding the awarding of public work contracts, be furnished to the Commissioner of Labor. One "Notice of Contract Award" (PW 16, which may be photocopied), **MUST** be completed for **EACH** prime contractor on the above referenced project.

Upon notifying the successful bidder(s) of this contract, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice, **OR** fill out the electronic version via the NYSDOL website.

Federal Employer Identification N	umber:		
Name:Address:			
City:		State:	Zip:
Amount of Contract:	<u>\$</u>		Contract Type:
Approximate Starting Date:	/_/		<ul> <li>[] (01) General Construction</li> <li>[] (02) Heating/Ventilation</li> <li>[] (03) Electrical</li> </ul>
Approximate Completion Date:	/		[ ] (04) Plumbing [ ] (05) Other :

### **Contractor Information** All information must be supplied

Phone: (518) 457-5589 Fax: (518) 485-1870 W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12240

# **IMPORTANT NOTICE**

### FOR

### CONTRACTORS & CONTRACTING AGENCIES

### **Social Security Numbers on Certified Payrolls**

The Department of Labor is cognizant of the concerns of the potential for misuse or inadvertent disclosure of social security numbers. Identity theft is a growing problem and we are sympathetic to contractors' concerns with regard to inclusion of this information on payrolls if another identifier will suffice.

For these reasons, the substitution of the use of the <u>last four digits</u> of the social security number on certified payrolls submitted to contracting agencies on public work projects is now acceptable to the Department of Labor.

NOTE: This change does not affect the Department's ability to request and receive the entire social security number from employers during the course of its public work / prevailing wage investigations.

### To all State Departments, Agency Heads and Public Benefit Corporations IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND

### **Budget Policy & Reporting Manual**

### **B-610**

### Public Work Enforcement Fund

effective date December 7, 2005

### 1. Purpose and Scope:

This Item describes the Public Work Enforcement Fund (the Fund, PWEF) and its relevance to State agencies and public benefit corporations engaged in construction or reconstruction contracts, maintenance and repair, and announces the recently-enacted increase to the percentage of the dollar value of such contracts that must be deposited into the Fund. This item also describes the roles of the following entities with respect to the Fund:

- New York State Department of Labor (DOL),
- The Office of the State of Comptroller (OSC), and
- State agencies and public benefit corporations.

### 2. Background and Statutory References:

DOL uses the Fund to enforce the State's Labor Law as it relates to contracts for construction or reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law. State agencies and public benefit corporations participating in such contracts are required to make payments to the Fund.

Chapter 511 of the Laws of 1995 (as amended by Chapter 513 of the Laws of 1997, Chapter 655 of the Laws of 1999, Chapter 376 of the Laws of 2003 and Chapter 407 of the Laws of 2005) established the Fund.

### 3. Procedures and Agency Responsibilities:

The Fund is supported by transfers and deposits based on the value of contracts for construction and reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law, into which all State agencies and public benefit corporations enter.

Chapter 407 of the Laws of 2005 increased the amount required to be provided to this fund to .10 of one-percent of the total cost of each such contract, to be calculated at the time agencies or public benefit corporations enter into a new contract or if a contract is amended. The provisions of this bill became effective August 2, 2005.

### To all State Departments, Agency Heads and Public Benefit Corporations IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND

OSC will report to DOL on all construction-related ("D") contracts approved during the month, including contract amendments, and then DOL will bill agencies the appropriate assessment monthly. An agency may then make a determination if any of the billed contracts are exempt and so note on the bill submitted back to DOL. For any instance where an agency is unsure if a contract is or is not exempt, they can call the Bureau of Public Work at the number noted below for a determination. Payment by check or journal voucher is due to DOL within thirty days from the date of the billing. DOL will verify the amounts and forward them to OSC for processing.

For those contracts which are not approved or administered by the Comptroller, monthly reports and payments for deposit into the Public Work Enforcement Fund must be provided to the Administrative Finance Bureau at the DOL within 30 days of the end of each month or on a payment schedule mutually agreed upon with DOL.

Reports should contain the following information:

- Name and billing address of State agency or public benefit corporation;
- State agency or public benefit corporation contact and phone number;
- Name and address of contractor receiving the award;
- Contract number and effective dates;
- Contract amount and PWEF assessment charge (if contract amount has been amended, reflect increase or decrease to original contract and the adjustment in the PWEF charge); and
- Brief description of the work to be performed under each contract.

Checks and Journal Vouchers, payable to the "New York State Department of Labor" should be sent to:

Department of Labor Administrative Finance Bureau-PWEF Unit Building 12, Room 464 State Office Campus Albany, NY 12240

Any questions regarding billing should be directed to NYSDOL's Administrative Finance Bureau-PWEF Unit at (518) 457-3624 and any questions regarding Public Work Contracts should be directed to the Bureau of Public Work at (518) 457-5589.

## Construction Industry Fair Play Act

### Required Posting For Labor Law Article 25-B § 861-d

Construction industry employers must post the "Construction Industry Fair Play Act" notice in a prominent and accessible place on the job site.

Failure to post the notice can result in penalties of up to \$1,500 for a first offense and up to \$5,000 for a second offense.

The posting is included as part of this wage schedule. Additional copies may be obtained from the NYS DOL website, <u>www.labor.ny.gov</u>.

If you have any questions concerning the Fair Play Act, please call the State Labor Department toll-free at 1-866-435-1499 or email us at: dol.misclassified@labor.state.ny.us. New York State Department of Labor Required Notice under Article 25-B of the Labor Law



### ATTENTION ALL EMPLOYEES, CONTRACTORS AND SUBCONTRACTORS: YOU ARE COVERED BY THE CONSTRUCTION INDUSTRY FAIR PLAY ACT

### The law says that you are an employee unless:

- You are free from direction and control in performing your job AND
- You perform work that is not part of the usual work done by the business that hired you AND
- You have an independently established business

Your employer cannot consider you to be an independent contractor unless all three of these facts apply to your work.

### IT IS AGAINST THE LAW FOR AN EMPLOYER TO MISCLASSIFY EMPLOYEES AS INDEPENDENT CONTRACTORS OR PAY EMPLOYEES OFF-THE-BOOKS.

### Employee rights. If you are an employee:

- You are entitled to state and federal worker protections such as
  - unemployment benefits, if unemployed through no fault of your own, able to work, and otherwise qualified
  - o workers' compensation benefits for on-the-job injuries
  - o payment for wages earned, minimum wage, and overtime (under certain conditions)
  - o prevailing wages on public work projects
  - o the provisions of the National Labor Relations Act and
  - o a safe work environment
- It is a violation of this law for employers to retaliate against anyone who asserts their rights under the law. Retaliation subjects an employer to civil penalties, a private lawsuit or both.

Independent Contractors: If you are an independent contractor:

• You must pay all taxes required by New York State and Federal Law.

Penalties for paying off-the-books or improperly treating employees as independent contractors:

- **Civil Penalty** First Offense: up to \$2,500 per employee. Subsequent Offense(s): up to \$5,000 per employee.
- Criminal Penalty
   First Offense: Misdemeanor up to 30 days in jail, up to a \$25,000 fine and debarment from performing Public Work for up to one year. Subsequent Offense(s): Misdemeanor - up to 60 days in jail, up to a \$50,000 fine and debarment from performing Public Work for up to 5 years.

If you have questions about your employment status or believe that your employer may have violated your rights and you want to file a complaint, call the Department of Labor at 1(866)435-1499 or send an email to <u>dol.misclassified@labor.ny.gov</u>. All complaints of fraud and violations are taken seriously and you can remain anonymous.

### **Employer Name:**

IA 999 (09/10)

## **WORKER NOTIFICATION**

(Labor Law §220, paragraph a of subdivision 3-a)

### Effective February 24, 2008

This provision is an addition to the existing prevailing wage rate law, Labor Law §220, paragraph a of subdivision 3-a. It requires contractors and subcontractors to provide written notice to all laborers, workers or mechanics of the *prevailing wage rate* for their particular job classification on each pay stub\*. It also requires contractors and subcontractors to *post a notice* at the beginning of the performance of every public work contract on each job site that includes the telephone number and address for the Department of Labor and a statement informing laborers, workers or mechanics of their right to contact the Department of Labor if he/she is not receiving the proper prevailing rate of wages and/or supplements for his/her particular job classification. The required notification will be provided with each wage schedule, may be downloaded from our website www.labor.ny.gov or made available upon request by contacting the Bureau of Public Work at 518-457-5589.

<sup>\*</sup> In the event that the required information will not fit on the pay stub, an accompanying sheet or attachment of the information will suffice.

New York State Department of Labor Bureau of Public Work

# Attention Employees

### THIS IS A:

# PUBLIC WORK PROJECT

If you are employed on this project as a **worker, laborer, or mechanic** you are entitled to receive the **prevailing wage and supplements rate** for the classification at which you are working.

Chapter 629 of the Labor Laws of 2007: These wages are set by law and must be posted at the work site. They can also be found at: <u>www.labor.ny.gov</u>

If you feel that you have not received proper wages or benefits, please call our nearest office.\*

Albany Binghamton Buffalo Garden City New York City Newburgh

(518) 457-2744 (607) 721-8005 (716) 847-7159 (516) 228-3915 (212) 932-2419 (845) 568-5156 Patchogue Rochester Syracuse Utica White Plains

(631) 687-4882 (585) 258-4505 (315) 428-4056 (315) 793-2314 (914) 997-9507

 For New York City government agency construction projects, please contact the Office of the NYC Comptroller at (212) 669-4443, or <u>www.comptroller.nyc.gov</u> – click on Bureau of Labor Law.

Contractor Name:

Project Location:

# **OSHA 10-hour Construction** Safety and Health Course – S1537-A

### Effective July 18, 2008

This provision is an addition to the existing prevailing wage rate law, Labor Law §220, section 220-h. It requires that on all public work projects of at least \$250,000.00, all laborers, workers and mechanics working on the site, be certified as having successfully completed the OSHA 10-hour construction safety and health course. It further requires that the advertised bids and contracts for every public work contract of at least \$250,000.00, contain a provision of this requirement.

NOTE: The OSHA 10 Legislation only applies to workers on a public work project that are required, under Article 8, to receive the prevailing wage.

### Where to find OSHA 10-hour Construction Course

- NYS Department of Labor website for scheduled outreach training at: <u>https://labor.ny.gov/workerprotection/safetyhealth/dosh\_training.shtm</u>
- 2. OSHA Training Institute Education Centers:

Rochester Institute of Technology OSHA Education Center Rochester, NY Donna Winter Fax (585) 475-6292 e-mail: <u>dlwtpo@rit.edu</u> (866) 385-7470 Ext. 2919 www.rit.edu/~outreach/course.php3?CourseID=54

#### **Atlantic OSHA Training Center**

UMDNJ – School of Public Health Piscataway, NJ Janet Crooks Fax (732) 235-9460 e-mail: <u>crooksje@umdnj.edu</u> (732) 235-9455 https://ophp.umdnj.edu/wconnect/ShowSchedule.awp?~~GROUP~AOTCON~10~

#### **Atlantic OSHA Training Center**

University at Buffalo Buffalo, New York Joe Syracuse Fax (716) 829-2806 e-mail:<u>mailto:japs@buffalo.edu</u> (716) 829-2125 http://www.smbs.buffalo.edu/CENTERS/trc/schedule\_OSHA.php

### Keene State College

Manchester, NH Leslie Singleton e-mail: <u>lsingletin@keene.edu</u> (800) 449-6742 www.keene.edu/courses/print/courses\_osha.cfm

3. List of trainers and training schedules for OSHA outreach training at:

www.OutreachTrainers.org
## Requirements for OSHA 10 Compliance

Chapter 282 of the Laws of 2007, codified as Labor Law 220-h took effect on July 18, 2008. The statute provides as follows:

The advertised specifications for every contract for public work of \$250,000.00 or more must contain a provision requiring that every worker employed in the performance of a public work contract shall be certified as having completed an OSHA 10 safety training course. The clear intent of this provision is to require that all employees of public work contractors, required to be paid prevailing rates, receive such training "prior to the performing any work on the project."

The Bureau will enforce the statute as follows:

All contractors and sub contractors must attach a copy of proof of completion of the OSHA 10 course to the first certified payroll submitted to the contracting agency and on each succeeding payroll where any new or additional employee is first listed.

Proof of completion may include but is not limited to:

- Copies of bona fide course completion card (*Note: Completion cards do not have an expiration date.*)
- Training roster, attendance record of other documentation from the certified trainer pending the issuance of the card.
- Other valid proof

\*\*A certification by the employer attesting that all employees have completed such a course is not sufficient proof that the course has been completed.

Any questions regarding this statute may be directed to the New York State Department of Labor, Bureau of Public Work at 518-485-5696.

# WICKS Reform 2008

(For all contracts advertised or solicited for bid on or after 7/1/08)

- Raises the threshold for public work projects subject to the Wicks Law requiring separate specifications and bidding for the plumbing, heating and electrical work. The total project's threshold would increase from \$50,000 to: \$3 million in Bronx, Kings, New York, Queens and Richmond counties; \$1.5 million in Nassau, Suffolk and Westchester counties; and \$500,000 in all other counties.
- For projects below the monetary threshold, bidders must submit a sealed list naming each subcontractor for the plumbing, HVAC and electrical work and the amount to be paid to each. The list may not be changed unless the public owner finds a legitimate construction need, including a change in specifications or costs or use of a Project Labor Agreement (PLA), and must be open to public inspection.
- Allows the state and local agencies and authorities to waive the Wicks Law and use a PLA if it will provide the best work at the lowest possible price. If a PLA is used, all contractors shall participate in apprentice training programs in the trades of work it employs that have been approved by the Department of Labor (DOL) for not less than three years. They shall also have at least one graduate in the last three years and use affirmative efforts to retain minority apprentices. PLA's would be exempt from Wicks, but deemed to be public work subject to prevailing wage enforcement.
- The Commissioner of Labor shall have the power to enforce separate specification requirements on projects, and may issue stop-bid orders against public owners for non-compliance.
- Other new monetary thresholds, and similar sealed bidding for non-Wicks projects, would apply to certain public authorities including municipal housing authorities, NYC Construction Fund, Yonkers Educational Construction Fund, NYC Municipal Water Finance Authority, Buffalo Municipal Water Finance Authority, Westchester County Health Care Association, Nassau County Health Care Corp., Clifton-Fine Health Care Corp., Erie County Medical Center Corp., NYC Solid Waste Management Facilities, and the Dormitory Authority.
- Reduces from 15 to 7 days the period in which contractors must pay subcontractors.

## **IMPORTANT INFORMATION**

Regarding Use of Form PW30.1 (Previously 30R)

"Employer Registration for Use of 4 Day / 10 Hour Work Schedule"

## To use the '4 Day / 10 Hour Work Schedule':

There MUST be a *Dispensation of Hours (PW30)* in place on the project

AND

You MUST register your intent to work 4 / 10 hour days, by completing the PW30.1 Form.

## REMEMBER ....

The '4 Day / 10 Hour Work Schedule' applies ONLY to Job Classifications and Counties listed on the PW30.1 Form.

Do not write in any additional Classifications or Counties.

(**Please note** : For each Job Classification check the individual wage schedule for specific details regarding their 4/10 hour day posting.)

## Instructions for Completing Form PW30.1

(Previously 30R)

"Employer Registration for Use of 4 Day / 10 Hour Work Schedule"

## Before completing Form PW30.1 check to be sure ...

- There is a *Dispensation of Hours* in place on the project.
- The 4 Day / 10 Hour Work Schedule applies to the Job Classifications you will be using.
- The 4 Day / 10 Hour Work Schedule applies to the County / Counties where the work will take place.

## Instructions (Type or Print legibly):

## Contractor Information:

- Enter the Legal Name of the business, FEIN, Street Address, City, State, Zip Code; the Company's Phone and Fax numbers; and the Company's email address (if applicable)
- Enter the Name of a Contact Person for the Company along with their Phone and Fax numbers, and the personal email address (if applicable)

## Project Information:

- Enter the Prevailing Rate Case number (PRC#) assigned to this project
- Enter the Project Name / Type (i.e. Smithtown CSD Replacement of HS Roof)
- Enter the Exact Location of Project (i.e. Smithtown HS, 143 County Route #2, Smithtown,NY; Bldgs. 1 & 2)
- If you are a Subcontractor, enter the name of the Prime Contractor for which you work
- On the Checklist of Job Classifications -
  - Go to pages 2 and 3 of the form
  - Place a checkmark in the box to the right of the Job Classification you are choosing
  - Mark all Job Classifications that apply
    - \*\*\*Do not write in any additional Classifications or Counties.\*\*\*

## Requestor Information:

• Enter the name of the person submitting the registration, their title with the company , and the date the registration is filled out

## Return Completed Form:

- Mail the completed PW30.1 form to: NYSDOL Bureau of Public Work, SOBC Bldg.12 Rm.130, Albany, NY 12240 -OR -
- Fax the completed PW30.1 form to: NYSDOL Bureau of Public Work at (518)485-1870



Bureau of Public Work Harriman State Office Campus Building 12, Room 130 Albany, New York 12240 Phone: (518) 457-5589 | Fax: (518) 485-1870 www.labor.ny.gov

## Employer Registration for Use of 4 Day / 10 Hour Work Schedule

Before completing this form, make sure that:

- There is a **Dispensation of Hours** in place on the project.
- The 4 Day / 10 Hour Work Schedule applies to the Job Classifications you will be using.
- The 4 Day / 10 Hour Work Schedule applies to the County / Counties where the work will take place.

Please type or print the requested information and then mail or fax to the address above.

## **Contractor Information**

Company Name:			FEIN:
Address:			
City:		State:	Zip Code:
Phone No:	Fax No:	Email:	
Contact Person:			
Phone No:	Fax No:	Email:	
Project Informatio	n		
Project PRC#:		Project Name/Type:	
Exact Location of Project:		County:	
(If you are Subcontractor) Prime Contractor Na	ame:		
Job Classification(s) t	o Work 4/10 Schedule:	(Choose all that apply on Job Class *** Do not write in any additional Cl	ification Checklist - Pages 3-8) assifications or Counties***
<b>Requestor Informa</b>	tion	·	
Name:			
Title:		Date:	

Please use the list below with the number assigned to each county as a reference to the corresponding numbers listed in the following pages under **Entire Counties & Partial Counties**.

1.	Albany County	33.	Oneida County
2.	Allegany County	34.	Onondaga County
3.	Bronx County	35.	Ontario County
4.	Broome County	36.	Orange County
5.	Cattaraugus County	37.	Orleans County
6.	Cayuga County	38.	Oswego County
7.	Chautauqua County	39.	Otsego County
8.	Chemung County	40.	Putnam County
9.	Chenango County	41.	Queens County
10.	Clinton County	42.	Rensselaer County
11.	Columbia County	43.	Richmond County (Staten Island)
12.	Cortland County	44	Rockland County
13.	Delaware County	45	Saint Lawrence County
14.	Dutchess County	46	Sarataga County
15.	Erie County	40.	
16.	Essex County	47.	Schenectady County
17.	Franklin County	48.	Schoharie County
18.	Fulton County	49.	Schuyler County
19.	Genesee County	50.	Seneca County
20.	Greene County	51.	Steuben County
21.	Hamilton County	52.	Suffolk County
22.	Herkimer County	53.	Sullivan County
23.	Jefferson County	54.	Tioga County
24.	Kings County (Brooklyn)	55.	Tompkins County
25.	Lewis County	56.	Ulster County
26.	Livingston County	57.	Warren County
27.	Madison County	58.	Washington County
28.	Monroe County	59.	Wayne County
29.	Montgomery County	60.	Westchester County
30.	Nassau County	61.	Wyoming County
31.	New York County (Manhattan)	62.	Yates County
32.	Niagara County		

## (Place a checkmark by all classifications that will be using the 4/10 schedule)

Job Classification	Tag #	Entire Counties	Partial Counties	Check Box
Carpenter – Building	276B-All	7	2 ,5	
Carpenter – Building	276B-Cat	15	5	
Carpenter – Building	276-B-LIV	26, 28, 35, 59	61	
Carpenter – Building	276B-Gen	19, 32, 37	61	
Carpenter – Heavy & Highway	276HH-All	2, 5, 7		
Carpenter – Heavy & Highway	276HH-Erie	15		
Carpenter – Heavy & Highway	276HH- Gen	19, 32, 37, 61		
Carpenter – Heavy & Highway	276HH-Liv	26, 28, 35, 59		
Carpenter – Residential	276R-All	7	2, 5	
Carpenter – Building	277B-Bro	4, 54		
Carpenter – Building	277B-CAY	6, 50, 62		
Carpenter – Building	277B-CS	8, 12, 49, 51, 55	2	
Carpenter – Building	277 JLS	23, 25, 45		
Carpenter – Building	277 omh	22, 27, 33		
Carpenter – Building	277 On	34		
Carpenter – Building	277 Os	38		
Carpenter – Building	277CDO Blda	9, 13, 39		
Carpenter – Heavy & Highway	277CDO HH	9, 13, 39		
Carpenter – Heavy & Highway	277HH-BRO	4, 6, 8, 12, ,22, 23, 25, 27, 33, 34, 38, 45, 49, 50, 51, 54, 55, 62		
Carpenter – Building	291B-Alb	1, 18, 20, 29, 42, 47, 48		
Carpenter – Building	291B-Cli	10, 16, 17		
Carpenter – Building	291B-Ham	21, 57, 58		
Carpenter – Building	291B-Sar	46		
Carpenter – Heavy & Highway	291HH-Alb	1, 10, 16, 17,18, 20, 21, 29, 42, 46, 47, 48, 57, 58		
Electrician	25m	30, 52		
Electrician – Teledata Cable Splicer	43	12, 22, 27, 33, 38	6, 9, 34, 39, 55, 59	

## (Place a checkmark by all classifications that will be using the 4/10 schedule)

Job Classification	Tag #	Entire Counties	Partial Counties	Check Box
Electrician	86	26, 28	19, 35, 37, 59, 61	
Electrician	840 Teledata and 840 Z1	62	6, 34, 35, 50, 59	
Electrician	910	10, 16, 17, 23, 25, 45		
Electrical Lineman	1049Line/Gas	30, 41, 52		
Electrical Lineman	1249a	1, 2, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 25, 26, 27, 28, 29, 32, 33, 34, 35, 36, 37, 38, 39, 40, 42, 44, 46, 47, 48, 49, 50, 45, 51, 53, 54, 55, 56, 57, 58, 59, 61, 62		
Electrical Lineman	1249a West	60		
Electrical Lineman	1249a-LT	1, 2, 4, 5, 6, 7, 8, 9, 10, 12, 13, 15, 16, 17, 18, 19, 20, 21, 22, 23, 25, 26, 27, 28, 29, 32, 33, 34, 35, 37, 38, 39, 42, 46, 47, 48, 49, 50, 45, 51, 53, 54, 55, 57, 58, 59, 61, 62		
Electrical Lineman	1249aREG8LT	11, 14, 36, 40, 44, 56		
Electrical Lineman	1249aWestLT	60		
Elevator Constructor	138	11, 14, 20, 36, 40, 53, 56	13, 44, 60	
Elevator Constructor	14	2, 5, 7, 15, 19, 32, 37, 61		
Elevator Constructor	27	8, 26, 28, 35, 49, 50, 51, 59, 62		
Elevator Constructor	35	1, 10, 16, 18, 21, 22, 29, 39, 42, 46, 47, 48, 57, 58		
Elevator Constructor	62.1	4, 6, 9, 12, 23, 25, 27, 33, 34, 38, 45, 54, 55	13	
Glazier	201	1, 10, 11, 16, 17, 18, 20, 21, 29, 42, 46, 47, 48, 57, 58		
Glazier	660r	2, 5, 7, 15, 19, 32, 37, 61		
Glazier	660	2, 5, 7, 15, 19, 32, 37, 61		
Glazier	677.1	23, 25, 26, 28, 35, 45, 50, 59, 62		
Glazier	677Z-2	6, 12, 22, 27, 33, 34, 38		
Glazier	677z3	4, 8, 9, 13, 39, 49, 51, 54, 55		
Glazier	677r.2	6, 12, 22, 27, 33, 34, 38		
Insulator – Heat & Frost	30-Syracuse	4, 6, 8, 9, 12, 22, 23, 25, 27, 33, 34, 38, 39, 49, 50, 45, 54, 55		
Laborer – Building	621b	2, 7	5	
Laborer – Building	633 bON	34		

## (Place a checkmark by all classifications that will be using the 4/10 schedule)

Job Classification	Tag #	Entire Counties	Partial Counties	Check Box
Laborer – Building	633b Cay	6		
Laborer – Building	633bOS	38		
Laborer – Building	785(7)	4	9, 13, 54	
Laborer – Building	785B-CS	8, 51	49	
Laborer – Building	7-785b	12, 55	49, 54	
Laborers – Heavy & Highway	157h/h	47	18, 29, 46	
Laborers – Heavy & Highway	190 h/h	1, 42, 58	11, 20, 46	
Laborers – Heavy & Highway	35/2h	21, 22, 27, 33	18, 29	
Laborer – Residential	621r	2, 7	5	
Laborers – Tunnel	157	47	18, 29, 46	
Laborers – Tunnel	35T	21, 22, 27, 33	18, 29	
Laborers – Tunnel	190	1, 42, 58	11, 20, 46	
Mason – Building	2TS.1	1, 10,11, 16, 17, 18, 20, 21, 29, 42, 46, 47, 48, 57, 58		
Mason – Building	2TS.2	22, 23, 25, 33, 45	27	
Mason – Building	2TS.3	6, 34, 38	27	
Mason – Building	2b-on	34		
Mason – Building	2b.1	1, 11, 18, 20, 21, 29, 42, 46, 47, 48, 58	57	
Mason – Building	2b.2	22, 33	25	
Mason – Building	2b.3	6, 34	27	
Mason – Building	2b.4	38		
Mason – Building	2b.5	23	25	
Mason – Building	2b.6	45		
Mason – Building	2b.8	10, 16, 17	57	
Mason – Building	3b-Co-Z2	8, 49, 51	2	
Mason – Building	3B-Z1	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Building – Residential	3B-Z1R	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Building	3B-Bing-Z2	4, 9, 13, 39, 54		
Mason – Building	3B-Ith-Z2	12, 55		

## (Place a checkmark by all classifications that will be using the 4/10 schedule)

Job Classification	Tag #	Entire Counties	Partial Counties	Check Box
Mason – Building	3B-Jam-Z2	7	2, 5	
Mason – Building – Residential	3B-Jam-Z2R	2, 4, 8, 7, 9, 12, 39, 13, 49, 51, 54, 55	5	
Mason – Building	3B-Z3	15, 32	5	
Mason – Building	3B-Z3.Orleans	37		
Mason – Residential	3B-Z3R	15, 32	5	
Mason – Residential	3B- z3R.Orleans	37		
Mason - Heavy & Highway	Зh	2, 4, 8, 7, 9, 12, 13, 19, 26, 28, 35, 37, 39, 49, 50, 51, 54, 55, 59, 61, 62	5, 15, 32	
Mason – Tile Finisher	3TF-Z1	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Tile Finisher	3TF-Z2	2, 4, 8, 7, 9, 12, 13, 39, 49, 51, 54, 55	5	
Mason – Tile Finisher	3TF-Z3	15, 32, 37	5	
Mason – Tile Finisher	3TF-Z1R	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Tile Finisher	3TF-Z2R	2, 4, 7, 9, 12, 13, 39, 49, 51, 54, 55	5	
Mason – Tile Finisher	3TF-Z3R	15, 32, 37	5	
Mason – Tile Setter	3TS-Z1	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Tile Setter Residential	3TS-Z1R	19, 26, 28, 35, 50, 59, 61, 62		
Mason – Tile Setter	3TS-Z2	2, 4, 7, 8, 9, 12, 13, 39, 49, 51, 54, 55	5	
Mason – Tile Setter Residential	3TS-Z2R	2, 4, 7, 8, 9, 12, 13, 39, 49, 51, 54, 55	5	
Mason – Tile Setter	3TS-Z3	15, 32, 37	5	
Mason – Tile Setter Residential	3TS-Z3R	15, 32, 37	5	
Mason – Building/Heavy & Highway	780	3, 24, 30, 31, 41, 43, 52		
Operating Engineer - Heavy & Highway	137H/H	40, 60	14	
Operating Engineer – Heavy & Highway	158-832H	2, 8, 26, 28, 35, 49, 51, 59, 62	19	
Operating Engineer – Heavy & Highway	158-H/H	1, 4, 9, 10, 11, 14, 16, 17, 18, 20, 21, 22, 29, 39, 42, 46, 47, 48, 54, 57, 58		
Operating Engineer – Heavy & Highway	158-545h	6, 12, 23, 25, 27, 33, 38, 45, 50, 55		
Painter	1456-LS	1, 3, 10, 11, 14, 16, 17, 18, 20, 21, 24, 29, 30, 31, 36, 40, 41, 42, 43, 44, 46, 47, 48, 52, 53, 56, 57, 58, 60		
Painter	150	28, 59, 62	26, 35	

## (Place a checkmark by all classifications that will be using the 4/10 schedule)

\*\*\* Do not write in any additional Classifications or Counties\*\*\*

Job Classification	Tag #	Entire Counties	Partial Counties	Check Box
Painter	178 B	4, 9, 54		
Painter	178 E	8, 49	51	
Painter	178 I	12, 55		
Painter	178 O	13, 39		
Painter	31	6, 22, 27, 33, 34, 50	25, 35, 38	
Painter	38.O		38	
Painter	38.W	23, 45	25	
Painter	4- Buf,Nia,Olean	2, 15, 19, 32, 37, 61	5, 7, 26, 51	
Painter	4-Jamestown		5, 7	
Sheetmetal Worker	46	26, 28, 35, 50, 59, 62		
Sheetmetal Worker	46r	26, 28, 35, 50, 59, 62		
Teamsters – Heavy & Highway	294h/h	1, 11, 18, 20, 29, 42, 46, 47, 48, 58	57	
Teamsters – Heavy & Highway	317bhh	6, 12, 50, 51, 55, 62	2	
Teamsters - Building/Heavy & Highway	456	40, 60		

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## Introduction to the Prevailing Rate Schedule

#### Information About Prevailing Rate Schedule

This information is provided to assist you in the interpretation of particular requirements for each classification of worker contained in the attached Schedule of Prevailing Rates.

#### Classification

It is the duty of the Commissioner of Labor to make the proper classification of workers taking into account whether the work is heavy and highway, building, sewer and water, tunnel work, or residential, and to make a determination of wages and supplements to be paid or provided. It is the responsibility of the public work contractor to use the proper rate. If there is a question on the proper classification to be used, please call the district office located nearest the project. District office locations and phone numbers are listed below.

Prevailing Wage Schedules are issued separately for "General Construction Projects" and "Residential Construction Projects" on a countyby-county basis.

General Construction Rates apply to projects such as: Buildings, Heavy & Highway, and Tunnel and Water & Sewer rates.

Residential Construction Rates generally apply to construction, reconstruction, repair, alteration, or demolition of one family, two family, row housing, or rental type units intended for residential use.

Some rates listed in the Residential Construction Rate Schedule have a very limited applicability listed along with the rate. Rates for occupations or locations not shown on the residential schedule must be obtained from the General Construction Rate Schedule. Please contact the local Bureau of Public Work office before using Residential Rate Schedules, to ensure that the project meets the required criteria.

#### Payrolls and Payroll Records

Contractors and subcontractors are required to establish, maintain, and preserve for not less that six (6) years, contemporaneous, true, and accurate payroll records.

Every contractor and subcontractor shall submit to the Department of Jurisdiction (Contracting Agency), within thirty (30) days after issuance of its first payroll and every thirty (30) days thereafter, a transcript of the original payrolls, subscribed and affirmed as true under penalty of perjury.

#### **Paid Holidays**

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

#### Overtime

At a minimum, all work performed on a public work project in excess of eight hours in any one day or more than five days in any workweek is overtime. However, the specific overtime requirements for each trade or occupation on a public work project may differ. Specific overtime requirements for each trade or occupation are contained in the prevailing rate schedules.

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays.

The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

#### Supplemental Benefits

Particular attention should be given to the supplemental benefit requirements. Although in most cases the payment or provision of supplements is straight time for all hours worked, some classifications require the payment or provision of supplements, or a portion of the supplements, to be paid or provided at a premium rate for premium hours worked. Supplements may also be required to be paid or provided on paid holidays, regardless of whether the day is worked. The Overtime Codes and Notes listed on the particular wage classification will indicate these conditions as required.

#### Effective Dates

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. The rate listed is valid until the next effective rate change or until the new annual determination which takes effect on July 1 of each year. All contractors and subcontractors are required to pay the current prevailing rates of wages and supplements. If you have any questions please contact the Bureau of Public Work or visit the New York State Department of Labor website (www.labor.ny.gov) for current wage rate information.

#### Apprentice Training Ratios

The following are the allowable ratios of registered Apprentices to Journey-workers.

For example, the ratio 1:1,1:3 indicates the allowable initial ratio is one Apprentice to one Journeyworker. The Journeyworker must be in place on the project before an Apprentice is allowed. Then three additional Journeyworkers are needed before a second Apprentice is allowed. The last ratio repeats indefinitely. Therefore, three more Journeyworkers must be present before a third Apprentice can be hired, and so on.

Please call Apprentice Training Central Office at (518) 457-6820 if you have any questions.

Title (Trade)	Ratio
Boilermaker (Construction)	1:1,1:4
Boilermaker (Shop)	1:1,1:3
Carpenter (Bldg.,H&H, Pile Driver/Dockbuilder)	1:1,1:4
Carpenter (Residential)	1:1,1:3
Electrical (Outside) Lineman	1:1,1:2
Electrician (Inside)	1:1,1:3
Elevator/Escalator Construction & Modernizer	1:1,1:2
Glazier	1:1,1:3
Insulation & Asbestos Worker	1:1,1:3
Iron Worker	1:1,1:4
Laborer	1:1,1:3
Mason	1:1,1:4
Millwright	1:1,1:4
Op Engineer	1:1,1:5
Painter	1:1,1:3
Plumber & Steamfitter	1:1,1:3
Roofer	1:1,1:2
Sheet Metal Worker	1:1,1:3
Sprinkler Fitter	1:1,1:2

If you have any questions concerning the attached schedule or would like additional information, please contact the nearest BUREAU of PUBLIC WORK District Office or write to:

New York State Department of Labor Bureau of Public Work State Office Campus, Bldg. 12 Albany, NY 12240

District Office Locations:	Telephone #	FAX #
Bureau of Public Work - Albany	518-457-2744	518-485-0240
Bureau of Public Work - Binghamton	607-721-8005	607-721-8004
Bureau of Public Work - Buffalo	716-847-7159	716-847-7650
Bureau of Public Work - Garden City	516-228-3915	516-794-3518
Bureau of Public Work - Newburgh	845-568-5287	845-568-5332
Bureau of Public Work - New York City	212-932-2419	212-775-3579
Bureau of Public Work - Patchogue	631-687-4882	631-687-4902
Bureau of Public Work - Rochester	585-258-4505	585-258-4708
Bureau of Public Work - Syracuse	315-428-4056	315-428-4671
Bureau of Public Work - Utica	315-793-2314	315-793-2514
Bureau of Public Work - White Plains	914-997-9507	914-997-9523
Bureau of Public Work - Central Office	518-457-5589	518-485-1870

#### Westchester County General Construction

#### Boilermaker

## JOB DESCRIPTION Boilermaker

#### ENTIRE COUNTIES

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Sullivan, Ulster, Westchester WAGES

Per Hour:	07/01/2019	01/01/2020
Boilermaker Repairs & Renovations	\$ 59.17 59.17	\$ 61.24 61.24
SUPPLEMENTAL BENEFITS Per Hour:	07/01/2019	01/01/2020
Boilermaker Repair \$ Renovations	32% of hourly Wage Paid + \$ 25.35	32% of hourly Wage Paid + \$ 25.38

NOTE: "Hourly Wage Paid" shall include any and all premium(s) pay.

Repairs & Renovation Includes replacement of parts and repairs & renovation of existing unit.

## OVERTIME PAY

See (D, O) on OVERTIME PAGE Repairs & Renovation see (B,E,Q)

#### HOLIDAY

Paid: See (8, 16, 23, 24) on HOLIDAY PAGE Overtime: See (5, 6, 8, 11, 12, 15, 16, 22, 23, 24, 25) on HOLIDAY PAGE NOTE: \*Employee must work in pay week to receive Holiday Pay. \*\*Employee gets 4 times the hourly wage rate for working Labor Day.

#### **REGISTERED APPRENTICES**

#### Wage per hour:

(1/2) Year Terms at the following pecentage of Boilermaker's Wage

1st	2nd	3rd	4th	5th	6th	7th
65%	70%	75%	80%	85%	90%	95%

Supplemental Benefits Per Hour:

	07/01/2019	01/01/2020
Apprentice(s)	32% of Hourly	32% of Hourly
	Wage Paid Plus	Wage Paid Plus
	Amount Below	Amount Below
1st Term	\$ 19.38	\$ 19.41
2nd Term	20.24	20.26
3rd Term	21.08	21.11
4th Term	21.94	21.96
5th Term	22.79	22.82
6th Term	23.65	23.68
7th Term	24.48	24.52

NOTE: "Hourly Wage Paid" shall include any and all premium(s)

#### Carpenter

#### JOB DESCRIPTION Carpenter

#### ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

#### WAGES

Per hour:	07/01/2019

Piledriver	\$ 54.63
Dockbuilder	\$ 54.63

09/01/2019

## **DISTRICT** 4

#### Page 32

**DISTRICT** 8

4-5

09/01/2019

#### SUPPLEMENTAL BENEFITS

#### Per hour:

Journeyworker \$51.63

## OVERTIME PAY

See (B, E2, O)	on OVERT	ME PAGE		
<b>HOLIDAY</b> Paid:		See (1) on HOLIDAY PAGE.		
Paid: for 1st & 2 Apprentices	2nd yr.	See (5,6,11,1	3,25)	
Overtime: <b>REGISTERED</b> Wages per hou (1)year terms:	<b>) APPREN</b>	See (5,6,11,1 I <b>TICES</b>	3,25) on HOLI	IDAY PAGE.
	1st \$21.85	2nd \$27.32	3rd \$35.51	4th \$43.70

Supplemental benefits per hour:

All Terms: \$ 33.97

Carpenter

#### JOB DESCRIPTION Carpenter

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Suffolk, Westchester

#### WAGES

Per hour: 07/01/2019

Carpet/Resilient Floor Coverer

INCLUDES HANDLING & INSTALLATION OF ARTIFICIAL TURF AND SIMILAR TURF INDOORS/OUTDOORS.

#### SUPPLEMENTAL BENEFITS

Per hour:

\$ 45.83

\$ 50.50

## OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

#### HOLIDAY Paid:

See (18, 19) on HOLIDAY PAGE.

Paid for 1st & 2nd yr.	
Apprentices	See (5,6,11,13,16,18,19,25)
Overtime:	See (5,6,11,13,16,18,19,25) on HOLIDAY PAGE.

## REGISTERED APPRENTICES

wage per nour - (1) year tern	IS.			
	1st	2nd	3rd	4th
	\$20.20	\$25.25	\$32.83	\$40.40

Supplemental benefits per hour - all apprentice terms:

\$ 31.09

Carpenter

8-2287

8-1556 Db

09/01/2019

DISTRICT 8

09/01/2019

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

#### WAGES

Per Hour: 07/01/2019

Marine Construction:

Marine Diver	\$ 69.22
Marine Tender	49.14

#### SUPPLEMENTAL BENEFITS

Per Hour:

Journeyworker \$ 51.58

### **OVERTIME PAY**

See (B, E, E2, Q) on OVERTIME PAGE

#### HOLIDAY

 Paid:
 See (18, 19) on HOLIDAY PAGE

 Overtime:
 See (5, 6, 10, 11, 13, 16, 18, 19) on HOLIDAY PAGE

\$ 33.97

#### **REGISTERED APPRENTICES**

Wages per hour: One (1) year terms.

1st year	\$ 21.85
2nd year	27.32
3rd year	35.51
4th year	43.70

Supplemental Benefits Per Hour:

All terms

8-1456MC

09/01/2019

#### Carpenter

JOB DESCRIPTION Carpenter

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester

#### WAGES

Per hour: 07/01/2019

Building

Millwright \$ 54.20

## SUPPLEMENTAL BENEFITS

Per hour: Millwright

\$ 53.66

OVERTIME PAY See (B, E, Q) on OVERTIME PAGE

## HOLIDAY

Paid: See (18,19) on HOLIDAY PAGE.

### Overtime See (5,6,8,11,13,18,19,25) on HOLIDAY PAGE.

### **REGISTERED APPRENTICES**

Wages per hour:

One (1) year terms:

1st.	2nd.	3rd.	4th.
\$29.16	\$34.46	\$39.76	\$50.36

Supplemental benefits per hour:

DISTRICT 8

Last Published on Sep 01 2019			PRC Number 201	9012478 Westchester County			
One (1) year terms:	:						
¢	1st.	2nd.	3rd.	4th.			
Φΰ	54.51	<b>\$</b> 30.10	<b></b> φ42.40	<b>Φ49.1</b> Ζ			8-740.1
Carpenter							09/01/2019
JOB DESCRIPTI	ON Car	rpenter				DISTRICT 8	
ENTIRE COUNTI Bronx, Kings, Nass	<b>ES</b> au, New	York, Queens	s, Richmond, S	Suffolk, Weste	chester		
WAGES							
Per Hour:		07/01/2019					
Timberman		\$ 50.05					
SUPPLEMENTAL		FITS					
Per Hour:		07/01/2019					
		\$ 50.88					
OVERTIME PAY See (B, E, E2, Q) o	on OVER	TIME PAGE					
<b>HOLIDAY</b> Paid:		See (1) on H	OLIDAY PAGE	Ξ.			
Paid: for 1st & 2nd Apprentices	yr.	See (5,6,11,1	13,25)				
Overtime:		See (5,6,11,1	13,25) on HOL	IDAY PAGE.			
<b>REGISTERED AF</b> Wages per hour: One (1) year terms	P <b>PREN</b> ' s:	TICES					
\$2	1st 20.02	2nd \$25.03	3rd \$32.53	4th \$40.04			
Supplemental bene	efits per l	hour:					
All terms		\$ 33.61					8-1556 Tm
Carpenter							09/01/2019

Published by the New York State Department of Labor

**DISTRICT** 8

## Carpenter

**Driller Helper** 

#### JOB DESCRIPTION Carpenter

Prevailing Wage Rates for 07/01/2019 - 06/30/2020

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Westchester

#### **PARTIAL COUNTIES**

Orange: South of but including the following, Waterloo Mills, Slate Hill, New Hampton, Goshen, Blooming Grove, Mountainville, east to the Hudson River.

Putnam: South of but including the following, Cold Spring, TompkinsCorner, Mahopac, Croton Falls, east to Connecticut border. Suffolk: West of Port Jefferson and Patchogue Road to Route 112 to the Atlantic Ocean.

Per hour:		
Core Drilling: Driller		

Note: Hazardous Waste Pay Differential:

For Level C, an additional 10% above wage rate per hour

For Level B, an additional 10% above wage rate per hour

For Level A, an additional 10% above wage rate per hour

Note: When required to work on water: an additional \$ 0.50 per hour.

#### SUPPLEMENTAL BENEFITS

Per hour:

07/01/2019

07/01/2019

\$40.44

32.12

Carpenter - Bulldin	g / neavy&nignway	09/01/2019
Corportor Buildin	a / Hoovy & Highwov	00/01/2010
	** See (8,10,11,13) on HOLIDAY PAGE.	8-1536-CoreDriller
Overtime:	* See (5,6) on HOLIDAY PAGE.	
Paid:	See (5,6) on HOLIDAY PAGE.	
HOLIDAY		
OVERTIME PAY OVERTIME:	See (B,E,K*,P,R**) on OVERTIME PAGE.	
Driller and Helper	\$ 26.70	
Driller and Llelner	¢ 26 70	

JOB DESCRIPTION Carpenter - Building / He	eavy&Highway	DISTE	RICT 11
ENTIRE COUNTIES Putnam, Rockland, Westchester			
WAGES WAGES:(per hour)			
	07/01/2019	07/01/2020	07/01/2021
BUILDING/HEAVY & HIGHWAY/TUNNEL:		Additional	Additional
Carpenter	\$ 37.69	\$ 0.40	\$ 0.40
•	+ 7.61*		

\* Amount paid on all hours, it is not subject to overtime premium

SHIFT DIFFERENTIAL: When it is mandated by a Government Agency irregular or off shift can be worked. The Carpenter shall receive an additional fifteen percent (15%) of wage plus applicable benefits.

NOTE:Carpenters employed in the removal or abatement of asbestos or any toxic or hazardous material or required to work near asbestos or any toxic or hazardous material and required to wear protective equipment shall receive two (2) hours extra pay per day, plus applicable supplemental benefits.

#### SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker

\$ 31.13

#### **OVERTIME PAY**

BUILDING:

See ( B, E, Q ) on OVERTIME PAGE.

HEAVY&HIGHWAY/TUNNEL:

See ( B, E, P, \*R, \*\*T, X ) on OVERTIME PAGE. \*R applies to Heavy&Highway/Tunnel Overtime Holiday Code 25 with benefits at straight time rate. \*\*T applies to Heavy&Highway/Tunnel Overtime Holiday Codes 5 & 6 with benefits at straight time rate.

#### HOLIDAY

BUILDING:Paid:See (1) on HOLIDAY PAGE.Overtime:See (5, 6, 16, 25) on HOLIDAY PAGE.Holidays that fall on Sunday will be observed Monday.

HEAVY&HIGHWAY/TUNNEL:

Paid:	See (5, 6, 25) on HOLIDAY PAGE including benefits.
Overtime:	See ( 5, 6, 25 ) on HOLIDAY PAGE.

#### **REGISTERED APPRENTICES**

1 year terms at the following wage rates:

Indentured af	ter July 1 2016	i		
1st	2nd	3rd	4th	5th
\$18.85	\$22.61	\$24.50	\$26.38	\$30.15
+ 3.55*	+ 3.55*	+ 3.55*	+ 3.55*	+ 3.55*
Indentured be	efore July 1 20 <sup>-</sup>	16		
1st	2nd	3rd	4th	
\$18.85	\$22.61	\$26.38	\$30.15	
+ 3.55*	+ 3.55*	+ 3.55*	+ 3.55*	

\* Amount paid on all hours, it is not subject to overtime premium

### SUPPLEMENTAL BENEFITS per hour:

SOFF LEWENTAL BENEL		
All terms	\$ 16.33	B/HH
Electrician	09/01/2	2019
JOB DESCRIPTION E	trician DISTRICT 9	
ENTIRE COUNTIES		
Bronx, Kings, New York, C	ens, Richmond, Westchester	
WAGES		
Per hour:	07/01/2019	
Service Technician	\$ 32.40	
Service and Maintenance	Alarm and Security Systems.	
Maintenance, repair and Access - Life Safety Syste	replacement of defective (or damaged) equipment on, but not limited to, Burglar - Fire - Security - CCTV - C s and associated devices. (Whether by service contract of T&M by customer request.)	ard
SUPPLEMENTAL BEN	-its	
Per hour:		
Journeyworker:	\$ 16.10	
OVERTIME PAY See (B, E, Q) on OVERTI	PAGE	
HOLIDAY		
Paid:	See (1) on HOLIDAY PAGE	
Overtime.	See (3, 0, 11, 13, 10, 23, 20) ON NOLIDAT FAGE	9-3H
Electrician	09/01/2	2019
	trician DISTRICT 8	
ENTIRE COUNTIES Westchester		
WAGES		
Per hour:	07/01/2019	
Flootrigion/A Toobnigion	¢ 50.75	
Teledata	\$ 52.75	
Note: On a job where emp swinging scaffolds, etc. 4 assisted breathing appara building construction work	/ees are required to work on bridges over navigable waters, transmission towers, light poles, bosun chairs, eet or more above the water or ground or under compressed air, or tunnel projects under construction or wh is required, they will be paid at the rate of time and one-half for such work except on normal pole line or	ere
SUPPLEMENTAL REN	FITS	
Per hour:	7/01/2019	
Journeyworker	\$ 50.55	
<b>OVERTIME PAY</b> See (A, G, *J, P) on OVER *NOTE: Emergency work	ME PAGE I Sunday and Holidays is at the time and one-half overtime rate.	
HOLIDAY		

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

### **REGISTERED APPRENTICES**

(1) year terms at the following wage rates:

(1) year territe at the following wage rates.	
	07/01/2019
1st term	\$ 13.00
2nd term	15.00
3rd term	17.00
4th term	19.00
	_

8-3/W

#### 09/01/2019

#### JOB DESCRIPTION Electrician

## **ENTIRE COUNTIES**

## Westchester

Electrician

#### WAGES

1st term

2nd term

3rd term

4th term

MIJ 1-12 months

MIJ 13-18 months

	07/01/2019
Electrician	\$ 26.50
H - Telephone	\$ 26.50

Electrical and Teledata work of limited scope, consisting of repairs and /or replacement of defective electrical and teledata equipment. - Includes all work necessary to retrofit, service, maintain and repair all kinds of lighting fixtures and local lighting controls and washing and cleaning of foregoing fixtures.

\$ 9.49

12.39

13.73

15.06

12.08

13.38

See Electrician/A Technician classification for all new installations of wiring, conduit, junction boxes and light fixtures.

#### SUPPLEMENTAL BENEFITS

07/01/2019

Electrician & H - Telephone \$ 13.38

#### OVERTIME PAY

See (B, G, \*J, P) on OVERTIME PAGE

\*Note: Emergency work on Sunday and Holidays is at the time and one-half overtime rate.

HOLIDAY Paid:

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

#### **Elevator Constructor**

## JOB DESCRIPTION Elevator Constructor

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk

## PARTIAL COUNTIES

Rockland: Entire County except for the Township of Stony Point Westchester: Entire County except for the Townships of Bedford, Lewisboro, Cortland, Mt. Kisco, North Salem, Pound Ridge, Somers and Yorktown.

## WAGES

Dor hour:		
	07/01/2019	03/17/2020
Elevator Constructor	\$ 66.95	\$ 69.56
Modernization & Service/Repair <b>SUPPLEMENTAL BENEFITS</b> Per Hour:	\$ 52.44	\$ 54.56
Elevator Constructor	\$ 40.93	\$ 41.92
Modernization & Service/Repairs	\$ 39.90	\$ 40.86

## DISTRICT 8

09/01/2019

8-3m

**DISTRICT** 4

#### **OVERTIME PAY**

Constructor See ( D, M, T ) on OVERTIME PAGE.

Modern/Service See ( B, F, S ) on OVERTIME PAGE.

#### HOLIDAY

Paid:	See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PAGE

#### **REGISTERED APPRENTICES**

WAGES PER HOUR:

\*Note:1st Term is based on Average wage of Constructor & Modernization. Terms 2 thru 4 Based on Journeymans wage of classification Working in.

#### 1 YEAR TERMS:

1st Term* 50%	2nd Term 55%	3rd Term 65%	4th Term 75%
			10,0
Elevator Constructor	15		
1st Term	\$ 32.72	\$ 33.38	
2nd Term	33.51	34.20	
3rd Term	34.80	35.55	
4th Term	36.09	36.89	
Modernization &			
Service/Repair			
1st Term	\$ 32.66	\$ 33.33	
2nd Term	33.13	33.82	
3rd Term	34.36	35.09	
4th Term	35.58	36.36	

#### **Elevator Constructor**

#### JOB DESCRIPTION Elevator Constructor

### **DISTRICT** 1

4-1

09/01/2019

#### ENTIRE COUNTIES

Columbia, Dutchess, Greene, Orange, Putnam, Sullivan, Ulster

#### PARTIAL COUNTIES

Delaware: Towns of Andes, Bovina, Colchester, Davenport, Delhi, Harpersfield, Hemdon, Kortright, Meredith, Middletown, Roxbury, Hancock & Stamford

Rockland: Only the Township of Stony Point.

Westchester: Only the Townships of Bedford, Lewisboro, Cortland, Mt. Kisco, North Salem, Pound Ridge, Somers and Yorktown.

#### WAGES

Per Hour	07/01/2019	01/01/2020
Mechanic	\$ 58.57	\$ 60.49
Helper	70% of Mechanic Wage Rate	70% of Mechanic Wage Rate

Four (4), ten (10) hour days may be worked for New Construction and Modernization Work at straight time during a week, Monday thru Thursday or Tuesday thru Friday.

\*\*\*Four (4), ten (10) hour days are not permitted for Contract Work/Repair Work

NOTE - In order to use the '4 Day/10 Hour Work Schedule' as your normal schedule, you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule', form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

### SUPPLEMENTAL BENEFITS

Per hour		
	07/01/2019	01/01/2020
Journeyman/Helper		
	\$ 33.705*	\$ 34.825*

(\*)Plus 6% of regular hourly if less than 5 years of service. Plus 8% of regular hourly rate if more than 5 years of service. **OVERTIME PAY** 

**DISTRICT** 8

See (D, O) on OVERTIME PAGE

HOLIDAY Paid:

See (5, 6, 15, 16) on HOLIDAY PAGE See (5, 6, 15, 16) on HOLIDAY PAGE

Overtime: See (5, 6, 15, 16) on HOLIDAY PAGE Note: When a paid holiday falls on Saturday, it shall be observed on Friday. When a paid holiday falls on Sunday, it shall be observed on Monday.

#### **REGISTERED APPRENTICES**

Wages per h	iour:			
0-6 mo*	6-12 mo	2nd yr	3rd yr	4th yr
50 %	55 %	65 %	70 %	80 %

(\*)Plus 6% of the hourly rate, no additional supplemental benefits.

Supplemental Benefits per hour worked:

Same as Journeyman/Helper

1-138

## Glazier

09/01/2019

#### JOB DESCRIPTION Glazier

#### ENTIRE COUNTIES

Bronx, Dutchess, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Sullivan, Ulster, Westchester

Per hour:	7/01/2019
Glazier	\$ 56.25
*Scaffolding	57.25
Glass Tinting &	28.74
Window Film	
**Repair & Maintenance	28.74

\*Scaffolding includes swing scaffold, mechanical equipment, scissor jacks, man lifts, booms & buckets 24' or more, but not pipe scaffolding.

\*\*Repair & Maintenance- All repair & maintenance work on a particular building, whenever performed, where the total cumulative contract value is under \$100,000. All Glass tinting, window film, regardless of material or intended use, and all affixing of decals to windows or glass.

SUPPLEMENTAL BENEFITS	
Per hour:	7/01/2019
Journeyworker	\$ 33.39
Glass tinting &	19.39
Window Film	
Repair & Maintenance	19.39

#### **OVERTIME PAY**

See (C\*,D\* E2, O) on OVERTIME PAGE. (Premium is applied to the respective base wage only.)

\* If an optional 8th hour is required to complete the entire project, the same shall be paid at the regular rate of pay. If a 9th hour is worked, then both hours or more (8th & 9th or more) will be paid at double time rate of pay.

For 'Repair & Maintenance' and 'Glass Tinting & Window Film' see (B, B2, F, P) on overtime page.

#### HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (4, 6, 16, 25) on HOLIDAY PAGE
For 'Repair & Maintenance'	and 'Glass Tinting & Window Film' see (5, 6, 16, 25)

#### **REGISTERED APPRENTICES**

Wage per hour: (1) year terms at the following wage rates: 7/01/2019

\$ 19.44
27.59
33.35

4th term	44.77	
Supplemental Benefits:		
(Per hour)		
1st term	\$ 15.86	
2nd term	22.12	
3rd term	24.41	
4th term	28.76	
		8-1281 (DC9 NYC)
Insulator - Heat & Fros	t	09/01/2019
JOB DESCRIPTION Ins	ulator - Heat & Frost	DISTRICT 8
ENTIRE COUNTIES		
Dutchess, Orange, Putnam	n, Rockland, Westchester	

WAGES Per hour:	07/01/2019
Insulator	\$ 54.00
Discomfort & Additional Training**	\$ 56.94
Fire Stop Work*	\$ 28.94

\* Applies on all exclusive Fire Stop Work (When contract is for Fire Stop work only). No apprentices on these contracts only.

\*\*Applies to work requiring; garb or equipment worn against the body not customarily worn by insulators;psychological evaluation;special training, including but not limited to "Yellow Badge" radiation training

Note: Additional \$0.50 per hour for work 30 feet or more above floor or ground level.

#### SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker	\$ 33.35
Discomfort & Additional Training	\$ 35.27
Fire Stop Work: Journeyworker	\$ 17.02

#### **OVERTIME PAY**

See (B, E, E2, Q, \*T) on OVERTIME PAGE

#### HOLIDAY

Paid: See (1) on HOLIDAY PAGE Note: Last working day preceding Christmas and New Years day, workers shall work no later than 12:00 noon and shall receive 8 hrs pay.

Overtime: See (2\*, 4, 6, 16, 25) on HOLIDAY PAGE. \*Note: Labor Day triple time if worked.

#### **REGISTERED APPRENTICES**

(1) year terms:

Insulator Apprentices:

1st	2nd	3rd	4th
\$ 28.94	\$ 33.95	\$ 38.96	\$ 43.98

Discomfort &	Additional Tra	ining Apprentic	ces:
1st	2nd	3rd	4th
\$ 30.41	\$ 35.71	\$ 41.02	\$ 46.33

Supplemental Benefits paid per hour:

\$ 17.02
20.28

3rd term 4th term

4th term

Ironworker

d on Sep 01 2019		
	23.55	
	26.82	

28.36

8-91

#### 09/01/2019

9-197D/R

09/01/2019

OB DESCRIPTION Ironworker DISTRICT 9							
ENTIRE COUNTIES Bronx, Kings, Nassau, Ne	ew York, Queens	, Richmond, S	Suffolk, Westc	hester			
WAGES							
Per Hour:		07/01/2019					
Ironworker Rigger		\$ 62.84					
Ironworker Stone Derrickman		\$ 62.84					
SUPPLEMENTAL BEN	NEFITS						
Per hour:		\$ 39.79					
OVERTIME PAY See (B, D1, *E, Q, **V) or *Time and one-half shall I ** Benefits same premium	n OVERTIME PA be paid for all wo n as wages on H	GE ork on Saturda olidays only	y up to eight (	8) hours and d	ouble time sha	all be paid for all w	ork thereafter.
HOLIDAY Paid: Overtime: *Work stops at schedule I	See (18) on H See (5, 6, 8, 2 lunch break with	IOLIDAY PAG 25) on HOLIDA full day's pay.	E AY PAGE				
REGISTERED APPRE Wage per hour:	NTICES						
1/2 year terms at the follo	wing hourly wag	e rate:	ard	4th	5th	6th	
07/01/2018	\$31.42	\$31.42	\$44.54	\$43.07	\$54.41	\$54.41	
Supplemental benefits:							
Per hour:	\$19.97	\$19.97	\$30.02	\$30.02	\$30.02	\$30.02	
Ironworker							09
JOB DESCRIPTION Ir	onworker					DISTRICT 4	
ENTIRE COUNTIES Bronx, Kings, Nassau, Ne	ew York, Queens	, Richmond, S	Suffolk, Westc	hester			
WAGES							
Per Hour:		07/01/2019		1/1/2020 Additional			
Ornamental		\$45.15		\$ 1.25/hr			
Chain Link Fence		\$45.15		, -			
Guide Rail		\$45.15					
SUPPLEMENTAL BEN	NEFITS						
Journeyworker:		\$ 56.05					
OVERTIME PAY See (B, B1, Q, V) on OVE	ERTIME PAGE						
HOLIDAY	See (1) on H(		:				
Overtime:	See (5, 6, 25)	on HOLIDAY	PAGE				

## **REGISTERED APPRENTICES**

1st term represents first 1-10 months, thereafter (1/2) year terms at the following percentage of Journeyman's wage.

1st	2nd	3rd	4th	5th
50%	55%	60%	70%	80%

Supplemental Benefits per hour:

1st Term	\$ 17.89
2nd Term	19.14
3rd Term	19.14
4th Term	48.15
5th Term	50.78

#### Ironworker

#### JOB DESCRIPTION Ironworker

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

#### WAGES

PER HOUR:

07/01/2019

\$ 52.98

Ironworker: Structural Bridges Machinery

#### SUPPLEMENTAL BENEFITS PER HOUR:

PER HOUR.

Journeyman \$77.40

#### OVERTIME PAY See (B, B1, Q) on OVERTIME PAGE

## HOLIDAY

Paid: See (1) on HOLIDAY PAGE Overtime: See (5, 6, 18, 19) on HOLIDAY PAGE

## REGISTERED APPRENTICES

WAGES PER HOUR:

6 month terms at the following rate:

1st	\$26.62
2nd	\$27.22
3rd - 6th	\$27.83

Supplemental Benefits PER HOUR: All Terms

#### Ironworker

## JOB DESCRIPTION Ironworker

ENTIRE COUNTIES Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

\$53.60

#### **PARTIAL COUNTIES**

Rockland: Southern section - south of Convent Road and east of Blue Hills Road.

WAGES Per hour:	07/01/2019
Reinforcing & Metal Lathing	\$ 56.23
"Base" Wage	\$ 46.23 plus \$ 10.00

## **DISTRICT** 4

4-40/361-Str

09/01/2019

4-580-Or

09/01/2019

#### **DISTRICT** 4

Page 43

"Base" Wage is	used to calculate overti	me hours only.				
SUPPLEMENT Per hour:	TAL BENEFITS					
Reinforcing & Metal Lathing		\$ 35.30				
<b>OVERTIME PA</b> See (B, E, Q, *X *Only \$22.00 pe	Y ) on OVERTIME PAGE r Hour for non worked h	ours				
<b>HOLIDAY</b> Paid: Overtime:	See (1) on See (5, 6, 1	HOLIDAY PAGE 1, 13, 18, 19, 25) or	1 HOLIDAY PAGI	E		
<b>REGISTERED</b> (1) year terms a Wages Per Hou	APPRENTICES t the following wage rate	es:				
1st term \$ 26.38 SUPPLEMENTA Per Hour:	2nd term \$ 30.38 AL BENIFITS	5	3rd term § 35.38	4th Term \$ 37.38		
1st term \$ 15.37	2nd term \$ 17.37		3rd term § 19.33	4th Term \$ 20.33		4-46Reinf
Laborer - Bui	lding					09/01/2019
JOB DESCRIF ENTIRE COUN Putnam, Westch	PTION Laborer - Buildi NTIES lester	ng			DISTRICT 8	
WAGES		07/01/2019				
Laborer		\$ 39.90				
Laborer - Asbes Materials Remo	tos & Hazardous oval	\$ 41.55*				
* Abatement/Re - Lead based - Asbestos co	moval of: or lead containing paint ntaining roofs and roofi	on materials to be r ng material is classif	epainted is class ied as Roofer.	ified as Painter.		
NOTE: Upgrade at nuclear powe	/Material condition work	c plan for work perfo	rmed during non-	outage under a wag	e formula of 90% wage/10	0% fringe benefits
SUPPLEMENT Per hour:	AL BENEFITS	07/01/2019				
Journeyworker		\$ 26.30				
OVERTIME PA See (B, E, E2, C *Note: For Sund	<b>AY</b> a, *V) on OVERTIME PA ays and Holidays worke	AGE ed benefits are at the	e same premium a	as wages.		
<b>HOLIDAY</b> Paid: Overtime:	See (1) on See (5, 6, 1	HOLIDAY PAGE 6, 25) on HOLIDAY	PAGE			
REGISTERED LABORER ONL Hourly terms at	APPRENTICES Y the following wage:					
ie ing terme at			1			
	0-1000 \$ 23.90	1001-2000 \$ 27.50	2001-3 \$ 3	3000 1.50	3001-4000 \$ 38.00	4001+ \$ 39.80

Supplemental Benefits per hour:

Apprentices	
Level A	\$ 12.35
Level B	15.20
Level C	17.80
Level D	18.20
Level E	26.40

8-235/B

09/01/2019

Laborer - Heavy&Highway

**DISTRICT** 8

JOB DESCRIPTION Laborer - Heavy&Highway ENTIRE COUNTIES

#### Putnam, Westchester

#### WAGES

\*\*PUTNAM: APPLIES TO ALL HEAVY & HIGHWAY WORK EXCLUDING HIGHWAYS, STREETS, AND BRIDGES\*\*

#### GROUP I: Blaster and Quarry Master

GROUP II: Burner, Drillers(jumbo, joy, wagon, air track, hydraulic), Drill Operator, Self Contained Rotary Drill, Curbs/ Asphalt Screedman/Raker, Bar Person.

GROUP III: Pavement Breakers, Jeeper Operator, Jack Hammer, Pneumatic Tools (all), Gas Driller, Guniting, Railroad Spike Puller, Pipelayer, Chain Saw, Deck winches on scows, Power Buggy Operator, Power Wheelbarrow Operator, Bar Person Helper.

GROUP IV: Concrete Laborers, Asph. Worker, Rock Scaler, Vibrator Oper., Bit Grinder, Air Tamper, Pumps, Epoxy (adhesives, fillers and troweled on), Barco Rammer, Concrete Grinder, Crack Router Operator, Guide Rail-digging holes and placing concrete and demolition when not to be replaced, distribution of materials and tightening of bolts.

GROUP V: Drillers Helpers, Common Laborer, Mason Tenders, Signal Person, Pit Person, Truck Spotter, Powder Person, Landscape/Nursery Person, Dump Person, Temp. Heat.

GROUP VIA: Asbestos/Toxic Waste Laborer-All removal (Roads, Tunnels, Landfills, etc.) Confined space laborer

Wages:(per hour)	07/01/2019	03/29/2020 Additional
GROUP I	\$43.28*	\$ 2.25
GROUP II	41.93*	
GROUP III	41.53*	
GROUP IV	41.18*	
GROUP V	40.83*	
GROUP VIA	42.83*	
Operator Qualified		
Gas Mechanic	53.28*	
Flagperson	34.48*	

\*NOTE: To calculate overtime premiums, deduct \$0.10 from above wages

SHIFT WORK: A shift premium will be paid on Public Work contracts for off-shift or irregular shift work when mandated by the NYS D.O.T. or other Governmental Agency contracts. Employees shall receive an additional 15% per hour above current rate for all regular and irregular shift work. Premium pay shall be calculated using the 15% per hour differential as base rate.

## SUPPLEMENTAL BENEFITS

Per hour:	
Journeyworker:	
First 40 Hours	
Per Hour	\$23.32
Over 40 Hours	
Per Hour	17.42

#### **OVERTIME PAY**

See (B, E, P, R, S) on OVERTIME PAGE

See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE
See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE
For Holiday Overtime: 5, 6 - Code 'S' applies
For Holiday Overtime: 8, 9, 15, 25 - Code 'R' applies

#### **REGISTERED APPRENTICES**

	1st term	2nd term	3rd term	4th term
	1-1000hrs	1001-2000hrs	2001-3000hrs	3001-4000hrs
07/01/2019	\$ 23.26	\$ 27.44	\$ 31.62	\$ 35.71

Supplemental Benefits per hour:

1st term	\$ 3.85 - After 40 hours: \$ 3.60
2nd term	\$ 3.95 - After 40 hours: \$ 3.60
3rd term	\$ 4.45 - After 40 hours: \$ 4.00
4th term	\$ 5.00 - After 40 hours: \$ 4.50

#### Laborer - Tunnel

#### JOB DESCRIPTION Laborer - Tunnel

#### **ENTIRE COUNTIES**

Columbia, Dutchess, Greene, Orange, Otsego, Putnam, Rockland, Sullivan, Ulster, Westchester

#### PARTIAL COUNTIES

Chenango: Townships of Columbus, Sherburne and New Berlin. Delaware: Townships of Andes, Bovina, Middletown, Roxbury, Franklin, Hamden, Stamford, Delhi, Kortright, Harpersfield, Merideth and Davenport.

#### WAGES

Class 1: All support laborers/sandhogs working above the shaft or tunnel.

Class 2: All laborers/sandhogs working in the shaft or tunnel.

Class 4: Safety Miners

Class 5: Site work related to Shaft/Tunnel

WAGES: (per hour)

	07/01/2019	07/01/2020	07/01/2021	07/01/2022
Class 1	\$ 49.05	\$ 50.45	\$ 51.95	\$ 53.45
Class 2	51.20	52.60	54.10	55.60
Class 4	57.60	59.00	60.50	62.00
Class 5	41.00	42.25	43.50	44.80

Toxic and hazardous waste, lead abatement and asbestos abatement work will be paid an additional \$ 3.00 an hour.

SHIFT DIFFERENTIAL...On all Government mandated irregular shift work:

- Employee shall be paid at time and one half the regular rate Monday through Friday.
- Saturday shall be paid at 1.65 times the regular rate.
- Sunday shall be paid at 2.15 times the regular rate.

#### SUPPLEMENTAL BENEFITS

Per hour:

Benefit 1	\$ 31.03	\$ 32.15	\$ 33.25	\$ 34.45
Benefit 2	46.48	48.15	49.80	51.60
Benefit 3	61.93	64.15	66.35	68.75

Benefit 1 applies to straight time hours, paid holidays not worked.

Benefit 2 applies to over 8 hours in a day (M-F), irregular shift work hours worked, and Saturday hours worked.

Benefit 3 applies to Sunday and Holiday hours worked.

#### **OVERTIME PAY**

See (B, E, Q, X) on OVERTIME PAGE

HOLIDAY

Paid:	See (5, 6, 15, 25) on HOLIDAY PAGE
Overtime:	See (5, 6, 15, 16, 25) on HOLIDAY PAGE

When a recognized Holidays falls on Saturday or Sunday, holidays falling on Saturday shall be recognized or observed on Friday and holidays falling on Sunday shall be recognized or observed on Monday. Employees ordered to work on the Saturday or Sunday of the holiday or on the recognized or the observed Friday or Monday for those holidays falling on Saturday or Sunday shall receive double time the established rate and benefits for the holiday.

#### **REGISTERED APPRENTICES**

FOR APPRENTICE RATES, refer to the appropriate Laborer Heavy & Highway wage rate contained in the wage schedule for the County and location where the work is to be performed.

8-60H/H

09/01/2019

## DISTRICT 11

\_\_\_\_

11-17/60/235/754Tun

#### Lineman Electrician

#### JOB DESCRIPTION Lineman Electrician

#### ENTIRE COUNTIES

Westchester

#### WAGES

Below rates apply to electrical overhead and underground distribution and maintenance work and overhead and underground transmission line work, electrical substations, switching structures, continuous pipe-type underground fluid or gas filled transmission conduit and cable installations, maintenance jobs or projects, railroad catenary installations and maintenance, third rail installations, the bonding of rails and the installation of fiber optic cable. (Ref #14.04.01)

Includes Teledata Work performed within ten (10) feet of high voltage (600 volts or over) transmission lines.

Per hour:	07/01/2019	05/04/2020	
Lineman, Tech, Welder	\$ 54.81	\$ 56.51	
Crane, Crawler Backhoe	54.81	56.51	
Cable Splicer-Pipe Type	60.29	62.16	
Digging Mach Operator	49.33	50.86	
Cert. Welder-Pipe Type	57.55	59.34	
Tractor Trailer Driver	46.59	48.03	
Groundman, Truck Driver	43.85	45.21	
Equipment Mechanic	43.85	45.21	
Flagman	32.89	33.91	

Additional \$1.00 per hour for entire crew when a helicopter is used.

NOTE: THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

1ST SHIFT	8:00 AM TO 4:30 PM	REGULAR RATE
2ND SHIFT	4:30 PM TO 1:00 AM	REGULAR RATE PLUS 17.3%
3RD SHIFT	12:30 AM TO 9:00 AM	I REGULAR RATE PLUS 31.4%

#### \*\* IMPORTANT NOTICE \*\*

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day. \*Effective 05/06/2013, Tuesday thru Friday may be worked with no make-up day.

NOTE - In order to use the '4 Day/10 Hour Work schedule', as your normal schedule, you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

#### SUPPLEMENTAL BENEFITS

Per hour worked (but also required on non-worked holidays):

Journeyman	\$ 24.15	\$ 24.90
	*plus 6.75% of	*plus 6.75% of
	hourly wage	hourly wage

\*The 6.75% is based on the hourly wage paid, straight time or premium time.

#### **OVERTIME PAY**

See ( B, E, Q, ) on OVERTIME PAGE. \*Note\* Double time for emergency work designated by the Dept of Jurisdiction. NOTE: WAGE CAP...Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

#### HOLIDAY

Paid	See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day.
Overtime	See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day.

NOTE: All paid holidays falling on Saturday shall be observed on the preceding Friday. All paid holidays falling on Sunday shall be observed on the following Monday. Supplements for holidays paid at straight time.

#### **REGISTERED APPRENTICES**

WAGES: Per hour. 1000 hour terms.

07/01/2019

09/01/2019

#### **DISTRICT** 6

#### Prevailing Wage Rates for 07/01/2019 - 06/30/2020 Last Published on Sep 01 2019

1st term	\$ 32.89	\$ 33.91
2nd term	35.63	36.73
3rd term	38.37	39.56
4th term	41.11	42.38
5th term	43.85	45.21
6th term	46.59	48.03
7th term	49.33	50.86

SUPPLEMENTAL BENEFITS: Same as Journeyman

6-1249aWest

09/01/2019

#### Lineman Electrician - Teledata

#### JOB DESCRIPTION Lineman Electrician - Teledata

#### **DISTRICT** 6

01/01/2021

**DISTRICT** 6

#### **ENTIRE COUNTIES**

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

01/01/2020

#### WAGES

#### Per hour:

For outside work, stopping at first point of attachment (demarcation). 07/01/2019

	0110112010	0 110 112020	0 110 11202 1
Cable Splicer	\$ 32.78	\$ 33.77	\$ 34.78
Installer, Repairman	\$ 31.12	\$ 32.05	\$ 33.01
Teledata Lineman	\$ 31.12	\$ 32.05	\$ 33.01
Tech., Equip. Operator	\$ 31.12	\$ 32.05	\$ 33.01
Groundman	\$ 16.49	\$ 16.99	\$ 17.50

NOTE: EXCLUDES Teledata work within ten (10) feet of High Voltage (600 volts and over) transmission lines. For this work please see LINEMAN.

NOTE: THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED:

1ST SHIFT	REGULAR RATE
2ND SHIFT	REGULAR RATE PLUS 10%
3RD SHIFT	REGULAR RATE PLUS 15%

#### SUPPLEMENTAL BENEFITS

Per hour:			
Journeyman	\$ 4.73	\$ 4.73	\$ 4.73
-	*plus 3% of	*plus 3% of	*plus 3% of
	wage paid	wage paid	wage paid

\*The 3% is based on the hourly wage paid, straight time rate or premium rate.

#### **OVERTIME PAY**

See (B, E, Q) on OVERTIME PAGE

NOTE: WAGE CAP...Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

## HOLIDAY

Paid:		
Overtime:		

See (1) on HOLIDAY PAGE See (5, 6, 16) on HOLIDAY PAGE

#### 6-1249LT - Teledata

#### Lineman Electrician - Traffic Signal, Lighting

**JOB DESCRIPTION** Lineman Electrician - Traffic Signal, Lighting

## ENTIRE COUNTIES

Westchester

#### WAGES

09/01/2019

Lineman/Technician shall perform all overhead aerial work. A Lineman/Technician on the ground will install all electrical panels, connect all grounds, install and connect all electrical conductors which includes, but is not limited to road loop wires; conduit and plastic or other type pipes that carry conductors, flex cables and connectors, and to oversee the encasement or burial of such conduits or pipes.

A Groundman/Groundman Truck Driver shall: Build and set concrete forms, handle steel mesh, set footer cages, transport concrete in a wheelbarrow, hand or machine concrete vibrator, finish concrete footers, mix mortar, grout pole bases, cover and maintain footers while curing in cold weather, operate jack hammer, operate hand pavement breaker, tamper, concrete and other motorized saws, as a drill helper, operate and maintain generators, water pumps, chainsaws, sand blasting, operate mulching and seeding machine, air tools, electric tools, gas tools, load and unload materials, hand shovel and/or broom, prepare and pour mastic and other fillers, assist digger operator equipment operator in ground excavation and restoration, landscape work and painting. Only when assisting a lineman technician, a groundman/truck driver may assist in installing conduit, pipe, cables and equipment.

A flagger's duties shall consist of traffic control only. (Ref #14.01.03)

Per hour:

	07/01/2019	05/04/2020
Lineman, Technician	\$ 50.16	\$ 51.61
Crane, Crawler Backhoe	50.16	51.61
Certified Welder	52.67	54.19
Digging Machine	45.14	46.45
Tractor Trailer Driver	42.64	43.87
Groundman, Truck Driver	40.13	41.29
Equipment Mechanic	40.13	41.29
Flagman	30.10	30.97

Above rates applicable on all Lighting and Traffic Signal Systems with the installation, testing, operation, maintenance and repair of all traffic control and illumination projects, traffic monitoring systems, road weather information systems, and the installation of Fiber Optic Cable.

NOTE: THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

1ST SHIFT	8:00 AM TO 4:30 PM REGULAR RATE
2ND SHIFT	4:30 PM TO 1:00 AM REGULAR RATE PLUS 17.3%
3RD SHIFT	12:30 AM TO 9:00 AM REGULAR RATE PLUS 31.4%

#### \*\* IMPORTANT NOTICE \*\*

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day. \*Effective 05/06/2013, Tuesday thru Friday may be worked with no make-up day.

NOTE - In order to use the '4 Day/10 Hour Work schedule', as your normal schedule, you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

#### SUPPLEMENTAL BENEFITS

Per hour worked (but also required on non-worked holidays):

Journeyman	\$ 24.15	\$ 24.90
	*plus 6.75% of	*plus 6.75% of
	hourly wage	hourly wage

\*The 6.75% is based on the hourly wage paid, straight time rate or premium rate. Supplements paid at STRAIGHT TIME rate for holidays.

#### **OVERTIME PAY**

See (B, E, Q) on OVERTIME PAGE. \*Note\* Double time for emergency work designated by the Dept. of Jurisdiction. NOTE: WAGE CAP...Double the straight time hourly base wage shall be the maximum hourly wage compensation for any hour worked. Contractor is still responsible to pay the hourly benefit amount for each hour worked.

#### HOLIDAY

Paid: See (5, 6, 8, 13, 25) on HOLIDAY PAGE and Governor of NYS Election Day. Overtime: See (5, 6, 8, 13, 25) on HOLIDAY PAGE and Governor of NYS Election Day.

NOTE: All paid holidays falling on Saturday shall be observed on the preceding Friday. All paid holidays falling on Sunday shall be observed on the following Monday. Supplements for holidays paid at straight time.

#### **REGISTERED APPRENTICES**

WAGES: Per hour. 1000 hour terms.

#### Prevailing Wage Rates for 07/01/2019 - 06/30/2020 Last Published on Sep 01 2019

6-1249aWestLT

09/01/2019

09/01/2019

	07/01/2019	05/04/2020
1st term	\$ 30.10	\$ 30.97
2nd term	32.60	33.55
3rd term	35.11	36.13
4th term	37.62	38.71
5th term	40.13	41.29
6th term	42.64	43.87
7th term	45.14	46.45

SUPPLEMENTAL BENEFITS: Same as Journeyman

#### Mason - Building

JOB DESCR	IPTION Mas	son - Building					DISTRICT 9	)	
ENTIRE COU Nassau, Rock	JNTIES land, Suffolk,	Westchester							
WAGES									
Per hour:			07/01/2019		12/02/2019 Additional		06/01/2020 Additional		
Tile Setters			\$ 58.95		\$0.88		\$0.88		
SUPPLEMEI Per Hour:	NTAL BENE	FITS							
			\$ 24.56*+ \$9.	34					
OVERTIME I See (B, E, Q, * This portion Work beyond	PAY V) on OVERT of benefits sul 10 hours on S	TME PAGE bject to same Saturday shall	premium rate a be paid at dou	as shown for c ble the hourly	overtime wage wage rate.	S.			
<b>HOLIDAY</b> Paid: Overtime:		See (1) on H0 See (5, 6, 11,	DLIDAY PAGE 15, 16, 25) or	HOLIDAY PA	AGE				
<b>REGISTERE</b> Wage per hou	<b>D APPREN</b> T	TICES							
Tile Setters: (750 hour) terr	n at the follov	ving wage rate	:						
Term:									
1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
1-	751-	1501-	2251-	3001-	3751-	4501-	5251-	6001-	6501-
750	1500	2250	3000	3750	4500	5250	6000	6750	7000
\$19.73	\$24.39	\$31.20	\$35.85	\$39.19	\$42.34	\$45.70	\$50.35	\$53.02	\$56.68
Supplemental	Benefits per l	hour:							
1st \$ 13.20	2nd \$ 13.25	3rd \$ 15.85	4th \$ 15.90	5th \$ 17.27	6th \$ 18.82	7th \$ 20.17	8th \$ 20.22	9th \$22.26	10th \$28.01 9-7/52A

## Mason - Building

#### JOB DESCRIPTION Mason - Building

**ENTIRE COUNTIES** Putnam, Rockland, Westchester

**PARTIAL COUNTIES** Orange: Only the Township of Tuxedo.

### WAGES

07/01/2019
\$ 42.09
42.09
42.09
42.09

**DISTRICT** 11

Additional \$1.00 per hour for power saw work Additional \$0.50 per hour for swing scaffold or staging work

SHIFT WORK: When shift work or an irregular work day is mandated or required by state, federal, county, local or other governmental agency contracts, the following premiums apply:

Irregular work day requires 15% premium Second shift an additional 15% of wage plus benefits to be paid Third shift an additional 25% of wage plus benefits to be paid

#### SUPPLEMENTAL BENEFITS

Per hour:

Journeyman

## **OVERTIME PAY**

OVERTIME: Cement Mason See ( B, E, Q, W ) on OVERTIME PAGE. All Others See ( B, E, Q ) on OVERTIME PAGE.

#### HOLIDAY

Paid: Overtime:

See (1) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE

Whenever any of the above holidays fall on Sunday, they will be observed on Monday. Whenever any of the above holidays fall on Saturday, they will be observed on Friday.

#### **REGISTERED APPRENTICES**

Wages per hour:

750 hour terms at the following percentage of Journeyman's wage

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%
<b>•</b> •							

\$ 35.00

Supplemental Benefits per hour

750 hour terms at the following percentage of journeyman supplements							
1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Apprentices indentured before June 1st, 2011 receive full journeyman benefits

11-5wp-b

Mason - Building					09/01/2019
JOB DESCRIPTION Mas	son - Building		DIST	RICT 9	
ENTIRE COUNTIES Bronx, Kings, Nassau, New	York, Queens, Richmond, S	Suffolk, Westchester			
WAGES Building:					
Wages per hour:		07/01/2019	01/01/2020 Additional \$0.95	06/01/2020 Additional \$0.95	
Mosaic & Terrazzo Mechan	ic	\$56.41			
Mosaic & Terrazzo Finisher		\$54.81			
SUPPLEMENTAL BENE Per hour:	FITS				
Mosaic & Terrazzo Mechan	ic	\$ 25.11* plus \$11.13			
Mosaic & Terrazzo Finisher		\$ 25.11* plus \$11.11			
OVERTIME PAY See (A, E, Q) on OVERTIM Deduct \$6.50 from hourly w *This portion of benefits sub	E PAGE rages before calculating ove bject to same premium rate	rtime. as shown for overtime wage	es.		
HOLIDAY Paid: Overtime:	See (1) on HOLIDAY PAG See (5, 6, 8, 11, 15, 16, 25	E ) on HOLIDAY PAGE			

Easter Sunday is an observed holiday. Holidays falling on a Saturday will be observed on that Saturday. Holidays falling on a Sunday will be celebrated on the Monday.

## **REGISTERED APPRENTICES**

Wages per hour:

the fellowin

(750 Hour) terms at the fo	ollowing wage r	ate.						
07/01/2019	1st \$ 24.95	2nd \$ 27.43	3rd \$ 29.94	4th \$ 32.42	5th \$ 34.94	6th \$ 37.41	7th \$ 42.40	8th \$ 47.40
Supplemental benefits pe	r hour:							
07/01/2019	\$ 12.56* +\$8.82	\$ 13.82* +\$9.71	\$ 15.07* +\$10.58	\$ 16.33* +\$11.47	\$ 17.58* +\$12.34	\$ 18.84* +\$13.24	\$ 21.35* +\$15.02	\$ 23.86* +\$16.67
Apprentices hired after 07 Wages Per hour:	7/01/2017`:							
	1st 0- 1500	2nd 1501- 3000	3rd 3001- 3750	4th 3751- 4500	5th 4501- 5250	6th 5251- 6000		
07/01/2019	\$19.73	\$25.37	\$33.84	\$39.49	\$45.13	\$50.71		
Supplemental Benefits pe	er hour:							
07/01/2019	1st \$8.79* +\$3.91	2nd \$11.30* +\$5.02	3rd \$15.07* +\$6.68	4th \$17.58* +\$7.79	5th \$20.09* +\$8.90	6th \$22.60* +\$10.02		9-7/3
Mason - Building								09/01/2019
JOB DESCRIPTION M ENTIRE COUNTIES Bronx, Kings, Nassau, Ne WAGES Per hour:	lason - Building ew York, Queer	9 ns, Richmond, 07/01/2019	Suffolk, Westo	chester 01/01/2020	)	<b>DISTRICT</b> 9 07/01/2020		
Building-Marble Restorati	on:			Additional		Additional		
Marble, Stone & Terrazzo Polisher, etc <b>SUPPLEMENTAL BEN</b> Per Hour: Journeyworker:	IEFITS	\$ 42.81		\$1.10		\$1.10		
Building-Marble Restorati Marble, Stone & Polisher	on:	\$ 28.06						
OVERTIME PAY See (B, *E, Q, V) on OVE *ON SATURDAYS, 8TH F	RTIME PAGE HOUR AND SU	ICCESSIVE H	OURS PAID A		OURLY RATE			
HOLIDAY Paid: Overtime: 1ST TERM APPRENTICE REGISTERED APPRE WAGES per hour:	See (1) on F See (5, 6, 8, E GETS PAID F <b>NTICES</b>	HOLIDAY PAG 11, 15, 25) or FOR ALL OBS	E HOLIDAY PA ERVED HOLII	AGE DAYS.				

900 hour term at the following wage:

07/01/2019	1st	2nd	3rd	4th
	1-	901-	1801-	2701
	900	1800	2700	

Prevailing Wage Rates for 07/01/2019 - 06/30/2020 Last Published on Sep 01 2019					Published by the New York State Department of Labor PRC Number 2019012478 Westchester County					
		\$29.91		\$34.21		\$38.51		\$42.81		
Supplementa	al Benefits Pe	er Hour:								
		\$ 25.52		\$ 26.37		\$ 27.21		\$ 28.06	9-7/24-MP	
Mason - B	uilding								09/01/2019	
JOB DESC	RIPTION M	ason - Buildin	g			DISTRICT 9				
ENTIRE CO Bronx, Dutch	DUNTIES ness. Kings. N	lassau. New Y	York, Orange, I	Putnam. Quee	ns. Richmond.	Rockland, Su	ıffolk. Sullivan.	Ulster, Westc	hester	
WAGES		,		,	,	,	,,	,		
Wages:		07/01/2019		12/30/2019		06/29/2020				
						Additiona	I	Additional		
Marble Cutte	ers & Setters			\$ 59.44		\$0.47	\$0.47		\$0.95	
SUPPLEMI Per Hour:	ENTAL BEN	EFITS								
Journeywork	ker			\$ 36.73						
OVERTIME See (B, E, Q	E <b>PAY</b> 9, V) on OVEF	TIME PAGE								
HOLIDAY Paid: Overtime:		See (1) on HOLIDAY PAGE See (5, 6, 8, 11, 15, 16, 25) on HOLIDAY PA								
REGISTER Wage Per H	ED APPREI	NTICES	, , , , _	-,						
7500 hour te	erms at the fol	lowing wage.								
1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	
1- 750	751- 1500	1501- 2250	2251- 3000	3001- 3750	3751- 4500	4501- 5250	5251- 6000	6001- 6751	6751- 7500	
07/01/2019 \$23.72	\$26.69	\$29.66	\$32.65	\$36.21	\$38.59	\$41.56	\$44.55	\$50.50	\$56.47	
Supplementa	al Benefits pe	r hour:								
1st \$21.14	2nd \$22.44	3rd \$23.76	4th \$25.04	5th \$26.35	6th \$27.65	7th \$28.95	8th \$30.24	9th \$32.84	10th \$35.43 9-7/4	
Mason - B	uilding								09/01/2019	
JOB DESC	RIPTION M	ason - Buildin	a				DISTRICT	9		
ENTIRE CO	DUNTIES ckland, Suffoll	k, Westcheste	r				21011101	0		
WAGES Per hour:			07/01/2019 \$ 45.54		12/02/2019		06/01/202	0		
Tile Finisher					Additional \$0.73	Additional \$0.72		I		
SUPPLEMI Per Hour:	ENTAL BEN	EFITS								
OVERTIME See (B, E, Q	<b>PAY</b> , *V) on OVE	RTIME PAGE	\$ 21.26* + \$	\$9.17						

\*This portion of benefits subject to same premium rate as shown for overtime wages Work beyond 10 hours on a Saturday shall be paid at double the hourly wage rate.

## HOLIDAY

See (1) on HOLIDAY PAGE See (5, 6, 11, 15, 16, 25) on HOLIDAY PAGE

9-7/88A-tf

#### Mason - Building 09/01/2019 JOB DESCRIPTION Mason - Building **DISTRICT** 9 **ENTIRE COUNTIES** Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester WAGES 07/01/2019 01/01/2020 07/01/2020 Per hour: Marble, Stone, etc. Additional Additional Maintenance Finishers: \$24.31 \$0.68 \$0.67 Note 1: An additional \$2.00 per hour for time spent grinding floor using "60 grit" and below. Note 2: Flaming equipment operator shall be paid an additional \$25.00 per day. SUPPLEMENTAL BENEFITS Per Hour: Marble, Stone, etc Maintenance Finishers: \$13.72 **OVERTIME PAY** See (B, \*E, Q, V) on OVERTIME PAGE \*Double hourly rate after 8 hours on Saturday HOLIDAY See (5, 6, 8, 11, 15, 25) on HOLIDAY PAGE See (5, 6, 8, 11, 15, 25) on HOLIDAY PAGE Paid: Overtime: 1st term apprentice gets paid for all observed holidays. **REGISTERED APPRENTICES** WAGES per hour: 07/01/2019

0-750	\$16.97
751-1500	\$17.95
1501-2250	\$18.93
2251-3000	\$19.90
3001-3750	\$21.38
3751-4500	\$23.33
4501+	\$24.31
Supplemental Benefits:	
Per hour:	
0-750	\$ 13.65
751-1500	\$ 13.66
1501-2250	\$ 13.67
2251-3000	\$ 13.68
3001-3750	\$ 13.69
3751-4500	\$ 13.71

9-7/24M-MF

09/01/2019

Mason - Building / Heavy&Highway
JOB DESCRIPTION Mason - Building / Heavy&Highway
ENTIRE COUNTIES

Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk, Westchester

4501+

07/01/2019

12/30/2019

06/29/2020

**DISTRICT** 9

Page 54

\$13.72
Last Published on Sep 01	2019			PRC Number 2019012478	Westchester County
Markla Eisiahan		ф <b>47</b> 44	Additional	Additional	
Marble-Finisher		\$ 47.41	\$0.41	\$0.60	
SUPPLEMENTAL BE Journeyworker: per hour	NEFITS				
Marble- Finisher		\$ 34.49			
OVERTIME PAY See (B, E, Q, V) on OVE	RTIME PAGE				
HOLIDAY Overtime: * Work beyond 8 hours of ** When an observed ho	See (5, 6, 8, 11, on a Saturday shall b liday falls on a Sund	15, 16, 25) on HC be paid at double t lay, it will be obser	DLIDAY PAGE he rate. rved the next day.		9-7/20-MF
Mason - Heavy&High	ıway				09/01/2019
JOB DESCRIPTION	Mason - Heavv&Hig	hwav		DISTRICT 11	
ENTIRE COUNTIES Putnam, Rockland, Wes	tchester				
PARTIAL COUNTIES Orange: Only the Town	ship of Tuxedo.				
WAGES Per hour:					
	(	07/01/2019			
Bricklayer Cement Mason Marble/Stone Mason Plasterer Pointer/Caulker		\$ 42.60 42.60 42.60 42.60 42.60			
Additional \$1.00 per hou Additional \$0.50 per hou	r for power saw wor r for swing scaffold	k or staging work			
SHIFT WORK: When sh contracts, the following r	ift work or an irregul ates apply: Irregular work da Second shift an Third shift an ad	ar work day is man ay requires 15% p additional 15% of ditional 25% of wa	ndated or required by state, feo remium wage plus benefits to be paid age plus benefits to be paid	deral, county, local or other go	overnmental
SUPPLEMENTAL BE Per hour:	NEFITS				
Journeyman		\$ 34.99			
OVERTIME PAY					
Cement Mason All Others	See ( B, E, Q, V See ( B, E, Q, X	/, X ) )			
HOLIDAY Paid: Overtime: Whenever any of the ab Saturday, they will be ob	See (5, 6, 15, 25 See (5, 6, 15, 25 ove holidays fall on 5 served on Friday.	5) on HOLIDAY PA 5) on HOLIDAY PA Sunday, they will b	AGE AGE be observed on Monday. When	never any of the above holida	iys fall on
REGISTERED APPRI	INTICES				

8th

Wages per hour:

750 hour terms at the following percentage of Journeyman's wage

1st	2nd	3rd	4th	5th	6th	7th	8th
50%	55%	60%	65%	70%	75%	80%	85%

Supplemental Benefits per hour

750 hour terms at the following percentage of journeyman supplements						
1st	2nd	3rd	4th	5th	6th	7th
			Page 55			

Prevailing W Last Publish	/age Rates fo ed on Sep 01	r 07/01/2019 - ( 2019	06/30/2020			Pu	blished by the New York S PRC Number 2019012	tate Department of Labor 478 Westchester County
50%	55%	60%	65%	70%	75%	80%	85%	
Apprentices	s indentured	before June 1	st, 2011 recei	ve full journey	man benefits			11-5WP-H/H
Operating	g Engineer	- Building						09/01/2019
JOB DES	CRIPTION	Operating En	gineer - Buildiı	ng			DISTRICT 9	
ENTIRE C Bronx, King	OUNTIES	, Putnam, Qu	eens, Richmor	nd, Westchest	er			
PARTIAL	COUNTIES	) Jutchess Cour	ntv lvina south	of the North (	City Line of the	City of Pough	hkeensie	
WAGES NOTE:Cons Party chief- Instrument RodmanC	struction sur -One who di ManOne w )ne who holo	veying rects a survey ho runs the in Is the rod and	party strument and a assists the Su	assists Party ( irvey Crew	Chief.			
Wages:(Pe	r Hour)		07/01/20	19				
Building Co	onstruction:							
Party Chief			\$ 74.7	5				
Instrument Rodman	Man		\$ 59.5 \$ 40.7	3 9				
Steel Erect	ion:							
Party Chief Instrument Rodman	Man		\$ 75.4 \$ 60.1 \$ 42.3	6 9 5				
Heavy Con (Foundation	struction-NY ı, Excavatioı	C counties on n.)	ly:					
Party Chief			\$ 80.74	4				
Instument r	nan		\$ 61.03 \$ 52.2	3				
SUPPLEN	IENTAL BE	ENEFITS	07/01/20	19				
Building Co Steel	onstruction &		\$ 22.85*	+ 6.90				
Heavy Con	struction		\$ 23.10*	+ 6.90				
* This portion	on subject to	same premiu	m as wages					
Non-Worke	d Holiday Su	upplemental B	enefit: \$ 16.4	5				
OVERTIM See (A, B, I Code "A" a Code "B" a	<b>E PAY</b> E, Q) on OV pplies to Bui pplies to Hea	ERTIME PAG Iding Construc avy Constructi	E tion and has c on and Steel E	louble the rate Frection and h	e after 7 hours ad double the	on Saturdays. rate after 8 ho	ours on Saturdays.	
HOLIDAY		S00 /5 6	9 11 15 16	25) on HOL II				
Overtime:		See (5, 6	, 9, 11, 15, 16	25) on HOLI	DAY PAGE			9-15Db
Operating	n Engineer	- Building						09/01/2019

JOB DESCRIPTION Operating Engineer - Building

ENTIRE COUNTIES Putnam, Westchester

Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

# WAGES

GROUP I:

Cranes (All Types up to 49 tons), Boom Trucks, Cherry Pickers (All Types), Clamshell Crane, Derrick (Stone and Steel), Dragline, Franki Pile Rig or similar, High Lift (Lull or similar) with crane attachment and winch used for hoisting or lifting, Hydraulic Cranes, Pile Drivers, Potain and similar.

Cranes (All types 50-99 tons), Drill Rig Casa Grande (CAT or similar), Franki Pile Rig or similar, Hydraulic Cranes (All types including Crawler Cranes- No specific boom length).

Cranes (All types 100 tons and over), All Tower Cranes, All Climbing Cranes irrespective of manufacturer and regardless of how the same is rigged, Franki Pile Rig or similar, Conventional Cranes (All types including Crawler Cranes-No specific boom length), Hydraulic Cranes.

GROUP I-A: Barber Green Loader-Euclid Loader, Bulldozer, Carrier-Trailer Horse, Concrete Cleaning Decontamination Machine Operator, Concrete-Portable Hoist, Conway or Similar Mucking Machines, Elevator & Cage, Excavators all types, Front End Loaders, Gradall, Shovel, Backhoe, etc. (Crawler or Truck), Heavy Equipment Robotics Operator/Mechanic, Hoist Engineer-Material, Hoist Portable Mobile Unit, Hoist(Single, Double or Triple Drum), Horizontal Directional Drill Locator, Horizontal Directional Drill Operator and Jersey Spreader, Letourneau or Tournapull(Scrapers over 20 yards Struck), Lift Slab Console, etc., Lull HiLift or Similar, Master Environmental Maintenance Mechanics, Mucking Machines Operator/Mechanic or Similar Type, Overhead Crane, Pavement Breaker(Air Ram), Paver(Concrete), Post Hole Digger, Power House Plant, Road Boring Machine, Road Mix Machine, Ross Carrier and Similar Machines, Rubber tire double end backhoes and similar machines, Scoopmobile Tractor-Shovel Over 1.5 yards, Shovel (Tunnels), Spreader (Asphalt) Telephie(Cableway), Tractor Type Demolition Equipment, Trenching Machines-Vermeer Concrete Saw Trencher and Similar, Ultra High Pressure Waterjet Cutting Tool System, Vacuum Blasting Machine operator/mechanic, Winch Truck A Frame.

GROUP I-B: Compressor (Steel Erection), Mechanic (Outside All Types), Negative Air Machine (Asbestos Removal), Push Button (Buzz Box) Elevator.

GROUP II: Compactor Self-Propelled, Concrete Pump, Crane Operator in Training (Over 100 Tons), Grader, Machines Pulling Sheep's Foot Roller, Roller (4 ton and over), Scrapers (20 yards Struck and Under), Vibratory Rollers, Welder.

GROUP III-A: Asphalt Plant, Concrete Mixing Plants, Forklift (All power sources), Joy Drill or similar, Tractor Drilling Machine, Loader (1 1/2 yards and under), Portable Asphalt Plant, Portable Batch Plant, Portable Crusher, Skid Steer (Bobcat or similar), Stone Crusher, Well Drilling Machine, Well Point System.

GROUP III-B: Compressor Over 125 cu.Feet, Conveyor Belt Machine regardless of size, Compressor Plant, Ladder Hoist, Stud Machine.

GROUP IV-A: Batch Plant, Concrete Breaker, Concrete Spreader, Curb Cutter Machine, Finishing Machine-Concrete, Fine Grading Machine, Hepa Vac Clean Air Machine, Material Hopper(sand, stone, cement), Mulching Grass Spreader, Pump Gypsum etc, Pump-Plaster-Grout-Fireproofing. Roller(Under 4 Ton), Spreading and Fine Grading Machine, Steel Cutting Machine, Siphon Pump, Tar Joint Machine, Television Cameras for Water, Sewer, Gas etc. Turbo Jet Burner or Similar Equipment, Vibrator (1 to 5).

GROUP IV-B: Compressor (all types), Heater (All Types), Fire Watchman, Lighting Unit (Portable & Generator) Pump, Pump Station(Water, Sewer, Portable, Temporary), Welding Machine (Steel Erection & Excavation).

07/01/2010

GROUP V: Mechanics Helper, Motorized Roller (walk behind), Stock Attendant, Welder's Helper.

GROUP VI-B: Utility Man, Warehouse Man.

WAGES: (per hour)

	01/01/2010
GROUP I	
Cranes- up to 49 tons	\$ 61.70
Cranes- 50 tons to 99 tons	63.86
Cranes- 100 tons and over	72.99
GROUP I-A	53.95
GROUP I-B	49.68
GROUP II	52.03
GROUP III-A	50.11
GROUP III-B	47.67
GROUP IV-A	49.60
GROUP IV-B	41.85
GROUP V	45.17
GROUP VI-A	52.96
GROUP VI-B	

Warehouse Man 44.92	Utility Man	42.83
	Warehouse Man	44.92

An additional 20% to wage when required to wear protective equipment on hazardous/toxic waste projects. Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour. Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour. Loader operators over 5 cubic yard capacity additional .50 per hour. Shovel operators over 4 cubic yard capacity additional \$1.00 per hour.

## SUPPLEMENTAL BENEFITS

Per hour:

07/01/2019 \$ 28.52

## OVERTIME PAY

Journeyworker

OVERTIME:..... See ( B, E,P,R\*,T\*\*,U\*\*\*,V ) on OVERTIME PAGE.

#### HOLIDAY

Paid:....... See ( 5, 6, 11, 12, 15, 25 ) on HOLIDAY PAGE. Overtime:..... See ( 5, 6, 11, 12, 15, 25 ) on HOLIDAY PAGE. \* For Holiday codes 11, 12, 15, 25, code R applies. \*\* For Holiday code 28, code T applies

\*\*\* For Holiday codes 5 & 6, code U applies

#### **Operating Engineer - Heavy&Highway**

JOB DESCRIPTION Operating Engineer - Heavy&Highway

ENTIRE COUNTIES Putnam, Westchester

#### PARTIAL COUNTIES

Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

#### WAGES

GROUP I: Boom Truck, Cherry Picker, Clamshell, Crane, (Crawler, Truck),

Dragline, Drill Rig (Casa Grande, Cat, or Similar), Floating Crane (Crane on Barges) under 100 tons, Gin Pole, Hoist Engineer-Concrete (Crane-Derrick-Mine Hoist), Knuckle Boom Crane, Rough Terrain Crane.

GROUP I-A: Auger (Truck or Truck Mounted), Boat Captain, Bulldozer-All Sizes, Central Mix Plant Operator, Chipper (all types), Close Circuit T.V., Combination Loader/Backhoe, Compactor with Blade, Concrete Finishing Machine, Gradall, Grader (Motor Grader), Elevator & Cage (Materials or Passenger), Excavator (and all attachments), Front End Loaders (1 1/2 yards and over), High Lift Lull and similar, Hoist (Single, Double, Triple Drum), Hoist Portable Mobile Unit, Hoist Engineer (Material), Jack and Bore Machine, Log Skidders, Mill Machines, Mucking Machines, Overhead Crane, Paver (concrete), Post Pounder (of any type), Push Cats, Road Reclaimer, Robot Hammer (Brokk or similar), Robotic Equipment (Scope of Engineer Schedule), Ross Carrier and similar, Scrapers (20 yard struck and over), Side Boom, Slip Form Machine, Spreader (Asphalt), Trenching Machines (Telephies-Vermeer Concrete Saw), Tractor Type Demolition Equipment, Vacuum Truck.

GROUP I-B: Asphalt Mobile Conveyor/Transfer Machine, Road Paver (Asphalt).

GROUP II-A: Ballast Regulators, Compactor Self Propelled, Fusion Machine, Rail Anchor Machines, Roller (4 ton and over), Scrapers (20 yard struck and under), Vibratory Roller (Riding), Welder.

GROUP II-B: Mechanic (Outside) All Types.

GROUP III: Air Tractor Drill, Asphalt Plant, Batch Plant, Boiler (High Pressure), Concrete Breaker (Track or Rubber Tire), Concrete Pump, Concrete Spreader, Excavator Drill, Farm Tractor, Forklift (all types), Gas Tapping (Live), Hydroseeder, Loader (1 1/2 yards and under), Locomotive (all sizes), Machine Pulling Sheeps Foot Roller, Portable Asphalt Plant, Portable Batch Plant, Portable Crusher (Apprentice), Powerhouse Plant, Roller (under 4 ton), Sheer Excavator, Skid Steer/Bobcat, Stone Crusher, Sweeper (with seat), Well Drilling Machine.

## GROUP IV: Service Person (Grease Truck).

GROUP IV-B: Conveyor Belt Machine (Truck Mounted), Heater (all types), Lighting Unit (Portable), Maintenance Engineer (For Crane Only), Mechanics Helper, Pump (Fireproofing), Pumps-Pump Station/Water/Sewer/Gypsum/Plaster, etc., Pump Truck (Sewer Jet or Similar), Welders Helper, Welding Machine (Steel Erection), Well Point System.

8-137B

09/01/2019

GROUP V: All Tower Cranes-All Climbing Cranes and all cranes of 100-ton capacity or greater (3900 Manitowac or similar) irrespective of manufacturer and regardless of how the same is rigged, Hoist Engineer (Steel), Engineer-Pile Driver, Jersey Spreader, Pavement Breaker/Post Hole Digger.

WAGES: Per hour:	07/01/2019	03/02/2020
Group I	\$ 61.03	\$ 62.38
Group I-A	53.80	54.95
Group I-B	56.69	57.92
Group II-A	51.52	52.61
Group II-B	53.13	54.26
Group III	50.61	51.68
Group IV-A	46.00	46.93
Group IV-B	39.49	40.24
Group V-A		
Engineer All Tower, Climbing and		
Cranes of 100 Tons	69.14	70.72
Hoist Engineer(Steel)	62.61	64.00
Engineer(Pile Driver) Jersey Spreader,Pavement Breaker	66.77 (Air	68.27
Ram)Post Hole Digger	52.71	53.83

## SHIFT DIFFERENTIAL:

A 15% premium on all hours paid, including overtime hours for 2nd, 3rd shifts on all government mandated off-shift work

Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour over the rate listed in the Wage Schedule. Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour over the rate listed in the Wage Schedule. Loader and Excavator Operators: over 5 cubic yards capacity \$0.50 per hour over the rate listed in the Wage Schedule. Shovel Operators: over 4 cubic yards capacity \$1.00 per hour over the rate listed in the Wage Schedule.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday; Friday may be used as a make-up day.

NOTE - In order to use the 4 Day/10 Hour Work scheduleRegistration for Use of 4 Day/10 Hour Work Schedule,form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

# SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker:	07/01/2019	03/02/2020
	\$ 21.55 on all hours.	\$ 22.50 on all hours.
	PLUS \$ 8.00 for first 40 hours worked.	PLUS \$ 8.00 for first 40 hours worked.

**OVERTIME PAY** See (B, E, E2, P, \*R, \*\*U) on OVERTIME PAGE

#### HOLIDAY

Paid:...... See ( 5, 6, 8, 9, 15, 25 ) on HOLDIAY PAGE Overtime..... See ( 5, 6, 8, 9, 15, 25 ) on OVERTIME PAGE

\* For Holiday codes 8,9,15,25 code R applies

\*\* For Holiday Codes 5 & 6 code U applies

Note: If employees are required to work on Easter Sunday they shall be paid at the rate of triple time.

# **REGISTERED APPRENTICES**

(1)year	terms	at	the	following	rate.
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	0	07/01/2019	03/02/2020
1st term		\$ 26.90	\$ 27.48
2nd term		32.28	32.97
3rd term		37.66	38.47
4th term		43.04	43.96

Supplemental Benefits per hour:

Apprentices:	07/01/2019 \$ 21.55	03/02/2020 \$ 22.50		8-137HH
Operating Engineer - H	leavy&Highway			09/01/2019
JOB DESCRIPTION Op ENTIRE COUNTIES Putnam, Westchester	perating Engineer - Heavy&Highway		DISTRICT 9	
PARTIAL COUNTIES Dutchess: South of the No	orth city line of Poughkeepsie			
WAGES Party Chief - One who dire Instrument Man - One who Rodman - One who holds t Catorgories cover GPS & I	cts a survey party runs the instrument and assists Party the rod and in general, assists the Surv Jnderground Surveying	Chief ey Crew		
Per Hour:	07/01/2019			
Party Chief Instrument Man Rodman	\$ 78.00 58.81 50.26			
SUPPLEMENTAL BENI Per Hour:	E <b>FITS</b> 07/01/2019			
All Catorgories Straight Time:	\$ 23.10* plus \$6.90			
Premium: Time & 1/2	\$ 34.65* plus \$6.90			
Double Time	\$ 46.20* plus \$6.90			
Non-Worked Holiday Supp	lemental Benefits: \$ 16.45			
<b>OVERTIME PAY</b> See (B, *E, Q) on OVERTI * Doubletime paid on all ho	ME PAGE ours in excess of 8 hours on Saturday			
<b>HOLIDAY</b> Paid: Overtime:	See (5, 6, 7, 11, 12) on HOLIDAY PA See (5, 6, 7, 11, 12) on HOLIDAY PA	GE GE		9-15Dh

# **Operating Engineer - Heavy&Highway - Tunnel**

**DISTRICT** 8

09/01/2019

JOB DESCRIPTION Operating Engineer - Heavy&Highway - Tunnel

## **ENTIRE COUNTIES**

Putnam, Westchester

#### **PARTIAL COUNTIES**

PARTIAL COUNTIES Dutchess: All the counties of Westchester and Putnam and the southern part of Dutchess County defined by the northern boundary line of the City of Poughkeepsie, then due east to Route 115, then north along Route 115 to Bedell Road, then east along Bedell Road to Van Wagner Road, then north along Van Wagner Road to Bower Road, then east along Bower Road to Route 44 and along Route 44 east to Route 343, then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to the border line of the State of Connecticut and bordered on the west by the middle of the Hudson River.

#### WAGES

GROUP I: Boom Truck, Cherry Picker, Clamshell, Crane(Crawler, Truck), Dragline, Drill Rig Casa Grande(Cat or Similar), Floating Crane (Crane on Barge-Under 100 Tons), Hoist Engineer(Concrete/Crane-Derrick-Mine Hoist), Knuckle Boom Crane, Rough Terrain Crane. GROUP I-A: Auger(Truck or Truck Mounted), Boat Captain, Bull Dozer-all sizes, Central Mix Plant Operator, Chipper-all types, Close Circuit T.V., Combination Loader/Backhoe, Compactor with Blade, Concrete Finishing Machine, Gradall, Grader(Motor Grader), Elevator & Cage(Materials or Passengers), Excavator(and all attachments), Front End Loaders(1 1/2 yards and over), High Lift Lull, Hoist(Single, Double, Triple Drum), Hoist Portable Mobile Unit, Hoist Engineer(Material), Jack and Bore Machine, Log Skidder, Milling Machine, Moveable Concrete Barrier Transfer & Transport Vehicle, Mucking Machines. Overhead Crane, Paver(Concrete), Post Pounder of any type, Push Cats, Road Reclaimer, Robot Hammer(Brokk or similar), Robotic Equipment(Scope of Engineer Schedule), Ross Carrier and similar machines, Scrapers(20 yards struck and over), Side Boom, Slip Form Machine, Spreader(Asphalt), Trenching Machines, Telephies-Vermeer Concrete Saw, Tractor type demolition equipment, Vacuum Truck.

GROUP I-B: Asphalt Mobile Conveyor/Transfer Machine, Road Paver(Asphalt).

GROUP II-A: Ballast Regulators, Compactor(Self-propelled), Fusion Machine, Rail Anchor Machines, Roller(4 ton and over), Scrapers(20 yard struck and under), Vibratory Roller(riding), Welder.

GROUP II-B: Mechanic(outside)all types.

GROUP III: Air Tractor Drill, Asphalt Plant, Batch Plant, Boiler(High Pressure), Concrete Breaker(Track or Rubber Tire), Concrete Pump, Concrete Spreader, Excavator Drill, Farm Tractor, Forklift(all types of power), Gas Tapping(Live), Hydroseeder, Loader(1 1/2 yards and under), Locomotive(all sizes), Machine Pulling Sheeps Foot Roller, Portable Asphalt Plant, Portable Batch Plant, Portable Crusher(Apprentice), Powerhouse Plant, Roller(under 4 ton), Sheer Excavator, Skidsteer/Bobcat, Stone Crusher, Sweeper(with seat), Well Drilling Machine.

GROUP IV-A: Service Person(Grease Truck).

GROUP IV-B: Conveyor Belt Machine(Truck Mounted), Heater(all types), Lighting Unit(Portable), Maintenance Engineer(for Crane only), Mechanics Helper, Pump(Fireproofing), Pumps-Pump Station/Water/Sewer/Gypsum/Plaster, etc., Pump Truck(Sewer Jet or similar), Welding Machine(Steel Erection), Welders Helper.

GROUP V-A: Engineer(all Tower Cranes, all Climbing Cranes & all Cranes of 100 ton capacity or greater), Hoist Engineer(Steel-Sub Structure), Engineer-Pile Driver, Jersey-Spreader, Pavement breaker, Post Hole Digger

WAGES: (per hour)		
а <i>Г</i>	07/01/2019	03/02/2020
GROUP I	\$ 61.03	\$ 62.38
GROUP I-A	53.80	54.95
GROUP I-B	56.69	57.92
GROUP II-A	51.52	52.61
GROUP II-B	53.13	54.26
GROUP III	50.61	51.68
GROUP IV-A	46.00	46.93
GROUP IV-B	39.49	40.24
GROUP V-A		
Engineer-Cranes	69.14	70.72
Engineer-Pile Driver	66.77	68.27
Hoist Engineer	62.61	64.00
Jersey Spreader	52.71	53.83
Pavement Breaker	52.71	53.83
Post Hole Digger	52.71	53.83

SHIFT DIFFERENTIAL:

A 15% premium on all hours paid, including overtime hours for 2nd, 3rd shifts on all government mandated off-shift work

An additional 20% to wage when required to wear protective equipment on hazardous/toxic waste projects. Operators required to use two buckets pouring concrete on other than road pavement shall receive \$0.50 per hour over scale. Engineers operating cranes with booms 100 feet but less than 149 feet in length will be paid an additional \$2.00 per hour. Engineers operating cranes with booms 149 feet or over in length will be paid an additional \$3.00 per hour. Operators of shovels with a capacity over (4) cubic yards shall be paid an additional \$1.00 per hour. Operators of loaders with a capacity over (5) cubic yards shall be paid an additional \$0.50 per hour.

# SUPPLEMENTAL BENEFITS

Journeyworker:		
-	03/04/2019	03/02/2020
	\$ 21.55	\$ 22.50
	+ \$8.00	+ \$8.00
	(Limited to	(Limited to

# first 40 hours) first 40 hours)

# **OVERTIME PAY** See (D. O, \*U, V) on OVERTIME PAGE

HOLIDAY		
Paid:	See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE	
Overtime:	See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE	
* Note: For Holiday codes	5 & 6, code U applies.	

Note: If employees are required to work on Easter Sunday, they shall be paid at the rate of triple time.

# **REGISTERED APPRENTICES**

(1)year terms at the following rates:

	07/01/2019	03/02/2020
1st term	\$ 26.90	\$ 27.48
2nd term	32.28	32.97
3rd term	37.66	38.47
4th term	43.04	43.96
Supplemental Benefits per hour:		
	07/01/2019	03/02/2020
All terms	\$ 21.55	\$ 22.50

#### **Operating Engineer - Marine Dredging**

# JOB DESCRIPTION Operating Engineer - Marine Dredging

## ENTIRE COUNTIES

Assistant Fill Placer

Albany, Bronx, Cayuga, Chautauqua, Clinton, Columbia, Dutchess, Erie, Essex, Franklin, Greene, Jefferson, Kings, Monroe, Nassau, New York, Niagara, Orange, Orleans, Oswego, Putnam, Queens, Rensselaer, Richmond, Rockland, St. Lawrence, Suffolk, Ulster, Washington, Wayne, Westchester

#### WAGES

These wages do not apply to Operating Engineers on land based construction projects. For those projects, please see the Operating Engineer Heavy/Highway Rates. The wage rates below for all equipment and operators are only for marine dredging work in navigable waters found in the counties listed above.

Per Hour:	07/01/2019	10/01/2019
CLASS A1 Deck Captain, Leverman Mechanical Dredge Operator Licensed Tug Operator 1000HP or more.	\$ 39.23	\$ 40.31
CLASS A2 Crane Operator (360 swing)	34.96	35.92
CLASS B Dozer,Front Loader Operator on Land	To conform to Operating Engineer Prevailing Wage in locality where work is being performed including benefits.	
CLASS B1 Derrick Operator (180 swing) Spider/Spill Barge Operator Operator II, Fill Placer, Engineer, Chief Mate, Electrician, Chief Welder, Maintenance Engineer Licensed Boat, Crew Boat Operator	33.93	34.86
CLASS B2 Certified Welder	31.94	32.82
CLASS C1 Drag Barge Operator, Steward, Mate,	31.07	31.92

8-137Tun 09/01/2019

CLASS D Shoreman, Deckhand, Oile Rodman, Scowman, Cook Messman, Porter/Janitor	24.97 er,	25.66	
SUPPLEMENTAL BEN Per Hour:	EFITS		
THE FOLLOWING SUPPL	EMENTAL BENEFITS APPLY TO ALL CATEGORIES		
All Classes A & B	07/01/2019 \$11.23 plus 7.5% of straight time wage, Overtime hours add \$ 0.63	10/01/2019 \$11.88 plus 7.5% of straight time wage, Overtime hours add \$ 0.63	
All Class C	\$10.93 plus 7.5% of straight time wage, Overtime hours add \$ 0.48	11.58 plus 7.5% of straight time wage, Overtime hours add \$ 0.48	
All Class D	\$10.63 plus 7.5% of straight time wage, Overtime hours add \$ 0.33	11.28 plus 7.5% of straight time wage, Overtime hours add \$ 0.33	
OVERTIME PAY			
HOLIDAY Paid: Overtime:	See (1) on HOLIDAY PAGE See (5, 6, 8, 15, 26) on HOLIDAY PAGE		
			4-25a-MarDredge
<b>Operating Engineer - S</b>	Survey Crew - Consulting Engineer		09/01/2019
JOB DESCRIPTION OF	perating Engineer - Survey Crew - Consulting Engineer	DISTRICT 9	
ENTIRE COUNTIES Bronx, Kings, Nassau, Nev	w York, Putnam, Queens, Richmond, Suffolk, Westchest	er	
PARTIAL COUNTIES Dutchess: That part in Du	chess County lying South of the North City line of Pough	keepsie.	
WAGES Feasibility and preliminary	design surveying, any line and grade surveying for inspe	ection or supervision of construction.	
Per hour: Survey Classifications	07/01/2019		
Party Chief Instrument Man Rodman	\$ 43.71 36.43 31.84		
SUPPLEMENTAL BEN Per Hour:	EFITS		
All Crew Members:	\$ 19.50		
OVERTIME PAY OVERTIME: See ( B, E <sup>*</sup> *Doubletime paid	*, Q, V ) ON OVERTIME PAGE. on the 9th hour on Saturday.		
HOLIDAY			
Overtime:	See (5, 6, 7, 11, 16) on HOLIDAY PAGE See (5, 6, 7, 11, 16) on HOLIDAY PAGE		9-15dconsult

Prevailing Wage Rates for 07/01/2019 - 06/30/2020 Last Published on Sep 01 2019

30.06

CLASS C2

**Boat Operator** 

09/01/2019

# Published by the New York State Department of Labor PRC Number 2019012478 Westchester County

30.89

# JOB DESCRIPTION Painter

#### **ENTIRE COUNTIES**

Bronx, Kings, Nassau, New York, Putnam, Queens, Richmond, Suffolk, Westchester

WAGES	
Per hour:	07/01/2019
Brush	\$ 48.20*
Abatement/Removal of lead based or lead containing paint on materials to be repainted.	48.20*
Spray & Scaffold Fire Escape Decorator Paperhanger/Wall Coverer	\$ 51.20* 51.20* 51.20* 50.97*
*Subtract \$ 0.10 to calculate premium rate. SUPPLEMENTAL BENEFITS Per hour:	07/01/2019
Paperhanger	\$ 29 47

27.59 All others 30.35\*\* Premium

\*\*Applies only to "All others" category, not paperhanger journeyworker.

## **OVERTIME PAY**

See (A, H) on OVERTIME PAGE

HOLIDAY	
Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 16, 25) on HOLIDAY PAGE

# **REGISTERED APPRENTICES**

One (1) year terms at the following wage rate.

Per hour:	07/01/2019
Appr 1st term	\$ 18.39*
Appr 2nd term	24.02*
Appr 3rd term	29.12*
Appr 4th term	38.95*

\*Subtract \$ 0.10 to calculate premium rate.

Supplemental benefits:	
Per Hour:	07/01/2019
Appr 1st term	\$ 14.16
Appr 2nd term	17.17
Appr 3rd term	19.77
Appr 4th term	24.91

#### Painter

#### JOB DESCRIPTION Painter

**ENTIRE COUNTIES** 

Putnam, Suffolk, Westchester

## PARTIAL COUNTIES

Nassau: All of Nassau except the areas described below: Atlantic Beach, Ceaderhurst, East Rockaway, Gibson, Hewlett, Hewlett Bay, Hewlett Neck, Hewlett Park, Inwood, Lawrence, Lido Beach, Long Beach, parts of Lynbrook, parts of Oceanside, parts of Valley Stream, and Woodmere. Starting on the South side of Sunrise Hwy in Valley Stream running east to Windsor and Rockaway Ave., Rockville Centre is the boundary line up to Lawson Blvd. turn right going west all the above territory. Starting at Union Turnpike and Lakeville Rd. going north to Northern Blvd. the west side of Lakeville road to Northern blvd. At Northern blvd. going east the district north of Northern blvd. to Port Washington Blvd. West of Port Washington blvd.to St.Francis Hospital then north of first traffic light to Port Washington and Sands Point, Manor HAven, Harbour Acres.

# WAGES

Per hour: Drywall Taper 07/01/2019 \$48.20\*

# **DISTRICT** 8

09/01/2019

\*Subtract \$ 0.10 to calculate premium rate.

SUPPLEMENTAL BENEFITS	
Per hour:	07/01/2019
Journeyman	\$ 27.59
OVERTIME PAY See (A, H) on OVERTIME PAGE	

# HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 16, 25) on HOLIDAY PAGE

# **REGISTERED APPRENTICES**

Wages - Per Hour	07/01/2019
wages - Pel noul.	07/01/2019

1500 hour terms at the following wage rate:

1st term	\$ 18.39*
2nd term	\$ 24.02*
3rd term	\$ 29.12*
4th term	\$ 38.95*

\*Subtract \$ 0.10 to calculate premium rate.

Supplemental Benefits - Per hour: One year term (1500 hours) at the following dollar amount.

1st year	\$ 14.16
2nd year	\$ 17.17
3rd year	\$ 19.77
4th year	\$ 24.91

#### Painter - Bridge & Structural Steel

JOB DESCRIPTION Painter - Bridge & Structural Steel

# **ENTIRE COUNTIES**

Albany, Bronx, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Kings, Montgomery, Nassau, New York, Orange, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, Westchester

#### WAGES

Per Hour: STEEL: 07/01/2019 Bridge Painting:

> \$49.50 + 6.38\*

ADDITIONAL \$6.00 per hour for POWER TOOL/SPRAY, whether straight time or overtime.

NOTE: All premium wages are to be calculated on base rate per hour only.

\* For the period of May 1st to November 15th, this amount is payable up to 40 hours. For the period of Nov 16th to April 30th, this amount is payable up to 50 hours. EXCEPTION: First and last week of employment, and for the weeks of Memorial Day, Independence Day and Labor Day, where the amount is paid for the actual number of hours worked (no cap).

NOTE: Generally, for Bridge Painting Contracts, ALL WORKERS on and off the bridge (including Flagmen) are to be paid Painter's Rate; the contract must be ONLY for Bridge Painting.

#### SUPPLEMENTAL BENEFITS Der Hour

Journeyworker:	07/01/2019
	\$ 9.50
	+26.05*

\* For the period of May 1st to November 15th, this amount is payable up to 40 hours. For the period of Nov 16th to April 30th, this amount is payable up to 50 hours. EXCEPTION: First and last week of employment, and for the weeks of Memorial Day, Independence Day and Labor Day, where the amount is paid for the actual number of hours worked (no cap).

**DISTRICT** 8

8-NYDCT9-DWT

09/01/2019

See (A, F, R) on OVERTIME PAGE

See (1) on HOLIDAY PAGE See (4, 6) on HOLIDAY PAGE

# **REGISTERED APPRENTICES**

Wage - Per hour:

Apprentices: (1) year terms

	07/01/2019
1st year	\$ 23.13
2nd year	34.73
3rd year	46.30
Supplemental Benefits - Per hour:	
1st year	\$ 13.44
2nd year	20.16
3rd year	26.88

8-DC-9/806/155-BrSS

09/01/2019

## Painter - Line Striping

## JOB DESCRIPTION Painter - Line Striping

## **ENTIRE COUNTIES**

Albany, Bronx, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Kings, Montgomery, Nassau, New York, Orange, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, Westchester

07/01/2010

## WAGES

Per hour:

Painter (Striping-Highway):	07/01/2019
Striping-Machine Operator*	\$ 29.93
Linerman Thermoplastic	\$ 36.06

Note: \* Includes but is not limited to: Positioning of cones and directing of traffic using hand held devices. Excludes the Driver/Operator of equipment used in the maintenance and protection of traffic safety.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' as your normal schedule, you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

# SUPPLEMENTAL BENEFITS

Per hour paid:		07/01/2019
Journeyworker:		
Striping-Machine operato Linerman Thermoplastic	r	\$ 7.44 \$ 7.44
OVERTIME PAY See (B, B2, E2, F, S) on (	OVERTIME PAGE	
<b>HOLIDAY</b> Paid: Overtime:	See (5, 20) on HOLIDA See (5, 20) on HOLIDA	Y PAGE Y PAGE
<b>REGISTERED APPRE</b> One (1) year terms at the	NTICES following wage rates:	
		07/01/2019
1st term		\$ 11.97
2nd term		17.96
3rd term		23.94

Supplemental Benefits per hour:

1st term	\$ 7.44	
2nd term	7.44	
3rd term	7.44	
		8-1456-LS

#### Painter - Metal Polisher

09/01/2019

# JOB DESCRIPTION Painter - Metal Polisher

# **DISTRICT** 8

## ENTIRE COUNTIES

Albany, Allegany, Broox, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES	
-------	--

	07/01/2019
Metal Polisher	\$ 30.58
Metal Polisher*	31.53
Metal Polisher**	34.08

\*Note: Applies on New Construction & complete renovation

\*\* Note: Applies when working on scaffolds over 34 feet.

SUPPLEMENTAL BENEFI	TS
Per Hour:	07/01/2019
Journeyworker:	
All classification	\$ 7.72

# OVERTIME PAY

See (B, E, P, T) on OVERTIME PAGE

# **HOLIDAY** Paid: See (5, 6, 11, 15, 16, 25, 26) on HOLIDAY PAGE

Palu.	See (5, 6, 11, 15, 16, 25, 26) 011 HOLIDAT PAGE
Overtime:	See (5, 6, 9, 11, 15, 16, 25, 26) on HOLIDAY PAGE

# **REGISTERED APPRENTICES**

Wages per hour:

One (1) year term at the following wage rates:

	07/01/2019
1st year	\$ 15.00
2nd year	15.00
3rd year	15.75
1st year*	\$ 17.39
2nd year*	17.44
3rd year*	18.29
1st year**	\$ 19.50
2nd year**	19.50
3rd year**	20.25

\*Note: Applies on New Construction & complete renovation \*\* Note: Applies when working on scaffolds over 34 feet.

Supplemental benefits:

Per hour:

1st year	\$ 5.52
2nd year	5.52
3rd year	5.52

8-8A/28A-MP

09/01/2019

# Plumber

JOB DESCRIPTION Plumber

#### ENTIRE COUNTIES Putnam, Westchester

Per hour:

07/01/2019 Plumber and

Steamfitter

SHIFT WORK:

When directly specified in public agency or authority contract documents, shift work outside the regular hours of work shall be comprised of eight (8) hours per shift not including Saturday, Sundays and holidays. One half (1/2) hour shall be allowed for lunch after the first four (4) hours of each shift. Wage and Fringes for shift work shall be straight time plus a shift premium of twenty-five (25%) percent. A minimum of five days Monday through Friday must be worked to establish shift work.

#### SUPPLEMENTAL BENEFITS

Per hour:

Journeyworker \$ 35.61

# **OVERTIME PAY**

See (B, E, E2, Q, V) on OVERTIME PAGE OVERTIME:... See on OVERTIME PAGE.

# HOLIDAY

See (1) on HOLIDAY PAGE Paid: See (5, 6, 8, 16, 25) on HOLIDAY PAGE Overtime:

\$ 56.96

# **REGISTERED APPRENTICES**

(1)year terms at the following wages:

1st Term	\$ 21.09
2nd Term	24.22
3rd Term	27.96
4th Term	39.97
5th Term	42.90

Supplemental Benefits per hour:

1st term	\$ 14.83
2nd term	16.52
3rd term	19.68
4th term	25.81
5th term	27.34

# Plumber - HVAC / Service

JOB DESCRIPTION Plumber - HVAC / Service

## **ENTIRE COUNTIES**

Dutchess, Putnam, Westchester

# PARTIAL COUNTIES

Delaware: Only the townships of Middletown and Roxbury Ulster: Entire County(including Wallkill and Shawangunk Prisons) except for remainder of Town of Shawangunk and Towns of Plattekill, Marlboro, and Wawarsing.

# WAGES

Per hour:	07/01/2019
HVAC Service	\$ 38.18

+ \$ 4.32\*

\*Note: This portion of wage is not subject to overtime premium.

# SUPPLEMENTAL BENEFITS

Per hour:

07/01/2019

Journeyworker HVAC Service

\$ 22.44

**OVERTIME PAY** See (B, F, R) on OVERTIME PAGE **DISTRICT** 8

8-21.1-ST

09/01/2019

Paid:	See (5, 6, 16, 25) on HOLIDAY PAGE
Overtime:	See (5, 6, 16, 25) on HOLIDAY PAGE

# REGISTERED APPRENTICES

HVAC SERVICE

(1)year terms at the following wages:

#### 07/01/2019

1st yr.	2nd yr.	3rd yr.	4th yr.	5th yr.
\$ 17.37	\$ 20.50	\$ 25.69	\$ 31.56	\$ 34.11
+\$2.37*	+\$2.67*	+\$3.22*	+\$3.84*	+\$4.07*

\*Note: This portion of wage is not subject to overtime premium.

Supplemental Benefits per hour:

Apprentices	07/01/2019				
1st term	\$ 17.82				
2nd term	18.61				
3rd term	19.54				
4th term	20.74				
5th term	21.63				

# Plumber - Jobbing & Alterations

JOB DESCRIPTION Plumber - Jobbing & Alterations

## **ENTIRE COUNTIES**

Dutchess, Putnam, Westchester

# PARTIAL COUNTIES

Ulster: Entire county (including Wallkill and Shawangunk Prisons in Town of Shawangunk) EXCEPT for remainder of Town of Shawangunk, and Towns of Plattekill, Marlboro, and Wawarsing.

#### WAGES

Per hour: 07/01/2019 Journeyworker: \$44.19

Repairs, replacements and alteration work is any repair or replacement of a present plumbing system that does not change existing roughing or water supply lines.

## SHIFT WORK:

When directly specified in public agency or authority contract documents, shift work outside the regular hours of work shall be comprised of eight (8) hours per shift not including Saturday, Sundays and holidays. One half (1/2) hour shall be allowed for lunch after the first four (4) hours of each shift. Wage and Fringes for shift work shall be straight time plus a shift premium of twenty-five (25%) percent. A minimum of five days Monday through Friday must be worked to establish shift work.

# SUPPLEMENTAL BENEFITS

Per hour: Journeyworker

\$ 30.04

#### **OVERTIME PAY**

See (B, \*E, E2, Q, V) on OVERTIME PAGE \*When used as a make-up day, hours after 8 on Saturday shall be paid at time and one half.

#### HOLIDAY

Paid:	See (1) on HOLIDAY PAGE
Overtime:	See (5, 6, 8, 16, 25) on HOLIDAY PAGE

# **REGISTERED APPRENTICES**

(1) year terms at the following wages:

1st year	\$ 19.24
2nd year	21.33
3rd year	23.05
4th year	32.41

8-21.1&2-SF/Re/AC

09/01/2019

Last Published	on Sep 01 201	19					PRC Number 201901247	8 Westchester County
5th year		34.21						
Supplemental	Benefits per	hour:						
1et voor		\$ 0 60						
2nd vear		φ 3.00 11.36						
3rd year		15.07						
4th year		20.31						
5th year		22.11						9 01 0 IRA
Poofor								00/01/2010
								09/01/2019
	IPTION RO	ofer					DISTRICT 9	
Bronx, Dutche	ss, Kings, Ne	ew York, Orang	ge, Putnam, Q	ueens, Richmo	ond, Rockland	, Sullivan, U	ster, Westchester	
WAGES								
Per Hour:			07/01/2019					
Roofer/Waterp	proofer		\$ 49.50					
Note: Abateme	ent/Removal	of Asbestos co	ontaining roofs	and roofing m	aterial is class	sified as Roo	fer.	
SUPPLEMEN	NTAL BENE	FITS	\$ 26.37					
	PAY		φ 20.01					
See (B, H) on Note: An obse	OVERTIME I	PAGE that falls on a	Sunday will be	e observed the	following Mon	iday.		
HOLIDAY								
Paid: Overtime:		See (1) on H See (5, 6) on	oliday page Holiday pa	<u>=</u> .GE				
REGISTERE	D APPREN	TICES						
( ) your torm	1st	2nd	3rd	4th				
	\$ 14.88	\$ 24.75	\$ 29.70	\$ 37.14				
Supplements:		0	0.1					
	1st	2nd	3rd	4th				
	\$ 3.30	\$ 13.21	\$ 15.84	\$ 19.79				9-8R
Sheetmetal	Worker							09/01/2019
JOB DESCR	IPTION She	eetmetal Work	er				DISTRICT 8	
ENTIRE COL	JNIIES	Pockland S	Illivan Illeter	Westchester				
	inge, Futham	i, Ruckialiu, Si	univari, Oister,	Westchester				
WAGES								
SheetMetal W	orker		07/01/2019 \$ 44.74					
SHIFT WORK								
For all NYS D. 10% increase	O.T. and othe for additional	er Governmer I shifts for a mi	tal mandated nimum of five	off-shift work: (5) days				
SUPPLEMEN Journeyworke	NTAL BENE	FITS	\$ 42.48					
	PAY							
	сос ( D, L, С							
Paid: Overtime:		See (1) on H See (5, 6, 8	OLIDAY PAGE 15, 16, 23) on	E HOLIDAY PA	GE			
REGISTEDE			2, 20, 20, 01					
1st	2nd	3rd	4th	5th	6th	7th	8th	
\$16.60	\$18.67	\$ 20.75	\$ 22.83	\$ 24.89	\$ 26.98	\$ 29.53	\$ 32.08	

Supplemental Benefits per hour:

Apprentices 1st term 2nd term 3rd term 4th term 5th term 6th term 7th term 8th term	5		\$ 18.30 20.59 22.85 25.17 27.44 29.72 31.51 33.34						8-38
Sheetmet	al Worker								09/01/2019
	CRIPTION S	neetmetal Wor	ker				DISTRICT	4	
Bronx, King	s, Nassau, Ne	w York, Queer	ns, Richmond,	Rockland, Su	ffolk, Westche	ster			
WAGES Per Hour:			07/01/2019	9					
Sign Erecto	r		\$ 50.45						
NOTE: Stru	cturally Suppo IENTAL BEN	rted Overhead EFITS	l Highway Sigr	ns(See STRU(	CTURAL IRON	N WORKER CI	_ASS)		
Per Hour:			07/01/2019	9					
Sign Erecto	r		\$ 46.66						
OVERTIM See (A, F, S	<b>E PAY</b> 6) on OVERTIN	/IE PAGE							
HOLIDAY Paid: Overtime:		See (5, 6, 1 See (5, 6, 1	0, 11, 12, 16, 2 0, 11, 12, 16, 2	25) on HOLIDA 25) on HOLIDA	AY PAGE AY PAGE				
REGISTER Per Hour: 6 month Te	RED APPREN	NTICES	ige of Sign Ere	ectors wage ra	te:				
1st 35%	2nd 40%	3rd 45%	4th 50%	5th 55%	6th 60%	7th 65%	8th 70%	9th 75%	10th 80%
SUPPLEME Per Hour:	ENTAL BENEF	ITS							
1st \$13.11	2nd \$14.85	3rd \$16.59	4th \$18.34	5th \$25.56	6th \$27.80	7th \$30.76	8th \$33.07	9th \$35.36	10th \$37.65
									4-137-SE
Sprinkler	Fitter								09/01/2019
JOB DESC	CRIPTION S	orinkler Fitter					DISTRICT	1	
ENTIRE C Dutchess, C	OUNTIES Drange, Putnar	n, Rockland, S	Sullivan, Ulster	, Westchester					
WAGES									
Pernour		07/01/201	9						
Sprinkler		\$ 45.42							

Fitter

SUPPLEMENTAL BENEFITS

Per hour

Journeyman \$25.54

**OVERTIME PAY** See (B, E, Q) on OVERTIME PAGE

# HOLIDAY

Paid: Overtime: See (1) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE

Note: When a holiday falls on Sunday, the following Monday shall be considered a holiday and all work performed on either day shall be at the double time rate. When a holiday falls on Saturday, the preceding Friday shall be considered a holiday and all work performed on either day shall be at the double time rate.

# **REGISTERED APPRENTICES**

Wages per hour

For Apprentices HIRED ON OR AFTER 04/01/2010: One Half Year terms at the following percentage of journeyman's wage.

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
45%	50%	55%	60%	65%	70%	75%	80%	85%	90%
Supplementa	I Benefits per	hour worked							

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
\$ 9.30	\$ 9.36	\$ 18.04	\$ 18.10	\$ 18.66	\$ 18.72	\$ 18.78	\$ 18.83	\$ 18.89	\$ 18.95

For Apprentices HIRED ON OR AFTER 04/01/2013:

One Half Year terms at the following percentage of journeyman's wage.

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
45%	50%	55%	60%	65%	70%	75%	80%	85%	90%

Supplemental Benefits per hour worked

1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th
\$ 8.27	\$ 8.27	\$ 18.04	\$ 18.04	\$ 18.29	\$ 18.29	\$ 18.29	\$ 18.29	\$ 18.29	\$ 18.29
• -			,	,	,		1		1-(

## Teamster - Building / Heavy&Highway

JOB DESCRIPTION Teamster - Building / Heavy&Highway

**DISTRICT** 8

09/01/2019

ENTIRE COUNTIES Putnam, Westchester

# WAGES

GROUP A: Straight Trucks (6-wheeler and 10-wheeler), A-frame, Winch, Dynamite Seeding, Mulching, Agitator, Water, Attenuator, Light Towers, Cement (all types), Suburban, Station Wagons, Cars, Pick Ups, any vehicle carrying materials of any kind.

GROUP B: Tractor & Trailers (all types).

GROUP BB: Tri-Axle,14 Wheeler

GROUP C: Low Boy (carrying equipment).

GROUP D: Fuel Trucks, Tire Trucks.

GROUP E: Off-road Equipment (over 40 tons): Athey Wagons, Belly Dumps, Articulated Dumps, Trailer Wagons.

GROUP F: Off-road Equipment (over 40 tons) Euclid, DJB.

GROUP G: Off-road Equipment (under 40 tons) Athey Wagons, Belly Articulated Dumps, Trailer Wagons.

GROUP H: Off-road Equipment(under 40 tons), Euclid.

GROUP HH: Off-road Equipment(under 40 tons) D.J.B.

GROUP I: Off-road Equipment(under 40 tons) Darts.

GROUP II: Off-road Equipment(under 40 tons) RXS.

#### WAGES:(per hour)

	07/01/2019
GROUP A	\$ 41.67*
GROUP B	42.29*
GROUP BB	41.79*
GROUP C	44.42*
GROUP D	42.12*
GROUP E	42.67*
GROUP F	43.67*
GROUP G	42.42*
GROUP H	43.04*
GROUP HH	43.42*

GROUP I	43.17*
GROUP II	43.54*

\* To calculate premium wage, subtract \$ .20 from the hourly wage.

Note: Fuel truck operators on construction sites addit. \$5.00 per day. For work on hazardous/toxic waste site addit. 20% of hourly rate.

Shift Differential:NYS DOT or other Governmental Agency contracts shall receive a shift differential of Fifteen(15%)percent above the wage rate

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Fridays and Saturdays may be used as make-up days at straight time when a day during the work week has been lost due to inclement weather.

NOTE - In order to use the '4 Day/10 Hour Work schedule', as your normal schedule, you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30.1; and there must be a dispensation of hours in place on the project. If the PW30.1 is not submitted you may be liable for overtime payments for work over 8 hours per day.

# SUPPLEMENTAL BENEFITS

Per hour: Journeyworker

First 40 hours	\$ 30.67
41st-45th hours	13.38
Over 45 hours	0.25

NOTE: Employees entitled to 1 week of paid vacation based on group classification after 90 days of employment.

# **OVERTIME PAY**

See (B, E, P, R) on OVERTIME PAGE

 HOLIDAY

 Paid:
 See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE

 Overtime:
 See (5, 6, 8, 9, 15, 25) on HOLIDAY PAGE

#### 8-456

09/01/2019

## Welder

DISTRICT 1

# ENTIRE COUNTIES

JOB DESCRIPTION Welder

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

#### WAGES

Per hour 07/01/2019

Welder: To be paid the same rate of the mechanic performing the work.\*

\*EXCEPTION: If a specific welder certification is required, then the 'Certified Welder' rate in that trade tag will be paid.

OVERTIME PAY HOLIDAY

1-As Per Trade

# **Overtime Codes**

Following is an explanation of the code(s) listed in the OVERTIME section of each classification contained in the attached schedule. Additional requirements may also be listed in the HOLIDAY section.

NOTE: Supplemental Benefits are 'Per hour worked' (for each hour worked) unless otherwise noted

- (AA) Time and one half of the hourly rate after 7 and one half hours per day
- (A) Time and one half of the hourly rate after 7 hours per day
- (B) Time and one half of the hourly rate after 8 hours per day
- (B1) Time and one half of the hourly rate for the 9th & 10th hours week days and the 1st 8 hours on Saturday.
   Double the hourly rate for all additional hours
- (B2) Time and one half of the hourly rate after 40 hours per week
- (C) Double the hourly rate after 7 hours per day
- (C1) Double the hourly rate after 7 and one half hours per day
- (D) Double the hourly rate after 8 hours per day
- (D1) Double the hourly rate after 9 hours per day
- (E) Time and one half of the hourly rate on Saturday
- (E1) Time and one half 1st 4 hours on Saturday; Double the hourly rate all additional Saturday hours
- (E2) Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (E3) Between November 1st and March 3rd Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather, provided a given employee has worked between 16 and 32 hours that week
- (E4) Saturday and Sunday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (E5) Double time after 8 hours on Saturdays
- (F) Time and one half of the hourly rate on Saturday and Sunday
- (G) Time and one half of the hourly rate on Saturday and Holidays
- (H) Time and one half of the hourly rate on Saturday, Sunday, and Holidays
- (I) Time and one half of the hourly rate on Sunday
- (J) Time and one half of the hourly rate on Sunday and Holidays
- (K) Time and one half of the hourly rate on Holidays
- (L) Double the hourly rate on Saturday
- (M) Double the hourly rate on Saturday and Sunday
- (N) Double the hourly rate on Saturday and Holidays
- (O) Double the hourly rate on Saturday, Sunday, and Holidays
- (P) Double the hourly rate on Sunday
- (Q) Double the hourly rate on Sunday and Holidays
- (R) Double the hourly rate on Holidays
- (S) Two and one half times the hourly rate for Holidays

- (S1) Two and one half times the hourly rate the first 8 hours on Sunday or Holidays One and one half times the hourly rate all additional hours.
- (T) Triple the hourly rate for Holidays
- (U) Four times the hourly rate for Holidays
- (V) Including benefits at SAME PREMIUM as shown for overtime
- (W) Time and one half for benefits on all overtime hours.
- (X) Benefits payable on Paid Holiday at straight time. If worked, additional benefit amount will be required for worked hours. (Refer to other codes listed.)

# Holiday Codes

PAID Holidays:

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

OVERTIME Holiday Pay:

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays. The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

Following is an explanation of the code(s) listed in the HOLIDAY section of each classification contained in the attached schedule. The Holidays as listed below are to be paid at the wage rates at which the employee is normally classified.

- (1) None
- (2) Labor Day
- (3) Memorial Day and Labor Day
- (4) Memorial Day and July 4th
- (5) Memorial Day, July 4th, and Labor Day
- (6) New Year's, Thanksgiving, and Christmas
- (7) Lincoln's Birthday, Washington's Birthday, and Veterans Day
- (8) Good Friday
- (9) Lincoln's Birthday
- (10) Washington's Birthday
- (11) Columbus Day
- (12) Election Day
- (13) Presidential Election Day
- (14) 1/2 Day on Presidential Election Day
- (15) Veterans Day
- (16) Day after Thanksgiving
- (17) July 4th
- (18) 1/2 Day before Christmas
- (19) 1/2 Day before New Years
- (20) Thanksgiving
- (21) New Year's Day
- (22) Christmas
- (23) Day before Christmas
- (24) Day before New Year's
- (25) Presidents' Day
- (26) Martin Luther King, Jr. Day
- (27) Memorial Day
- (28) Easter Sunday

Submitted By:       Contracting Agency       Architect or Engineering Fim       Public Work District Office       Date:         A. Public Work Contract to be let by:       (Enter Data Pertaining to Contracting/Public Agency)         1. Name and complete address       (Check timew or change)       2. NY State Units (see Item 5)       07 City         1. Name and complete address       (Check timew or change)       2. NY State Units (see Item 5)       07 City         1. Name and complete address       (Check timew or change)       0. State University       0. Of Date         1. Barbonic State       0. Somitory Authority       Fis. Source, Water District         1. O'Ullage       0. O'THERN Y. STATE UNIT       10 Village         Construction Fund       11 Town       12 County         1. SERVICE REQUIRED. Check appropriate tox and provide project       10 Village         1. Additional Occupation and/or Restermination       PRO. MMBER ISSUED PREVIOUSLY FOR       OFFICE USE ONLY         1. Be PROJECT PARTICULARS       1. Location of Project       Coation on Site       District Office         5. Project Title       Contract Identification Number       County       Town       County         7. Nature of Project - Check One:       0. OCCUPATION FOR PROJECT:       Goards, Watchmen       District Office, Require and equipment       Distrume and equipment <t< th=""><th colspan="9">New York State Department of Labor - Bureau of Public Work State Office Building Campus Building 12 - Room 130 Albany, New York 12240REQUEST FOR WAGE AND SUPPLEMENT INFORMATION As Required by Articles 8 and 9 of the NYS Labor LawFax (518) 485-1870 or mail this form for new schedules or for determination for additional occupations.This Form Must Be Typed</th></t<>	New York State Department of Labor - Bureau of Public Work State Office Building Campus Building 12 - Room 130 Albany, New York 12240REQUEST FOR WAGE AND SUPPLEMENT INFORMATION As Required by Articles 8 and 9 of the NYS Labor LawFax (518) 485-1870 or mail this form for new schedules or for determination for additional occupations.This Form Must Be Typed								
A. Public Work Contract to be let by: (Enter Data Pertaining to Contracting/Public Agency)         1. Name and complete address       (Check if new or change)         2. NY State Units (see item 5)       07 City         0 0 Special Local School District       09 Special Local District 1.e.,         0 2 OCS       09 Special Local District 1.e.,         0 3 Dernitory Authority       11 Town         0 4 State University       10 Village         Construction Fund       11 Town         0 5 Mental Hygiene       12 County         Facilities Corp.       13 Other Non-N.Y. State         0 6 OTHER N.Y. STATE UNIT       (Deasorbe)         3 SEND REPLY TO      dheck if new or change)         Name and complete address:       4. SERVICE REQUIRED. Check appropriate box and provide project         Information.       PRO NUMBER ISSUED PREVIOUSLY FOR       OFFICE USE ONLY         E-Mail:       Exercice       0. Additional Occupation andror Redetermination         Telephone:(	Submitted By: (Check Only One) Contracting Agency Architect or Engineering I	Firm Public Work District Office Date:							
1. Name and complete address       1. Uneck in new or change)       2. NY State Units (see item 5)       0 or Cky         1. Name and complete address       0. Domitory Autority       0. Bickal School District.         1. Out Domitory Autority       0. Price, Stever, Water District.       0. 00 Special Local School District.         1. Out Domitory Autority       0. Price, Stever, Water District.       0. 00 Special Local School District.         1. Out Domitory Autority       1. Town       1. On the state University       1. On the state University         2. SEND REPLY TO	A. <b>Public Work Contract to be let by:</b> (Enter Data Pertaining to C	Contracting/Public Agency)							
3. SEND REPLY TO	Telephone: ( ) Fax: ( )	2. NY State Units (see Item 5)       07 City         01 DOT       08 Local School District         02 OGS       09 Special Local District, i.e., Fire, Sewer, Water District         03 Dormitory Authority       10 Village         04 State University       11 Town         05 Mental Hygiene       12 County         Facilities Corp.       13 Other Non-N.Y. State         06 OTHER N.Y. STATE UNIT       (Describe)							
E-Mail:       Image: Construction of Project PARTICULARS         5. Project Title	<ul> <li>3. SEND REPLY TO □ check if new or change) Name and complete address:</li> <li>Telephone:( ) Fax: ( )</li> </ul>	SERVICE REQUIRED. Check appropriate box and provide project information.     New Schedule of Wages and Supplements.     APPROXIMATE BID DATE :     Additional Occupation and/or Redetermination      PRC NUMBER ISSUED PREVIOUSLY FOR OFFICE USE ONLY THIS PROJECT :							
5. Project Title	E-Mail: B. PROJECT PARTICULARS								
7. Nature of Project - Check One:       1. New Building         1. New Building       2. Addition to Existing Structure         3. Heavy and Highway Construction (New and Repair)       Construction (Building, Heavy Highway/Sewer/Water)         4. New Sewer or Waterline       District Construction (Explain)         6. Other Reconstruction, Maintenance, Repair or Alteration       Residential         7. Demolition       Trash and refuse removal         8. Building Service Contract       Fire Safety Director, NYC Only         9. Has this project been reviewed for compliance with the Wicks Law involving separate bidding?       YES         10.Name and Title of Requester       Signature	5. Project Title         Description of Work         Contract Identification Number         Note: For NYS units, the OSC Contract No.	6. Location of Project: Location on Site Route No/Street Address Village or City Town County							
10. Name and Title of Requester Signature	<ul> <li>7. Nature of Project - Check One: <ul> <li>1. New Building</li> <li>2. Addition to Existing Structure</li> <li>3. Heavy and Highway Construction (New and Repair)</li> <li>4. New Sewer or Waterline</li> <li>5. Other New Construction (Explain)</li> <li>6. Other Reconstruction, Maintenance, Repair or Alteration</li> <li>7. Demolition</li> <li>8. Building Service Contract</li> </ul> </li> <li>9. Has this project been reviewed for compliance with the Wick</li> </ul>	<ul> <li>8. OCCUPATION FOR PROJECT :</li> <li>Construction (Building, Heavy Highway/Sewer/Water)</li> <li>Tunnel</li> <li>Residential</li> <li>Landscape Maintenance</li> <li>Elevator maintenance</li> <li>Exterminators, Fumigators</li> <li>Fire Safety Director, NYC Only</li> <li>S Law involving separate bidding?</li> </ul>							
	10. Name and Title of Requester	Signature							



# NEW YORK STATE DEPARTMENT OF LABOR Bureau of Public Work - Debarment List

# LIST OF EMPLOYERS INELIGIBLE TO BID ON OR BE AWARDED ANY PUBLIC WORK CONTRACT

Under Article 8 and Article 9 of the NYS Labor Law, a contractor, sub-contractor and/or its successor shall be debarred and ineligible to submit a bid on or be awarded any public work or public building service contract/sub-contract with the state, any municipal corporation or public body for a period of five (5) years from the date of debarment when:

- Two (2) final determinations have been rendered within any consecutive six-year (6) period determining that such contractor, sub-contractor and/or its successor has WILLFULLY failed to pay the prevailing wage and/or supplements;
- One (1) final determination involves falsification of payroll records or the kickback of wages and/or supplements.

The agency issuing the determination and providing the information, is denoted under the heading 'Fiscal Officer'. DOL = New York State Department of Labor; NYC = New York City Comptroller's Office; AG = New York State Attorney General's Office; DA = County District Attorney's Office.

**Debarment Database:** To search for contractors, sub-contractors and/or their successors debarred from bidding or being awarded any public work contract or subcontract under NYS Labor Law Articles 8 and 9, <u>or</u> under NYS Workers' Compensation Law Section 141-b, access the database at this link: <u>https://applications.labor.ny.gov/EDList/searchPage.do</u>

# For inquiries where WCB is listed as the "Agency", please call 1-866-546-9322

AGENCY	Fiscal Officer	FEIN	EMPLOYER NAME	EMPLOYER DBA NAME	ADDRESS	DEBARMENT START DATE	DEBARMENT END DATE
DOL	DOL	*****0996	A-1 CONSTRUCTION & RENOVATION INC		1973 81ST ST - SUITE A-5 BROOKLYN NY 11214	01/08/2015	01/08/2020
DOL	NYC		ABDUL KARIM		C/O NORTH AMERICAN IRON W 1560 DECATUR STREETRIDGEWOOD NY 11385	05/15/2015	05/15/2020
DOL	DOL	*****4539	ACCOMPLISHED WALL SYSTEMS INC		112 OSCAWANNA HEIGHTS RD PUTNAM VALLEY NY 10542	03/13/2015	03/12/2020
DOL	DOL	****3344	ACT INC		6409 LAND O LAKES BLVD LAND O LAKES FL 34638	11/10/2015	11/10/2020
DOL	DOL	****4018	ADIRONDACK BUILDING RESTORATION INC.		4156 WILSON ROAD EAST TABERG NY 13471	03/26/2019	03/26/2024
DOL	DOL	*****1687	ADVANCED SAFETY SPRINKLER INC		261 MILL ROAD P.O BOX 296EAST AURORA NY 14052	07/29/2015	07/29/2020
DOL	DOL	*****1687	ADVANCED SAFETY SPRINKLER INC		261 MILL ROAD P.O BOX 296EAST AURORA NY 14052	05/29/2019	05/29/2024
DOL	NYC	*****6775	ADVENTURE MASONRY CORP.		1535 RICHMOND AVENUE STATEN ISLAND NY 10314	12/13/2017	12/13/2022
DOL	DOL		AJ TORCHIA		10153 ROBERTS RD SAUQUOIT NY 13456	08/09/2016	08/09/2021
DOL	DOL	*****3344	ALL CATASTROPHE CONSTRUCTION TEAM INC	ACT INC	6409 LAND O LAKES BLVD LAND O LAKES FL 34638	11/10/2015	11/10/2020
DOL	DOL	*****8740	ALLSTATE ENVIRONMENTAL CORP		C/O JOSE MONTAS 27 BUTLER PLACEYONKERS NY 10710	03/18/2011	03/19/2020
DOL	DOL		AMADEO J TORCHIA	TORCHIA'S HOME IMPROVEMEN T	10153 ROBERTS RD SAUQUOIT NY 13456	08/09/2016	08/09/2021
DOL	DOL		ANGELO F COKER			12/04/2018	12/04/2023
DOL	NYC		ANISUL ISLAM		C/O RELIANCE GENERAL CONS 644 OCEAN PARKWAYBROOKLYN NY 11230	09/02/2015	09/02/2020
DOL	DOL		ANITA SALERNO		158 SOLAR ST SYRACUSE NY 13204	01/07/2019	01/07/2024
DOL	DA		ANTHONY CARDINALE		58-48 59TH STREET MASPETH NY 11378	05/16/2012	05/08/2020
DOL	DOL		ANTHONY J MINGARELLI JR		C/O T & T CONCRETE INC 2560 HAMBURG TURNPIKELACKAWANNA NY 14218	07/08/2015	07/08/2020
DOL	DOL		ANTHONY PERGOLA		3 WEST MAIN ST/SUITE 208 ELMSFORD NY 10323	01/23/2017	01/23/2022
DOL	DOL		ANTONIO ESTIVEZ		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL	*****3020	APCO CONTRACTING CORP		24 SOUTH MARYLAND AVENUE PORT WASHINGTON NY 11050	09/24/2012	09/02/2020
DOL	NYC	****9232	ARKAY CONSTRUCTION INC		102-104 GREYLOCK AVENUE BELLEVILLE NJ 07109	07/15/2015	07/15/2020
DOL	NYC	****4779	ASTORIA GENERAL CONTRACTING CORP		35-34 31ST STREET LONG ISLAND CITY NY 11106	09/02/2015	09/02/2020
DOL	NYC	****7217	ASTRO COMMUNICATIONS OF NY CORP		79 ALEXANDER AVE- STE 36A BRONX NY 10454	10/30/2015	10/30/2020
DOL	NYC	****6046	ATLANTIC SUN CONTRUCTION CORP		58-46 59TH AVENUE MASPETH NY 11378	05/08/2015	05/08/2020
DOL	NYC	****6683	ATLAS RESTORATION CORP.		35-12 19TH AVENUE ASTORIA NY 11105	08/02/2017	08/02/2022
DOL	NYC		AUDLEY O'BRIEN		1273 NORTH AVENUE/#1 CP NEW ROCHELLE NY 10804	04/07/2015	04/07/2020
DOL	NYC	*****2591	AVI 212 INC.		260 CROPSEY AVENUE APT 11GBROOKLYN NY 11214	10/30/2018	10/30/2023
DOL	DOL		AVIS R HILL		3510 HICKORY WALK LANE ELLENWOOD GA 32094	01/22/2015	01/22/2020
DOL	AG		AVTAR SINGH		116-24 127TH STREET SOUTH OZONE PARK NY 11420	12/22/2015	12/22/2020
DOL	AG		BALDEV SINGH		116-24 127TH STREET SOUTH OZONE PARK NY 11420	12/22/2015	12/22/2020

DOL	NYC		BALWINDER SINGH		421 HUDSON ST SUITE C5NEW YORK NY 10014	02/20/2019	02/20/2024
DOL	DOL		BARBARA CASSIDY		7 BLENIS PLACE VALHALLA NY 10595	04/02/2015	04/02/2020
DOL	DOL		BARRY KINNEY		6409 LAND O LAKES BLVD LAND O LAKES FL 34638	11/10/2015	11/10/2020
DOL	NYC	*****3915	BEACON RESTORATION INC		SUITE B-8 782 PELHAM PARKWAY SOUTHBRONX NY 10462	04/21/2016	04/21/2021
DOL	NYC	*****8416	BEAM CONSTRUCTION, INC.		50 MAIN ST WHITE PLAINS NY 10606	01/04/2019	01/04/2024
DOL	DOL		BIAGIO CANTISANI			06/12/2018	06/12/2023
DOL	DOL	*****4512	BOB BRUNO EXCAVATING, INC		5 MORNINGSIDE DR AUBURN NY 13021	05/28/2019	05/28/2024
DOL	DOL		BOGDAN MARKOVSKI		370 W. PLEASANTVIEW AVE SUITE 2.329HACKENSACK NJ 07601	02/11/2019	02/11/2024
DOL	DOL	*****8551	BRANDY'S MASONRY		216 WESTBROOK STREET P O BOX 304SAYRE PA 18840	08/09/2016	08/09/2021
DOL	NYC	*****6555	BROOKLYN WELDING CORP		1273 NORTH AVENUE/ #1 CP NEW ROCHELLE NY 10804	04/07/2015	04/07/2020
DOL	DOL	*****1449	BRRESTORATION NY INC		140 ARCADIA AVENUE OSWEGO NY 13126	09/12/2016	09/12/2021
DOL	DOL		BRUCE MORSEY		C/O KENT HOLLOW SIDING LL 29A BRIDGE STREETNEW MILFORD CT 06776	01/15/2016	01/15/2021
DOL	DOL		BRUCE P. NASH JR.		5841 BUTTERNUT ROAD EAST SYRACUSE NY 13057	09/12/2018	09/12/2023
DOL	DOL	*****8809	C.B.E. CONTRACTING CORPORATION		310 MCGUINESS BLVD GREENPOINT NY 11222	03/07/2017	03/07/2022
DOL	DOL	****9383	C.C. PAVING AND EXCAVATING, INC.		2610 SOUTH SALINA ST SUITE 12SYRACUSE NY 13205	12/04/2018	12/04/2023
DOL	DOL		CANTISANI & ASSOCIATES LTD		442 ARMONK RD MOUNT KISCSO NY 10549	06/12/2018	06/12/2023
DOL	DOL		CANTISANI HOLDING LLC			06/12/2018	06/12/2023
DOL	DOL		CARIBBEAN POOLS		C/O DOUGLAS L MALARKEY 64 VICTORIA DRIVEBINGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DOL	*****3812	CARMODY "2" INC			06/12/2018	06/12/2023
DOL	DOL	*****1143	CARMODY BUILDING CORP	CARMODY CONTRACTIN G AND CARMODY CONTRACTIN G CORP.	442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL		CARMODY CONCRETE CORPORATION			06/12/2018	06/12/2023
DOL	DOL		CARMODY ENTERPRISES, LTD.		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL		CARMODY INC		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL	*****3812	CARMODY INDUSTRIES INC			06/12/2018	06/12/2023
DOL	DOL		CARMODY MAINTENANCE CORPORATION		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL		CARMODY MASONRY CORP		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	NYC	*****9172	CASSIDY EXCAVATING INC		14 RAILROAD AVENUE VALHALLA NY 10595	05/15/2014	04/02/2020
DOL	DOL	*****8809	CBE CONTRACTING CORP		142 EAST MARKET STREET LONG BEACH NY 11561	03/07/2017	03/07/2022
DOL	AG		CESAR J. AGUDELO		81-06 34TH AVENUE APT. 6EJACKSON HEIGHTS NY 11372	02/07/2018	02/07/2023
DOL	DOL	****7655	CHAMPION CONSTRUCTION SERVICES CORP		2131 SCHENECTADY AVENUE BROOKLYN NY 11234	11/18/2015	11/18/2020
DOL	NYC		CHARLES CASSIDY JR		14 RAILROAD AVENUE VALHALLA NY 10595	05/15/2014	04/02/2020
DOL	DOL		CHARLES ZIMMER JR		216 WESTBROOK STREET P O BOX 304SAYRE PA 18840	08/09/2016	08/09/2021
DOL	DOL		CHRISTINE J HEARNE		C/O CJ-HEARNE CONSTRUCTIO 131 PONCE DE LEON AVE NEATLANTA GA 30308	12/01/2015	12/01/2020

DOL	DOL		CHRISTOPHER J MAINI		19 CAITLIN AVE JAMESTOWN NY 14701	09/17/2018	09/17/2023
DOL	DOL		CHRISTOPHER PAPASTEFANOU A/K/A CHRIS PAPASTEFANOU		1445 COMMERCE AVE BRONX NY 10461	05/30/2019	05/30/2024
DOL	DOL	*****0671	CJ-HEARNE CONSTRUCTION CO		SUITE 204 131 PONCE DE LEON AVENUEATLANTA GA 30308	12/01/2015	12/01/2020
DOL	DOL	*****1927	CONSTRUCTION PARTS WAREHOUSE, INC.	CPW	5841 BUTTERNUT ROAD EAST SYRACUSE NY 13057	09/12/2018	09/12/2023
DOL	NYC	****2164	CREATIVE TRUCKING INC		58-83 54TH STREET MASPETH NY 11378	02/26/2016	02/26/2021
DOL	DOL	*****2524	CSI ELECTRICAL & MECHANICAL INC		42-32 235TH ST DOUGLASTON NY 11363	01/14/2019	01/14/2024
DOL	DOL	*****7761	D L MALARKEY CONSTRUCTION		64 VICTORIA DRIVE BINGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DOL	****7888	D L MALARKEY CONSTRUCTION INC		64 VICTORIA DRIVE BINGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DOL	*****5629	DAKA PLUMBING AND HEATING LLC		2561 ROUTE 55 POUGHQUAG NY 12570	02/19/2016	02/19/2021
DOL	DOL		DANICA IVANOSKI		61 WILLETT ST. PASSAIC NJ 07503	10/26/2016	10/26/2021
DOL	DOL		DARIAN L COKER		2610 SOUTH SALINA ST SUITE 2CSYRACUSE NY 13205	12/04/2018	12/04/2023
DOL	DOL		DARYL T RIEKS		C/O RIEKS CONTRACTING LLC 4804 GAHWILER ROADAUBURN NY 13021	05/01/2015	05/01/2020
DOL	NYC	****7707	DASSLE CONTRACTING INC		213-37 39TH AVE/SUITE 120 BAYSIDE NY 11360	05/08/2015	05/08/2020
DOL	DOL		DAVID MARTINEZ		C/O EMPIRE TILE INC 6 TREMONT COURTHUNTINGTON STATION NY 11746	03/08/2016	03/08/2021
DOL	DOL		DEBBIE STURDEVANT		29 MAPLEWOOD DRIVE BINGHAMTON NY 13901	02/21/2017	02/21/2022
DOL	AG		DEBRA MARTINEZ		31 BAY ST BROOKLYN NY 11231	03/28/2018	03/28/2023
DOL	DOL		DEDA GAZIVODAN		C/O DAKA PLUMBING AND H 2561 ROUTE 55POUGHQUAG NY 12570	02/19/2016	02/19/2021
DOL	DOL		DELPHI PAINTING & DECORATING CO INC		1445 COMMERCE AVE BRONX NY 10461	05/30/2019	05/30/2024
DOL	DOL		DENNIS SCHWANDTNER		C/O YES SERVICE AND REPAI 145 LODGE AVEHUNTINGTON STATION NY 11476	08/09/2016	08/09/2021
DOL	DOL		DF CONTRACTORS OF ROCHESTER, INC.		1835 DAANSEN RD. PALMYRA NY 14522	05/16/2017	05/16/2022
DOL	DOL		DF CONTRACTORS, INC.		1835 DAANSEN RD. PALMYRA NY 14522	05/16/2017	05/16/2022
DOL	NYC		DIMITRIOS KOUTSOUKOS		C/O ASTORIA GENERAL CONTR 35-34 31ST STREETLONG ISLAND CITY NY 11106	09/02/2015	09/02/2020
DOL	NYC		DIMITRIOS TSOUMAS		35-12 19TH AVENUE ASTORIA NY 11105	08/02/2017	08/02/2022
DOL	DOL	*****3242	DONALD R. FORSAY	DF LAWN SERVICE	1835 DAANSEN RD. PALMYRA NY 14522	05/16/2017	05/16/2022
DOL	DOL		DONALD R. FORSAY		1835 DAANSEN RD. PALMYRA NY 14522	05/16/2017	05/16/2022
DOL	DOL		DORIS SKODA		C/O APCO CONTRACTING CORP 24 SOUTH MARYLAND AVENUEPORT WASHINGTON NY 11050	09/24/2012	09/02/2020
DOL	NYC	****7404	DOSANJH CONSTRUCTION CORP		9439 212TH STREET QUEENS VILLAGE NY 11428	02/25/2016	02/25/2021
DOL	DOL		DOUGLAS L MALARKEY	MALARKEY CONSTRUCTI ON	64 VICTORIA DRIVE B INGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DOL		E C WEBB		6409 LAND O LAKES BLVD LAND O LAKES FL 34638	11/10/2015	11/10/2020
DOL	DOL		EARL L WILSON	WILSON BROTHER DRYWALL CONTRACTOR S	36 ABERSOLD STREET ROCHESTER NY 14621	08/31/2015	08/31/2020
DOL	DOL		EAST COAST PAVING		2238 BAKER RD GILLETT PA 16923	03/12/2018	03/12/2023
DOL	DOL	*****0780	EMES HEATING & PLUMBING CONTR		5 EMES LANE MONSEY NY 10952	01/20/2002	01/20/3002

DOL	DOL	*****3270	EMPIRE TILE INC		6 TREMONT COURT HUNTINGTON STATION NY 11746	03/08/2016	03/08/2021
DOL	DOL	****7403	F & B PAINTING CONTRACTING INC		2 PARKVIEW AVENUE HARRISON NY 10604	09/26/2016	09/26/2021
DOL	DOL		FAIGY LOWINGER		11 MOUNTAIN RD 28 VAN BUREN DRMONROE NY 10950	03/20/2019	03/20/2024
DOL	DOL		FAY MATTHEW		C/O CHAMPION CONSTRUCTION 2131 SCHENECTADY AVENUEBROOKLYN NY 11234	11/18/2015	11/18/2020
DOL	DOL		FAZIA GINA ALI-MOHAMMED	C/O CHAMPION CONSTRUCTI ON	2131 SCHENECTADY AVENUE BROOKLYN NY 11234	11/18/2015	11/18/2020
DOL	DOL		FRANK BENEDETTO		19 CATLIN AVE JAMESTOWN NY 14701	09/17/2018	09/17/2023
DOL	DOL		FRANK BENEDETTO		C/O F & B PAINTING CONTRA 2 PARKVIEW AVENUEHARRISON NY 10604	09/26/2016	09/26/2021
DOL	DOL	*****4722	FRANK BENEDETTO AND CHRISTOPHER J MAINI	B & M CONCRETE	19 CAITLIN AVE JAMESTOWN NY 14701	09/17/2018	09/17/2023
DOL	DOL		GABRIEL FRASSETTI			04/10/2019	04/10/2024
DOL	DOL		GALINDA ROTENBERG		C/O GMDV TRANS INC 67-48 182ND STREETFRESH MEADOWS NY 11365	06/24/2016	06/24/2021
DOL	DOL		GEOFF CORLETT		415 FLAGGER AVE #302STUART FL 34994	10/31/2018	10/31/2023
DOL	DA		GEORGE LUCEY		150 KINGS STREET BROOKLYN NY 11231	01/19/1998	01/19/2998
DOL	DOL		GIGI SCHNECKENBURGER		261 MILL RD EAST AURORA NY 14052	05/29/2019	05/29/2024
DOL	DOL	****5674	GMDV TRANS INC		67-48 182ND STREET FRESH MEADOWS NY 11365	06/24/2016	06/24/2021
DOL	NYC		GREAT ESTATE CONSTRUCTION, INC.		327 STAGG ST BROOKLYN NY 11206	10/10/2017	10/10/2022
DOL	DOL		GREGORY S. OLSON		P.O BOX 100 200 LATTA BROOK PARKHORSEHEADS NY 14845	03/08/2018	03/08/2023
DOL	NYC		HARMEL SINGH		15 CLINTON LANE HICKSVILLE NY 11801	02/25/2016	02/25/2021
DOL	NYC		HAROLD KUEMMEL		58-83 54TH STREET MASPETH NY 11378	02/26/2016	02/26/2021
DOL	DOL		HENRY VAN DALRYMPLE		2663 LANTERN LANE ATLANTA GA 30349	12/01/2015	12/01/2020
DOL	DOL	*****6370	HILLIANO CONSTRUCTION & ELECTRICAL INC		354 MAGNOLIA STREET ROCHESTER NY 14611	01/22/2015	01/22/2020
DOL	DOL	*****8282	IDEMA DEVELOPMENT INC		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	DOL	*****8282	IDEMA GENERAL CONTRACTORS INC		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	DOL	****7001	INTEGRATED CONSTRUCTION & POWER SYSTEMS INC		SUITE 100 2105 W GENESEE STREETSYRACUSE NY 13219	01/06/2016	01/06/2021
DOL	DOL	*****5131	INTEGRITY MASONRY, INC.	M&R CONCRETE	722 8TH AVE WATERVLIET NY 12189	06/05/2018	06/05/2023
DOL	DOL		IRENE KASELIS		32 PENNINGTON AVE WALDWICK NJ 07463	05/30/2019	05/30/2024
DOL	AG		J A M CONSTRUCTION CORP		SUITE 125 265 SUNRISE HIGHWAYROCKVILLE CENTRE NY 10457	04/07/2016	04/07/2021
DOL	DOL	*****4910	J V MAGIC TOUCH CORPORATION		94-25 57TH AVENUE, APT 5G ELMHURST NY 11373	01/12/2015	01/12/2020
DOL	DOL		J.A. HIRES CADWALLADER		P.O BOX 100 200 LATTA BROOK PARKHORSEHEADS NY 14845	03/08/2018	03/08/2023
DOL	DOL		JAMES B RHYNDERS		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	DOL		JAMES C. DELGIACCO		722 8TH AVE WATERVLIET NY 12189	06/05/2018	06/05/2023
DOL	DOL		JAMES E RHYNDERS		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	AG		JAMES FALCONE		SUITE 125 265 SUNRISE HIGHWAYROCKVILLE CENTRE NY 10457	04/07/2016	04/07/2021

DOL	DOL		JAMES LIACONE		9365 WASHINGTON ST LOCKPORT IL 60441	07/23/2018	07/23/2023
DOL	DOL		JAMES RACHEL		9365 WASHINGTON ST LOCKPORT IL 60441	07/23/2018	07/23/2023
DOL	DOL		JAMES RHYNDERS SR		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	DOL		JAMES SICKAU		3090 SHIRLEY ROAD NORTH COLLINS NY 14111	04/19/2011	07/08/2020
DOL	DOL		JASON W MILLIMAN		C/O ROCHESTER ACOUSTICAL P O BOX 799HILTON NY 14468	02/19/2016	02/19/2021
DOL	DOL	****5368	JCH MASONRY & LANDSCAPING INC.		35 CLINTON AVE OSSINING NY 10562	09/12/2018	09/12/2023
DOL	NYC		JEFFREY CASSIDY		14 RAILROAD AVENUE VALHALLA NY 10595	05/15/2014	04/02/2020
DOL	DOL		JESSICA WHITESIDE		C/O BRRESTORATION NY INC 140 ARCADIA AVENUEOSWEGO NY 13126	09/12/2016	09/12/2021
DOL	AG		JOHN ANTHONY MASSINO		36-49 204TH STREET BAYSIDE NY 11372	02/07/2018	02/07/2023
DOL	DOL		JOHN F. CADWALLADER		200 LATTA BROOK PARK HORSEHEADS NY 14845	03/08/2018	03/08/2023
DOL	DOL	*****4612	JOHN F. CADWALLADER, INC.	THE GLASS COMPANY	P.O BOX 100 200 LATTA BROOK PARKHORSEHEADS NY 14845	03/08/2018	03/08/2023
DOL	AG	*****0600	JOHNCO CONTRACTING, INC.		36-49 204TH STREET BAYSIDE NY 11372	02/07/2018	02/07/2023
DOL	DOL		JON E DEYOUNG		261 MILL RD P.O BOX 296EAST AURORA NY 14052	07/29/2015	07/29/2020
DOL	DOL		JON E DEYOUNG		261 MILL RD P.O BOX 296EAST AURORA NY 14052	05/29/2019	05/29/2024
DOL	DOL		JORGE VILLALOBOS		94-25 57TH AVENUE - APT 5 ELMHURST NY 11373	01/12/2015	01/12/2020
DOL	DOL		JORI PEDERSEN		415 FLAGER AVE #302STUART FL 34994	10/31/2018	10/31/2023
DOL	DOL		JOSE CHUCHUCA		35 CLINTON AVE OSSINING NY 10562	09/12/2018	09/12/2023
DOL	DOL		JOSE MONTAS		27 BUTLER PLACE YONKERS NY 10710	03/18/2011	03/19/2020
DOL	AG		JOSEPH FALCONE		SUITE 125 265 SUNRISE HIGHWAYROCKVILLE CENTRE NY 10457	04/07/2016	04/07/2021
DOL	DOL	*****9273	JOSEPH M LOVETRO		P O BOX 812 BUFFALO NY 14220	08/09/2016	08/09/2021
DOL	NYC		JOSEPH MARTINO		1535 RICHMOND AVENUE STATEN ISLAND NY 10314	12/13/2017	12/13/2022
DOL	DOL		JOSEPH MARTONE		112 OSCAWANA HEIGHTS RD PUTNAM VALLEY NY 10542	03/13/2015	03/13/2020
DOL	DOL		JUANA MARTINEZ		C/O LEAD CONSTRUCTION 27 BUTLER PLACEYONKERS NY 10710	03/19/2015	03/19/2020
DOL	DOL		JULIUS AND GITA BEHREND		5 EMES LANE MONSEY NY 10952	11/20/2002	11/20/3002
DOL	DOL	*****5062	K R F SITE DEVELOPMENT INC		375 LAKE SHORE DRIVE PUTNAM VALLEY NY 10579	01/23/2017	01/23/2022
DOL	DOL		KATIE BURDICK		2238 BAKER RD GILLETT PA 16923	03/12/2018	03/12/2023
DOL	DOL		KENNETH FIORENTINO		375 LAKE SHORE DRIVE PUTNAM VALLEY NY 10579	01/23/2017	01/23/2022
DOL	DOL	*****9732	KENT HOLLOW SIDING LLC		29A BRIDGE STREET NEW MILFORD CT 06776	01/15/2016	01/15/2021
DOL	DOL		KIM SOROCENSKI		C/O SOLUTION MATTERS INC 198 NORWOOD ROADPORT JEFFERSON NY 11776	11/19/2015	11/19/2020
DOL	DOL	*****3490	L & M CONSTRUCTION/DRYWALL INC.		1079 YONKERS AVE YONKERS NY 10704	08/07/2018	08/07/2023
DOL	DA	*****8816	LAKE CONSTRUCTION AND DEVELOPMENT CORPORATION		150 KINGS STREET BROOKLYN NY 11231	08/19/1998	08/19/2998
DOL	DOL	*****6224	LAKESIDE FIRE SPRINKLERS		125 CHAUTAUQUA AVENUE LAKEWOOD NY 14750	06/24/2015	06/24/2020
DOL	AG	*****4643	LALO DRYWALL, INC.		221 OLD FORD ROAD NEW PLATZ NY 12561	05/20/2016	05/20/2021

DOL	DOL	****4505	LARAPINTA ASSOCIATES INC		29 MAPLEWOOD DRIVE	02/21/2017	02/21/2022
DOL	DOL		LAURI MARTONE		112 OSCAWANA HEIGHTS RD PLITNAM VALLEY NY 10542	03/13/2015	03/13/2020
DOL	DOL		LAVERN GLAVE		161 ROBYN RD MONROE NY 10950	09/15/2014	09/15/2019
DOL	DOL		LAVERN GLAVE		161 ROBYN RD MONROE NY 10950	01/30/2018	01/30/2023
DOL	DOL	****1364	LEAD CONSTRUCTION SERVICES INC		3 ALAN B SHEPARD PLACE YONKERS NY 10705	03/19/2015	03/19/2020
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	06/24/2016	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	06/24/2016	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	01/17/2017	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL	*****4388	LEN.J CONSTRUCTION, LLC		PO BOX 10007 ALBANY NY 12201	08/14/2017	09/19/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	09/19/2017	09/19/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	08/14/2017	08/14/2022
DOL	DOL		LEROY NELSON JR		PO BOX 10007 ALBANY NY 12201	01/17/2017	09/19/2022
DOL	DOL		LINDSEY R CRILL		143 FILLMORE AVENUE BUFFALO NY 14210	01/08/2015	01/08/2020
DOL	DA	*****4460	LONG ISLAND GLASS & STOREFRONTS, LLC		4 MANHASSET TRL RIDGE NY 11961	09/06/2018	09/06/2023
DOL	AG	****4216	LOTUS-C CORP.		81-06 34TH AVENUE APT. 6EJACKSON HEIGHTS NY 11372	02/07/2018	02/07/2023
DOL	AG		LUIS MARTINEZ	LALO DRYWALL	211 MAIN ST. NEW PALTZ NY 12561	05/20/2016	05/20/2021
DOL	DOL		M ANVER BEIG		142 EAST MARKET STREET LONG BEACH NY 11561	03/07/2017	03/07/2022
DOL	AG	*****6957	M B DIN CONSTRUCTION INC		8831 20TH AVENUE/SUITE 6E BROOKLYN NY 11214	11/17/2015	11/17/2020
DOL	NYC	*****6317	M S QUALITY CONSTRUCTION LLC		27 MAPLEWOOD AVENUE COLONIA NJ 07067	02/04/2015	02/04/2020
DOL	DOL		M. ANVER BEIG		142 EAST MARKET STREET LONG BEACH NY 11561	03/07/2017	03/07/2022
DOL	NYC		MACIEJ SONTOWSKI		27 MAPLEWOOD AVENUE COLONIA NJ 07067	02/04/2015	02/04/2020
DOL	NYC	*****9590	MACK GLASSNAUTH IRON WORKS INC		137 LIBERTY AVENUE BROOKLYN NY 11212	12/21/2015	12/21/2020
DOL	DOL	*****1784	MADISON AVE CONSTRUCTION CORP		39 PENNY STREET WEST ISLIP NY 11795	11/02/2016	11/02/2021
DOL	DOL		MALARKEY'S BAR & GRILL LLC		64 VICTORIA DRIVE BINGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DOL	*****0705	MALARKEY'S PUB & GRUB LLC		64 VICTORIA DRIVE BINGHAMTON NY 13904	02/04/2016	02/04/2021
DOL	DA		MANUEL P TOBIO		150 KINGS STREET BROOKLYN NY 14444	08/19/1998	08/19/2998
DOL	DA		MANUEL TOBIO		150 KINGS STREET BROOKLYN NY 11231	08/19/1998	08/19/2998
DOL	NYC		MAREK FABIJANOWSKI		50 MAIN ST WHITE PLAINS NY 10606	01/04/2019	01/04/2024
DOL	DOL		MARIACHI'S PIZZERIA		C/O DOUGLAS L MALARKEY 64 VICTORIA	02/04/2016	02/04/2021
DOL	DOL		MARK MIONIS		DRIVEBINGHAMTON NY 13904 6409 LAND O LAKES BLVD	11/10/2015	11/10/2020

DOL	DOL		MARVIN A STURDEVANT		29 MAPLEWOOD DRIVE BINGHAMTON NY 13901	02/21/2017	02/21/2022
DOL	DOL		MASONRY CONSTRUCTION, INC.		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL	*****3333	MASONRY INDUSTRIES, INC.		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL		MATTHEW IDEMA GENERAL CONTRACTORS INC		91 COLLEGE AVENUE POUGHKEEPSIE NY 12603	12/04/2015	12/04/2020
DOL	DOL		MATTHEW P. KILGORE		4156 WILSON ROAD EAST TABERG NY 13471	03/26/2019	03/26/2024
DOL	DOL		MAURICE GAWENO		442 ARMONK RD MOUNT KISCO NY 10549	06/12/2018	06/12/2023
DOL	DOL	*****6416	MCCALL MASONRY		P O BOX 304 SAYRE PA 18840	08/09/2016	08/09/2021
DOL	DOL		MCLEAN "MIKKI BEANE"		1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	DOL		MCLEAN "MIKKI" DRAKE		1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	DOL		MCLEAN M DRAKE-BEANE		1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	DOL	****9445	MCLEAN M WALSH	ELITE PROFESSION AL PAINTING OF CNY	1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	DOL	****9445	MCLEAN M WALSH	ELITE PROFESSION AL PAINTING OF CNY	1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	NYC	*****5330	METRO DUCT SYSTEMS INC		1219 ASTORIA BOULEVARD LONG ISLAND CITY NY 11102	04/16/2014	11/19/2020
DOL	DOL	*****3368	MICEK CONSTRUCTION CO		20 CROSS STREET FALCONER NY 14733	12/02/2014	12/02/2019
DOL	DOL		MICHAEL A PASCARELLA		SUITE 100 2105 WEST GENESEE STREET SYRACUSE NY 13219	01/06/2016	01/06/2021
DOL	NYC		MICHAEL HIRSCH		C/O MZM CORP 163 S MAIN STREETNEW CITY NY 10956	01/28/2016	01/28/2021
DOL	DOL		MICHAEL LENIHAN		1079 YONKERS AVE UNIT 4YONKERS NY 10704	08/07/2018	08/07/2023
DOL	AG		MICHAEL RIGLIETTI		31 BAY ST BROOKLYN NY 11231	03/28/2018	03/28/2023
DOL	DOL		MICHAEL WILSON	WILSON BROTHER DRYWALL CONTRACTOR S	36 ABERSOLD STREET ROCHESTER NY 14621	08/31/2015	08/31/2020
DOL	NYC		MILANCE HADZIC		22 CALIFORNIA AVE - STE 1 PATERSON NJ 07503	03/11/2015	03/11/2020
DOL	DOL	****4829	MILESTONE ENVIRONMENTAL CORPORATION		704 GINESI DRIVE SUITE 29MORGANVILLE NJ 07751	04/10/2019	04/10/2024
DOL	AG		MOHAMMED N CHATHA		8831 20TH AVENUE/SUITE 6E BROOKLYN NY 11214	11/17/2015	11/17/2020
DOL	DOL	*****2737	MOUNTAIN'S AIR INC		2471 OCEAN AVENUE- STE 7A BROOKLYN NY 11229	09/24/2012	09/18/2020
DOL	AG		MSR ELECTRICAL CONSTRUCTION CORP.		31 BAY ST BROOKLYN NY 11231	03/28/2018	03/28/2023
DOL	DOL		MUHAMMAD BEIG		142 EAST MARKET STREET LONG BEACH NY 11561	03/07/2017	03/07/2022
DOL	DOL		MUHAMMAD BEIG		142 EAST MARKET STREET LONG BEACH NY 11561	03/07/2017	03/07/2022
DOL	DOL		MUHAMMAD PERVAIZ		C/O CHAMPION CONSTRUCTION 2131 SCHENECTADY AVENUEBROOKLYN NY 11234	11/18/2015	11/18/2020
DOL	NYC	*****3613	MZM CORP		163 S MAIN STREET NEW CITY NY 10956	01/28/2016	01/28/2021
DOL	DA	*****9786	NATIONAL INSULATION & GC CORP		180 MILLER PLACE HICKSVILLE NY 11801	12/12/2018	12/12/2023
DOL	NYC	*****1284	NEW AMERICAN RESTORATION INC		22 CALIFORNIA AVE - STE 1 PATERSON NJ 07503	03/11/2015	03/11/2020
DOL	DA	*****6988	NEW YORK INSULATION INC		58-48 59TH STREET MASPETH NY 11378	05/16/2012	05/08/2020
DOL	NYC	*****4839	NEW YORK RIGGING CORP		58-83 54TH STREET MASPETH NY 11378	02/26/2016	02/26/2021
DOL	NYC	*****1968	NORTH AMERICAN IRON WORKS INC		1560 DECATUR STREET RIDGEWOOD NY 11385	05/15/2015	05/15/2020

DOL	DOL	*****6966	NORTH COUNTRY DRYWALL AND PAINT		23167 COUNTY ROUTE 59 DEXTER NY 13634	10/24/2016	10/24/2021
DOL	DOL	*****0065	NORTHEAST LANDSCAPE AND MASONRY ASSOC		3 WEST MAIN ST/SUITE 208 ELMSFORD NY 10523	01/23/2017	01/23/2022
DOL	DOL	*****1845	OC ERECTERS, LLC A/K/A OC ERECTERS OF NY INC.		1207 SW 48TH TERRACE DEERFIELD BEACH FL 33442	01/16/2018	01/16/2023
DOL	NYC		ORSON ARROYO		C/O METRO DUCT SYSTEMS 12-19 ASTORIA BOULEVARDLONG ISLAND CITY NY 11102	04/16/2014	11/19/2020
DOL	NYC	****9422	PELIUM CONSTRUCTION, INC.		22-33 35TH ST. ASTORIA NY 11105	12/30/2016	12/30/2021
DOL	DOL		PETER M PERGOLA		3 WEST MAIN ST/SUITE 208 ELMSFORD NY 10523	01/23/2017	01/23/2022
DOL	DOL		PIERRE LAPORT		224 COUNTY HIGHWAY 138 BROADALBIN NY 12025	03/07/2017	03/07/2022
DOL	DOL	****1543	PJ LAPORT FLOORING INC		224 COUNTY HIGHWAY 138 BROADALBIN NY 12025	03/07/2017	03/07/2022
DOL	DOL	****6895	PROLINE CONCRETE OF WNY		3090 SHIRLEY ROAD NORTH COLLINS NY 14111	04/19/2011	07/08/2020
DOL	DA	*****6817	QUADRANT METAL BUILDINGS LLC		2740 SW MARTIN DOWNS BLVD PALM CITY FL 34990	08/25/2016	08/25/2021
DOL	NYC		RAMESHWAR ASU		137 LIBERTY AVENUE BROOKLYN NY 11212	12/21/2015	12/21/2020
DOL	DOL		RANA A KAHN		1973 81ST ST - SUITE A-5 BROOKLYN NY 11214	01/08/2015	01/08/2020
DOL	NYC		RANTIK PARIKH		13 LORIANN ROAD WARREN NJ 07059	07/15/2015	07/15/2020
DOL	DOL	****2633	RAW POWER ELECTRIC CORP		3 PARK CIRCLE MIDDLETOWN NY 10940	09/16/2013	09/15/2019
DOL	DOL	*****2633	RAW POWER ELECTRIC CORP		3 PARK CIRCLE MIDDLETOWN NY 10940	01/30/2018	01/30/2023
DOL	AG	*****7015	RCM PAINTING INC.		69-06 GRAND AVENUE 2ND FLOORMASPETH NY 11378	02/07/2018	02/07/2023
DOL	DOL		REGINALD WARREN		161 ROBYN RD MONROE NY 10950	09/15/2014	09/15/2019
DOL	DOL		REGINALD WARREN		161 ROBYN RD MONROE NY 10950	01/30/2018	01/30/2023
DOL	NYC	*****3461	RELIANCE GENERAL CONSTRUCTION INC		644 OCEAN PARKWAY BROOKLYN NY 11230	09/02/2015	09/02/2020
DOL	DA		RIANN MULLER		2740 SW MARTIN DOWNS BLVD PALM CITY FL 34990	08/25/2016	08/25/2021
DOL	DOL	*****9148	RICH T CONSTRUCTION		107 WILLOW WOOD LANE CAMILLUS NY 13031	11/13/2018	11/13/2023
DOL	DOL		RICHARD MACONE		8617 THIRD AVE BROOKLYN NY 11209	09/17/2018	09/17/2023
DOL	DOL	****9148	RICHARD TIMIAN	RICH T CONSTRUCTI ON	108 LAMONT AVE SYRACUSE NY 13209	10/16/2018	10/16/2023
DOL	DOL		RICHARD TIMIAN JR.		108 LAMONT AVE SYRACUSE NY 13209	10/16/2018	10/16/2023
DOL	DOL		RICHARD TIMIAN JR.		108 LAMONT AVE SYRACUSE NY 13209	11/13/2018	11/13/2023
DOL	DOL	*****8618	RIEKS CONTRACTING LLC		4804 GAHWILER ROAD AUBURN NY 13021	05/01/2015	05/01/2020
DOL	DOL		ROBBYE BISSESAR		89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427	01/11/2003	01/11/3003
DOL	DOL		ROBERT A. VALERINO		3841 LANYARD COURT NEW PORT RICHEY FL 34652	07/09/2019	07/09/2024
DOL	DOL		ROBERT BRUNO		3 GAYLORD ST AUBURN NY 13021	11/15/2016	11/15/2021
DOL	DOL		ROBERT BRUNO		5 MORNINGSIDE DRIVE AUBURN NY 13021	05/28/2019	05/28/2024
DOL	DOL		ROBERT TORDELLA		125 CHAUTAUQUA AVENUE LAKEWOOD NY 14750	06/24/2015	06/24/2020
DOL	DOL	*****3859	ROCHESTER ACOUSTICAL CORP		P O BOX 799 HILTON NY 14468	02/19/2016	02/19/2021
DOL	DOL		RODERICK PUGH		404 OAK ST SUITE 101SYRACUSE NY 13203	07/23/2018	07/23/2023
DOL	DOL	*****4880	RODERICK PUGH CONSTRUCTION INC.		404 OAK ST SUITE 101SYRACUSE NY 13203	07/23/2018	07/23/2023
DOL	NYC		RODNEY SCOTT		201 HEMPSTEAD AVENUE WEST HEMPSTEAD NY 11552	10/30/2015	10/30/2020

DOI	DOI		ROMEO WARREN		161 ROBYN RD	09/16/2013	09/15/2019
DOL	DOL				MONROE NY 10950	03/10/2013	03/13/2013
DOL	DOL		ROMEO WARREN		161 ROBYN RD MONROE NY 10950	01/30/2018	01/30/2023
DOL	DOL		ROSEANNE CANTISANI			06/12/2018	06/12/2023
DOL	DOL		RYAN ALBIE		21 S HOWELLS POINT ROAD BELLPORT NY 11713	02/21/2017	02/21/2022
DOL	DOL	****3347	RYAN ALBIE CONTRACTING INC		21 S HOWELLS POINT ROAD BELLPORT NY 11713	02/21/2017	02/21/2022
DOL	DOL	*****1365	S & L PAINTING, INC.		11 MOUNTAIN ROAD P.O BOX 408MONROE NY 10950	03/20/2019	03/20/2024
DOL	NYC		SABIR MUHAMMED		SUITE B-8 782 PELHAM PARKWAY SOUTHBRONX NY 10462	04/21/2016	04/21/2021
DOL	DOL	*****9874	SALFREE ENTERPRISES INC		2821 GARDNER ROAD POMPEY NY 13138	08/26/2016	08/26/2021
DOL	DOL		SALVATORE A FRESINA			08/26/2016	08/26/2021
DOL	DOL		SAM FRESINA			08/26/2016	08/26/2021
DOL	NYC	*****2117	SCOTT ELECTRICAL LLC		201 HEMPSTEAD AVENUE WEST HEMPSTEAD NY 11552	10/30/2015	10/30/2020
DOL	DOL	*****9751	SCW CONSTRUCTION		544 OLD ROUTE 23 ACRE NY 12405	02/14/2017	02/14/2022
DOL	AG		SERGIO RAYMUNDO		109 DUBOIS RD. NEW PALTZ NY 12561	05/20/2016	05/20/2021
DOL	NYC	****6597	SHAIRA CONSTRUCTION		421 HUDSON STREET	02/20/2019	02/20/2024
DOL	DOL	*****1961	SHANE BURDICK	CENTRAL TRAFFIC CONTROL,	2238 BAKER ROAD GILLETT PA 16923	03/12/2018	03/12/2023
DOL	DOL		SHANE BURDICK		2238 BAKER ROAD	03/12/2018	03/12/2023
DOL	DOL		SHANE NOLAN		9365 WASHINGTON ST LOCKPORT IL 60441	07/23/2018	07/23/2023
DOL	DOL		SHULEM LOWINGER		11 MOUNTAIN ROAD 28 VAN BUREN DRMONROE NY 10950	03/20/2019	03/20/2024
DOL	DOL	*****0816	SOLAR ARRAY SOLUTIONS, LLC		9365 WASHINGTON ST LOCKPORT IL 60441	07/23/2018	07/23/2023
DOL	DOL	*****4025	SOLUTION MATTERS INC		198 NORWOOD ROAD PORT JEFFERSON NY 11776	11/19/2015	11/19/2020
DOL	DOL	****3496	STAR INTERNATIONAL INC		89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427	08/11/2003	08/11/3003
DOL	DOL	*****9933	STEED GENERAL CONTRACTORS, INC.		1445 COMMERCE AVE BRONX NY 10461	05/30/2019	05/30/2024
DOL	DOL		STEFANOS PAPASTEFANOU, JR. A/K/A STEVE PAPASTEFANOU, JR.		256 WEST SADDLE RIVER RD UPPER SADDLE RIVER NJ 07458	05/30/2019	05/30/2024
DOL	DOL	****9751	STEPHEN C WAGAR		544 OLD ROUTE 23	02/14/2017	02/14/2022
DOL	DOL		STEVE TATE		415 FLAGER AVE #302STLIART FL 34994	10/31/2018	10/31/2023
DOL	DOL		STEVEN P SUCATO		15-68 208TH STREET BAXSIDE NY 11360	06/23/2016	06/23/2021
DOL	DOL		STEVEN TESTA		50 SALEM STREET - BLDG B	01/23/2017	01/23/2022
DOL	NYC	*****9432	SUBLINK LTD		346 THIRD AVENUE	11/19/2015	11/19/2020
DOL	DOL	*****1060	SUNN ENTERPRISES GROUP, LLC		PELHAM NY 10803 370 W. PLEASANTVIEW AVE SUITE 2.329HACKENSACK NJ	02/11/2019	02/11/2024
DOL	DOL	*****8209	SYRACUSE SCALES, INC.		07601 158 SOLAR ST	01/07/2019	01/07/2024
DOL	DOL	****7441	T & T CONCRETE INC		2560 HAMBURG TURNPIKE P O BOX 367LACKAWANNA NY	07/08/2015	07/08/2020
DOL	DOL		TALAILA OCAMPA		14218 1207 SW 48TH TERRACE DEEREIELD REACH EL 33442	01/16/2018	01/16/2023
DOL	DOL	*****9852	TAP STEEL INC		ROUTE 26 3101 P O BOX 457CONSTABLEVILLE	01/28/2016	01/28/2021
DOL	DOL	****5570	TESTA CORP		50 SALEM STREET - BLDG B LYNNFIELD MA 01940	01/23/2017	01/23/2022

DOL	DOL	*****0887	THE BRINSON PAINTING CORPORATION		72 TAUNTON PLACE BUFFALO NY 14216	04/14/2015	04/14/2020
DOL	DOL	****5766	THE COKER CORPORATION	COKER CORPORATIO N	2610 SOUTH SALINA ST SUITE 14SYRACUSE NY 13205	12/04/2018	12/04/2023
DOL	DOL	*****8174	THE DALRYMPLE CORPORATION		UNIT 278 541 10TH STREET NWATLANTA GA 30318	12/01/2015	12/01/2020
DOL	DOL	*****8174	THE DALRYMPLE GROUP LLC		289 JONESBORO RD/ STE 216 MCDONOUGH GA 30253	12/01/2015	12/01/2020
DOL	DOL		TIMOTHY A PALUCK		C/O TAP STEEL INC RTE 26 3101/ P O BOX 457CONSTABLEVILLE NY 13325	01/28/2016	01/28/2021
DOL	DOL	*****0600	TOMSON ALLOYS RECYCLING INC		143 FILLMORE AVENUE BUFFALO NY 14210	01/08/2015	01/08/2020
DOL	DOL	****3453	TORCHIA'S HOME IMPROVEMENT		10153 ROBERTS RD SAUQUOIT NY 13456	08/09/2016	08/09/2021
DOL	DOL	*****8311	TRIPLE B FABRICATING, INC.		61 WILLETT ST. PASSAIC NJ 07503	10/26/2016	10/26/2021
DOL	DOL	****9407	TURBO GROUP INC		15-68 208TH STREET BAYSIDE NY 11360	06/23/2016	06/23/2021
DOL	DOL	****6392	V.M.K CORP.		8617 THIRD AVE BROOKLYN NY 11209	09/17/2018	09/17/2023
DOL	NYC		VALERIE VISCONTI		346 THIRD AVENUE PELHAM NY 10803	11/19/2015	11/19/2020
DOL	DOL		VICTOR ALICANTI		42-32 235TH ST DOUGLASTON NY 11363	01/14/2019	01/14/2024
DOL	DOL		VICTOR ROTENBERG		C/O GMDV TRANS INC 67048 182ND STREETFRESH MEADOWS NY 11365	06/24/2016	06/24/2021
DOL	NYC		VIKTAR PATONICH		2630 CROPSEY AVE BROOKLYN NY 11214	10/30/2018	10/30/2023
DOL	NYC		VITO GARGANO		1535 RICHMOND AVE STATEN ISLAND NY 10314	12/13/2017	12/13/2022
DOL	DOL		WAYNE LIVINGSTON JR	NORTH COUNTRY DRYWALL AND PAINT	23167 COUNTY ROUTE 59 DEXTER NY 13634	10/24/2016	10/24/2021
DOL	DOL	****3296	WESTERN NEW YORK CONTRACTORS, INC.		3841 LAYNARD COURT NEW PORT RICHEY FL 34652	07/09/2019	07/09/2024
DOL	DOL		WHITE PLAINS CARPENTRY CORP		442 ARMONK RD	06/12/2018	06/12/2023
DOL	DOL		WILLIAM C WATKINS		1229 JAMES STREET SYRACUSE NY 13203	05/02/2017	05/02/2022
DOL	DOL		WILLIAM DEAK		C/O MADISON AVE CONSTR CO 39 PENNY STREETWEST ISLIP NY 11795	11/02/2016	11/02/2021
DOL	DOL		WILLIE BRINSON		72 TAUNTON PLACE BUFFALO NY 14216	04/14/2015	04/14/2020
DOL	DOL	****6195	WILSON BROTHER DRYWALL CONTRACTORS		36 ABERSOLD STREET ROCHESTER NY 14621	08/31/2015	08/31/2020
DOL	DOL	****4043	WINDSHIELD INSTALLATION NETWORK, INC.		200 LATTA BROOK PARK HORSEHEADS NY 14845	03/08/2018	03/08/2023
DOL	DOL	****4730	XGD SYSTEMS, LLC	TDI GOLF	415 GLAGE AVE #302STUART FL 34994	10/31/2018	10/31/2023
DOL	DOL	****7345	YES SERVICE AND REPAIRS CORPORATION		145 LODGE AVE HUNTINGTON STATION NY 11476	08/09/2016	08/09/2021
DOL	DOL		YURIY IVANIN		C/O MOUNTAIN'S AIR INC 2471 OCEAN AVENUE-STE 7ABROOKLYN NY 11229	09/24/2012	09/18/2020
DOL	NYC		ZAKIR NASEEM		30 MEADOW ST BROOKLYN NY 11206	10/10/2017	10/10/2022
DOL	NYC	*****8277	ZHN CONTRACTING CORP		30 MEADOW ST BROOKLYN NY 11206	10/10/2017	10/10/2022
#### SECTION 01 12 13

#### SUMMARY OF WORK

#### <u>PART 1 – GENERAL</u>

#### 1.1 LOCATION AND DESCRIPTION OF WORK

- A. The Work is located at the Catherine Street Pump Station, Old Crompond Road and Garden Lane, Yorktown, NY 10598.
- B. The Work to be performed under this Contract includes, but is not limited to, constructing the Work described below and all related appurtenances. The Work includes, but is not limited to, the following:
  - 1. All labor, material, equipment and appurtenances to provide and bring into successful operation a Fluoride Storage and Feed System for the Catskill Water Supply.
- C. Contracting Method: The Project will be constructed under multiple prime contracts.
- D. Hazardous Environmental Conditions:
  - 1. To the best of Owner's knowledge, information, and belief, the Site has been a water pumping and treatment facility since the 1950s.
  - 2. A Hazardous Environmental Condition, described in reports referenced in the Supplementary Conditions, will affect the Work.
- E. OWNER-furnished Materials and Equipment:
  - 1. Administrative and procedural requirements pertaining to OWNER-furnished materials and equipment are in Section 01 64 00, Owner-Furnished Products.

#### 1.2 CONSTRUCTION CONTRACTS, THIS PROJECT

- A. The Contracts under which the Project will be constructed are:
  - 1. General Construction Contract No. 19-2 Part 1: Consists of all Work shown, indicated, and required to complete the Project, except that specifically assigned to other prime contractors.
    - a. This Contract includes the Work specified in Divisions 01 through 23; Divisions 31 through 46.
  - 2. Electrical Contract No. 19-2 Part 2: Consists of all Work required to complete the Project as shown and as specified in:
    - a. Division 01, General Requirements, except that Work specifically assigned to the other prime contractors.
    - b. Division 02, Section 02 41 00, Demolition as it relates to removal of electrical components.
    - c. Division 26, Electrical.
    - d. In Division 31, Earthwork as it relates to trenching for electrical work.

- e. Other Specification Sections referenced in Division 26, Electrical, or in those portions of Division 31, Earthwork, that are responsibility of Electrical CONTRACTOR.
- f. Responsibility for excavation and backfilling, concrete, and other construction associated with the electrical Work, but specified under other Divisions, may be allocated in Section 26 05 00, General Electrical Requirements.

#### 1.3 WORK BY OWNER

- A. OWNER will perform the following in connection with the Work:
  - 1. Operate all existing valves, gates, pumps, equipment, and appurtenances that will affect OWNER's operation, unless otherwise specified or indicated.

#### 1.4 SEQUENCE AND PROGRESS OF WORK

- A. Sequencing:
  - 1. Incorporate sequencing of the Work into the Progress Schedule.
  - 2. Sequencing Requirements:
    - a. Complete buried infrastructure improvements including but not limited to installation of electrical, sanitary equipment, piping and connections, doghouse manholes, chemical feed and sample piping.
    - b. Demolish and replace roof including masonry work as shown.
    - c. Install chemical storage and feed systems.

## 1.5 CONTRACTOR'S USE OF SITE

- A. CONTRACTORS shall share use of the Site with other contractors and others specified in Article 1.2 of this Section.
- B. Move stored materials and equipment that interfere with operations of OWNER, other contractors, and others performing work for OWNER.
- C. Limits on CONTRACTOR's use of the Site are:
  - 1. The property of the Site is owned by the New York City Department of Environmental Protection. A Land Use Permit is in place for the Catherine Street Pump Station facility.
  - 2. Do not use the Site for operations other than those required for the Project.

#### 1.6 EASEMENTS AND RIGHTS-OF-WAY

- A. General:
  - 1. Easements and rights-of-way required for the permanent improvements included in the Work will be provided by OWNER in accordance with the General Conditions and Supplementary Conditions.
  - 2. Confine construction operations within OWNER's property, public rights-ofway, easements obtained by OWNER, and limits shown, and property for

which CONTRACTOR has made arrangements directly with property owner(s).

- 3. Use care in placing construction tools, equipment, excavated materials, and materials and equipment to be incorporated into the Work to avoid damaging property and interfering with traffic.
- 4. Do not enter private property outside the construction limits without permission from the owner of the property.
- B. Within Highway and Railroad Rights-of-Way:
  - 1. Permits required for the permanent facilities will be obtained by OWNER. CONTRACTOR shall obtain and pay for work permits and fees for safety and inspection forces to be furnished by the right-of-way owner.
  - 2. Work performed and CONTRACTORS' operations within limits of railroad and highway rights-of-way shall comply with requirements of railroad or highway owner and applicable work permits, or authority having jurisdiction over right-of-way.

#### 1.7 NOTICES TO OWNERS AND AUTHORITIES OF PROPERTIES ADJACENT TO THE WORK

- A. Notify owners of adjacent property and utility owners when prosecution of the Work may affect their property, facilities, or use of property.
- B. When it is necessary to temporarily obstruct access to property, or when utility service connection will be interrupted, provide notices sufficiently in advance to enable affected persons to provide for their needs. Such notifications shall comply with Laws and Regulations and, whether delivered orally or in writing, shall include appropriate information concerning the interruption and instructions on how to limit inconvenience caused thereby.
- C. Notify utility owners and other concerned entities not less than 72 hours prior to cutting or closing streets or other traffic areas or excavating near Underground Facilities or exposed utilities.

## 1.8 SALVAGE OF MATERIALS AND EQUIPMENT

- A. Existing materials and equipment removed and not shown or specified to be reused in the Work will become property of the prime CONTRACTOR responsible for such removal.
- B. Existing materials and equipment removed by CONTRACTOR shall not be reused in the Work, except for the following:
  - 1. Pressure Indicating Transmitter.
- C. Removal, Storage, Handling, Reinstallation:

- 1. Carefully remove in manner to prevent damage all materials and equipment shown or indicated to be salvaged and reused or to remain property of OWNER.
- 2. Store and protect salvaged items shown or indicated to be used in the Work.
- 3. Replace in-kind or with new items those items of materials and equipment damaged during removal, storage, or handling through CONTRACTOR's actions, negligence, or improper procedures.
- D. CONTRACTOR may furnish and install new items, with ENGINEER's approval, instead of those specified or indicated to be salvaged and reused, in which case such removed items will become CONTRACTOR's property.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

#### SECTION 01 14 16

#### COORDINATION WITH OWNER'S OPERATIONS

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for coordinating with OWNER's operations during the Project, and includes requirements for tie-ins and shutdowns necessary to complete the Work without impact on OWNER's operations except as allowed in this Section.
  - 2. CONTRACTOR shall provide all labor, materials, equipment, tools, and incidentals shown, specified, and required to coordinate with OWNER's operations during the Work in accordance with this Section.
- B. Coordination:
  - 1. Review construction procedures under other Specifications sections and coordinate Work that will be performed with or before the Work specified in this Section.
  - 2. Notify other contractors in advance of Work requiring coordination with OWNER's operations, to provide other contractors sufficient time for work included in their contracts that will be performed with or before Work specified in this Section.
- C. Related Sections:
  - 1. Section 01 12 13, Summary of Work.
  - 2. Section 01 73 24, Connections to Existing Facilities.
- D. Except for shutdowns specified in this Section, perform the Work such that OWNER's facilities remain in continuous satisfactory operation during the Project. Schedule and conduct the Work such that the Work does not: impede OWNER's production or processes, create potential hazards to operating equipment and personnel, reduce the quality of the facility's products or effluent, cause odors or other nuisances, or affect the public health, safety, and convenience.
- E. Work not specifically covered in this Section or in referenced Sections may, in general, be completed, within the Contract Times, at any time during regular working hours in accordance with the Contract Documents, subject to the requirements in this Section.
- F. As a substitute to the procedures specified in this Section, CONTRACTOR may propose providing additional temporary facilities that can eliminate or mitigate a constraint without additional cost to OWNER, provided such additional

temporary facilities: do not present hazards to the public, personnel, structures, and equipment; that such additional temporary facilities do not adversely affect OWNER's ability to comply with Laws and Regulations, permits, and operating requirements; that such temporary facilities do not generate or foster the generation of odors and other nuisances; and that requirements of the Contract Documents are fulfilled.

- G. Coordinate shutdowns with OWNER and ENGINEER. When possible, combine multiple tie-ins into a single shutdown to reduce impacts on OWNER's operations and processes.
- H. Operation of Existing Systems and Equipment during the Work:
  - 1. Do not shut off or disconnect existing operating systems or equipment, unless accepted by ENGINEER in writing.
  - 2. Operation of existing systems and equipment will be by OWNER unless otherwise specified or indicated.
  - 3. Where necessary for the Work, CONTRACTOR shall seal or bulkhead OWNER-operated gates and valves to prevent leakage that may affect the Work, OWNER's operations, or both.
  - 4. Provide temporary watertight plugs, bulkheads, and line stops as required. After completing the Work, remove seals, plugs, bulkhead, and line stops to satisfaction of ENGINEER.

#### 1.2 GENERAL CONSTRAINTS

- A. New materials, equipment, and systems may be used by OWNER after the specified field quality controls and testing are successfully completed and the materials or equipment are Substantially Complete in accordance with the Contract Documents.
- B. The following constraints apply to coordination with OWNER's operations:
  - 1. Operational Access: OWNER'S personnel shall have access to equipment and areas of the facility that remain in operation.
  - 2. Temporary Partitions and Enclosures: Provide temporary partitions and enclosures necessary to maintain dust-free, heated, and ventilated spaces in areas of the facility that are adjacent to the Work and that must be kept operational. Comply with Section 01 51 05, Temporary Utilities.
  - 3. Schedule and perform equipment and system start-ups for Monday through Thursday. Equipment and systems shall not be placed into operation on Friday, Saturday, and Sunday without prior approval of OWNER, unless specifically indicated otherwise in the Contract Documents.
  - 4. Dead End Valves or Conduits: Provide blind flanges, watertight bulkheads, or valve at temporary and permanent terminuses of conduits, including piping and ducting. Blind flanges and bulkheads shall be suitable for the service and braced and blocked, as required, or otherwise restrained as directed by ENGINEER. Temporary valves shall be suitable for their associated service. Where valve is provided at permanent terminus of

conduit, including piping or ducting, also provide on downstream side of valve a blind flange with drain/flushing connection.

#### 1.3 TIE-INS

A. Table 01 14 16-A in this Section lists connections by CONTRACTOR to existing facilities. Table 01 14 16-A may not include all tie-ins required for the Work; CONTRACTOR shall perform tie-ins required to complete the Work as shown or indicated regardless of whether tie-in is indicated in Table 01 14 16-A. For tie-ins not indicated in Table 01 14 16-A, obtain requirements for tie-ins from ENGINEER by requesting an interpretation or clarification.

#### 1.6 SHUTDOWNS

- A. General:
  - 1. Terminology: A "shutdown" is when a portion of the normal operation of OWNER's facility, whether equipment, systems, conduit (including piping and ducting), has to be temporarily suspended or taken out of service to perform the Work.
  - 2. Work that may interrupt normal operations shall be accomplished at times convenient to OWNER unless otherwise indicated in the Contract Documents.
  - 3. Furnish at the Site, in close proximity to the shutdown and tie-in work areas, tools, materials, equipment, spare parts, both temporary and permanent, necessary to successfully perform the shutdown. Complete to the extent possible, prefabrication of piping and other assemblies prior to commencing the associated shutdown. Demonstrate to ENGINEER's satisfaction that CONTRACTOR has complied with such requirements before commencing the shutdown.
  - 4. If CONTRACTOR's operations cause an unscheduled interruption of OWNER's operations, immediately re-establish satisfactory operation for OWNER.
  - 5. Unscheduled shutdowns or interruptions of continued safe and satisfactory operation of OWNER's facilities that result in fines or penalties by authorities having jurisdiction shall be paid solely by CONTRACTOR if, in ENGINEER's opinion, CONTRACTOR did not comply with requirements of the Contract Documents, or was negligent in the Work, or did not exercise proper precautions in performing the Work and complying with applicable permits, Laws, and Regulations.
- B. Shutdowns of Electrical Systems:
  - 1. Comply with Laws and Regulations, including the National Electric Code.
  - 2. CONTRACTOR shall lock out and tag circuit breakers and switches operated by OWNER and shall verify that affected cables and wires are deenergized to ground potential before shutdown Work is started.
  - 3. Upon completion of shutdown Work, remove the locks and tags and notify ENGINEER that facilities are available for use.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 GENERAL

A. In addition to requirements of this Section, comply with Section 01 73 24, Connections to Existing Facilities, and other Contract Documents applicable to Work associated with shutdowns, tie-ins, temporary pumping (where applicable), and similar work.

#### 3.3 SCHEDULES

- A. The schedules indicated below, attached following this Section's "End of Section" designation, are part of this Specifications Section:
  - 1. Table 01 14 16-A, Schedule of Tie-ins.
  - 2. Table 01 14 16-B, Schedule of Shutdowns.

+ + END OF SECTION + +

TABLE 01 14 16-A SCHEDULE OF TIE-INS					
Tie-In No.	New Line Size and Service	Existing (Connecting) Line Size & Service	Tie-In Building/Location	Remarks	
1	<sup>1</sup> /2-inch Sample Piping	24-inch DI Water Transmission Main	Old Crompond Road	Install During Shut Down A	
2	<sup>1</sup> /4-inch Injection Piping	24-inch DI Water Transmission Main	Old Crompond Road	Install During Shut Down A	
3	2-inch Grinder Pump Discharge (Sanitary Drainage)	2-inch HDPE Low Pressure Force Main	Garden Lane & Arthur Lane	Connect to existing Low- Pressure Force Main	
4	24-inch Transmission Main and Flow Meter Vault	24-inch DI Water Transmission Main	Old Crompond Road	Install During Shut Down A	

TABLE 01 14 16-B SCHEDULE OF SHUTDOWNS						
Shut- down No.	Process Equipment and Service Lines Out-of-Service During Shutdown	Process Equipment In Operation During Shutdown	Tie-In Nos.	Maximum Duration		
А	24-inch Transmission Main in the vicinity of the Catherine Street Facility (Owner will isolate the line utilizing existing valves)	Remainder of 24-inch Transmission Main	1, 2, 4	<b>10 days</b> Note that the Shut Down of the 24-inch Transmission Main in the vicinity of Catherine Street shall be coordinated with the Owner and the NYCDEP shutdown of the Catskill Aqueduct (currently scheduled for November 2019 – March 2020)		

+ + NO TEXT ON THIS PAGE + +

#### SECTION 01 21 00

#### ALLOWANCES

#### PART 1 – GENERAL

#### 1.1 SCOPE

- A. Scope:
  - 1. This Section includes administrative and procedural requirements governing the following types of allowances:
    - a. Contingency allowances.
- B. Authorization of Allowances:
  - 1. Work that will be paid under an allowance will be authorized in OWNER's written instruction to CONTRACTOR using the form included with this Section or other written allowance authorization issued by OWNER.
  - 2. Do not perform Work under an allowance without written authorization of OWNER.

#### 1.2 CONTINGENCY ALLOWANCE

- A. Contingency allowances are stipulated amounts available as reserve for sole use by OWNER to cover unanticipated costs.
- B. When authorization of Work under contingency allowance is contemplated by OWNER for a defined scope, submit Change Proposal to ENGINEER. Prepare Change Proposal in accordance with the General Conditions and Supplementary Conditions and Section 01 26 00, Contract Modification Procedures, except that payments within limit of contingency allowance shall exclude cost of bond and insurance premiums.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 ATTACHMENTS

- A. The documents listed below, and attached following this Section's "End of Section" designation, are part of this Specification Section.
  - 1. Allowance Authorization Form (one page).

#### + + END OF SECTION + +

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# **ALLOWANCE AUTHORIZATION**

Project:	Authorization Number:
	From:
То:	Date:
	Engineer Project No.:
Re:	Contract For:

You are authorized to perform the following item(s) of Work and to adjust the Contract allowance amount accordingly:

1. [Allowance Title] / [Title of Change]:

#### THIS IS NOT A CHANGE ORDER AND DOES NOT INCREASE OR DECREASE THE CONTRACT PRICE

Original Allowance	\$	
Allowance Expenditures prior to this Authorization	\$ -	
Allowance Balance prior to this Authorization	\$ .	
Allowance will be decreased by this Authorization	\$ .	
New Allowance Balance	\$	

RECOMMENDED BY			OWNER AP	PROVAL		
ARCADIS U.S., Inc. Engineer			Owner			
By		Date	By			Date
CONTRACTOR ACCEPT.	ANCE					
Contractor						
Ву		Date				
Attachments						
Copies: 🗌 Owner	Contractor	Consultants		_ 🗆	□	File

#### SECTION 01 22 13

#### MEASUREMENT AND PAYMENT

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. Items listed starting in Article 1.4 of this Section refer to and are the same pay items listed in the Bid Form and constitute all pay items for completing the Work.
  - 2. No direct or separate payment will be made for providing miscellaneous temporary or accessory works, plant or facility services, layout surveys, Project signs, sanitary requirements, testing, safety provisions and safety devices, submittals and record drawings, water supplies, power and fuel, maintenance of traffic, removal of waste, security, coordination with OWNER's operations, information technology (including hardware, software, and services) required during construction, commissioning where specified, bonds, insurance, or other requirements of the General Conditions, Supplementary Conditions, Division 01 Specifications, and other requirements of the Contract Documents.
  - 3. Compensation for all services, items, materials, and equipment shall be included in prices stipulated for lump sum and unit price pay items listed in this Section and included in the Contract.
- B. Each lump sum and unit price, as bid, shall include an amount considered by CONTRACTOR to be adequate to cover CONTRACTOR's overhead and profit for each separately identified item.

#### 1.2 ENGINEER'S ESTIMATE OF QUANTITIES

- A. ENGINEER's estimated quantities for items of Unit Price Work, as included in the Contract, are approximate only and are included solely for purpose of comparing Bids and pricing. OWNER does not expressly or by implication agree that nature of materials encountered below the ground surface or actual quantities of material encountered or required will correspond with the quantities included in the Contract at the time of award and reserves the right to increase or decrease quantities, and to eliminate quantities, as OWNER may deem necessary.
- B. CONTRACTOR and OWNER will not be entitled to adjustment in unit prices as a result of change in estimated quantity and agree to accept the unit prices accepted in the Bid as complete and total compensation for additions or deletions caused by changes or alterations in the Unit Price Work directed by OWNER.

#### 1.3 RELATED PROVISIONS

- A. Payments to CONTRACTOR: Refer to General Conditions, Supplementary Conditions, Agreement, and Section 01 29 76, Progress Payment Procedures.
- B. Changes in Contract Price: Refer to General Conditions, Supplementary Conditions, and Section 01 26 00, Contract Modification Procedures.
- C. Schedule of Values: Refer to General Conditions, Supplementary Conditions, and Section 01 29 73, Schedule of Values.

#### 1.4 CONTRACT NO. 19-2 Part 1 – GENERAL CONSTRUCTION

- A. Item 1 General Construction:
  - 1. Measurement and Payment: Lump sum payment for Item 1 will be full compensation for completing the Work, as shown or indicated under Contract No. 19-2 Part 1, General . Additional work items that CONTRACTOR may be ordered by ENGINEER to perform are described below.
- B. Item 2 Miscellaneous Work Allowance:
  - 1. Measurement: The Bid Form includes a stipulated amount available as reserve for sole use by OWNER to cover unanticipated costs.
  - 2. Payment: Payment for Work authorized under Item 2 will be full compensation for providing all Work authorized under the contingency allowance, complete as shown, indicated, or directed by ENGINEER. Work authorized under contingency allowance may be included in subsequent Application(s) for Payment, as applicable, following authorization of and performance of contingency allowance Work.
- C. Item 3 Additional Excavation:
  - 1. Measurement: Additional excavation will be measured for payment on the basis of lines and grades ordered by ENGINEER, or as the volume within limits described below, whichever is applicable.
    - a. For excavation and filling for piping, trenches will be assumed to be rectangular cross-section having a width of two feet greater than outside diameter of pipe laid therein, excluding pipe bells, branches, hubs, spurs, and concrete cradles, and the depth from the surface of ground at centerline of pipe to six inches below bottom of pipe, or a depth equal to distance to rock where rock is encountered at depth less than six inches below bottom of pipe. Enlargements of trench, authorized by ENGINEER where necessary to facilitate support of existing structures, or for other reasons authorized by ENGINEER, will be measured for payment to limits excavated in accordance with ENGINEER's orders.
    - b. Excavation and filling as required for structures or facilities other than pipe will be measured for payment from the horizontal limit of excavation at the bottom of structure or facility as shown or indicated on the Drawings to bottom elevation of subgrade and, unless otherwise

shown, to vertical planes one foot outside foundation limits of structure to be built therein.

- c. For test pits outside trench or excavation for structures, and for those within prescribed limits but necessarily refilled prior to final excavation, and for all other excavation not included above but required for the Work, excavation shall be measured to lines ordered or approved by ENGINEER.
- d. Included in this item is filling the excavation with suitable fill material compacted to specified density.
- e. Measurement of quantities will be made by ENGINEER. CONTRACTOR may, at CONTRACTOR's expense, verify quantities.
- 2. Payment: Unit price per cubic yard for Item 3 will be full compensation for all additional excavation and fill, complete as directed by ENGINEER, and not specifically included under other items or contracts.
- D. Item 7 Bid Alternate A Catherine Street Flow Meter and Vault:
  - 1. Description:
    - a. Bid Alternate Item A includes all labor, materials, equipment and incidentals necessary to provide and install isolation gate valves and a precast concrete vault with flow meter, injection quill and orifice plate as depicted on the Contract Drawings.
    - b. CONTRACTOR shall include the cost of completing all work under Bid Alternate Item A in the bid. Bid Alternate Item A may be added to this Contract at the OWNER's discretion. All provisions of the Contract Documents will apply to work included in Bid Alternate Item A, if added to the Contract.
  - 2. Payment:
    - a. Lump sum payment for Bid Alternate Item A will be full compensation for completing the Work required for the installation of the Catherine Street Flow Meter and Vault, including isolation valves and all appurtenacnes shown on the Contract Drawings and as specified. This Work includes but is not limited to all labor, equipment, fees, and other related costs necessary to execute the Work.
- E. Item 5 Bid Alternate B Repaving Pump Station Driveway:
  - 1. Description:
    - a. Bid Alternate Item B includes all labor, materials, equipment and incidentals necessary to repave the existing driveway of the Catherine Street Pump Station. Driveway extents to remain the same as the existing.
    - b. CONTRACTOR shall include the cost of completing all work under Bid Alternate Item B in the bid. Bid Alternate Item B may be added to this Contract at the OWNER's discretion. All provisions of the Contract Documents will apply to work included in Bid Alternate Item B, if added to the Contract.
  - 2. Payment:
    - a. Lump sum payment for Bid Alternate Item B will be full compensation for completing the Work required for the repaying of the Catherine Street

Pump Station (new Fluoride Facility) driveway as specified. This Work includes but is not limited to all labor, equipment, fees, and other related costs necessary to execute the Work.

- F. Item 7 Bid Alternate C Catskill Aqueduct Suction Piping Removal:
  - 1. Description:
    - a. Bid Alternate Item C includes all labor, materials, equipment and incidentals necessary to remove the existing suction piping connected to the Catskill Aqueduct as depicted on the Contract Drawings.
    - b. CONTRACTOR shall include the cost of completing all work under Bid Alternate Item A in the bid. Bid Alternate Item C may be added to this Contract at the OWNER's discretion. All provisions of the Contract Documents will apply to work included in Bid Alternate Item C, if added to the Contract.
  - 2. Payment:
    - a. Lump sum payment for Bid Alternate Item C will be full compensation for completing the Work required for the removal of the Catskill Aqueduct Suction piping shown on the Contract Drawings and as specified. This Work includes but is not limited to all labor, equipment, fees, and other related costs necessary to execute the Work.

#### 1.5 CONTRACT NO. 19-2 Part 2 – ELECTRICAL

- A. Item 1 Electrical Construction:
  - 1. Measurement and Payment: Lump sum payment for Item 1 will be full compensation for completing the Work as shown and indicated under Contract No. 4, Electrical. Additional work items that CONTRACTOR may be ordered by ENGINEER to perform are described below.
- B. Item 2 Miscellaneous Work Allowance:
  - 1. Measurement: The Bid Form includes a stipulated amount available as reserve for sole use by OWNER to cover unanticipated costs.
  - 2. Payment: Payment for Work authorized under Item 2 will be full compensation for providing all Work authorized under the contingency allowance, complete as shown, indicated, or directed by ENGINEER. Work authorized under contingency allowance may be included in subsequent Application(s) for Payment, as applicable, following authorization of and performance of contingency allowance Work.
- C. Item 3 Bid Alternate A Catherine Street Flow Meter Vault Ductbank, Wire & Conduit:
  - 1. Description:
    - a. Bid Alternate Item A includes all labor, materials, equipment and incidentals necessary for provision of a ductbank, wire and conduit for the Catherine Street Flow Meter Vault as depicted on the Contract Drawings.

- b. CONTRACTOR shall include the cost of completing all work under Bid Alternate Item A in the bid. Bid Alternate Item A may be added to this Contract at the OWNER's discretion. All provisions of the Contract Documents will apply to work included in Bid Alternate Item A, if added to the Contract.
- 2. Payment:
  - a. Lump sum payment for Bid Alternate Item A will be full compensation for completing the Work required for the installation of the Catherine Street Flow Meter and Vault, including isolation valves and all appurtenances shown on the Contract Drawings and as specified. This Work includes but is not limited to all labor, equipment, fees, and other related costs necessary to execute the Work.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

#### + + END OF SECTION + +

+ + NO TEXT ON THIS PAGE + +

#### SECTION 01 25 00

#### SUBSTITUTION PROCEDURES

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope: Section includes:
  - 1. Administrative and procedural requirements for selecting materials and equipment for the Project.
  - 2. Procedural requirements for substitutions of materials and equipment.
  - 3. Procedural requirements for substitute construction methods or procedures, when construction methods or procedures are specified.
- B. A proposed substitute will not be accepted for review if:
  - 1. Approval would require changes in design concept or a substantial revision of the Contract Documents.
  - 2. Approval would delay completion of the Work or the work of other contractors.
  - 3. Substitution request is indicated or implied on a Shop Drawing or other submittal, or on a request for interpretation or clarification, and is not accompanied by CONTRACTOR's formal and complete request for substitution.
- C. If proposed substitute is not approved, CONTRACTOR shall provide the specified materials, equipment, method, or procedure, as applicable.
- D. Approval of a substitute does not relieve CONTRACTOR from requirement for submitting Shop Drawings and other submittals in accordance with the Contract Documents.
- E. ENGINEER and OWNER have the right to rely upon the completeness and accuracy of the information included in CONTRACTOR's request for approval of a substitute, and CONTRACTOR accepts full responsibility for the completeness and accuracy thereof.
- F. When approved substitute is defective or fail to perform in accordance with the Contract Documents, responsibility for remedying the defect or failure resides solely with CONTRACTOR and Supplier.

#### 1.2 SUBSTITUTE MATERIALS AND EQUIPMENT

- A. Procedure:
  - 1. Submit requests for substitution in accordance with requirements for furnishing submittals, as indicated in Section 01 33 00, Submittal Procedures.
  - 2. Submit separate request for each proposed substitute.

- 3. Submit request for substitution using forms attached to this Section. Complete all information requested on each form, and enclose with the forms supplementary information as required. In addition to requirements of the General Conditions and information required on substitution request forms, include with each substitute request the following:
  - a. Identification of the materials and equipment (as applicable), including manufacturer's name and address.
  - b. Manufacturer's literature with description of the materials and equipment, performance and test data, and reference standards with which materials and equipment comply.
  - c. Samples, when appropriate.
  - d. Name and address of similar projects on which the materials and equipment were used, date of installation, and names and contact information (including telephone number) for the facility operations and maintenance manager.

#### 1.3 SUBSTITUTE CONSTRUCTION METHODS OR PROCEDURES

- A. The provisions of the General Conditions, as may be modified by the Supplementary Conditions, regarding substitute items of materials and equipment are hereby extended to apply to substitute construction methods or procedures.
- B. Procedure:
  - 1. Submit requests for substitution in accordance with requirements for furnishing submittals, as indicated in Section 01 33 00, Submittal Procedures.
  - 2. Submit separate request for each proposed substitute.
  - 3. Submit request for substitution using forms attached to this Section. Complete all information requested on each form, and enclose with the forms supplementary information as required. In addition to requirements of the General Conditions and information required on substitution request forms, include with each substitute request the following:
    - a. Detailed description of proposed method or procedure.
    - b. Itemized comparison of the proposed substitution with the specified method or procedure.
    - c. Drawings illustrating method or procedure.
    - d. Other data required by ENGINEER to establish that proposed substitution is equivalent to specified method or procedure.

#### 1.4 CONTRACTOR'S REPRESENTATIONS

- A. In submitting request for substitution, CONTRACTOR represents that:
  - 1. CONTRACTOR has read and fully understands the provisions regarding substitutes as indicated in the General Conditions, as may be modified by the Supplementary Conditions.
  - 2. Substitution request is complete and includes all information required by the Contract Documents.

- 3. CONTRACTOR certifications required by the General Conditions, as may be modified by the Supplementary Conditions, are valid and made with CONTRACTOR's full knowledge, information, and belief.
- 4. CONTRACTOR will provide the same or better guarantees or warranties for proposed substitute as for the specified materials, equipment, methods, or procedures, as applicable.
- 5. CONTRACTOR waives all Claims for additional costs or extension of time related to proposed substitute that subsequently may become apparent.

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 ATTACHMENTS

- A. The documents listed below, and attached following this Section's "End of Section" designation, are part of this Specification Section.
  - 1. Substitution Request Form (two pages).
  - 2. Product Substitution Checklist (one page).

+ + END OF SECTION + +



# **SUBSTITUTION REQUEST**

Project:	Substitution Request Number:
	From:
То:	Date:
	Engineer Project. No
Re:	Contract For:
Specification Title:	Description:
Section: Page:	Article/Paragraph:
Proposed Substitute:	
Manufacturer: Address:	Phone:
Trade Name:	Model No.:
Installer: Address:	Phone:
History: New product 1 to 4 years old	5 to 10 years old More than 10 years old
Differences between proposed substitute and specifi	ed item:
Point-by-point comparative data attached — RE	QUIRED BY THE CONTRACT DOCUMENTS
Reason for not providing specified item:	
Similar Installation:	
Project:	Engineer:
Address:	Owner:
	Date Installed:
Proposed substitution affects other parts of Work:	$\Box$ No $\Box$ Yes: explain
Savings to Owner for accepting substitute: (attach detailed, itemized estimate)	(\$)
Proposed substitute changes Contract Time:	No Yes [Add] [Deduct] days.
(clarify whether change is to Substantial Completion	a, Milestone, or time for readiness for final payment)
Supporting Data Attached: Drawings	Product Data   Samples   Tests   Reports



# SUBSTITUTION REQUEST

(Continued)

Substitute product, method, or procedure is subject to payment of licensing fee or royalty (check if "yes" and attach information)

Substitute product, method, or procedure is patented or copyrighted (check if "yes" and attach information)

The undersigned certifies:

- Representations in the General Conditions and in Section 01 25 00, Substitution Procedures, regarding substitutions are valid.
- Same or better warranty and guarantee will be furnished for proposed substitution as for specified item.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitute will have no adverse effect on other trades and will not affect or delay Progress Schedule.
- Cost data as stated above is complete. Claims for additional costs or time related to accepted substitution which may subsequently become apparent are waived.
- Proposed substitute does not affect dimensions and functional clearances.
- Payment will be made for Engineer's review and changes, if any, to the design and Contract Documents, and construction costs caused by the substitute.

• Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted by:	
Signed by:	
Firm:	
Address.	
Address.	
Telephone:	
Attachments:	

# ENGINEER'S REVIEW AND ACCEPTANCE (OR NON-ACCEPTANCE) WILL BE DOCUMENTED IN A FIELD ORDER OR CHANGE ORDER, AS APPROPRIATE.

Additional Comments:	Contractor	Subcontractor	Supplier	Manufacturer	Engineer



# PRODUCT SUBSTITUTION CHECKLIST

Date:	Re:		
Engineer Proj No.:	Manufacturer's Project No.:		
Filing No.:	Contract For:		
Item Equivalence:			
☐ Is the submitted item equivalent to the specified item?			
Does it serve the same function?			
Does it have the same dimensions?			
Does it have the same appearance?			
□ Will it last as long?			
Does it comply with the same codes, and standards and performan	ce requirements?		
Has the item been used locally, and where are the projects?			
Has a problem occurred with the item, and what was the remedy?			
Effect on the Project:			
Will the substitute affect other aspects of the construction?			
Are any details affected and are changes required?			
What is the cost of the changes?			
Who pays for the required changes?			
Are Contract Times affected?			
Effect on the Warranty:			
How does the proposed warranty differ from the specified warrant	y?		
Does the manufacturer have a track record of standing behind the warranty?			

Adapted from CSI Form No. 20.3, 1998 edition

#### SECTION 01 26 00

#### CONTRACT MODIFICATION PROCEDURES

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope.
  - 1. This Section expands upon provisions of the General Conditions, as may be modified by the Supplementary Conditions, and includes:
    - a. Requests for interpretation.
    - b. Written clarifications.
    - c. Minor changes in the Work and Field Orders.
    - d. Work Change Directives.
    - e. Proposal Requests.
    - f. Change Proposals.
    - g. Change Orders.
- B. Submit Contract modification documents to ENGINEER, addressed to the contact person and contact information indicated in Section 01 33 00, Submittal Procedures, and in accordance with Section 01 31 26, Electronic Communication Protocols.
- C. Retain at CONTRACTOR's office and at the Site complete copy of each Contract modification document and related documents, and ENGINEER's response.

#### 1.2 REQUESTS FOR INTERPRETATION

#### A. General.

- 1. Transmit written requests for interpretation to ENGINEER. CONTRACTOR and OWNER may prepare and transmit requests for interpretation.
- 2. Prepare and transmit request for interpretation to obtain clarifications or interpretations of the Contract Documents. Report conflicts, errors, ambiguities, and discrepancies in the Contract Documents by requesting an interpretation.
- 3. Do not transmit request for interpretation when other form of communication is appropriate, such as CONTRACTOR's submittals, requests for approvals of substitutes, notices, ordinary correspondence, or other form of communication. Improperly prepared or inappropriate requests for interpretation will be returned without response or action by ENGINEER.
- 4. Do not submit request for interpretation or clarification when:
  - a. answer may be obtained by observations at the Site; or
  - b. required information is clearly indicated in the Contract Documents; or
  - c. required information is included in industry standards referenced in the Contract Documents or Supplier's instructions that are consistent with the Contract Documents; or

- d. are reasonably inferable from any of foregoing.
- 5. CONTRACTOR shall have sole financial responsibility for requests for interpretations or clarifications that are submitted late, out of sequence, or that are unnecessary.
- B. Procedure.
  - 1. Transmit requests for interpretation in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Include with each request for interpretation a separate letter of transmittal.
  - 2. ENGINEER will provide timely review of requests for interpretation. Allow sufficient time for review and response.
  - 3. ENGINEER will maintain log of requests for interpretation. Upon request, copy of log will be transmitted to requestor.
  - 4. ENGINEER's response to requests for interpretation will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Each response to a request for interpretation will include a separate letter of transmittal.
  - 5. ENGINEER's written response to each request for interpretation will be distributed to:
    - a. CONTRACTOR.
    - b. OWNER.
    - c. Resident Project Representative (RPR).
    - d. ENGINEER.
  - 6. If ENGINEER requests additional information to make an interpretation, entity requesting the interpretation shall transmit the information requested within ten days, unless ENGINEER allows additional time, via correspondence referring to request for interpretation number.
  - 7. Interpretations that One or Both Parties Believes Entails a Change to the Contract:
    - a. If CONTRACTOR or OWNER believes that a change in the Contract Price or Contract Times or other change to the Contract is required as a result of ENGINEER's interpretation, so advise ENGINEER in writing before proceeding with the Work associated with the request for interpretation.
    - b. If, after this initial communication, either OWNER or CONTRACTOR believes that change in Contract Price, Contract Times, both, or other relief with respect to the terms of the Contract is necessary, recourse shall be in accordance with the Contract Documents.
- C. Preparation of Requests for Interpretation:
  - 1. Prepare each request for interpretation on the "Request for Interpretation" form included with this Section, or other form acceptable to ENGINEER.
  - 2. Number each request for interpretation as follows: Numbering system shall be the Contract number and designation followed by a hyphen and three-digit sequential number. Example: First request for interpretation on the general contract for project titled, "Contract A15" would be, "RFI No. A15-GC-001".

- 3. In space provided on form, describe the interpretation requested. Provide additional sheets as necessary. Include text and sketches as required in sufficient detail to describe the the need for an interpretation.
- 4. When applicable, request for interpretation shall include CONTRACTOR's recommended resolution.

#### 1.3 WRITTEN CLARIFICATIONS

- A. General:
  - 1. Written clarifications, when required, will be initiated and issued by ENGINEER.
  - 2. Written clarifications do not change the Contract Price or Contract Times, and do not alter the Contract Documents.
  - 3. Written clarifications will be issued as correspondence or using clarification notice form, with additional information as required.
- B. Procedure.
  - 1. ENGINEER's written clarifications will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section.
  - 2. Each written clarification will be distributed to:
    - a. CONTRACTOR.
    - b. OWNER.
    - c. Resident Project Representative (RPR).
    - d. ENGINEER.
  - 3. Written Clarifications that One or Both Parties Believes Entails a Change to the Contract:
    - a. If CONTRACTOR or OWNER believes that a change in the Contract Price or Contract Times or other change to the Contract is required as a result of ENGINEER's written clarification, so advise ENGINEER in writing before proceeding with the Work associated with the written clarification.
    - b. If, after this initial communication, either OWNER or CONTRACTOR believes that change in Contract Price, Contract Times, both, or other relief with respect to the terms of the Contract is necessary, recourse shall be in accordance with the Contract Documents.
  - 4. If ENGINEER's written clarification is unclear, prepare and transmit a request for interpretation.

#### 1.4 MINOR CHANGES IN THE WORK AND FIELD ORDERS

- A. General:
  - 1. Field Orders, when required, will be initiated and issued by ENGINEER.
  - 2. Field Orders authorize minor variations in the Work but do not change the Contract Price or Contract Times.
  - 3. Field Orders will be in the form of Engineers Joint Contract Documents Committee document EJCDC<sup>®</sup> C-942, "Field Order".

- 4. ENGINEER will maintain a log of Field Orders issued.
- B. Procedure.
  - 1. Field Orders will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Each Field Order will include a separate letter of transmittal.
  - 2. Each Field Order will be distributed to:
    - a. CONTRACTOR.
      - b. OWNER.
      - c. Resident Project Representative (RPR).
      - d. ENGINEER.
  - 3. Field Orders that One or Both Parties Believes Entails a Change to the Contract Price or Contract Times:
    - a. If CONTRACTOR or OWNER believes that a change in the Contract Price or Contract Times or other change to the Contract is required as a result of a Field Order, so advise ENGINEER in writing before proceeding with the Work associated with the Field Order.
    - b. If, after this initial communication, CONTRACTOR believes that change in Contract Price, Contract Times, both, or other relief with respect to the terms of the Contract is necessary, recourse shall be in accordance with the Contract Documents.
  - 4. If the Field Order is unclear, submit request for interpretation.

#### 1.5 WORK CHANGE DIRECTIVES

- A. General:
  - 1. Work Change Directives, when required, order additions, deletions, or revisions to the Work.
  - 2. Work Change Directives do not change the Contract Price or Contract Times but are evidence that the parties to the Contract expect that the change ordered or documented by the Work Change Directive will be incorporated in subsequently issued Change Order following agreement by the parties as to the Work Change Directive's effect, if any, on the Contract Price or Contract Times..
  - 3. Work Change Directives will be in the form of EJCDC<sup>®</sup> C-940, "Work Change Directive".
- B. Procedure.
  - 1. Work Change Directives signed by OWNER and ENGINEER will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Each Work Change Directive will include a separate letter of transmittal. CONTRACTOR shall print three originals of Work Change Directive for CONTRACTOR's signature.
  - 2. CONTRACTOR shall promptly sign each original Work Change Directive and, within five days of receipt, return all originals to ENGINEER.
  - Original, signed Work Change Directives will be distributed as follows:
     a. CONTRACTOR: One original.

- b. OWNER: One original.
- c. ENGINEER: One original.
- 4. One copy of each Work Change Directive will be distributed to:
  - a. Resident Project Representative (RPR).
- 5. Documentation of Costs:
  - a. When basis of payment for Work ordered under a Work Change Directive will be paid as Cost of the Work, or when otherwise required by ENGINEER, document for the Work performed under each separate Work Change Directive, for each day, the following:
    - 1) Number and labor classifications of workers employed and hours worked.
    - 2) Construction equipment used including manufacturer, model, and year of manufacture, and number of hours such equipment was onsite and used for the Work under the Work Change Directive.
    - 3) Consumables and similar materials used.
    - 4) Receipts, bills, or invoices for and descriptions of materials and equipment incorporated into the Work.
    - 5) Invoices and labor and equipment breakdowns for Subcontractors and Suppliers.
    - 6) Other information required by OWNER or ENGINEER,
  - b. Submit such information in a format acceptable to ENGINEER.
  - c. Transmit such documentation to ENGINEER as a Change Proposal.

#### 1.6 PROPOSAL REQUESTS

- A. General:
  - 1. Proposal Requests may be initiated by ENGINEER or OWNER.
  - 2. Proposal Requests are for requesting the effect on the Contract Price and the Contract Times and other information relative to contemplated changes in the Work. Proposal Requests do not authorize changes or variations in the Work, and do not change the Contract Price or Contract Times or terms of the Contract.
  - 3. Proposal Requests will be furnished using the "Proposal Request" form included with this Section.
- B. Procedure.
  - 1. Proposal Requests will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Each Proposal Requests will include a separate letter of transmittal.
  - 2. Each signed Proposal Request will be transmitted to:
    - a. CONTRACTOR.
    - b. OWNER.
    - c. Resident Project Representative (RPR).
    - d. ENGINEER.
  - 3. Transmit request for interpretation to clarify conflicts, errors, ambiguities, and discrepancies in Proposal Request.

4. Upon receipt of Proposal Request, CONTRACTOR shall prepare and transmit to ENGINEER a Change Proposal, in accordance with the Contract Documents, for the proposed Work described in the Proposal Request.

#### 1.7 CHANGE PROPOSALS

- A. General.
  - 1. Prepare and transmit written Change Proposal to ENGINEER in response to each Proposal Request; or when CONTRACTOR believes a change in the Contract Price or Contract Times or other change to the terms of the Contract is required; or to appeal an initial decision by ENGINEER concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under the Contract.
- B. Procedure.
  - 1. Prepare and transmit Change Proposals within time limits indicated in the General Conditions, as may be modified by the Supplementary Conditions.
  - 2. Transmit Change Proposals in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Include with each Change Proposal all required supporting documentation and a separate letter of transmittal.
  - 3. ENGINEER's Review and Requests for Additional Information:
    - a. ENGINEER will review and act on each Change Proposal in accordance with, and within the time limits indicated in, the General Conditions, as may be modified by the Supplementary Conditions.
    - b. When, ENGINEER requests additional information to render a decision, submit required information within five days of receipt of ENGINEER's request, unless ENGINEER allows more time. Submit the required information via correspondence that refers to the specific Change Proposal number.
    - c. OWNER shall transmit to ENGINEER such comments, if any, that OWNER has on the Change Proposal, within 10 days of OWNER's receipt of the Change Proposal.
    - d. ENGINEER will render a written decision on the Change Proposal.
    - e. ENGINEER's response to Change Proposals will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section, the General Conditions, and the Supplementary Conditions.
  - 4. ENGINEER's response to each Change Proposalwill be distributed to:
    - a. CONTRACTOR.
    - b. OWNER.
    - c. Resident Project Representative (RPR).
    - d. ENGINEER.
  - 5. If Change Proposal is recommended for approval by ENGINEER and is approved by OWNER, a Change Order will be issued or, when applicable, an appropriate use of contingency allowance will be authorized by OWNER.

- 6. If parties do not agree on terms for the change, OWNER or CONTRACTOR may file a Claim against the other, in accordance with the General Conditions, as may be modified by the Supplementary Conditions.
- C. Preparation of Change Proposals:
  - 1. Each Change Proposal shall be submitted on the "Change Proposal" form included with this Section, or other form acceptable to ENGINEER.
  - 2. Number each Change Proposal as follows: Numbering system shall be the Contract number and designation followed by a hyphen and three-digit sequential number. Example: First Change Proposal for the general contract for project named "Contract A15" would be, "Change Proposal No. A15-GC-001".
  - 3. In space provided on Change Proposal form:
    - a. Describe scope of each proposed change. Include text and sketches on additional sheets as required to provide detail sufficient for ENGINEER's review and response. If a change item is submitted in response to Proposal Request, write in as scope, "In accordance with Proposal Request No." followed by the Proposal Request number. Submit written clarifications, if any, to scope of change.
    - b. Submit justification for each proposed change. If change is in response to proposal request, write in as justification, "In accordance with Proposal Request No." followed by the proposal request number.
    - c. List the total change in the Contract Price and Contract Times for each separate change item included in the Change Proposal.
  - 4. Unless otherwise directed by ENGINEER, attach to the Change Proposal detailed breakdowns of pricing (Cost of the Work and CONTRACTOR's fee) including:
    - a. List of Work tasks to accomplish the change.
    - b. For each task, labor cost breakdown including labor classification, total hours per labor classification, and hourly cost rate for each labor classification.
    - b. Construction equipment and machinery to be used, including manufacturer, model, and year of manufacture, and number of hours for each.
    - c. Detailed breakdown of cost of materials and equipment to be incorporated into the Work, including quantities, unit costs, and total cost, with Supplier's written quotations.
    - d. Breakdowns of the Cost of the Work and fee for Subcontractors, including labor, construction equipment and machinery, and materials and equipment incorporated into the Work, other costs, and Subcontractor fees (e.g., overhead and profit).
    - e. Breakdown of other costs eligible, in accordance with the General Conditions and the Supplementary Conditions under "Cost of the Work" provisions.
    - f. Other information required by ENGINEER.
    - g. CONTRACTOR's fees applied to eligible CONTRACTOR costs and eligible Subcontractor costs.

#### 1.8 CHANGE ORDERS

- A. General:
  - 1. Change Orders will be recommended by ENGINEER (when required by the General Conditions), and will be signed by OWNER and CONTRACTOR, to authorize additions, deletions, or revisions to the Work, or changes to the Contract Price or Contract Times.
  - 2. Change Orders will be in the form of EJCDC<sup>®</sup> C-941, "Change Order".
- B. Procedure.
  - 1. Change Orders for signature by CONTRACTOR will be transmitted in accordance with Section 01 31 26, Electronic Communication Protocols, and requirements of this Section. Each Change Order will include a separate letter of transmittal. CONTRACTOR shall print three originals of Change Order for CONTRACTOR's signature.
  - 2. CONTRACTOR shall promptly sign each original Change Order and, within five days of receipt, return all originals to ENGINEER.
  - 3. ENGINEER will sign each original Change Order and forward them to OWNER.
  - 4. After approval and signature by OWNER, original Change Orders will be distributed as indicated below.
  - 5. Original, signed Change Orders will be distributed as follows:
    - a. CONTRACTOR: One original.
    - b. OWNER: One original.
    - c. ENGINEER: One original.
  - 6. One copy of each Change Order will be distributed to:
    - a. Resident Project Representative (RPR).

#### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 ATTACHMENTS

- A. The forms listed below, following this Section's "End of Section" designation, are part of this Specifications Section:
  - 1. Request for Interpretation form (one page).
  - 2. Proposal Request form (one page).
  - 3. Change Proposal form (one page).

+ + END OF SECTION + +



# **REQUEST FOR INTERPRETATION**

Owner:	
Project Name:	
Contractor:	RFI No
Date Transmitted:	Date Received:
Date Response Requested:	Date Response Transmitted:
Subject:	
Specification Section and Paragraph:	
Drawing References:	
<i>c</i>	

#### **INTERPRETATION REQUESTED:**

 Signature:
 Date:

**ENGINEER'S RESPONSE:** 



# PROPOSAL REQUEST

Owner: Project Name:	
Proposal Request No.: D	ate:
Contract Name and No.:	
Contractor:	
Other Contracts Involved in Proposed Change:	

<u>TO CONTRACTOR</u>: Please submit a complete Change Proposal for the proposed modifications described below. If the associated Change Proposal is approved, a Change Order or allowance authorization will be issued to authorize adjustment so the scope of the Work. <u>This Proposal Request</u> is not a Change Order, Work Change Directive, Field Order, or an authorization to proceed with the proposed Work described below.

#### **SCOPE OF PROPOSED WORK:**

- 1. *Item*:
- 2. *Item*:
- 3. *Item*:

Proposal requested by:

Signature of Requestor:



# CHANGE PROPOSAL

Owner:	
Project Name:	
Change Proposal No.:	Date:
Submitted in Response to Proposal Request No.:	
Contract Name and No.:	
Contractor:	
Subject:	
•	

The following changes to the Contract are proposed:

#### **SCOPE OF WORK:** (attach and list supporting information as required)

- 1. *Item*:
- 2. *Item*:

#### **JUSTIFICATION:**

- 1. *Item*:
- 2. *Item*:

#### CHANGES IN CONTRACT PRICE AND CONTRACT TIMES:

We propose that the Contract Price and Contract Times be changed as follows:

For Contract Price, attach detailed cost breakdowns for Contractor and Subcontractors, Supplier quotations, and other information required.

For the Contract Times, state increase, decrease, or no change to Contract Times for Substantial Completion, readiness for final payment, and Milestones, if any. If increase or decrease, state specific number of days for changes to the Contract Times.

		<b>Contract Times (days)</b>	
Description	Amount	Substantial	Final
1. Item	\$0.00	0	0
2. Item	\$0.00	0	0
Total This Change Proposal	\$0.00	0	0

Changes to Milestones, if any:

Contractor represents that supporting data attached to this Change Proposal are accurate and complete. The requested time or price adjustment indicated in this Change Proposal is the entire adjustment to which Contractor believes it is entitled as a result of the proposed change(s) indicated herein.

Change Proposal by:

Signature of Proposer: \_\_\_\_\_



+ + NO TEXT THIS PAGE + +
# SECTION 01 29 73

### SCHEDULE OF VALUES

### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall prepare and submit to ENGINEER for acceptance a Schedule of Values that allocates cost to each item of the Work. Schedule of Value list of line items shall correspond to each aspect of the Work, establishing in detail the portion of the Contract Price allocated to each major component of the Work.
  - 2. Upon request of ENGINEER, support values with data that substantiate their correctness.
  - 3. Submit preliminary Schedule of Values to ENGINEER for initial review. CONTRACTOR shall incorporate ENGINEER's comments into the Schedule of Values and resubmit to ENGINEER. ENGINEER may require corrections and re-submittals until Schedule of Values is acceptable.
  - 4. Schedule of Values may be used as a basis for negotiating price of changes, if any, in the Work.

#### 1.2 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Submit to ENGINEER Schedule of Values in the form and quantity required in Section 01 33 00, Submittal Procedures, and in accordance with Section 01 31 26, Electronic Communication Protocols.
  - 2. Content of Schedule of Values submittals shall be in accordance with Article 1.3 of this Section.
  - 3. Timing of Submittals:
    - a. Submit preliminary Schedule of Values within ten days following the date that the Contract Times commence running in accordance with the Notice to Proceed.
    - b. Submittal of the Schedule of Values for acceptance by ENGINEER shall be in accordance with the General Conditions. ENGINEER will not accept Applications for Payment without an acceptable Schedule of Values.
    - c. When required by ENGINEER, promptly submit updated Schedule of Values to include cost breakdowns for changes in the Contract Price.

#### 1.3 SCHEDULE OF VALUES FORMAT AND CONTENT

A. Organization and Major Elements of Schedule of Values

- 1. Prepare Schedule of Values on the "progress estimate" or "continuation sheets", as applicable, of the Application for Payment form indicated in Section 01 29 76, Progress Payment Procedures.
- 2. Include in Schedule of Values itemized list of Work for each major work area included in the Work, for each payment item specified in Section 01 22 13, Measurement and Payment.
- 3. Organization in Accordance with Specification Sections:
  - a. Within each work area, organize the Schedule of Values by the various Specifications Section numbers and titles included in the Contract Documents.
  - b. Label each row in the Schedule of Values with the appropriate Specifications Section number. Include an amount for each row in the Schedule of Values.
  - c. List sub-items of major products or systems, as appropriate or when requested by ENGINEER.
- 4. Include in Schedule of Values unit price payment items with their associated quantity. Provide in the Schedule of Values detailed breakdown of unit prices when required by ENGINEER.
- B. Requirements for preliminary Schedule of Values and Schedule of Values are:
  - 1. Subcontracted Work:
    - a. Schedule of Values shall show division of Work between CONTRACTOR and Subcontractors.
    - b. Line items for Work to be done by Subcontractor shall include the word, "(SUBCONTRACTED)".
  - 2. Apportionment between Materials and Equipment, and Installation:
    - a. Schedule of Values shall include breakdown of costs for materials and equipment, installation, and other costs used in preparing the Bid by CONTRACTOR and each Subcontractor.
    - b. List purchase and delivery costs for materials and equipment for which CONTRACTOR may apply for payment as stored materials.
  - 3. Sum of individual values shown on the Schedule of Values shall equal the total of associated payment item. Sum of payment item totals in the Schedule of Values shall equal the Contract Price.
  - 4. Overhead and Profit: Include in each line item a directly proportional amount of CONTRACTOR's overhead and profit. Do not include overhead and profit as separate item(s).
  - 5. Include separate line item for each allowance, and for each unit price item.
  - 6. Bonds and Insurance Costs: Include line item for bonds and insurance in amount not exceeding 2.0 percent of the Contract Price. This amount may be applied for in the first Application for Payment.
  - 7. Include relevant items for the General Conditions, permits (when applicable), construction Progress Schedule, and other items required by ENGINEER. Include such items in Applications for Payment on payment schedule acceptable to ENGINEER
  - 8. Line items for Site maintenance such as dust control, snow removal, compliance with storm water pollution prevention plans and permits, spill

prevention control and countermeasures plans, and for construction photographic documentation; temporary utilities and temporary facilities, field offices, temporary controls, field engineering, and similar Work shall be included in the Schedule of Values and proportioned in Applications for Payment throughout duration of the Work.

- 9. Mobilization and Demobilization:
  - a. Include separate line items under each appropriate payment item for mobilization and demobilization. Document for ENGINEER the activities included in mobilization and demobilization line items.
  - b. Mobilization will be limited to 2.0 percent of the Contract Price, and will be paid in 2 payments, each of 50 percent of total amount for mobilization.
  - c. Demobilization shall be not less than 1.0 percent of the Contract Price and shall be included with the Application for Payment following Substantial Completion, or other schedule acceptable to ENGINEER.
- 10. Costs for Shop Drawings, Samples, and other submittals; operations and maintenance manuals; field testing; and training of operations and maintenance personnel shall be as follows, unless otherwise accepted by ENGINEER:
  - a. Up to eight percent of cost (including all associated overhead and profit) of each equipment item, exclusive of transportation and installation costs associated with that item, may be allocated to preparation of Shop Drawings, Samples ,and other submittals and may be included in the Application for Payment following ENGINEER's approval of Shop Drawings (and acceptance of other submittals, as applicable) required for fabricating or purchasing for that item for the Work.
  - b. Up to three percent of total cost of each item (including all associated overhead and profit), including materials and equipment, and installation, may be apportioned to testing and included in the Application for Payment following ENGINEER's acceptance of the associated written field testing report(s).
  - c. Up to a total of four percent of equipment cost (including all associated overhead and profit), exclusive of transportation and installation costs, may be apportioned to operations and maintenance manuals and training of operations and maintenance personnel, which may be included in the Application for Payment following completion of training for that item.
- 11. Project Record Documents:
  - a. Include in the Schedule of Values a line item with appropriate value for Project record documents.
  - b. If adequate record documents are maintained, up to 50 percent of the value of the record documents line item will be eligible for payment, spread evenly over those progress payments in which construction at the Site is performed.
  - c. Remainder of Project record documents line item will be eligible for payment when complete record documents are submitted in accordance with the Contract Documents. If record documents submitted are unsatisfactory to ENGINEER, amount may be reduced via set-offs in accordance with the Contract Documents.

12. Schedule of Values shall include an itemized list of Work by work area, as applicable, for Work included in Section 01 14 16, Coordination with Owner's Operations.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

#### SECTION 01 29 76

#### PROGRESS PAYMENT PROCEDURES

#### <u>PART 1 – GENERAL</u>

#### 1.1 PROGRESS PAYMENTS

- A. Scope:
  - 1. CONTRACTOR's requests for payment shall be in accordance with the Agreement, General Conditions and Supplementary Conditions, and the Specifications.
  - 2. Form: Applications for Payment shall be in the form of Engineers Joint Contract Documents Committee (EJCDC) document EJCDC<sup>®</sup> C-620, "Contractor's Application for Payment", 2013 edition or later.
- B. Procedure:
  - 1. Review with Resident Project Representative (RPR) quantities and the Work proposed for inclusion in each progress payment. Application for Payment shall cover only the Work and quantities recommended by the RPR.
  - 2. Submit to ENGINEER two printed originals, each with CONTRACTOR's original, "wet" signature, of each complete Application for Payment and other documents to accompany the Application for Payment.
  - 3. ENGINEER will act on request for payment in accordance with the General Conditions and Supplementary Conditions.
- C. Each request for progress payment shall include:
  - 1. Completed Application for Payment form, including summary/signature page, progress estimate sheets, and stored materials summary. Progress estimate sheets shall have the same level of detail as the Schedule of Values.
  - 2. Documentation for Stored Materials and Equipment:
    - a. For materials and equipment not incorporated in the Work but suitably stored, submit documentation in accordance with the General Conditions and Supplementary Conditions.
    - b. UCC-1 Financial Statement:
      - For each lot or delivery of stored materials and equipment for which payment is requested prior to installation of the item(s) at the Site, complete UCC-1, "Financial Statement" form. On UCC-1 form, indicate OWNER as "security party"; indicate Supplier as "debtor" when stored item(s) are in Supplier's custody, and indicate CONTRACTOR as "debtor" when stored item(s) are in CONTRACTOR's custody; and clearly indicate in detail all stored item(s) included in the filing as "collateral" on the form. Include attachments to the form when necessary to clearly and fully indicate in detail the associated "collateral".

- 2) File completed UCC-1 form with the secretary of state in the state where the subject item(s) are stored.
- 3) Include with Application for Payment the completed UCC-1 form together with evidence of filing with the required state(s). Submit UCC-1 form and related documentation once for each lot or delivery of stored items.
- c. Photographs of the stored items at the storage location, in accordance with requirements for progress photographs in Section 01 32 33, Photographic Documentation. Submit photographs sufficient to clearly indicate each stored item, clearly showing marking of OWNER's property in accordance with Paragraph 1.2.C.1 of this section. Such photographs do not count as photographs required under Section 01 32 33, Photographic Documentation. For each month that such item(s) are stored, take and submit monthly new photographs of each stored item.
- d. Legibly indicate on invoice or bill of sale the specific stored materials or equipment included in the payment request and corresponding bid/payment item number for each and the Supplier price for each item.
- 3. Listing of Subcontractors and Suppliers:
  - a. In accordance with the General Conditions, submit not less than monthly updated listing of all Subcontractors and Suppliers known to CONTRACTOR, whether or not such entities have a contract directly with CONTRACTOR.
  - b. Submit complete information using the form attached to this Section.
- 4. Allowance Work:
  - a. For payment requests that include payment for Work under an allowance, include with the progress payment request copy of OWNER's authorization of the associated allowance Work, in accordance with Section 01 21 00, Allowances.
- 5. Partial Release or Reduction of Retainage:
  - a. For each Application for Payment where CONTRACTOR requests partial release or reduction of retainage in any amount (other than request for final payment), submit with associated progress payment request consent of surety to partial release or reduction of retainage, duly completed by CONTRACTOR and surety.
  - b. Acceptable form includes AIA<sup>®</sup> G707A<sup>TM</sup>, "Consent of Surety to Reduction in or Partial Release of Retainage", 1994 or later edition, or other form acceptable to OWNER.
  - c. For payment requests that include reduction in or payment of retainage in an amount greater than that required by the Contract Documents, obtain OWNER's concurrence for partial release or reduction in retainage prior to submitting such Application for Payment.
- D. Final Payment:
  - 1. Requirements for request for final payment are in the General Conditions, as may be modified by the Supplementary Conditions, and Section 01 77 19, Closeout Requirements.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION

# 3.1 ATTACHMENTS

- A. The forms listed below, following this Section's "End of Section" designation, are part of this Specification Section:
  - 1. List of Subcontractors and Suppliers form (two pages).

+ + END OF SECTION + +



# LIST OF SUBCONTRACTORS AND SUPPLIERS

Owner:		
Project Name:		
Contractor:	Date:	
Contract Designation:		

Indicate below complete information for each Subcontractor and Supplier known to Contractor, regardless of whether the firm has a direct contract with Contractor. Include all lower-tier Subcontractors and associated Suppliers. Copy and paste the paragraphs below as required to indicate all Subcontractors and Suppliers.

# **SUBCONTRACTORS**

#### 1. Subcontractor Name:

- Address:
- Contact Person:
- Telephone No.:
- E-mail Address:
- Work Under Specifications Section Nos.:
- Brief Description of Work:
- Current Subcontract Price:
- Approximate Subcontract Start Date:
- *Approximate Subcontract End Date:*

#### 2. Subcontractor Name:

- Address:
- Contact Person:
- Telephone No.:
- E-mail Address:
- Work Under Specifications Section Nos.:
- Brief Description of Work:
- Current Subcontract Price:
- Approximate Subcontract Start Date:
- Approximate Subcontract End Date:

#### 3. Subcontractor Name:

- Address:
- Contact Person:
- Telephone No.:
- *E-mail Address*:
- Work Under Specifications Section Nos.:
- Brief Description of Work:
- Current Subcontract Price:
- Approximate Subcontract Start Date:
- Approximate Subcontract End Date:



**Total of Subcontract Prices for all subcontracts equals approximately** \_\_\_\_\_ **percent of the Contract Price** (*Contractor to fill in blank monthly*)

# **SUPPLIERS**

# 1. Supplier Name:

- Address:
- Contact Person:
- Telephone No.:
- *E-mail Address*:
- Furnishing Items Under Specifications Section Nos.:
- Brief Description of Items:
- Current Purchase Order Amount:
- Approximate Purchase Order Date:
- Approximate Purchase Order End Date:

# 2. Supplier Name:

- Address:
- Contact Person:
- Telephone No.:
- E-mail Address:
- Furnishing Items Under Specifications Section Nos.:
- Brief Description of Items:
- Current Purchase Order Amount:
- Approximate Purchase Order Date:
- Approximate Purchase Order End Date:

# 3. Supplier Name:

- Address:
- Contact Person:
- Telephone No.:
- E-mail Address:
- Furnishing Items Under Specifications Section Nos.:
- Brief Description of Items:
- Current Purchase Order Amount:
- Approximate Purchase Order Date:
- Approximate Purchase Order End Date:



+ + NO TEXT THIS PAGE + +

#### SECTION 01 31 16

#### MULTIPLE CONTRACT COORDINATION

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

#### A. Scope:

- 1. Prime Contractors:
  - a. Prime CONTRACTORS shall coordinate their work and cooperate among themselves, assisted by the construction coordinator identified in the Supplementary Conditions, as required for satisfactory, expeditious completion of the Project (i) within the Contract Times, (ii) in accordance with the Progress Schedule, and (iii) in accordance with the Contract Documents.
  - b. Prime contracts for the Project are indicated in Section 01 12 13, Summary of Work.
  - c. Additional requirements regarding coordination among prime contractors are in the General Conditions and elsewhere in the Contract Documents
- 2. Subcontractors and Suppliers:
  - a. Prime CONTRACTORS shall coordinate and cooperate fully with their own Subcontractors and Suppliers and others whose services, materials, or equipment, are required to complete their Work in accordance with the Contract Documents.
  - b. Additional requirements regarding prime CONTRACTORS' responsibility for coordinating and scheduling their Subcontractors and Suppliers are in the General Conditions and elsewhere in the Contract Documents.
- B. Coordination:
  - 1. Each prime CONTRACTOR shall review the Progress Schedule and installation procedures under other Specifications Sections and other prime contracts that may affect their Work, and coordinate installation of such work with appropriate entity or entities.
  - 2. General CONTRACTOR shall provide openings in concrete formwork and in other construction as required to accommodate the Work under other Specifications Sections and the work of other contractors, assist other contractors in installing "built-in" items required for other contractors' work, and protect such "built-in" items and other work of other contractors from damage.
  - 3. Prime CONTRACTORS shall notify OWNER in writing if prime CONTRACTOR believes that another contractor is failing to coordinate its work with work of other contractors.
  - 5. OWNER does not guarantee continuous efficiency of prime contractors.

# 1.2 QUALITY ASSURANCE

- A. Coordination Meetings:
  - 1. Coordination meetings shall be held on a weekly basis, unless mutually agreed by the prime CONTRACTORS and other interested or involved entities that another schedule is suitable.
  - 2. Site Mobilization Meeting:
    - a. Initial meeting will be the Site mobilization meeting (unless such meeting is held as part of the preconstruction conference) and will be held within ten days after the Contract Times commence running.
    - b. A preliminary agenda of topics to be covered at the Site mobilization meeting is indicated in Section 01 31 19.13, Preconstruction Conference.
    - c. ENGINEER will advise each prime CONTRACTOR of the time, place, and tentative agenda for the Site mobilization meeting.
  - 3. Coordination Meetings during the Project:
    - a. Purposes of coordination meetings include:
      - 1) Establishing and modifying work schedules and achieving agreement on orderly sequences of operations acceptable to all prime contractors.
      - 2) Reviewing and adjusting conflicts, work arrangements, and schedules to reduce the potential for and avoid delays and work stoppages.
      - 3) Discussing and accepting coordination drawings prepared by each prime CONTRACTOR, as required to assist and guide others.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

# SECTION 01 31 19.13

#### PRE-CONSTRUCTION CONFERENCE

## PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. A pre-construction conference will be held for the Project.
  - 2. CONTRACTOR shall attend the conference prepared to discuss all items on the pre-construction conference agenda.
  - 3. ENGINEER will distribute an agenda, preside at conference, and prepare and distribute minutes to all conference participants and others as requested.
- B. Purpose of Pre-construction Conference:
  - 1. Purpose of conference is to designate responsible personnel, establish working relationships, discuss preliminary schedules submitted by CONTRACTOR, and review administrative and procedural requirements for the Project.
  - 2. Matters requiring coordination will be discussed and procedures for handling such matters will be established.
  - 3. Unless otherwise indicated in the Contract Documents or otherwise agreed to by the entities involved, Site mobilization meeting will be part of the preconstruction conference.

#### 1.2 PREPARATION FOR PRE-CONSTRUCTION CONFERENCE

- A. Date, Time, and Location:
  - 1. Conference will be held after execution of the Contract and before Work starts at the Site.
  - 2. ENGINEER will establish the date, time, and location of conference and notify the interested and involved entities.
- B. Submittals Required Prior to Pre-construction Conference:
  - 1. Not less than three days prior to pre-construction conference, submit the following preliminary schedules in accordance with the General Conditions and other requirements of the Contract Documents:
    - a. Preliminary Progress Schedule information by each prime contractor.
    - b. Preliminary Schedule of Submittals.
    - c. Preliminary Schedule of Values.
    - d. Listing of identity and general scope of Work or supply (as applicable) of planned Subcontractors and Suppliers. Indicate extent of each Subcontract proposed and overall percentage of Contract Price to be subcontracted.

- C. CONTRACTOR shall furnish information required and contribute appropriate items for discussion at the pre-construction conference.
- D. Handouts for Pre-Construction Conference:
  - 1. CONTRACTOR shall bring to the conference the following, with sufficient number of copies for each attendee:
    - a. Preliminary progress scheduling information, as submitted to ENGINEER.
    - b. Preliminary Schedule of Submittals, as submitted to ENGINEER.
    - c. Preliminary Schedule of Values, as submitted to ENGINEER.
    - d. Listing of identity and general scope of Work or supply of planned Subcontractors and Suppliers.
    - e. List of emergency contact information, in accordance with Article 1.5 of Section 01 35 23, Safety Requirements.

# 1.3 REQUIRED ATTENDEES

- A. Representative of each entity attending the conference shall be authorized to act on that entity's behalf.
- B. Contractor Attendance: Conference shall be attended by CONTRACTOR's:
  - 1. Project manager.
  - 2. Site superintendent
  - 3. Project managers for major Subcontractors, and major equipment Suppliers as CONTRACTOR deems appropriate.
- C. Other attendees will be representatives of:
  - 1. OWNER.
  - 2. ENGINEER.
  - 3. Resident Project Representative (RPR), if available.
  - 4. Authorities having jurisdiction over the Work, if available.
  - 5. Others as requested by OWNER, CONTRACTOR, or ENGINEER.

#### 1.4 AGENDA

- A. Preliminary Agenda: Be prepared to discuss in detail the topics indicated below. Revisions, if any, to the agenda below will be furnished to required attendees prior to the pre-construction conference.
  - 1. Procedural and Administrative:
    - a. Personnel and Teams:
      - 1) Designation of roles and personnel.
      - 2) Limitations of authority of personnel, including personnel who will sign Contract modifications and make binding decisions.
      - 3) Subcontractors and Suppliers in attendance.
      - 4) Authorities having jurisdiction.
    - b. Procedures for communications and correspondence, including electronic communication protocols.

- c. Copies of the Contract Documents and availability.
- d. Subcontractors and Suppliers.
  - 1) Lists of proposed Subcontractors and Suppliers.
- e. The Work and Scheduling:
  - 1) General scope of the Work.
  - 2) Contract Times, including Milestones (if any).
  - 3) Phasing and sequencing.
  - 4) Preliminary Progress Schedule.
  - 5) Critical path activities.
- f. Safety:
  - 1) Responsibility for safety.
  - 2) Contractor's safety representative.
  - 3) Emergency procedures and accident reporting.
  - 4) Emergency contact information.
  - 5) Confined space entry permits.
  - 6) Hazardous materials communication program.
  - 7) Impact of Project on public safety.
- g. Permits.
- h. Review of insurance requirements and insurance claims.
- i. Coordination:
  - 1) Project coordination, and coordination among contractors.
  - 2) Construction coordinator.
  - 3) Coordination with Owner's operations.
  - 4) Progress meetings.
  - 1) Preliminary Schedule of Submittals.
  - 2) Procedures for furnishing and processing submittals.
  - 3) Work not eligible for payment until submittals are approved or accepted (as required).
  - 4) Construction photographic documentation.
- j. Submittals:
  - 1) Preliminary Schedule of Submittals.
  - 2) Submittal procedures.
  - 3) Contractor coordination and approval stamp.
  - 4) Meaning of Engineer's actions/submittal disposition.
  - 5) Preliminary discussion of initial, critical submittals.
  - 6) Construction photographic documentation.
- k. Substitutes and "Or-Equals":
  - 1) Product options.
    - 2) Procedures for proposing "or-equals".
    - 3) Procedures for proposing substitutes.
- 1. Contract Modification Procedures
  - 1) Requests for interpretation
  - 2) Written clarifications
  - 3) Field Orders
  - 4) Proposal Requests
  - 5) Change Proposals
  - 6) Work Change Directives.

- 7) Change Orders.
- 8) Procedure for Claims and dispute resolution
- m. Payment:
  - 1) Owner's Project financing and funding, as applicable.
  - 2) Owner's tax-exempt status.
  - 3) Preliminary Schedule of Values
  - 4) Procedures for measuring for payment.
  - 5) Retainage.
  - 6) Progress payment procedures.
  - 7) Prevailing wage rates and payrolls.
- n. Testing and inspections, including notification requirements.
- o. Disposal of demolition materials.
- p. Record documents.
- q. Preliminary Discussion of Contract Closeout:
  - 1) Procedures for Substantial Completion.
  - 2) Contract closeout requirements.
  - 3) Correction period.
  - 4) Duration of bonds and insurance.
- 2. Site Mobilization (if not covered in a separate meeting):
  - a. Working hours and overtime.
  - b. Field offices, storage trailers, and staging areas.
  - c. Temporary facilities.
  - d. Temporary utilities and limitations on utility consumption (where applicable).
  - e. Utility company coordination (if not done as a separate meeting).
  - f. Access to Site, access roads, and parking for construction vehicles.
  - g. Maintenance and protection of traffic.
  - h. Use of Site and premises.
  - i. Protection of property.
  - j. Security.
  - k. Temporary controls, such as sediment and erosion controls, noise controls, dust control, storm water controls, and other such measures.
  - 1. Site barriers and temporary fencing.
  - m. Storage of materials and equipment.
  - n.. Reference points and benchmarks; surveys and layouts.
  - o. Site maintenance during the Project.
  - p. Cleaning and removal of trash and debris.
  - q. Restoration.
- 3. General discussion and questions.
- 4. Next meeting.
- 5. Site visit, if required.

#### PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

#### + + END OF SECTION + +

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# SECTION 01 31 19.23

#### PROGRESS MEETINGS

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. Progress meetings will be held throughout the Project. CONTRACTOR shall attend each progress meeting prepared to discuss in detail all items on the agenda.
  - 2. ENGINEER will preside at progress meetings and will prepare and distribute minutes of progress meetings to all meeting participants and others as requested.

#### 1.2 PREPARATION FOR PROGRESS MEETINGS

- A. Date and Time:
  - 1. Regular Meetings: Every month on a day and time agreeable to OWNER, ENGINEER, and CONTRACTOR.
  - 2. Other Meetings: As required.
- B. Location:
  - 1. Location mutually agreed upon by OWNER, CONTRACTOR, and ENGINEER.
- D. Handouts:
  - 1. CONTRACTOR shall bring to each progress meeting not less than five copies of each of the following:
    - a. List of Work accomplished since the previous progress meeting.
    - b. Up-to-date Progress Schedule.
    - c. Up-to-date Schedule of Submittals.
    - d. Detailed "look-ahead" schedule of Work planned through the next progress meeting, with specific starting and ending dates for each activity, including shutdowns, deliveries of important materials and equipment, Milestones (if any), and important activities affecting the OWNER, Project, and Site.
    - e. When applicable, list of upcoming, planned time off (with dates) for personnel with significant roles on the Project, and the designated contact person in their absence.

#### 1.3 REQUIRED ATTENDANCE

A. Representatives present for each entity shall be authorized to act on that entity's behalf.

- B. Required Attendees:
  - 1. CONTRACTOR:
    - a. Project manager.
    - b. Site superintendent.
    - c. Safety representative.
    - d. When needed for the discussion of a particular agenda item, representatives of Subcontractors and Suppliers shall attend meetings.
  - 2. Construction coordinator (if any).
  - 3. ENGINEER:
    - a. Project manager or designated representative
    - b. Resident Project Representative (if any).
    - c. Others as required by ENGINEER.
  - 4. OWNER's representative(s), as required.
  - 5. Testing and inspection entities, as required.
  - 6. Others, as appropriate.

#### 1.4 AGENDA

- A. Preliminary Agenda: Be prepared to discuss in detail the topics listed below. Revised agenda, if any, will be furnished to CONTRACTOR prior to first progress meeting. Progress meeting agenda may be modified by ENGINEER during the Project as required.
  - 1. Review, comment, and amendment (if required) of minutes of previous progress meeting.
  - 2. Review of progress since the previous progress meeting.
  - 3. Planned progress through next progress meeting.
  - 4. Review of Progress Schedule
    - a. Contract Times, including Milestones (if any)
    - b. Critical path.
    - c. Schedules for fabrication and delivery of materials and equipment.
    - d. Corrective measures, if required.
  - 5. Submittals:
    - a. Review status of critical submittals.
    - b. Review revisions to Schedule of Submittals.
  - 6. Contract Modifications
    - a. Requests for interpretation
    - b. Written clarifications
    - c. Field Orders
    - d. Proposal Requests
    - e. Change Proposals
    - f. Work Change Directives.
    - g. Change Orders.
    - h. Claims.
  - 7. Applications for progress payments.
  - 8. Problems, conflicts, and observations.
  - 9. Quality standards, testing, and inspections.

- 10. Coordination between parties.
- 11. Site management issues, including access, security, maintenance and protection of traffic, maintenance, cleaning, and other Site issues.
- 12. Safety.
- 13. Permits.
- 14. Construction photographic documentation.
- 15. Record documents status.
- 16. Punch list status, as applicable.
- 17. Other business.

### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION (NOT USED)

# + + END OF SECTION + +

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#### SECTION 01 31 26

#### ELECTRONIC COMMUNICATION PROTOCOLS

# PART 1 – GENERAL

### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section establishes the procedures with which the parties will comply regarding transmission or exchange of electronic data for the Project.
  - 2. CONTRACTOR shall provide labor, materials, tools, equipment, services, utilities, and incidentals shown, specified, and required for complying with this Section throughout the Project.
  - 3. This Section does not supersede the General Conditions, as may be modified by the Supplementary Conditions, regarding transmitting of the Contract Documents to CONTRACTOR after the Effective Date of the Contract.
  - 4. In addition to the requirements of this Section, comply with requirements for exchange of electronic data in the following:
    - a. Section 01 32 16, Progress Schedule.
    - b. Section 01 32 33, Photographic Documentation.
    - c. Section 01 33 00, Submittal Procedures.
    - d. Section 01 78 39, Project Record Documents.
- B. Coordination:
  - 1. CONTRACTOR shall require all Subcontractors and Suppliers to comply with the electronic communication protocols established in this Section.

#### 1.2 TERMINOLOGY

- A. The following words or terms are not defined but, when used in this Section, have the following meaning:
  - 1. "Electronic data" means information, communications, drawings, or designs created or stored for the Project in electronic or digital form.
  - 2. "Confidential information" means electronic data that the transmitting party has designated as confidential and clearly marked with an indication such as "Confidential", "Business Proprietary", or similar designation.
  - 3. "Written" or "in writing" means any and all communications, including without limitation a notice, consent, or interpretation, prepared and sent to an address provided in the Contract Documents or otherwise agreed upon by the parties and ENGINEER using a transmission method sent forth in this Section that allows the recipient to print or store the communication. Communications transmitted electronically are presumed received when sent in conformance with this Paragraph 1.2.A.3.

#### 1.3 TRANSMISSION OF ELECTRONIC DATA

- A. Transmission of electronic data constitutes a warrant by the transmitting party to the receiving party that the transmitting party is one or more of the following:
  - 1. The copyright owner of the electronic data.
  - 2. Has permission from the copyright owner to transmit the electronic data for its use on the Project.
  - 3. Is authorized to transmit confidential information.
- B. Receiving party agrees to keep confidential information confidential and not to disclose it to another person except to (1) its employees, (2) those who need to know the content of the confidential information to perform services or construction solely and exclusively for the Project, or (3) its consultants, contractors, Subcontractors, and Suppliers whose contracts include similar restrictions on the use of electronic data and confidential information.
- C. Transmitting party does not convey any right in the electronic data or in the software used to generate or transmit such data. Receiving party may not use electronic data unless permission to do so is provided in the Contract Documents, or in a separate license.
- D. Unless otherwise granted in a separate license, receiving party's use, modification, or further transmission of electronic data, as provided the Contract Documents, is specifically limited to the design and construction of the Project in accordance with this Section, and nothing contained in this Section conveys any other right to use the electronic data for any other purpose.
- E. To the fullest extent permitted by Laws and Regulations, receiving party shall indemnify and defend the transmitting party from and against all claims arising from or related to receiving party's modification to, or unlicensed use of, electronic data.
- F. Means of Transmitting Electronic Data: Unless otherwise indicated in Table 01 31 26-A of this Section or elsewhere in the Contract Documents, transmission of electronic data for the Project will generally be via:
  - 1. E-mail and files attached to e-mail. Maintain e-mail system capable of transmitting and receiving files not less than 20 megabytes (MB) file size.

# 1.4 ELECTRONIC DATA PROTOCOLS

A. Comply with the data formats, transmission methods, and permitted uses set forth in Table 01 31 26-A, Electronic Data Protocol Table, below, when transmitting or using electronic data on the Project. Where a row in the table has no indicated means of transmitting electronic data, use for such documents only printed copies transmitted to the receiving party via appropriate delivery method.

	1111010					1
Electronic Data	Data Format	Transmitting Party	Transmission Method	Receiving Party	Permitted Uses	Notes
1.4.A.1. Project communications						
General communications & correspondence	EM, PDF	O, E, C	EM, EMA	O, E, C	R	
Meeting notices and agendas	EM, PDF	Е	EM, EMA	0, C	R	
Meeting minutes	PDF	Е	EM, EMA	0, C	R	
1.4.A.2. Contractor's submittals to Engineer			, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,		
Shop Drawings	PDF	С	EMA	Е	M (1)	(1)
Product data	PDF	С	EMA	Е	M (1)	(1)
Informational and closeout submittals:	PDF	С	EMA	Е	M (1)	(1)(6)
Documentation of delivery of maintenance materials submittals	PDF	С	EMA	Е	M (1)	
1.4.A.3. Engineer's return of reviewed submittals to Contractor						
Shop Drawings	PDF	Е	EMA	0., C	R	
Product data	PDF	Е	EMA	0., C	R	
Informational and closeout submittals:	PDF	Е	EMA	0., C	R	(6)
Documentation of delivery of maintenance	PDF	E	EMA	0. C	R	
materials submittals						
1.4.A.4. Contract Modifications Documents						
Requests for interpretation to Engineer	PDF	C., 0	EMA	E	M (1)	(1)
Engineer's interpretations (RFI responses)	PDF	E	EMA	С, О	R	
Engineer's clarifications to Contractor	EM, PDF	Е	EM, EMA	С, О	R	
Engineer's issuance of Field Orders	PDF	Е	EMA	С, О	R	
Proposal Requests	PDF	E, O	EMA	С	R	
Change Proposals – submitted to Engineer	PDF	С	EMA	0, E	S	
Change Proposals – Engineer's response	PDF	Е	EMA	C. O		
Work Change Directives (for Contractor	PDF	Е	EMA	С	R	(2)
Change Orders (for Contractor signature)	PDF	F	FMA	C	P	(2)
14A5 Applications for Payment				C	K	(2)
1.4.A.6 Claims and other notices						(3)
1 4 A 7 Closeout Documents						(+)
Record drawings	DWG and PDF	С	EMA	E, O	M (5)	(5)
Other record documents	PDF	C	FMΔ	ΕO	M (5)	(5)
Contract closeout documents		~	2.0111	2.0		

# TABLE 01 31 26-A ELECTRONIC DATA PROTOCOL TABLE (E-MAIL ATTACHMENTS)

B. Key to Electronic Data Protocol Table:

Data Format:

EM .msg, .htm, .txt, .rtf, e-mail text
W .docx, Microsoft<sup>®</sup> Word 2007 or later
EX .xlsx, Microsoft<sup>®</sup> Excel 2007 or later
PDF .pdf. Portable Document Format
DWG .dwg. Autodesk AutoCAD 2013 drawing.

Transmitting Party:

0	OWNER
С	CONTRACTOR

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#### E ENGINEER

Transmission Method:

- EM Via e-mail
- EMA As an attachment to an e-mail transmission
- CD Delivered via compact disc
- PW Posted to Project website
- FTP FTP transfer to receiving FTP server

# Receiving Party:

- O OWNER
- C CONTRACTOR
- E ENGINEER

#### Permitted Uses:

- S Store and view only
- R Reproduce and distribute
- I Integrate (incorporate additional electronic data without modifying data received)
- M Modify as required to fulfill obligations for the Project

#### Notes:

- (1) Modifications by ENGINEER to CONTRACTOR's submittals and requests for interpretations are limited to printing out, marking-up, and adding comment sheets.
- (2) May be distributed only to affected Subcontractors and Suppliers. Print out, sign document, and return executed printed copy originals to ENGINEER.
- (3) Submit printed Applications for Payment with original ("wet") signatures.
- (4) Submit notices, including Claims, in accordance with the notice provisions of the General Conditions, as may be modified by the Supplementary Conditions.
- (5) Submit record drawings in native CAD format indicated when CONTRACTOR has executed ENGINEER's standard agreement for release of electronic files. In addition, always submit record drawings as a PDF file. Comply with requirements of Section 01 78 39, Project Record Documents.
- (6) For operation and maintenance data, also submit printed copies as required by Section 01 78 23, Operations and Maintenance Data.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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#### SECTION 01 32 16

#### PROGRESS SCHEDULE

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. General CONTRACTOR shall prepare and submit Progress Schedules and related documents in accordance with the General Conditions, as may be modified by the Supplementary Conditions, and this Section, unless otherwise accepted by ENGINEER.
  - 2. Prime contractors engaged on the Project, other than the General CONTRACTOR, shall promptly furnish information to General CONTRACTOR and ENGINEER, as indicated, for preparing and updating Progress Schedules and related documents.
  - 3. Maintain and update Progress Schedules and related documents.
  - 4. ENGINEER's acceptance of the Progress Schedule or related documents, and comments or opinions concerning activities in the Progress Schedule and related documents shall not control independent judgment of CONTRACTOR concerning means, methods, techniques, sequences and procedures of construction, unless the associated means, method, technique, sequence, or procedure is directed by the Contract Documents. CONTRACTOR is solely responsible for complying with the Contract Times.
- B. Use of Float:
  - 1. Float belongs to the Project and may be used by OWNER or any CONTRACTOR to accommodate changes in the Work, or to mitigate the effect of events that delay performance or compliance with the Contract Times.
  - 2. Changes or delays that influence Activities that have Float and that do not extend the Critical Path are not justification for an extension of the Contract Times.
- C. Factors Affecting the Progress Schedule:
  - 1. In preparing and maintaining the Progress Schedule, take into consideration submittal requirements and submittal review times, coordination of submittals among contractors, time for fabricating and delivering materials and equipment, source quality control (including shop testing) and field quality control (including testing at the Site), Subcontractors' work, availability and abilities of workers, availability of construction equipment, weather conditions, restrictions in operations at the Site and coordination with OWNER's operations, and other factors that have the potential to affect completion of the Work within the Contract Times.

#### 1.2 DEFINITIONS AND TERMINOLOGY

- A. Definitions: The following terms are defined for this Section and supplement the terms defined in the General Conditions and Supplementary Conditions:
  - 1. Activity: An element of the construction work that has the following specific characteristics: consumes time, consumes resources, has a definable start and finish, is assignable, and is measurable.
  - 2. Constraint: An imposed date on the Progress Schedule or an imposed time between Activities. The Contract Times are Constraints.
  - 3. CPM Progress Schedule: Computerized Progress Schedule in Critical Path Method (CPM) format which accounts for the entire Work, defines the interrelationships between elements of the Work, reflects the uncompleted Work, and indicates the sequence with which the Work has been completed, indicates the sequence in which uncompleted Work will be completed, and indicates the duration of each Activity.
  - 4. Critical Path: The continuous chain of Activities with the longest duration for completion within the Contract Times.
  - 5. Early Start: The earliest possible date an Activity can start according to the assigned relationships among Activities.
  - 6. Early Finish: The earliest date an Activity can finish according to the assigned relationships among the Activities.
  - 7. Late Finish: The latest date an Activity can finish without extending the Contract Times.
  - 8. Late Start: The latest date an Activity can start without extending the Contract Times.
  - 9. Float: The time difference between the calculated duration of the Activity chain and the Critical Path.
  - 10. Total Float: The total number of days that an Activity (or chain of Activities) can be delayed without affecting the Contract Times.
  - 11. Network Diagram: A time-scaled logic diagram depicting the durations and relationships of the Activities.
  - 12. Work Areas, Area, or System: A logical breakdown of the Project elements or a group of Activities which, when collectively assembled, are readily identifiable on the Project (for example: yard piping, a structure or building, a treatment process, or other logical grouping).
- B. Terminology: The following words or terms are not defined but, when used in this Section, have the following meaning:
  - 1. "Prime contractor" is an individual or entity with whom OWNER has entered into a contract to perform part of the Project.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Progress Schedule Preparer:
    - a. General CONTRACTOR shall retain services of a scheduling consultant or shall self-prepare and maintain the Progress Schedule using qualified

employee with experience in scheduling, and experience with the scheduling software required for the Project, and experience serving as Progress Schedule preparer on construction projects of similar type, size, and scope to the Project, and shall be experienced on projects with multiple prime contracts.

- b. Progress Schedule preparer shall have not less than five years experience using the scheduling software required on construction projects of similar type, size, and scope as the Project.
- c. Prior to engaging a scheduling consultant or using a qualified employee, submit to ENGINEER the following:
  - 1) Name and address of proposed Progress Schedule preparer and the names of personnel who will be assigned to scheduling the Project.
  - 2) Information sufficient to demonstrate that proposed Progress Schedule preparer and scheduling personnel to be assigned to the Project possess qualifications complying with requirements of this Section. For each person assigned, submit list of similar type, size, contract value of projects, names and contact information of engineer or architect and owner.
- d. Engineer's Review of Qualifications:
  - 1) ENGINEER will respond to General CONTRACTOR whether proposed scheduling personnel are acceptable within five days after ENGINEER's receipt of complete qualifications.
  - 2) If qualifications are not acceptable, submit qualifications of acceptable personnel within five days of receipt of ENGINEER's non-acceptance.
  - 3) ENGINEER's acceptance or non-acceptance of qualifications does not release General CONTRACTOR from its obligations under the Contract Documents.

# 1.4 SUBMITTALS

- A. Quantity of each submittal required and timing of submittals are indicated in this Section.
- B. Informational Submittals: Submit the following:
  - 1. Initial Progress Schedules:
    - a. Preliminary Progress Schedule with associated Network Diagrams and narrative report.
    - b. Acceptable Progress Schedule with associated Network Diagrams and narrative report.
    - c. Submit each Progress Schedule submittal with letter of transmittal complying with requirements of Section 01 33 00, Submittal Procedures.
  - 2. Progress Schedule Updates.
    - a. Progress Schedule updates shall comply with requirements of this Section, and shall include updated Progress Schedule, narrative report, updated Network Diagram when relationships among Activities are changed, and updated mathematical tabulations.
    - b. Submit updated Progress Schedule prior to each progress meeting. When

a Progress Schedule remains unchanged from one progress meeting to the next, submit a written statement to that effect. In addition to monthly Progress Schedule submittals, also bring to progress meeting the number of printed copies of the updated Progress Schedule indicated in Section 01 31 19.23, Progress Meetings.

- 3. Look-Ahead Schedules
  - a. Furnish three week look-ahead schedule at each progress meeting.
- 4. Time Impact Analyses: Submit in accordance with this Section.
- 5. Recovery Schedule: Submit in accordance with this Section.
- 6. Qualifications:
  - a. Submit qualifications of Progress Schedule preparer, and other personnel that will assist Progress Schedule preparer in preparing and maintaining the Progress Schedule.

#### 1.5 INITIAL PROGRESS SCHEDULES

- A. Type and Organization of Progress Schedules:
  - 1. Prepare Progress Schedule using Primavera software, unless other scheduling software is acceptable to ENGINEER.
  - 2. Sheet Size: 22 inches by 34 inches, unless otherwise accepted by ENGINEER.
  - 3. Time Scale: Indicate first date of each work week.
  - 4. Activity Designations: Indicate title and related Specifications Section number.
  - 5. Progress Schedules shall be CPM Progress Schedules.
  - 6. Organization:
    - a. Indicate on the separate Schedule of Submittals dates for submitting and reviewing Shop Drawings, Samples, and other submittals.
    - b. Group deliveries of materials and equipment into a separate sub-schedule that is part of the Progress Schedule.
    - c. Group construction into Work Area sub-schedules (that are part of the Progress Schedule) by Activity.
    - d. Clearly indicate the Critical Path on the Progress Schedule.
    - e. Organize each Work Area sub-schedule by Specifications Section number.
- B. Preliminary Progress Schedule:
  - 1. Within 14 days after the Contract Times commence running, each prime contractor shall meet with ENGINEER where each shall present to ENGINEER a list showing each item of material or equipment to be procured for the Work; and for each material or equipment item, necessary dates for each step in the procurement process, including those for placement of orders, approval of submittals, receipt of approvals, and delivery. Delivery time problems or other problem anticipated, if any, shall be notes as remarks in the list. Attached to the list shall be a separate statement of other anticipated problems, if any, not associated with material or equipment procurement that may affect progress of CONTRACTOR or another prime contractor on the Project.

- 2. ENGINEER will transmit to General CONTRACTOR one copy of each of the aforementioned lists and statements. General CONTRACTOR shall thereupon prepare the preliminary Progress Schedule for the entire Project, including work under each prime contract. General CONTRACTOR shall submit to ENGINEER the preliminary Progress Schedule covering the entire Project, with associated Network Diagrams within 30 days after the Contract Times commence running.
- 2. Submit preliminary Progress Schedule in accordance with Section 01 31 26, Electronic Communication Protocols and Section 01 33 00, Submittal Procedures. Also submit preliminary Progress Schedule in its native format generated by the scheduling software, transmitted using the transmission method indicated in Section 01 31 26, Electronic Communication Protocols.
- 3. Coordination with Other Prime Contractors:
  - a. Concurrent with submittal to ENGINEER, transmit preliminary Progress Schedule and associated reports and schedule-related documents to accompany the preliminary Progress Schedule to each other prime contractor on the Project. Transmit in accordance with Section 01 31 26, Electronic Communication Protocols and Section 01 33 00, Submittal Procedures. Also transmit preliminary Progress Schedule in its native format generated by the scheduling software, transmitted using the transmission method indicated in Section 01 31 26, Electronic Communication Protocols.
  - b. Each other prime contractor on the Project shall submit to ENGINEER comments on the preliminary Progress Schedule and related documents within five days of receipt.
  - c. If ENGINEER does not receive comments on the preliminary Progress Schedule and related documents from any prime contractor within the number of days specified in this paragraph, ENGINEER will presume that that the particular prime contractor deems the preliminary Progress Schedule and related documents acceptable relative to the work of that prime contractor.
- 4. ENGINEER will conduct a timely review of the preliminary Progress Schedule.
- C. Initial Acceptance of Progress Schedule:
  - 1. Not less than 10 days before submission of the first Application for Payment, a scheduling conference attended by each prime contractor on the Project, Progress Schedule preparer, ENGINEER, and others as appropriate will be held at the Site to review for acceptability to ENGINEER the preliminary Progress Schedule and associated Network Diagram and other reports and schedule-related documents required. Following the scheduling conference, General CONTRACTOR shall have 10 days to make corrections and adjustments and to complete and resubmit the Progress Schedule and associated Network Diagram. OWNER reserves the right to not make progress payment to any prime contractor until acceptable Progress Schedule, Network Diagram, and other reports and schedule-related documents required are submitted to ENGINEER.

- 2. Submit acceptable Progress Schedule, together with Network Diagram, reports, and other schedule-related documents required to accompany the initial acceptable Progress Schedule, in accordance with the Submittals Article of this Section, Section 01 31 26, Electronic Communication Protocols, and Section 01 33 00, Submittal Procedures. Also submit acceptable form of Progress Schedule in its native format generated by the scheduling software, transmitted using the transmission method indicated in Section 01 31 26, Electronic Communication Protocols.
- 3. The Progress Schedule will be acceptable to ENGINEER if it provides an orderly progression of the Work to completion within the Contract Times, in accordance with the Contract Documents. Such acceptance will not impose on ENGINEER responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work nor interfere with or relieve any prime contractor from CONTRACTOR's full responsibility therefore.
- 4. Initially-accepted Progress Schedule shall be identified as the baseline Progress Schedule.
- D. If the Progress Schedule reflects completion date(s) different than the Contract Times, the Contract Times are not thereby voided, nullified, or affected. The Contract Times govern. Where the Progress Schedule reflects completion date(s) that are earlier than the Contract Times, ENGINEER may accept such Progress Schedule with each prime contractor to specifically understand that no Change Proposals or Claims for additional Contract Times or additions to the Contract Price shall be brought against OWNER resulting from CONTRACTOR's failure to complete the Work by the earlier date(s) indicated on the accepted Progress Schedule.

#### 1.6 PROGRESS SCHEDULE UPDATES

- A. Updates:
  - 1. Update the Progress Schedule not less-often than once per month. If during progress of the Work events develop that necessitate changes in the initially accepted Progress Schedule (e.g., baseline Progress Schedule), identify updated Progress Schedules sequentially as "Progress Schedule Revision 1", "2", "3", and continuing in sequence as required. Number the Progress Schedule submittals in accordance with Section 01 33 00, Submittal Procedures.
  - 2. Other prime contractors shall promptly furnish to General CONTRACTOR information necessary for Progress Schedule updates. General CONTRACTOR's Progress Schedule update shall include a narrative report in accordance with this Section. Narrative report shall include description of current progress and status of each Area of the Project, a description of progress for the period, a description of the Critical Path, a discussion of current or potential delays, Change Orders (pending and approved since the previous Progress Schedule update), and other problems associated with maintaining the Work on schedule.
  - 3. The update to the Progress Schedule shall be based on retained logic. Progress override logic is not allowed.

- 4. Required scheduling software, and schedule organization, format, and content for updated Progress Schedules are identical to that required in this Section for initial Progress Schedules.
- 5. Submit to ENGINEER updated Progress Schedule, together with Network Diagrams (when required), reports, and other schedule-related documents required to accompany the updated Progress Schedule, in accordance with Section 01 31 26, Electronic Communication Protocols, and Section 01 33 00, Submittal Procedures. Also submit updated Progress Schedule in its native format generated by the scheduling software, transmitted using the transmission method indicated in Section 01 31 26, Electronic Communication Protocols.
- 6. Submit updated Network Diagrams when revisions are proposed to the logic. Indicate in the narrative report delays that have occurred since the previous updated Progress Schedule. ENGINEER will not recommend payment by OWNER of progress payments until updated Progress Schedule is received, reviewed, and accepted by ENGINEER. Payment for out-of-sequence Work is not allowed.
- B. Monthly Schedule Meeting:
  - 1. During the month, utilizing the most-recent, previous three-week look-ahead schedule, each prime contractor shall record the percent complete, start and finish dates of each scheduled Activity for which that prime contractor will allocate resources with the remaining duration for each Activity started but not completed, including Activities associated with procurement of materials and equipment and shall transmit this information to General CONTRACTOR with copy to ENGINEER using the transmission method indicated in Section 01 31 26, Electronic Communication Protocols.
  - 2. On the same day each month, not less than one week prior to a progress meeting, each prime contractor on the Project, Progress Schedule preparer, ENGINEER, and others as appropriate shall meet at the Site and tour the Work to review and update the schedule and progress information gathered by General CONTRACTOR during the month. After acceptance of each prime contractor's updated data, Progress Schedule preparer shall use this information to update the Progress Schedule.

# 1.7 NETWORK DIAGRAMS (PERT CHARTS)

- A. Network Diagrams General:
  - 1. General CONTRACTOR shall prepare and submit Network Diagrams, as generated using the scheduling software suitable for printing on paper of the size indicated for Progress Schedules in this Section.
  - 2. Group Network Diagrams by Area and show the order and interdependence of Activities and sequence and quantities in which the Work will be accomplished.
  - 3. Do not use match lines on Network Diagrams. Depict interrelationships to or from Activities outside the Area shown using an Activity symbol with Activity number and description.

- 4. In preparing Network Diagrams, comply with the basic concept of precedence diagramming method (PDM) network scheduling to show how start of a given Activity depends on completion of preceding Activities, and how the Activity's completion may affect the start of subsequent Activities.
- 5. Level of schedule detail shall define the day-to-day Activities of the Work.
- B. Network Diagram Content:
  - 1. Clearly indicate the Critical Path and distinguish the Critical Path from other paths on the network.
  - 2. Organize Network Diagrams by grouping into major Work Areas, including one for procurement of materials and equipment, and by specific Activity within each Area.
  - 3. Logic diagrams shall include the following:
    - a. Activity number.
    - b. Activity description.
    - c. Activity duration (in work days).
    - d. Critical Path denoted.
    - e. Float for each Activity.
    - f. Activity or System designation.
    - g. Coded Area designation.
    - h. Responsibility code (e.g., each prime contractor and their respective Subcontractors, trade, operation, Suppliers, or other entity responsible for accomplishing an Activity).
    - i. Shift number (if more than one shift per day is to be employed).
- C. Network Diagram Revisions:
  - 1. General:
    - a. When conditions develop that require revisions to logic or durations of the Network Diagram associated with the initially accepted Progress Schedule (e.g., baseline Progress Schedule), identify updates to the Network Diagram in the same manner required in this Section for Progress Schedule updates.
    - b. Revision of the logic or durations from the baseline Progress Schedule initially accepted by ENGINEER shall be submitted to ENGINEER for acceptance.
    - d. Incorporate into the Progress Schedule revisions to logic or duration accepted by ENGINEER, and include in monthly narrative report both a description of revisions and listing of Activities affected by revisions.
    - e. Changes resulting from Change Orders, Work Change Directives, Field Orders, allowance authorizations, and other additions or deletions, shall be fully incorporated into the Progress Schedule and Network Diagram on the first update after the associated Change Orders, Work Change Directive, or allowance authorization is approved by OWNER, or Field Order issued by ENGINEER, including adjustments to the Contract Price (if any).
  - 2. Submit revised Network Diagrams with updated Progress Schedule submittals.

#### 1.8 NARRATIVE REPORT

#### A. General Provisions for Narrative Reports:

- 1. Prepare and include with the preliminary Progress Schedule and each subsequent Progress Schedule submittal, written narrative report describing the schedule-related requirements of the Contract Documents and each prime contractor's plan and schedule for complying with such requirements.
- 2. Narrative report shall describe the methods of sequencing and operation, resources to be employed, time frames for the construction of each of the major Systems on the Project, and time frames for complying with the Contract Times and each prime contractor's interim schedule milestones.
- 3. Prime contractors other than General CONTRACTOR shall promptly furnish to General CONTRACTOR, with copy to ENGINEER, (--1--), information requested by General CONTRACTOR to complete each narrative report.

#### 1.11 TIME IMPACT ANALYSIS

- A. Time Impact Analyses General:
  - 1. Prepare and submit a time impact analysis when one or more of the following occurs for one or more of the prime contracts on the Project: a Change Proposal is prepared, a Work Change Directive is issued that will affect the Progress Schedule, or when delays are experienced. Time impact analysis shall illustrate the influence of each Change Order, Work Change Directive, allowance authorization, or delay, as applicable, on the Contract Times and schedule milestones.
  - 2. Each time impact analysis shall include a sketch (fragnet) demonstrating how General CONTRACTOR and other affected prime contractors, if any, proposes to incorporate the changes in the Project or, as applicable, delays into the Progress Schedule. Fragnet shall include all logic required as result of said Change Order, Work Change Directive, allowance authorization, or delay.
  - 3. Fragnet shall show all CPM logic revisions for the Work associated with the Change Order, Work Change Directive, allowance authorization, or delay and its relationship to other Activities in the Network Diagram.
  - 4. Time impact analysis shall demonstrate the time impact, based on date the Change Order, Work Change Directive, or allowance authorization was given to CONTRACTOR, or as applicable the date the delay was implemented; the status of the Project at that point in time; and the Activity duration of affected Activities. Activity duration used in the time impact analysis shall be those included in the latest update of the Progress Schedule accepted by ENGINEER, closest to the time of the start of the delay or start of the Change Order, Work Change Directive, or allowance authorization as adjusted by mutual, written agreement of the parties and ENGINEER.
  - 5. Timing of Time Impact Analysis:
    - a. Submit each time impact analysis within 10 days after the following, as applicable:
      - 1) Start of the delay.
      - 2) After the submittal of Change Proposal to ENGINEER. For prime

contracts other than General Contract, the number of days indicated shall be after General CONTRACTOR's receipt of information required to prepare time impact analysis. Other prime contractors shall submit information required for time impact analysis in a timely manner.

- 3) After CONTRACTOR's Receipt of Work Change Directive. For prime contractors other than General CONTRACTOR, the number of days indicated shall be after General CONTRACTOR's receipt of information required to prepare time impact analysis. Other prime contractors shall submit information required for time impact analysis in a timely manner.
- b. General CONTRACTOR shall submit time impact analysis to affected other prime contractors concurrent with transmittal of time impact analysis submittal to ENGINEER.
- c. Failure to Submit Time Impact Analysis:
  - 1) When General CONTRACTOR does not submit time impact analysis for a specific change or delay under the General Contract, within the specified period of time for such submittal, such non-submittal shall be construed that no extension of the Contract Times is required
  - 2) When prime contractor other than General CONTRACTOR does not submit information for General CONTRACTOR to prepare time impact analysis for a specific change or delay under the associated prime contract, within the specified period of time for such submittal, such non-submittal shall be construed that no extension of the Contract Times is required for that prime contract.
  - 3) If General CONTRACTOR fails to submit time impact analysis for a Change Proposal or Work Change Directive under another prime contract on the Project, said other prime contractor may be eligible for extension of the Contract Times despite General CONTRACTOR's failure to submit time impact analysis.
- B. Evaluation by Engineer and Acceptance:
  - 1. ENGINEER's evaluation of each time impact analysis comprised of complete information will be completed in timely manner after ENGINEER's receipt. Changes in the Contract Times will be made only by Change Order.
  - 2. When mutual agreement is reached between the parties, including other affected prime contractors (if any), on effect of the change or delay in the Project, incorporate into the next Progress Schedule update the associated fragnets illustrating the influence of changes and delays.

#### 1.12 RECOVERY SCHEDULES

- A. General Provisions for Recovery Schedules:
  - 1. When updated Progress Schedule indicates that the ability to comply with the Contract Times falls 30 or more days behind schedule, and there is no excusable delay, Change Order, or Work Change Directive to support an extension of the Contract Times, General CONTRACTOR shall prepare and
submit a Progress Schedule demonstrating General CONTRACTOR's plan to accelerate the Project to achieve compliance with the Contract Times ("recovery schedule") for ENGINEER's acceptance.

- 2. When recovery schedule will affect another prime contractor on the Project, obtain input on proposed recovery schedule action from other prime contractors affected. Incorporate requirements of other prime contractors into the recovery schedule to the extent practicable.
- 3. Submit recovery schedule within 10 days after submittal of updated Progress Schedule where need for recovery schedule is indicated.
- B. Implementation of Recovery Schedule:
  - 1. At no additional cost to OWNER, do one or more of the following: furnish additional labor, provide additional construction equipment, provide suitable materials, employ additional work shifts, expedite procurement of materials and equipment to be incorporated into the Work, and other measures necessary to complete the Work within the Contract Times.
  - 2. When prime contractor is required to accelerate their Work and incurs additional cost for such acceleration for reasons not due to the actions of that prime contractor, submit Change Proposal to ENGINEER.
  - 3. Upon acceptance of recovery schedule by ENGINEER, incorporate recovery schedule into the next Progress Schedule update.
- C. Lack of Action:
  - 1. Prime contractor's refusal, failure, or neglect to take appropriate recovery action, or General CONTRACTOR's refusal to submit a recovery schedule, shall constitute reasonable evidence that CONTRACTOR is not prosecuting the Work or separable part thereof with the diligence that will ensure completion within the Contract Times. Such lack of action shall constitute sufficient basis for OWNER to exercise remedies available to OWNER under the Contract Documents.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

## + + END OF SECTION + +

+ + NO TEXT THIS PAGE + +

# SECTION 01 32 33

## PHOTOGRAPHIC DOCUMENTATION

# PART 1 – GENERAL

# 1.1 DESCRIPTION

- A. Scope:
  - 1. General CONTRACTOR shall retain professional photographer to perform services specified, including:
    - a. Digital photography.
  - 2. Furnish photographic documentation for the following:
    - a. Pre-construction.
    - b. Construction progress.
    - c. Final.
- B. Image Quality:
  - 1. Photographic documentation shall be in color.
  - 2. Photographic images shall be suitably staged and set up ("framed"), focused, and shall have adequate lighting to illuminate the Work and conditions that are the subject of the photograph.
  - 3. For still photographs, use camera with minimum 16.0-megapixel resolution.

# 1.2 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Photographer:
    - a. Photographer shall be a specialist regularly engaged in professional photography and experienced in photographing construction sites.
    - b. Upon request of ENGINEER, submit documentation of photographer having successfully performed photographic documentation for not less than five previous construction projects, each lasting not less than six months.
- B. At the Site, ENGINEER or Resident Project Representative will indicate the views to be taken and will select time at which images will be taken. Photographic subjects, views, and angles will vary with progress of the Work.

## 1.3 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Pre-construction Photographic Documentation: Submit acceptable preconstruction photographic documentation (prints and digital files) prior to mobilizing to and disturbing the Site. Submit pre-construction photographic documentation not later than the first Application for Payment, unless other

schedule for pre-construction photographic documentation is accepted by ENGINEER.

- 2. Construction Progress Photographic Documentation: Submit acceptable construction progress photographic documentation (prints and digital files) not less-often than monthly. Submit with each Application for Payment, unless otherwise agreed to by ENGINEER.
- 3. Qualifications Statements:
  - a. When requested by ENGINEER, prior to starting photographic documentation, submit photographer qualifications and record of experience. List of construction photography experience shall include the following for each project:
    - 1) Project name and location
    - 2) Nature of construction.
    - 3) Photographer's client with contract information.
    - 4) Approximate duration of photographer's services.
- B. Closeout Submittals: Submit the following:
  - 1. Final Photographic Documentation: Submit acceptable final photographic documentation (prints and digital files) prior to requesting the final inspection by ENGINEER.

## 1.4 PHOTOGRAPHIC DOCUMENTATION – GENERAL

- A. Photographic Prints:
  - 1. Quantity: For each photograph taken, submit to ENGINEER two prints.
  - 2. Print Size and Finish:
    - a. Photographs: Submit five-inch by seven-inch prints on professionalgrade, nine-mil-thick, photographic paper with semi-gloss or satin finish, unless otherwise specified.
  - 3. Include the following information on back of each print:
    - a. Date photograph was taken.
    - b. Name of OWNER.
    - c. Name of the Site.
    - d. Project name.
    - e. Description of view shown in photograph.
    - f. Photographer name and address.
- B. Digital Files of Photographs:
  - 1. For each photograph taken, furnish high-quality digital image in "JPG" file format compatible with Microsoft Windows 7 and higher operating systems.
  - 2. Image resolution shall be sufficient for clear, high-resolution prints. Minimum resolution shall be 150 dots per inch (dpi). Minimum size of digital images shall be equal to specified print size.
  - 3. Do not imprint date and time in the image.
  - 4. Electronic image filename shall describe the image; do not submit filenames automatically created by digital camera. For example, an acceptable electronic

filename would be, "Dewatering Building – Looking West at Centrifuge No. 2.jpg".

- 5. Form of Digital Submittal Images on Discs:
  - a. Submit digital files on compact discs (CD) or digital video discs (DVD).
  - b. Submit two copies of each disc with digital files of photographic images.
  - c. Include the following information on front of each disc containing photographic documentation:
    - 1) Date(s) photographs were taken.
    - 2) Name of OWNER.
    - 3) Name of the Site.
    - 4) Project name.
    - 5) Photographer name and address.

# 1.5 PRE-CONSTRUCTION PHOTOGRAPHIC DOCUMENTATION

- A. Pre-construction Photographic Documentation:
  - 1. Obtain and submit sufficient pre-construction photographic documentation to record Site conditions prior to construction. Photographs shall document work areas of all prime contracts under the Project.
  - 2. Pre-construction photographs are not part of required number of construction progress photographs specified in Article 1.6 of this Section.
- B. If disagreement arises on the condition of the Site and insufficient pre-construction photographic documentation was submitted prior to the disagreement, restore the grounds or area in question to extent directed by ENGINEER and to satisfaction of ENGINEER.

# 1.6 CONSTRUCTION PROGRESS PHOTOGRAPHIC DOCUMENTATION

- A. Progress Photographs:
  - 1. Take photographs not less often than once per month.
  - 2. Take not less than 20 photographs each time photographer is at the Site.
  - 3. Maximum number of progress photographs required will be 200, based on the Contract Times to Substantial Completion of the entire Project and scope of the Project on date the Contract Times commence running. Proportionately modify the extent of photographic documentation if scope of the Project or the Contract Times are modified.
  - 4. Obtain and submit interior and exterior photographic documentation of each structure in the work area as directed by ENGINEER at the time photographic documentation is taken.

# 1.7 FINAL PHOTOGRAPHIC DOCUMENTATION

- A. Final Photographs:
  - 1. Take photographs at time and day acceptable to ENGINEER. Do not take final photographs prior to Substantial Completion of the entire Project. Work

documented in final photographs shall be generally complete, including painting and finishing, furnishings, landscaping, and other visible Work

2. Take not less than 25 final photographs, based on scope of the Project at the time that the Contract Times commence running. Proportionately modify the number of final photographs if scope of Project is modified. Final photographs are not part of construction progress photographs required under Paragraph 1.6.A of this Section.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

# SECTION 01 33 00

## SUBMITTAL PROCEDURES

# <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall prepare and furnish submittals in accordance with the General Conditions, as may be modified by the Supplementary Conditions, and this Section.
  - 2. Provide submittals well in advance of need for the material or equipment, or procedure (as applicable), in the Work and with ample time required for delivery of materials and equipment and to implement procedures following ENGINEER's approval or acceptance of the associated submittal. Work covered by a submittal will not be included in progress payments until approval or acceptance of related submittals has been obtained in accordance with the Contract Documents.
  - 3. CONTRACTOR is responsible for dimensions to be confirmed and corrected at the Site; quantities; information pertaining solely to fabrication processes; means, methods, sequences, procedures, and techniques of construction; safety precautions and programs incident thereto; and for coordinating the work of all trades.
  - 4. CONTRACTOR's signature of submittal's stamp and letter of transmittal shall be CONTRACTOR's representation that CONTRACTOR has complied with his obligations under the Contract Documents relative to that submittal. ENGINEER and OWNER shall be entitled to rely on such representations by CONTRACTOR.
  - 5. Provisions of the General Conditions, as may be modified by the Supplementary Conditions, apply to all CONTRACTOR-furnished submittals required by the Contract Documents, regardless of whether such submittals are other than Shop Drawings or Samples.
- B. Samples:
  - 1. Submittal of Samples shall comply with the General Conditions, as may be modified by the Supplementary Conditions, this Section, and the Specifications Section in which the Sample is specified.
  - 2. Furnish at the same time those Samples and submittals that are related to the same element of the Work or Specifications Section. ENGINEER will not review submittals without associated Samples, and will not review Samples without associated submittals.
  - 3. Samples shall clearly illustrate functional characteristics of materials, all related parts and attachments, and full range of color, texture, pattern, and materials.

- C. Restrictions on Quantity of Submittals and Compensation of OWNER:
  - 1. CONTRACTOR shall furnish required submittals with sufficient information and accuracy to obtain required approval or acceptance of submittal by ENGINEER with not more than the number of resubmittals indicated in the General Conditions (as may be modified by the Supplementary Conditions).
  - 2. Total number of CONTRACTOR's submittals shall not exceed 25 percent above the total number of first-time submittals indicated in the Schedule of Submittals initially accepted by ENGINEER in accordance with the General Conditions. ENGINEER will record ENGINEER's time for reviewing submittals of Shop Drawings, Samples, and other submittals and items requiring approval or acceptance, beyond the quantity of first-time submittals indicated in the Schedule of Submittals initially accepted by ENGINEER, and CONTRACTOR shall reimburse OWNER for ENGINEER's charges for such time.
  - 3. In the event that CONTRACTOR requests a substitution for a previously approved item, Contractor shall reimburse OWNER for ENGINEER's charges for such time unless the need for such substitution is beyond the control of CONTRACTOR.
  - 4. OWNER may impose set-offs against CONTRACTOR for the costs for which CONTRACTOR is to reimburse or compensate OWNER, in accordance with the General Conditions.
- D. Coordination for Multiple-prime Contracts:
  - 1. Expedite submittals for Work that requires coordination with work of other contractors.
  - 2. Simultaneously with furnishing submittal to ENGINEER, transmit to each other prime contractor one copy of each submittal, with transmittal letter to other contractors advising that submittal is being furnished to ENGINEER.
  - 3. Upon receipt of submittal from another contractor, CONTRACTOR shall determine its effect on the Work. Within five days of receipt of submittal, CONTRACTOR shall advise ENGINEER in writing of interferences, objections, or questions and request clarification.
  - 4. If no interferences, objections, or questions are reported by other contractors within time specified, ENGINEER will assume that none exist and will review the submittal. If CONTRACTOR fails to report interferences or objections of other contractors within time specified, CONTRACTOR shall, at no additional cost to OWNER, do all cutting, restoring, or relocating that may result from interference or inconsistency with work performed relative to the submittal as approved or accepted by ENGINEER.
  - 5. After submittal is approved or accepted (as required) by ENGINEER, the ENGINEER will distribute one copy to each other prime contractor, except for those submittals that do no require written response from ENGINEER.

## 1.2 TYPES OF SUBMITTALS

A. Submittal types are classified as follows: 1) Action Submittals, 2) Informational Submittals, 3) Closeout Submittals, and 4) Maintenance Material submittals. Type of

each required submittal is designated in the respective Specifications Sections; when type of submittal is not designated in the associated Specification Section, submittal will be classified as follows:

- 1. Action Submittals include:
  - a. Shop Drawings.
  - b. Product data.
  - c. Delegated design submittals, which include documents prepared, sealed, and signed by a design professional retained by CONTRACTOR, Subcontractor, or Supplier for materials and equipment to be incorporated into the completed Work. Delegated design submittals do not include submittals related to temporary construction unless specified otherwise in the related Specifications Section. Delegated design submittals include: design drawings, design data including calculations, specifications, certifications, and other submittals prepared by such design professional.
  - d. Samples.
  - e. Testing plans, procedures, and testing limitations.
- 2. Informational Submittals include:
  - a. Certificates.
  - b. Design data not sealed and signed by a design professional retained by CONTRACTOR, Subcontractor, or Supplier.
  - c. Pre-construction test and evaluation reports, such as reports on pilot testing, subsurface investigations, testing for a potential Hazardous Environmental Condition, and similar reports.
  - d. Supplier instructions, including installation data, and instructions for handling, starting-up, and troubleshooting.
  - e. Source quality control submittals (other than testing plans, procedures, and testing limitations), including results of shop testing.
  - f. Field or Site quality control submittals (other than testing plans, procedures, and testing limitations), including results of operating and acceptability tests at the Site.
  - g. Supplier reports.
  - h. Sustainable design submittals (other than sustainable design closeout documentation).
  - i. Special procedure submittals, including plans for shutdowns and tieins and other procedural submittals.
  - j. Qualifications statements.
  - k. Administrative submittals including:
    - 1) Progress Schedules.
    - 2) Schedules of Submittals.
    - 3) Schedules of Values.
    - 4) Photographic documentation.
    - 5) Coordination drawings, when submittal of such is required.
    - 6) Copies of permits obtained by CONTRACTOR.
    - 7) Field engineering reports, survey data, and similar information.
- 3. Closeout Submittals include:
  - a. Maintenance contracts.

- b. Operations and maintenance data.
- c. Bonds, such as special maintenance bonds and bonds for a specific material, equipment item, or system.
- d. Warranty documentation.
- e. Record documentation.
- f. Sustainable design closeout documentation.
- g. Software.
- i. Keying.
- 4. Maintenance Material Submittals include:
  - a. Spare parts.
  - b. Extra stock materials.
  - c. Tools.
- 5. When type of submittal is not specified and is not included in the list above, request an interpretation from ENGINEER and ENGINEER will determine the type of submittal.
- B. Not Included in this Section: Administrative and procedural requirements for following are covered elsewhere in the Contract Documents:
  - 1. Requests for interpretations of the Contract Documents.
  - 2. Change Orders, Work Change Directives, and Field Orders.
  - 3. Applications for Payment
  - 4. Reports, documentation, and permit applications required to be furnished by CONTRACTOR to authorities having jurisdiction.

# 1.3 REQUIREMENTS FOR SCHEDULE OF SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Schedule of Submittals:
    - a. Timing:
      - 1) Furnish submittal within time frames indicated in the Contract Documents.
      - 2) Submit updated Schedule of Submittals with each submittal of the updated Progress Schedule.
    - b. Content: In accordance with the General Conditions, as may be modified by the Supplementary Conditions, and this Section. Requirements for content of preliminary Schedule of Submittals and subsequent submittals of the Schedule of Submittals are identical. Identify on Schedule of Submittals all submittals required in the Contract Documents. Updates of Schedule of Submittals shall show scheduled dates and actual dates for completed tasks. Indicate submittals that are on the Project's critical path. Indicate the following for each submittal:
      - 1) Date by which submittal will be received by ENGINEER.
      - 2) Whether submittal will be for a substitution or "or-equal". Procedures for requesting approval of substitutes and "or-equals" are specified in the General Conditions, Section 01 25 00, Substitution Procedures, and Section 01 62 00, Product Options (for "or-equals").

- 3) Date by which ENGINEER's response is required. Not less than 14 days shall be allowed for ENGINEER's review, starting upon ENGINEER's actual receipt of each submittal. Allow increased time for large or complex submittals.
- 4) For submittals for materials or equipment, date by which material or equipment must be at the Site to avoid delaying the Work and to avoid delaying the work of other contractors, if any.
- c. Prepare Schedule of Submittals using same software, and in same format, specified for Progress Schedules in Section 01 32 16, Progress Schedule.
- d. Coordinate Schedule of Submittals with the Progress Schedule.
- e. Schedule of Submittals that is not compatible with the Progress Schedule, or that does not indicate submittals on the Project's critical path, or that that places extraordinary demands on ENGINEER for time and resources, is unacceptable. Do not include submittals not required by the Contract Documents.
- f. In preparing Schedule of Submittals:
  - 1) Considering the nature and complexity of each submittal, allow sufficient time for review and revision.
  - 2) Reasonable time shall be allowed for: ENGINEER's review and processing of submittals, for submittals to be revised and resubmitted, and for returning submittals to CONTRACTOR.
  - 3) Identify and accordingly schedule submittals that are expected to have long anticipated review times.

# 1.4 PROCEDURE FOR SUBMITTALS

- A. Submittal Identification System: Use the following submittal identification system, consisting of submittal number and review cycle number.
  - 1. Submittal Number: Shall be separate and unique number correlating to each individual submittal required. Assign submittal numbers as follows:
    - a. First part of submittal number shall be the applicable Specifications Section number, followed by a hyphen.
    - b. Second part of submittal number shall be a three-digit number (sequentially numbered from 001 through 999) assigned to each separate and unique submittal furnished under the associated Specifications Section.
    - c. Typical submittal number for the third submittal furnished for Section 40 05 19, Ductile Iron Process Pipe, would be "40 05 19-003".
  - 2. Review Cycle Number: Shall be a letter designation indicating the initial submittal or re-submittal associated with each submittal number:
    - a. "A" = Initial (first) submittal.
    - b. "B" = Second submittal (e.g., first re-submittal).
    - c. "C" = Third submittal (e.g., second re-submittal).
  - 3. Examples:

	Submittal Identification	
Example Description	Submittal No.	<b>Review Cycle</b>
Initial (first) review cycle of the third submittal provided under Section 40 05 19, Ductile Iron Process Pipe	40 05 19-003-	А
Second review cycle (first re-submittal) of third submittal provided under Section 40 05 19, Ductile Iron Process Pipe	40 05 19-003-	В

- B. Letter of Transmittal for Submittals:
  - 1. Furnish separate letter of transmittal with each submittal. Each submittal shall be for one Specifications Section.
  - 2. At beginning of each letter of transmittal, include a reference heading indicating: CONTRACTOR's name, OWNER's name, Project name, Contract designation, transmittal number, and submittal number.
  - 3. For submittals with proposed deviations from requirements of the Contract Documents, letter of transmittal shall specifically describe each proposed variation.
- C. Contractor's Review and Stamp:
  - 1. Contractor's Review: Before transmitting submittals to ENGINEER, review submittals to:
    - a. ensure proper coordination of the Work;
    - b. determine that each submittal is in accordance with CONTRACTOR's desires;
    - c. verify that submittal contains sufficient information for ENGINEER to determine compliance with the Contract Documents.
  - 2. Incomplete or inadequate submittals will be returned without review.
  - 3. Contractor's Stamp and Signature:
    - a. Each submittal furnished shall bear CONTRACTOR's stamp of approval and signature, as evidence that submittal has been reviewed by CONTRACTOR and verified as complete and in accordance with the Contract Documents.
    - b. Submittals without CONTRACTOR's stamp and signature will be returned without review. Signatures that appear to be computergenerated will be regarded as unsigned and the associated submittal will be returned without review.
    - c. CONTRACTOR's stamp shall contain the following:

"Project Name:		
Contractor's Name		
Contract Designation	n:	
Date:		
	Reference	
Submittal Title:		
Specifications:		

Section:	_
Page No.:	_
Paragraph No.:	
Drawing No.: of	
Location of Work:	
Submittal No. and Review Cycle:	
Coordinated by Contractor with Submittal Nos.:	
I have have a set if a sheet sheet of a construction have a set in first of Construction have a set in first of Construction have been set in first of the set of the	

I hereby certify that the Contractor has satisfied Contractor's obligations under the Contract Documents relative to Contractor's review and approval of this submittal.

Approved for Contractor by: \_\_\_\_\_"

- D. Submittal Marking and Organization:
  - 1. Mark on each page of submittal and each individual component submitted with submittal number and applicable Specifications paragraph.
  - 2. Arrange submittal information in same order as requirements are written in the associated Specifications Section.
  - 3. Each Shop Drawing sheet shall have title block with complete identifying information satisfactory to ENGINEER.
  - 4. Package together submittals for the same Specifications Section. Do not furnish required information piecemeal.
- E. Format of Submittal and Recipients:
  - 1. Action Submittals and Informational Submittals: Furnish in accordance with Table 01 33 00-A, except that submittals of Samples shall be as specified elsewhere in this Section:

					No. of Printed
	Address for Deliveries	<b>Contact Person</b>	E-mail Address	Format*	Copies
a.	Engineer: Arcadis of New York,	Vanessa	vanessa.mcpherson@	Е	Zero
	Inc.	McPherson	arcadis.com		
	44 S. Broadway; 9 <sup>th</sup> Floor				
	White Plains, NY 10602				
b.	Resident Project Representative:	TBD	TBD	E	Zero
с.	Other Prime Contractors	TBD	TBD	E	Zero
	(addresses TBD)				
* Format: E = Electronic files; P = Printed copies.					
TB	D = To Be Determined	-			

# TABLE 01 33 00-A: SUBMITTAL CONTACTSAND REQUIRED FORMAT

- 2. Samples:
  - a. Securely label or tag Samples with submittal identification number. Label or tag shall include clear space at least four inches by four inches in

size for affixing ENGINEER's review stamp. Label or tag shall not cover, conceal, or alter appearance or features of Sample. Label or tag shall not be separated from the Sample.

- b. Submit quantity of Samples required in Specifications. If quantity of Samples is not indicated in the associated Specifications Section, furnish not less than two identical Samples of each item required for ENGINEER's approval. Samples will not be returned to CONTRACTOR. If CONTRACTOR requires Sample(s) for CONTRACTOR's use, so advise ENGINEER in writing and furnish additional Sample(s). CONTRACTOR is responsible for furnishing, shipping, and transporting additional Samples.
- c Deliver one Sample to ENGINEER's field office at the Site. Deliver balance of Samples to ENGINEER at address indicated in Table 01 33 00-A, unless otherwise directed by ENGINEER.
- 3. Closeout Submittals:
  - a. Furnish the following Closeout Submittals in accordance with Table 01 33 00-A: maintenance contracts; bonds for specific materials, equipment, or systems; warranty documentation; and sustainable design closeout documentation. On documents such as maintenance contracts and bonds, include on each document furnished original ("wet") signature of entity issuing said document. When original "wet" signatures are required, furnish such submittals in printed form and electronic form to ENGINEER, and to other entities furnish as indicated in Table 01 33 00-A.
  - b. Operations and Maintenance Data: Submit in accordance with Section 01 78 23, Operation and Maintenance Data.
  - c. Record Documentation: Submit in accordance with Section 01 78 39, Project Record Documentation.
  - d. Software: Submit number of copies required in Specifications Section where the software is specified. If number of copies is not specified, provide two copies on compact disc in addition to software loaded on OWNER's computer(s) or microprocessor(s).
- 4. Maintenance Material Submittals: For spare parts, extra stock materials, and tools, furnish quantity of items specified in associated Specifications Section. Furnish in accordance with Section 01 78 43, Spare Parts and Extra Materials.
- F. Electronic Submittals:
  - 1. Format: Electronic files shall be in "portable document format" (.PDF). Files shall be electronically searchable.
  - 2. Organization and Content:
    - a. Each electronic submittal shall be one file; do not divide individual submittals into multiple files each.
    - b. When submittal is large or contains multiple parts, furnish PDF file with bookmark for each section of submittal.
    - c. Content shall be identical to printed submittal. First page of electronic submittal shall be CONTRACTOR's letter of transmittal.

- 3. Quality and Legibility: Electronic submittal files shall be made from the original and shall be clear and legible. Do not submit scans of faxed copies. Electronic file shall be full size of original, printed documents. Properly orient all pages for reading on a computer screen.
- 4. Provide sufficient Internet service and e-mail capability for CONTRACTOR's use in transferring electronic submittals, receiving responses to electronic submittals, and associated electronic correspondence. Check not less than once per day for distribution of electronic submittals, electronic responses ot submittal, and electronic correspondence related to submittals.
- 5. Submitting Electronic Files:
  - a. Transmit electronic files in accordance with Section 01 31 26, Electronic Communication Protocols.
- G. Distribution:
  - 1. Distribution of ENGINEER's Response via Electronic Files: Upon completion of ENGINEER's review, electronic submittal response will be distributed by ENGINEER to
    - a. CONTRACTOR.
    - b. Other prime contractors.
    - c. OWNER.
    - d. Resident Project Representative (RPR).
    - e. ENGINEER's file.
- H. Resubmittals: Refer to the General Conditions for requirements regarding resubmitting required submittals.

# 1.5 ENGINEER'S REVIEW

- A. Timing: ENGINEER's review will conform with timing indicated in the Schedule of Submittals accepted by ENGINEER.
- B. Submittals not required by the Contract Documents will not be reviewed by ENGINEER and will not be recorded in ENGINEER's submittal log. All printed copies of such submittals will be returned to CONTRACTOR. Electronic copies of such submittals, if any, will not be retained by ENGINEER.
- C. Action Submittals, Results of ENGINEER's Review: Each submittal will be given one of the following dispositions by ENGINEER:
  - 1. Approved: Upon return of submittal marked "Approved", order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER's approval or acceptance, as applicable, of source quality control submittals) or otherwise proceed with the Work in accordance with the submittal and the Contract Documents.
  - 2. Approved as Corrected: Upon return of submittal marked "Approved as Corrected", order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER's approval or acceptance, as applicable, of source quality control submittals) or otherwise proceed with the Work in

accordance with the submittal and the Contract Documents, and in accordance with the corrections indicated in the ENGINEER's submittal response.

- 3. Approved as Corrected Resubmit: Upon return of submittal marked "Approved as Corrected – Resubmit", order, ship, or fabricate materials and equipment included in the submittal (pending ENGINEER's approval or acceptance, as applicable, of source quality control submittals) or otherwise proceed with the Work in accordance with the submittal and the Contract Documents, and in accordance with corrections indicated in ENGINEER's submittal response. Furnish to ENGINEER record re-submittal with all corrections made. Receipt of corrected re-submittal is required before materials or equipment covered in the submittal will be eligible for payment.
- 4. Revise and Resubmit: Upon return of submittal marked "Revise and Resubmit", make the corrections indicated and re-submit to ENGINEER for approval.
- 5. Not Approved: This disposition indicates material or equipment that cannot be approved. "Not Approved" disposition may also be applied to submittals that are incomplete. Upon return of submittal marked "Not Approved", repeat initial submittal procedure utilizing approvable material or equipment, with a complete submittal clearly indicating all information required.
- D. Informational Submittals, Results of ENGINEER's Review:
  - 1. Each submittal will be given one of the following dispositions:
    - a. Accepted: Information included in submittal complies with the applicable requirements of the Contract Documents, and is acceptable. No further action by CONTRACTOR is required relative to this submittal, and the Work covered by the submittal may proceed, and materials and equipment with submittals with this disposition may be shipped or operated, as applicable.
    - b. Not Accepted: Submittal does not indicate compliance with applicable requirements of the Contract Documents and is not acceptable. Revise submittal and re-submit to indicate acceptability and compliance with the Contract Documents.
- E. Closeout Submittals, Results of ENGINEER's Review: Dispositions and meanings are the same as specified for Informational Submittals. When acceptable, Closeout Submittals will not receive a written response from ENGINEER. Disposition as "accepted" will be recorded in ENGINEER's submittal log. When Closeout Submittal is not acceptable, ENGINEER will provide written response to CONTRACTOR.
- F. Maintenance Material Submittals, Results of ENGINEER's Review: Dispositions and meanings are the same as specified for Informational Submittals. When acceptable, Maintenance Material Submittals will not receive a written response from ENGINEER. Disposition as "accepted" will be recorded in ENGINEER's submittal log. When Maintenance Material Submittal is not acceptable, ENGINEER will provide written response to CONTRACTOR, and CONTRACTOR is responsible for

costs associated with transporting and handling of maintenance materials until compliance with the Contract Documents is achieved.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

+ + NO TEXT THIS PAGE + +

# SECTION 01 42 00

#### REFERENCES

## PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. Section includes the following:
    - a. Definitions and terminology in general use in the Contract Documents.
    - b. Applicable codes.
    - c. Abbreviations in general use throughout the Contract Documents.
    - d. General requirements regarding reference standards, including a listing of standard-issuing organizations (and their acronyms) used in the Contract Documents.

## 1.2 DEFINITIONS AND TERMINOLOGY

- A. Definitions and terminology applicable to all the Contract Documents are included in the General Conditions, as may be modified by the Supplementary Conditions.
- B. Additional terminology used in the Contract Documents includes the following:
  - 1. "Indicated" refers to graphic representations, notes, or schedules on the Drawings, or to other paragraphs, provisions, tables, or schedules in the Specifications and similar locations in the other Contract Documents. Terminology such as "shown", "noted", "scheduled", and "specified" are used to help the user locate the reference without limitation on the location.
  - 2. "Installer", "applicator", or "erector" is CONTRACTOR or another person or entity engaged by CONTRACTOR, either as an employee or Subcontractor, to perform a particular construction activity, including installation, erection, application, or similar Work. Installers shall be experienced in the Work that installer is engaged to perform.
    - a. The term "experienced", when used in conjunction with the term "installer", means having successfully completed not less than five previous projects similar in size and scope to this Project; being familiar with the special requirements indicated and required; being familiar with Laws and Regulations; and having complied with requirements of authorities having jurisdiction, and complying with requirements of the Supplier of the material or equipment being installed, unless other experience requirements specific to that element of the Work are indicated elsewhere in the Contract Documents.
  - 3. Trades: Use of terms such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter", unless otherwise indicated in the Contract Documents or required by Laws or

Regulations. Such terminology also does not imply that specified requirements apply exclusively to trade personnel of the corresponding generic name.

## 1.3 APPLICABLE CODES

- A. References in the Contract Documents to local code(s) shall mean the following:
  - 1. Town of Yorktown, NY ordinances and regulations.
  - 2. 2015 NYS Existing Building Code (IEBC-2015).
  - 3. NYS Codes and regulations.
  - 4. National Electric Code in effect at the location of the Project.
  - 5. NFPA 101, Life Safety Code.

#### 1.4 ABBREVIATIONS

A. Common abbreviations that may be found in the Contract Documents are indicated below, alphabetically by their written-out meaning:

alternating current	a-c
ampere	А
antemeridian	a.m.
Architectural Barriers Act	ABA
Americans with Disabilities Act	ADA
Americans with Disabilities Act Accessibility Guidelines	ADAAG
ante meridian	a.m.
average	avg
biochemical oxygen demand	BOD
five-day biochemical oxygen demand	BOD <sub>5</sub>
brake horsepower	bhp
British thermal unit	Btu
building information model	BIM
carbonaceous biochemical oxygen demand	CBOD
five-day carbonaceous biochemical oxygen demand	CBOD <sub>5</sub>
chemical oxygen demand	COD
Centigrade (or Celsius)	С
chlorinated polyvinyl chloride	CPVC
chlorofluorocarbons	CFC
Code of Federal Regulations	CFR
computer-aided drafting and design	CADD, or CAD
cubic inch	cu in
cubic foot	cu ft

cubic yard		cu yd, or CY
cubic feet per minute		cfm
cubic feet per second		cfs
decibel		db
degree Centigrade (or Celsius)	(Write)	degrees C, °C, or deg C
degrees Fahrenheit		degrees F, °F, or deg F
diameter		dia
direct current		d-c
dollars		\$
each		ea
efficiency		eff
Fahrenheit		F
feet		ft
feet per hour		fph, or ft/hr
feet per minute		fpm
feet per second		fps, or ft/min
figure		fig
flange		flg
foot-pound		ft-lb
gallon		gal
gallons per hour		gph, or gal/hr
gallons per minute		gpm
gallons per second		gps
gram		g
grams per liter		g/L
Hertz		Hz
horsepower		hp or HP
hour		hr
human-machine interface		HMI
inch		in.
inches of mercury		in. Hg
inches water gage		in. w.g.
inch-pound		inlb
inside diameter		ID
iron pipe size		IPS
thousand pounds		kips

thousand pounds per square inch	ksi
kilovolt-ampere	kva
kilowatt	kw
kilowatt-hour	kwhr or kwh
linear foot	lin ft or LF
liter	L
Leadership in Energy and Environmental Design (USGBC)	LEED
maximum	max
mercury	Hg
milligram	mg
milligrams per liter	mg/l or mg/L
milliliter	ml
millimeter	mm
million gallons per day	mgd or MGD
million gallon	MG
minimum	min
national pipe threads	NPT
net positive suction head	NPSH
net positive suction head available	NPSHA
net positive suction head required	NPSHR
nitrogen oxide (total concentration of mono-nitrogen oxides such as nitric oxide (NO) and nitrogen dioxide (NO <sub>2</sub> ))	NOx
nominal pipe size	NPS
number	no.
operator interface terminal	OIT
ounce	OZ
ounce-force	ozf
outside diameter	OD
parts per hundred	pph
parts per million	ppm
parts per billion	ppb
polyvinyl chloride	PVC
post meridian	p.m.
pound	lb
pounds per square inch	psi
pounds per square inch absolute	psia

pounds per square inch gauge	psig
pounds per square foot	psf
process control system	PCS
programmable logic controller	PLC
revolutions per minute	rpm
second	sec
specific gravity	sp gr, or SG
square	sq
square foot	sq ft, sf, or ft <sup>2</sup>
square inch	sq in., or in <sup>2</sup>
square yard	sq yd, or SY
standard	std
standard cubic feet per minute	scfm
total dynamic head	TDH
totally-enclosed fan-cooled	TEFC
volt	V
volts alternating current	vac
volts direct current	vdc
volatile organic compounds	VOC

## 1.5 REFERENCE STANDARDS

- A. Refer to Article 3 of the General Conditions, as may be modified by the Supplementary Conditions, relative to reference standards and resolving discrepancies between reference standards and the Contract Documents. Provisions of reference standards are in effect in accordance with the Specifications.
- B. Copies of Standards: Each entity engaged in the Work shall be familiar with reference standards applicable to its construction activity. Copies of applicable reference standards are not bound with the Contract Documents. Where reference standards are needed for a construction activity, obtain copies of standards from the publication source.
- C. Abbreviations and Names: Where reference standards, specifications, codes, manuals, Laws or Regulations, or other published data of international, national, regional or local organizations are referred to in the Contract Documents, the organization issuing the standard may be referred to by their acronym or abbreviation only. The following acronyms or abbreviations that may appear in the Contract Documents shall have the meanings indicated below. Listing is alphabetical by acronym.

AA	Aluminum Association
AABC	Associated Air Balance Council
AAMA	American Architectural Manufacturers Association
AASHTO	American Association of State Highway and Transportation Officials
ACI	American Concrete Institute
ACS	American Chemical Society
ADSC- IAFD	International Association of Foundation Drilling.
AEIC	Association of Edison Illuminating Companies
AF&PA	American Forest and Paper Association
ABMA	American Bearing Manufacturers Association (formerly Anti- Friction Bearing Manufacturers Association (AFBMA))
AGMA	American Gear Manufacturers Association
AI	Asphalt Institute
AIA	American Institute of Architects
AIChE	American Institute of Chemical Engineers
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction
ALSC	American Lumber Standards Committee
AMA	Acoustical Materials Association
AMCA	Air Movement and Control Association
AMP	National Association of Architectural Metal Manufacturers, Architectural Metal Products Division
ANSI	American National Standards Institute
APA	The Engineered Wood Association
APHA	American Public Health Association
API	American Petroleum Institute
AREA	American Railway Engineering Association
ARI	Air Conditioning and Refrigeration Institute
ASAE	American Society of Agricultural Engineers
ASCE	American Society of Civil Engineers
ASHRAE	American Society of Heating, Refrigerating and Air Conditioning Engineers
ASME	American Society of Mechanical Engineers
ASNT	American Society for Non-Destructive Testing
ASQ	American Society for Quality
ASSE	American Society of Safety Engineers

ASTM	American Society for Testing and Materials
AWCI	Association of the Wall and Ceiling Industry
AWI	Architectural Woodwork Institute
AWPA	American Wood Protection Association
AWPI	American Wood Preservers Institute
AWS	American Welding Society
AWWA	American Water Works Association
BAAQM D	Bay Area Air Quality Management District
BHMA	Builders Hardware Manufacturers Association
BIA	Brick Industry Association
CBMA	Certified Ballast Manufacturers Association
CDA	Copper Development Association
CEMA	Conveyor Equipment Manufacturers Association
CGA	Compressed Gas Association
CISCA	Ceilings and Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
CMAA	Crane Manufacturers Association of America
CRSI	Concrete Reinforcing Steel Institute
CSI	Construction Specifications Institute
DIN	Deutsches Institut fur Normung eV (German Institute for Standardization)
DIPRA	Ductile Iron Pipe Research Association
EJCDC	Engineers Joint Contract Documents Committee
EJMA	Expansion Joint Manufacturers Association, Inc.
ETL	Intertek Testing Services, Inc. (formerly ETL Testing Laboratories, Inc.)
FCC	Federal Communications Commission
FEMA	Federal Emergency Management Agency
FHWA	Federal Highway Administration
FM	Factory Mutual (FM Global)
FRPI	Fiberglass Reinforced Plastics Institute
FS	Federal Specification
GA	Gypsum Association
GANA	Glass Association of North America
HEW	United States Department of Health, Education and Welfare
HI	Hydraulic Institute
HMI	Hoist Manufacturers Institute

United States Department of Housing and Urban Development
International Building Code
International Code Council
Insulated Cable Engineers Association
Institute of Electrical and Electronics Engineers
Illuminating Engineering Society of North America
Industrial Fasteners Institute
Industrial Risk Insurers
Instrumentation, Systems, and Automation Society (formerly Instrument Society of America)
Insurance Services Office
International Organization for Standardization
Lightning Protection Institute
Marble Institute of America
Metal Lath/Steel Framing Association
Military Specifications
Manufacturers' Standardization Society
Monorail Manufacturers Association
National Association of Architectural Metal Manufacturers
National Association of Corrosion Engineers
National Association of Pipe Fabricators, Inc.
National Association of Regulatory Utilities Commissioners
National Builders Hardware Association
United States Department of Commerce, National Bureau of Standards
National Concrete Masonry Association
National Electric Code
Northeastern Lumber Manufacturers' Association
National Electrical Manufacturers Association
National Electrical Safety Code
International Electrical Testing Association
National Fire Protection Association
National Fenestration Rating Council
National Glass Association
National Hardwood Lumber Association
Northern Hardwood and Pine Manufacturers Association
United States Department of Commerce, National Institute of Standards and Technology
National Lumber Grades Authority

NRCA	National Roofing Contractors Association
NRMCA	National Ready Mixed Concrete Association
NSF	National Sanitation Foundation
NSSGA	National Stone, Sand, and Gravel Association
NTMA	National Terrazzo and Mosaic Association
OSHA	Occupational Safety and Health Administration
PCA	Portland Cement Association
PCI	Precast/Prestressed Concrete Institute
PEI	Porcelain Enamel Institute
PFI	Pipe Fabrication Institute
PPI	Plastics Pipe Institute
PGMC	Primary Glass Manufacturers Council
PS	Product Standards Section, United States Department of Commerce
RCSC	Research Council on Structural Connections (part of AISC)
RMA	Rubber Manufacturers Association
SAE	Society of Automotive Engineers
SCAQMD	Southern California Air Quality Management District
SCPRF	Structural Clay Products Research Foundation
SCTE	Society of Cable Telecommunications Engineers
SDI	Steel Deck Institute
SDI	Steel Door Institute
SIGMA	Sealed Insulating Glass Manufacturing Association
SJI	Steel Joist Institute
SMACNA	Sheet Metal and Air Conditioning Contractor's National Association
SPI	Society of the Plastics Industry
SPIB	Southern Pine Inspection Bureau
SSPC	Society for Protective Coatings
SWI	Steel Window Institute
TCNA	Tile Council of North America
TEMA	Tubular Exchanger Manufacturers Association
TIA/EIA	Telecommunications Industry Association/Electronic Industries Alliance
UL	Underwriters Laboratories, Inc.
USAB	United States Access Board
USDOE	United States Department of Energy
USEPA	United States Environmental Protection Agency
USGBC	United States Green Building Council

USGS	United States Geological Survey
USPHS	United States Public Health Service
WCLIB	West Coast Lumber Inspection Bureau
WCMA	Window Covering Manufacturers Association
WCMA	Wood Component Manufacturers Association
WDMA	Window and Door Manufacturers Association
WEF	Water Environment Federation
WWEMA	Water and Wastewater Equipment Manufacturers Association
WWPA	Western Wood Products Association

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

# + + END OF SECTION + +

# SECTION 01 45 29.13

# TESTING LABORATORY SERVICES FURNISHED BY CONTRACTOR

# PART 1 – GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall employ and pay for services of independent testing laboratory to perform specified services.
  - 2. Inspection, sampling, and testing shall be as specified in the Specifications including but not limited to:
  - 3. CONTRACTOR shall pay for:
    - a. Tests not specifically indicated in the Contract Documents as being OWNER's responsibility.
    - b. Tests made for CONTRACTOR's convenience.
    - c. Repeat tests required because of CONTRACTOR's negligence or defective Work, and retesting after failure of test for the same item to comply with the Contract Documents.
  - 4. Testing laboratory is not authorized to approve or accept any portion of the Work or defective Work; rescind, alter, or augment requirements of Contract Documents; and perform duties of CONTRACTOR.

## 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ASTM E329, Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection.
  - 2. ISO/IEC 17025, General Requirements for the Competence of Testing and Calibration Laboratories.
  - 3. NIST SRM, Standard Reference Materials.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Testing Laboratory:
    - a. Comply with applicable requirements of ASTM E329.
    - b. Testing laboratory shall be licensed to operate in the same jurisdiction as the Site. Where applicable, laboratory shall be certified by the authority having jurisdiction for the types of testing required.
    - c. Testing equipment used by laboratory shall be calibrated at intervals of not more than twelve months by devices of accuracy traceable to one of the following: NIST SRM, ISO/IEC 17025, certified by state or local bureau of weights and measures, or values of natural physical constants generally accepted in the engineering and scientific community.

# 1.4 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Quality Control Submittals and Test Reports: Testing laboratory shall promptly submit to CONTRACTOR results of testing and inspections, including:
    - a. Date issued.
    - b. Project title, number, and name of the Site.
    - c. Testing laboratory name and address.
    - d. Name and signature of inspector or person obtaining samples.
    - e. Date of inspection or sampling.
    - f. Record of temperature and weather conditions.
    - g. Date of test.
    - h. Identification of material or item tested, and associated Specifications Section.
    - i. Location in the Project.
    - j. Type of inspection or test.
    - k. Results of tests and observations regarding compliance with the Contract Documents.
  - 2. Qualifications Statements:
    - a. Testing Laboratory:
      - 1) Qualifications statement indicating experience and facilities for tests required under the Contract Documents.
      - 2) Copy of report of inspection of facilities during most recent NIST inspection tour. Include memorandum of remedies of deficiencies reported during inspection.
      - 3) Copy of certificate of calibration for each instrument or measuring device proposed for use, by accredited calibration agency.

## 1.5 TESTING LABORATORY DUTIES

- A. Testing laboratory shall:
  - 1. Cooperate with CONTRACTOR and provide qualified personnel promptly on notice.
  - 2. Perform required inspections, sampling, and testing of materials and methods of construction; comply with applicable reference standards and the Contract Documents; and ascertain compliance with requirements of the Contract Documents.
  - 3. Promptly notify ENGINEER and CONTRACTOR of irregularities or deficiencies in the Work that are observed during performance of services.
  - 4. Promptly submit to CONTRACTOR reports of inspections and tests.
  - 5. Perform additional tests and services, as required by CONTRACTOR.

## 1.6 CONTRACTOR'S RESPONSIBILITIES

- A. CONTRACTOR shall:
  - 1. Cooperate with testing laboratory personnel.

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- 2. Provide to testing laboratory preliminary representative samples of materials and items to be tested, in required quantities.
- 3. Promptly submit to ENGINEER results of tests and inspections received from testing laboratory.
- 4. Furnish to laboratory the preliminary design mix proposed for concrete and other material mixes to be tested by testing laboratory.
- 5. Provide labor and facilities:
  - a. For access to the Work to be tested, and where required, to Suppliers' operations.
  - b. For obtaining and handling samples at the Site.
  - c. For facilitating inspections and tests.
  - d. For testing laboratory's exclusive use for storing and curing of test samples.
  - e. Forms for preparing concrete test beams and cylinders.
- 6. Notify laboratory and ENGINEER sufficiently in advance of operations to allow assignment of personnel and scheduling of tests.
- 7. Arrange with laboratory and pay for additional services, sampling, and testing required for CONTRACTOR's convenience.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

# + + END OF SECTION + +

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# SECTION 01 51 05

# TEMPORARY UTILITIES

# <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all temporary utilities and temporary facilities required for the Project, including the following:
    - a. Electricity.
    - b. Lighting.
    - c. Telephone and communications.
    - d. Heating, cooling, ventilating, and temporary enclosures.
    - e. Water.
    - f. Sanitary facilities.
    - g. First-aid facilities.
    - h. Fire protection.
  - 2. Make all arrangements with utility owners for temporary utilities and with others as appropriate for temporary facilities. Obtain required permits and approvals for temporary utilities and temporary facilities.
  - 3. Pay all service costs for utilities and facilities indicated in this Section as CONTRACTOR's responsibility, including cost of electricity, water, fuel, and other utility services and temporary facilities required for the Work.
  - 4. Continuously maintain adequate temporary utilities and temporary facilities for all purposes for the Project, until removal of temporary utilities and temporary facilities. At minimum, provide and maintain temporary utilities and temporary facilities through Substantial Completion and removal of temporary field offices and sheds unless otherwise approved in writing by ENGINEER.
  - 5. Should OWNER occupy part of the Work prior to Substantial Completion of the entire Work, cost of utilities consumed via temporary utilities serving the portion occupied by OWNER will be shared proportionately by OWNER and CONTRACTOR as mutually agreed to by the parties.
  - 6. Maintain, including cleaning, temporary utilities and temporary facilities, and continuously provide consumables as required.
  - 7. Temporary utilities and temporary facilities shall be adequate for personnel using the Site and the needs of the Project.
  - 8. Provide temporary utilities and temporary facilities in compliance with Laws and Regulations and, when applicable, requirements of utility owners.

## 1.2 REQUIREMENTS FOR TEMPORARY UTILITIES AND TEMPORARY FACILITIES

A. Electrical:

- 1. Provide temporary electrical service required for the Work. Provide temporary outlets with circuit breaker protection and ground fault protection.
- B. Lighting.
  - 1. Provide lighting at the Site of not less than five foot-candles for open areas and not less than ten foot-candles for stairs and shops. Provide not less than one, 300-watt lamp every 15 feet in indoor work areas. Provide night security lighting of not less than five foot-candles within 50 feet of all parts of the Site during hours of darkness, controlled by photocell.
  - 2. Do not work in areas with insufficient lighting. Where lighting is insufficient for the work activities to be performed, provide additional temporary lighting.
  - 3. Provide temporary lighting sufficient for observation of the Work by ENGINEER and inspection by CONTRACTOR and authorities having jurisdiction. Where required by ENGINEER, provide additional temporary lighting.
- C. Telephone and Communications.
  - 1. Provide temporary telephone and communications required for CONTRACTOR's operations at the Site and for summoning emergency medical assistance.
- D. Heating, Ventilating, and Enclosures.
  - 1. Provide sufficient temporary heating, cooling, ventilating, and enclosures to ensure safe working conditions and prevent damage to existing facilities and the Work.
  - 2. Except where otherwise specified, temporary heating shall maintain temperature of the space served between 50 degrees F and maximum design temperature of building or facility and its contents.
  - 3. Maintain temperature of areas containing microprocessors, and control equipment, between 65 degrees F and 80 degrees F with relative humidity less than 75 percent.
  - 4. Required temperature range for storage areas and certain elements of the Work, including preparation of materials and surfaces, installation or application, and curing as applicable, shall be in accordance with the Contract Documents for the associated Work and the Supplier's recommended temperature range for storage, application, or installation, as appropriate.
  - 5. Provide temporary ventilation sufficient to prevent accumulation in construction areas and areas occupied by OWNER of hazardous and nuisance levels or concentrations of dust and particulates, mist, fumes or vapors, odors, and gases, associated with construction.
  - 6. Provide temporary enclosures and partitions required to maintain required temperature and humidity.
- E. Water:
  - 1. General:
    - a. Provide temporary water facilities including piping, valves, meters if not provided by owner of existing waterline, backflow preventers, pressure

regulators, and other appurtenances. Provide freeze-protection as required.

- b. Continuously maintain adequate water flow and pressure for all purposes during the Project, until removal of temporary water systems.
- 2. Water for Construction Purposes:
  - a. Provide water for Site maintenance and cleaning and, water necessary for construction activities, and water for disinfecting and testing of systems.
  - b. CONTRACTOR may use existing hose bibbs for short-term wash-downs and intermittent use of water for work areas in the existing building. Obtain consent of ENGINEER and OWNER if connections to existing hose bibbs and similar existing connections will be used for more than one day at a time.
- 3. Water for Human Consumption and Sanitation:
  - a. Provide potable water in accordance with Laws and Regulations for consumption by personnel at the Site, for field offices, and for sanitary facilities.
  - b. When necessary, provide bottled, potable water for use and consumption by personnel at the Site, including CONTRACTOR, ENGINEER, and visitors to the Site.
- F. Sanitary Facilities.
  - 1. Provide suitably-enclosed chemical or self-contained toilets for CONTRACTOR's employees, Subcontractors, Suppliers, ENGINEER, and visitors to the Site. Location of temporary toilets shall be acceptable to OWNER and ENGINEER.
  - 2. Refer to Paragraph 1.2.E of this Section for requirements for water intended for human consumption during construction.
  - 3. Provide suitable temporary washing facilities for employees and visitors.
- G. First-aid Facilities.
  - 1. Provide temporary first-aid stations at or immediately adjacent to the Site's work areas, and inside CONTRACTOR's temporary field office. Locations of first-aid stations shall be determined by CONTRACTOR's safety representative. Replenish supplies in first-aid stations as items are used, prior to expiration of items, and as necessary.
  - 2. Provide list of emergency telephone numbers at each hardwired telephone at the Site.
- H. Fire Protection.
  - 1. Provide temporary fire protection, including portable fire extinguishers rated not less than 2A or 5B in accordance with NFPA 10, Portable Fire Extinguishers, for each temporary building and for every 3,000 square feet of floor area under construction.
  - 2. Provide Class A (ordinary combustibles), Class B (combustible liquids and gases), and Class C (electrical equipment) fire extinguishers as necessary.

3. Comply with NFPA 241, Standard for Safeguarding Construction, Alternation, and Demolition Operations, and requirements of fire marshals and authorities having jurisdiction at the Site.

# 1.3 USE OF OWNER'S SYSTEM

- A. Existing Utility Systems: Do not use systems in existing buildings or structures for temporary utilities without OWNER's written permission and mutually acceptable basis agreed upon by the parties for proportionate sharing of costs between OWNER and CONTRACTOR.
- B. Use of Permanent Utility Systems Provided Under the Project:
  - 1. Permanent electrical, lighting, water, heating, ventilating, and fire protection systems and first-aid facilities may be used to provide temporary utilities and temporary facilities if the following are met:
    - a. Obtain OWNER's written permission to use permanent systems.
    - b. Permanent systems to be used for temporary utilities or temporary facilities shall be substantial complete, including complete functionality of all controls.
    - c. CONTRACTOR shall pay all costs while using permanent system, including operation, maintenance, replacement of consumables, and provide replacement parts.
  - 2. Do not use the following permanent facilities:
    - a. Telephone and communication facilities.
    - b. Sanitary facilities.

## PART 2 – PRODUCTS

## 2.1 MATERIALS AND EQUIPMENT

- A. Materials and equipment for temporary utilities and temporary facilities may be new or used, but shall be adequate for purposes intended and shall not create unsafe conditions, and shall comply with Laws and Regulations.
- B. Provide required materials, equipment, and facilities, including piping, cabling, controls, and appurtenances.

# PART 3 – EXECUTION

## 3.1 INSTALLATION

- A. Install temporary utilities and temporary facilities in neat, orderly, manner, and make structurally, mechanically, and electrically sound throughout.
- B. Location of Temporary Utilities and Temporary Facilities:
- 1. Locate temporary systems for proper function and service.
- 2. Temporary systems shall not interfere with or provide hazards or nuisances to: the Work under this and other contracts, movement of personnel, traffic areas, materials handling, hoisting systems, storage areas, finishes, and work of utility owners and others.
- 3. Do not install temporary utilities on the ground, with the exception of temporary extension cords, hoses, and similar systems in place for short durations.
- C. Modify and extend temporary systems as required by progress of the Work.

# <u>3.2 USE</u>

- A. Maintain temporary systems to provide safe, continuous service as required.
- B. Properly supervise operation of temporary systems:
  - 1. Enforce compliance with Laws and Regulations.
  - 2. Enforce safe practices.
  - 3. Prevent abuse of services.
  - 4. Prevent nuisances and hazards caused by temporary systems and their use.
  - 5. Prevent damage to finishes.
  - 6. Ensure that temporary systems and equipment do not interrupt continuous progress of construction.
- C. At end of each work day, check temporary systems and verify that sufficient consumables are available to maintain operation until work is resumed at the Site. Provide additional consumables if the supply on hand is insufficient.

# 3.3 REMOVAL

- A. Completely remove temporary utilities, temporary facilities, equipment, and materials when no longer required. Repair damage caused by temporary systems and their removal and restore the Site to condition required by the Contract Documents; if restoration of damaged areas is not specified, restore to preconstruction condition.
- B. Where temporary utilities are disconnected from existing utility, provide suitable, watertight or gastight (as applicable) cap or blind flange, as applicable, on service line, in accordance with requirements of utility owner.
- C. Where permanent utilities and systems were used for temporary utilities, upon Substantial Completion replace all consumables such as filters and light bulbs and parts used during the Work.

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## SECTION 01 55 26

## MAINTENANCE AND PROTECTION OF TRAFFIC

# <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall keep all roads, streets, and traffic ways open for passage of traffic and pedestrians during the Work, unless otherwise approved by owner of the street, traffic way, or right-of-way, as applicable.

## B. Coordination:

- 1. Coordinate with owner of the highway or street right-of-way, as applicable, for maintenance and protection of traffic requirements.
- 2. Give required advance notice to fire departments, police departments, and other emergency services as applicable of proposed construction operations.
- 3. Give reasonable notice to owners or tenants of private property who may be affected by construction operations. Give such notice not less than 14 days prior to when such property will or may be affected by construction operations.
- 4. Coordinate with requirements of the following:
  - a. Section 01 71 33, Protection of the Work and Property, regarding temporary barriers.
  - b. Section 31 23 16.13, Trenching, for temporary barriers at excavations.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

## 3.1 GENERAL PROVISIONS

- A. When required to cross, obstruct, or temporarily close a street or traffic way, provide and maintain suitable bridges, detours, or other acceptable temporary expedient for the accommodation of traffic. Closings shall be for shortest duration practical, and passage shall be restored immediately after completion of filling and temporary paving or bridging.
- B. Temporary Control Devices:
  - 1. Provide temporary signs, signals, barricades, flares, lights and other equipment, services, and personnel required to regulate and protect traffic and warn of hazards.
  - 2. Such Work shall comply with requirements of OWNER and authorities having jurisdiction at the Site.

- 3. Remove temporary equipment and facilities when no longer required, and restore grounds to condition indicated in the Contract Documents; if not indicated, resort to pre-construction conditions.
- C. Keep accessible for use permanent facilities such as hydrants, valves, fire alarm boxes, postal boxes, delivery service boxes, and other facilities that may require access during construction.

# 3.2 TRAFFIC SIGNALS AND SIGNS

- A. Provide and operate temporary traffic controls and directional signals required to direct and maintain an orderly flow of traffic in areas under CONTRACTOR's control, and areas affected by construction operations.
- B. Provide temporary traffic controls and directional signs, mounted on temporary barriers or standard posts, at the following locations:
  - 1. Each change of direction of a roadway and at each crossroad.
  - 2. Detours and areas of hazard.
  - 3. Parking areas.
  - 4. Traffic entrance to and exit from each construction area.

# 3.3 TRAFFIC CONTROL PERSONNEL

- A. General:
  - 1. When construction operations encroach on traffic lanes, furnish qualified and suitably-equipped traffic control personnel as required for regulating traffic and in accordance with requirements of authorities having jurisdiction.
  - 2. Traffic control personnel shall use appropriate flags or mobile signs.
  - 3. Equip traffic control personnel with appropriate personal protection equipment and suitable attire.
  - 4. Attire and conduct of traffic control personnel shall be appropriate and shall not create nuisances or distractions for traffic.

# 3.4 FLARES AND LIGHTS

- A. During periods of low visibility provide temporary flares and lights for the following:
  - 1. To clearly delineate traffic lanes, to guide traffic, and to warn of hazardous areas.
  - 2. For use by traffic control personnel directing traffic.
- B. Provide adequate illumination of critical traffic and parking areas.

# 3.5 PARKING CONTROL

A. Control CONTRACTOR-related vehicular parking at the Site to preclude interfering with: traffic and parking, access by emergency vehicles, OWNER's and facility manager's operations, and construction operations.

- B. Control parking of construction and private vehicles at the Site as follows:
  - 1. Maintain free vehicular access to and through parking areas.
  - 2. Prohibit parking on or adjacent to access roads, and in non-designated areas.
  - 3. Construction vehicles shall possess current vehicle registration.
  - 4. Private vehicles shall park only in designated areas.

# 3.6 HAUL ROUTES

- A. Consult with authorities having jurisdiction to establish thoroughfares that will be used as haul routes and Site access.
- B. Confine construction traffic to designated haul routes.
- C. Provide temporary traffic controls at critical areas of haul routes to expedite traffic flow, and to minimize interference with normal traffic.

# 3.7 REMOVAL

A. Maintain and protect traffic until Substantial Completion and at all times thereafter when CONTRACTOR is working at the Site. Provide maintenance and protection of traffic measures at the Site until no longer required due to the progress of the Work. When no longer required, completely remove maintenance and protection of traffic measures and restore the Site to condition required by the Contract Documents or, when not indicated in the Contract Documents, to pre-construction conditions.

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# SECTION 01 57 05

# TEMPORARY CONTROLS

## <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide and maintain methods, materials, equipment, and temporary construction as required for controlling environmental conditions at the Site and adjacent areas during construction.
  - 2. Maintain controls until no longer required. Provide temporary controls at all times when CONTRACTOR is working at the Site.
  - 3. Temporary controls include, but are not limited to, the following:
    - a. Erosion and sediment controls.
    - b. Noise controls.
    - c. Dust controls.
    - d. Pest and rodent controls.
    - e. Control of water, including storm water runoff.
    - f. Pollution controls.

#### 1.2 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with applicable provisions and recommendations of the following:
  - 1. New York State Department of Environmental Conservation.

## PART 2 – PRODUCTS

#### 2.1 MATERIALS FOR TEMPORARY EROSION AND SEDIMENT CONTROLS

- A. General:
  - 1. Materials utilized for temporary erosion and sediment controls shall be in accordance with the applicable regulatory requirements indicated in Article 1.2 of this Section, unless otherwise shown or indicated in the Contract Documents.
- B. Silt Fencing:
  - 1. Filter Cloth:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Contech "Silt Fence".
      - 2) Hanes Geo Components "Silt Fence".
      - 3) Atlantic Construction Fabrics (ACF) Environmental "Silt Fence".
      - 4) Or equal.

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- b. Height: Two feet, minimum.
- c. Securely fasten filter cloth to wire mesh using ties spaced at maximum intervals of two feet on centers at top and mid-height of wire mesh.
- 2. Wire Mesh: Support filter cloth with wire mesh complying with the following:
  - a. Woven wire mesh, 14-gauge steel wire, maximum mesh size six-inch by six-inch.
  - b. Height: To match filter cloth height.
  - c. Fasten wire mesh to fence supports with wire ties or staples.
- 3. Fence Support Posts:
  - a. Length: Not less than three feet.
  - a. Material: Metal or other acceptable material with "U", "T", or "I" cross section, or hardwood measuring not less than 1.25-inch by 1.25-inch in cross-section.
- C. Straw Bale Dike.
  - 1. Bales shall be firmly-packed, unrotted straw bound firmly with baling wire. Cross-sectional area on the small end of each bale shall be approximately 12 inches by 12 inches or larger.
  - 2. Posts shall comply with requirements for silt fencing support posts, or may be suitable reinforcing steel rods.
- D. Mulch Materials and Soil Stabilization.
  - 1. Mulch shall be unrotted straw or salt hay.
  - 2. Soil stabilization emulsions, when used, shall be an inert, eco-friendly chemical manufactured for the specific purpose of erosion control and soil stabilization, applied with mulch or stabilization fibers.
  - 3. Wood-fiber or paper-fiber, when used, shall be 100 percent natural and biodegradable.
  - 4. Erosion control mat or netting shall be biodegradable. Acceptable materials include jute, excelsior, straw or coconut fiber, and cotton.
- E. Protection of Storm Water Drainage Inlets and Catch Basins:
  - 1. Inlet Filter Bag:
    - a. Product and Manufacturer: Provide one of the following for each drainage inlet or catch basin to be protected:
      - 1) Atlantic Construction Fabrics (ACF) Environmental, "Silt Sack".
      - 2) Mutual Industries, Inc. "Silt Sack".
      - 3) Or equal.
    - b. Inlet filter bag permeability shall be not less than 40 gallons per square foot of bag area exposed to the flow. Fabric shall be woven polypropylene with double stitching to prevent bursting.
    - c. Inlet filter bags shall shall:
      - 1) Fit inside the drainage inlet or catch basin and shall be secured by the structure's grate or by other acceptable means.
      - 2) Have means of removing inlet filter bag and the silt and sediment collected therein without dumping filter bag's contents into the drainage inlet or catch basin.

- F. Temporary Settlement Basin.
  - 1. Embankment Material: Comply with requirements for general fill in Division 31 Specifications Sections on earthwork, excavation, and fill.
  - 2. Provide outfall structure consisting of overflow weir and discharge pipe, and provide emergency spillway.
  - 3. Overflow Weir and Discharge Pipe: Suitably-sized piping of corrugated metal, high-density polyethylene, or other suitable material. Pipe may be new or used; if used, pipe shall be in good condition.
  - 4. Crushed Stone:
    - 1. Crushed stone shall be in accordance with Section 31 23 16.13, Trenching.
- G. Filter Bag on Dewatering Pump Discharge:
  - 1. Provide filter bag on discharge of each dewatering pump drawing from an excavation. Filter bag is not required on pumps associated with dewatering wells.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. UltraTech Dewatering Bag, by Interstate Products.
    - b. Filter Bag, by US Fabrics.
    - c. Dewatering (Filter) Bag, by Indian Valley Industries.
    - d. DirtBag, by Atlantic Construction Fabrics (ACF) Environmental
    - e. Or equal.
  - 3. Size filter bags for maximum flow of the pump. Filter bags shall be specifically fabricated for use as a dewatering pump filter bag.
  - 4. Provide sufficient spare filter bags for continuous dewatering operations.

# PART 3 – EXECUTION

## 3.1 NOISE CONTROL

- A. Noise Control General:
  - 1. CONTRACTOR's vehicles and equipment shall minimize noise emissions to greatest degree practicable. When necessary, provide mufflers and silencers on construction equipment, and provide temporary sound barriers onsite when necessary.
  - 2. Noise levels shall comply with Laws and Regulations, including OSHA requirements and local ordinances.
  - 3. Noise emissions shall not interfere with the work of OWNER, facility manager, or others.

## 3.2 DUST CONTROL

- A. Dust Control General:
  - 1. Control objectionable dust caused by CONTRACTOR's operation of vehicles and equipment, clearing, demolition, cleaning, and other actions. To minimize

airborne dust, apply water or use other methods subject to acceptance of ENGINEER and approval of authorities having jurisdiction.

- 2. CONTRACTOR shall prevent blowing and movement of dust from exposed soil surfaces and access roads to reduce onsite and off-Site damage, nuisances, and health hazards associated with dust emissions.
- B. Dust Control Methods:
  - 1. Dust control may be achieved by irrigation in which the dust-prone area of the Site shall be sprinkled with water until the surface is moist.
  - 2. Apply dust controls as frequently as required without creating nuisances such as excessive mud and ponding of water at the Site. Do not use water for dust control when water will cause hazardous or objectionable conditions such as ice, mud, ponds, and pollution.
  - 3. Provide dust control that is non-polluting and does not contribute to trackingout of dirt and dust onto pavement.
- C. Removal of Dust and Dirt from Travelled Surfaces:
  - 1. Remove dust and dirt from roadways, drives, parking areas, and other travelled surfaces not less than the frequency indicated in Section 01 74 05, Cleaning.
  - 2. Perform dust and dirt removals from travelled surfaces by mechanical sweeping or other method acceptable to ENGINEER.

# 3.3 PEST AND RODENT CONTROL

- A. Pest and Rodent Control General:
  - 1. Provide pest and rodent controls as required to prevent infestation of the Site and storage areas.
  - 2. Employ methods and use materials that do not adversely affect conditions at the Site or on adjoining properties.
  - 3. In accordance with Laws and Regulations, promptly and properly dispose of pests and rodents trapped or otherwise controlled.

# 3.4 WATER CONTROL

- A. Water Control General:
  - 1. Provide methods to control surface water and water from excavations and structures to prevent damage to the Work, the Site, and adjoining properties.
  - 2. Control fill, grading, and ditching to direct water away from excavations, pits, tunnels and other construction areas and to direct drainage to proper runoff courses to prevent erosion, damage, or nuisance. Avoid directing to adjoining properties runoff from the Site and construction operations.
- B. Equipment and Facilities for Water Control:
  - 1. Provide, operate, and maintain equipment and facilities of adequate size to control surface water.
- C. Discharge and Disposal:

1. Dispose of storm water and ground water in manner to prevent flooding, erosion, and other damage to any and all parts of the Site and adjoining areas, and that complies with Laws and Regulations.

# 3.5 POLLUTION CONTROL

- A. Pollution Control General:
  - 1. Provide means, methods, and facilities required to prevent contamination of soil, water, and atmosphere caused by discharge of noxious substances from or caused by construction operations.
  - 2. Equipment used during construction shall comply with Laws and Regulations.
  - 3. Comply with Section 01 35 43.13, Environmental Procedures for Hazardous Materials.
- B. Spills and Contamination:
  - 1. Provide equipment and personnel to perform emergency measures required to contain spills and to remove contaminated soils and liquids.
  - 2. Excavate contaminated material and properly dispose of off-Site, and replace with suitable compacted fill and topsoil.
  - 3. Comply with Section 01 35 44, Spill Prevention Control and Countermeasures Plan, and OWNER's and facility manager's hazard control procedures as indicated in Section 01 35 23, Safety Requirements.
- C. Protection of Surface Waters and Ground Water:
  - 1. Provide and maintain special measures to prevent harmful substances from entering surface waters and ground water. Prevent disposal of wastes, effluents, chemicals, and other such substances in or adjacent to surface waters and open drainage routes, in sanitary sewers, or in storm sewers, and in ground water.
- D. Atmospheric Pollutants:
  - 1. Provide and maintain systems for controlling atmospheric pollutants related to the Work.
  - 2. Prevent toxic concentrations of chemicals and vapors.
  - 3. Prevent harmful dispersal of pollutants into atmosphere.
- E. Solid Waste:
  - 1. Provide and maintain systems for controlling and managing solid waste related to the Work.
  - 2. Prevent solid waste from becoming airborne, and from discharging to surface waters and drainage routes.
  - 3. Properly handle and dispose of solid waste.
  - 4. Comply with requirements for cleaning and disposal of debris in the General Conditions, as may be modified by the Supplementary Conditions, and Section 01 74 05, Cleaning.

# 3.6 EROSION AND SEDIMENT CONTROLS

- A. Installation and Maintenance of Erosion and Sediment Controls General:
  - 1. General:
    - a. Provide temporary erosion and sediment controls as required. Provide erosion and sediment controls as the Work progresses into previously-undisturbed areas.
    - b. Installation of erosion and sediment controls shall be in accordance with the applicable regulatory requirements indicated in Article 1.2 of this Section, unless more-stringent methods are otherwise shown or indicated in the Contract Documents.
    - c. Use necessary methods to successfully control erosion and sedimentation, including ecology-oriented construction practices, vegetative measures, and mechanical controls. Use best management practices (BMP) in accordance with Laws and Regulations, and regulatory requirements indicated in Article 1.2 of this Section, to control erosion and sedimentation during the Project.
    - d. Plan and execute construction, disturbances of soils and soil cover, and earthwork by methods to control surface drainage from cuts and fills, and from borrow and waste disposal areas, to prevent erosion and sedimentation. Provide temporary measures for controlling erosion and sedimentation, as indicated in the Contract Documents and as required for the Project.
    - e. Where areas must be cleared for storage of materials or equipment, or for temporary facilities, provide measures for regulating drainage and controlling erosion and sedimentation, subject to the ENGINEER'S approval.
    - f. Provide erosion and sediment controls, including stabilization of soils, at the end of each workday.
  - 2. Coordination:
    - a. Coordinate erosion and sediment controls with this Section's requirements on water control.
    - b. Coordinate temporary erosion and sediment controls with construction of permanent drainage facilities and other Work to the extent necessary for economical, effective, and continuous erosion and sediment controls.
  - 3. Before commencing activities that will disturb soil or soil cover at the Site, provide all erosion and sediment control measures required by the Contract Documents for the areas where soil or soil cover will be disturbed.
  - 4. In general, implement construction procedures associated with, or that may affect, erosion and sediment control to ensure minimum damage to the environment during construction. CONTRACTOR shall implement any and all additional measures required to comply with Laws and Regulations.
  - 5. Vegetation Removal: Remove only those shrubs, grasses, and other vegetation that must be removed for construction. Protect remaining vegetation.
  - 6. Earthwork and Temporary Controls:
    - a. Perform excavation, fill, and related operations in accordance with Section 31 23 16.13, Trenching.

- b. Control erosion to minimize transport of silt from the Site into existing waterways and surface waters. Such measures shall include, but are not limited to, using berms, silt fencing, baled straw silt barriers, gravel or crushed stone, mulching and soil stabilization, slope drains, and other methods. Apply such temporary measures to erodible materials exposed by activities associated with the construction of the Project.
- c. Hold to a minimum the areas of bare soil exposed at one time.
- d. Construct fills and waste areas by selectively placing fill and waste materials to eliminate surface silts and clays that will erode.
- e. In performing earthwork, eliminate depressions that could serve as mosquito breeding pools.
- f. CONTRACTOR shall provide special care in areas with steep slopes, where disturbance of vegetation shall be minimized to maintain soil stability.
- 8. Inspection and Maintenance:
  - a. Periodically inspect areas of earthwork and areas where soil or soil cover are disturbed to detect evidence of the start of erosion and sedimentation; promptly implement corrective measures as required to control erosion and sedimentation. Continue inspections and corrective measures until soils are permanently stabilized and permanent vegetation has been established
  - b. Inspect not less often than the frequency indicated in Section 01 41 26, Storm Water Pollution Prevention Plan and Permit.
  - c. Repair or replace damaged erosion and sediment controls within 24 hours of CONTRACTOR becoming aware of such damage.
  - d. Periodically remove silt and sediment that has accumulated in or behind sediment and erosion controls. Properly dispose of silt and sediment.
- 9. Duration of Erosion and Sediment Controls:
  - a. Maintain erosion and sediment controls in effective working condition until the associated drainage area has been permanently stabilized.
  - b. Maintain erosion and sediment controls until the Site is restored and site improvements including landscaping, if any, are complete with underlying soils permanently stabilized.
- 10. Work Stoppage:
  - a. If the Work is temporarily stopped or suspended for any reason, CONTRACTOR shall provide additional temporary controls necessary to prevent environmental damage to the Site and adjacent areas while the Work is stopped or suspended.
- 11. Failure to Provide Adequate Controls:
  - a. In the event CONTRACTOR repeatedly fails to satisfactorily control erosion and sedimentation, OWNER reserves the right to employ outside assistance or to use OWNER's own forces for erosion and sediment control.
  - b. Cost of such work by OWNER, plus engineering and inspection costs, will be deducted from amounts due CONTRACTOR, as set-offs in accordance with the Contract Documents.

- B. Silt Fencing:
  - 1. Install and maintain silt fencing in a vertical plane where required.
  - 2. Locations of Silt Fencing:
    - a. Where possible, install silt fencing along contour lines so that each given run of silt fencing is at the same elevation.
    - b. On slopes, install silt fencing at intervals that do not exceed the maximum intervals indicated in the following table:

	Maximum Length of Slope
Slope (percent)	Above Each Silt Fence (feet)
2 and less	150
2.1 to 5	100
5.1 to 10	50
10.1 to 20	25
20.1 to 25	20
25.1 to 40	15
40.1 to 50	10

- c. Provide silt fencing around perimeter of each stockpile of topsoil, general fill material, and excavated material. Install silt fencing before expected precipitation and maintain until stockpile is removed.
- d. Do not install silt fencing at the following types of locations:
  - 1) Area of concentrated storm water flows such as ditches, swales, or channels.
  - 2) Where rock or rocky soils prevent full and uniform anchoring of silt fencing.
  - 3) Across upstream or discharge ends of storm water piping or culverts.
- 3. Installation:
  - a. Securely fasten wire mesh to posts, and securely fasten filter cloth to wire mesh.
  - b. When two sections of filter cloth abut each other, fold over edges and overlap by not less than six inches and securely fasten to wire mesh.
  - c. Embed posts in the ground to the depth necessary for proper controls; embed posts to not less than 16 inches below ground.
  - d. Filter cloth and wire mesh shall extend not less than eight inches below ground and not less than 16 inches above ground.
  - e. Remove sediment accumulated at silt fencing as required. Repair and reinstall silt fencing as required.
- 4. Maintenance:
  - a. Do not allow formation of concentrated storm water flows on slopes above silt fencing unless so shown or indicated in the Contract Documents. If unauthorized concentrated storm water flows occur, stabilize the slope via earthmoving and other stabilization measures as required to prevent flow of concentrated storm water flows toward silt fencing.
- C. Straw Bale Dike.

- 1. Install straw bale dikes in swales, along contours, and along toe of slopes.
- 2. Install straw bales in shallow excavation as wide as the bale and approximately four to six inches below surrounding grade.
- 3. Ends of straw bales shall tightly abut ends of adjacent straw bales.
- 4. Securely install straw bales using two support posts per straw bale, driven into the ground not less than 1.5 to two feet below bottom of straw bale. Top of post shall be flush with top of straw bale. Angle first post for each straw bale toward the previously-installed straw bale.
- 5. Frequently inspect straw bales and repair or replace as required. Remove accumulated silt and debris from behind straw bales.
- D. Mulching and Soil Stabilization:
  - 1. Use mulching to temporarily stabilize exposed soil and fill material.
    - a. Immediately following final grading, provide mulch and stabilize with mats or netting, or sprayed soil stabilization emulsion with fiber additive.
    - b. Application of mulching for soil stabilization shall be as follows.
      - 1) Unrotted Straw or Salt Hay: 1.5 to two tons per acre.
      - 2) Soil stabilization emulsions, when used, shall be applied in accordance with manufacturer's instructions, and shall be applied with mulch or stabilization fibers.
      - 3) Wood-fiber or Paper-fiber Application: 1,500 lbs. per acre, installed by hydroseeding.
    - c. Where mats or netting are used:
      - 1) Cover entire area to be stabilized with mats or netting.
      - 2) Provide anchoring trenches at the top and bottom of slopes to receive mats or netting. Bury at least the top and bottom ends of mat or netting, four inches or more wide, at top and bottom of slope. Tamp trench full of soil. Four inches from trench, secure mat or netting with appropriate staples spaced at intervals of 10 inches.
      - 3) Overlap adjacent strips of mat or netting by not less than four inches.
- E. Protection of Storm Water Drainage Inlets and Catch Basins:
  - 1. Protect each drainage inlet and catch basin that has the potential to receive storm water runoff from exposed soils, and does not discharge into a storm water settlement basin.
  - 2. Install inlet filter bags inside of drainage inlet or catch basin in accordance with manufacturer's instructions. Secure inlet filter bag with the structure's grate or by other acceptable means.
  - 3. Inlet filter bags shall not pose any obstruction above the pre-construction elevation of the drainage inlet or catch basin grate requiring barricades or flashers.
  - 4. When removing silt and sediment from inlet filter bag, do not dump filter bag's contents into the drainage inlet or catch basin.
  - 5. Remove silt and sediment from inlet filter bag, or replace inlet filter bag, when inlet filter bag is not more than half full.
- F. Temporary Settlement Basin:

- 1. For constructing embankments comply with requirements in Division 31 Sections on earthwork, embankments, excavation, and fill.
- 2. Overflow Weir and Discharge Pipe:
  - a. Install piping in accordance with manufacturer's instructions.
  - b. Install overflow weirs at elevations shown or indicated on the Drawings or approved Shop Drawings, as applicable, to avoid overtopping and overfilling of settlement basin without short-circuiting the settlement basin's hydraulic performance.
  - c. Wrap and secure geotextile material specified for silt fencing around discharge structures of temporary settlement basins
- 3. Crushed Stone and Riprap: Install in accordance with Division 31 Sections on earthwork, fill, and riprap. Provide in areas of temporary settlement basin subject to erosion, and at upstream and downstream ends of discharge piping.
- 4. Remove sediment when required based on accumulation of material.
- 5. When temporary settlement basin is no longer required, remove the temporary settlement basin discharge weir, discharge piping, and spillway, fill the temporary settlement basin to required grade in accordance with requirements of Division 31 Section on excavation and fill, and provide landscaping in accordance with Division 32 Sections on landscaping.
- G. Filter Bag on Dewatering Pump Discharge:
  - 1. Provide dewatering of excavations in compliance with Division 31 Sections on earthmoving, excavation, and fill.
  - 2. Locate filter bags and temporary pump discharge lines to avoid interfering with the public, use of private property, and OWNER's and facility manager's operations. Relocate filter bags and appurtenances when required.
  - 3. Filter bag discharge shall be directed to appropriate storm water drainage route. Do not discharge into roadways, driveways, access roads, parking areas, or overland. When temporary settlement basin is used, locate filter bags to discharge to temporary settlement basin when practicable.
  - 4. Provide filter bag on discharge of each dewatering pump drawing from an excavation.
  - 5. Securely attach filter bag to pump discharge pipe or hose.
  - 6. Maintain, clean out, and replace filter bags as required.

## 3.7 REMOVAL OF TEMPORARY CONTROLS

- A. Removals General:
  - 1. Upon completion of the Work, remove temporary controls and restore Site to specified condition; if condition is not specified, restore Site to pre-construction condition.
  - 2. After soils are permanently stabilized, remove from the Site temporary erosion and sediment controls.

# SECTION 01 57 33

# SECURITY

## PART 1 – GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes general requirements for security at the Site, including accessing the Site, securing the Work, temporary fencing, and other requirements.
  - 2. CONTRACTOR shall safely guard all the Work, the Project, materials, equipment, and property from loss, theft, damage, and vandalism until Substantial Completion, unless otherwise agreed upon by the parties.
  - 3. CONTRACTOR's duty includes safely guarding OWNER's property in vicinity of the Work and Project, and other private property in the vicinity of the Project from injury and loss in connection with performance of the Project.
  - 4. Costs for security required under this Section shall be paid by CONTRACTOR.
  - 5. Make no claim against OWNER for damage resulting from trespass.
  - 6. Remedy damage to property of OWNER and others arising from failure to furnish adequate security.
  - 7. Provide temporary fencing in accordance with the Contract Documents.

## PART 2 – PRODUCTS

#### 2.1 TEMPORARY FENCING

A. Erect and maintain temporary fencing as required for the Work to properly secure the site. Fence shall be 8-foot high chain link.

## PART 3 – EXECUTION

## 3.1 TEMPORARY FENCING

- A. Installation:
  - 1. Provide temporary fencing for site security so that integrity of site security is maintained throughout the Project.
  - 2. Install temporary fencing used for site security in accordance with the Contract Documents and fence manufacturer's instructions.
- B. Maintenance:
  - 1. Maintain temporary fencing throughout the Project.

- 2. Repair damage to temporary fencing and replace fencing when required to preserve Site security.
- C. Removal:
  - 1. Remove temporary fencing when permanent site security fencing is in place and fully functional, or when otherwise directed or ENGINEER.

# SECTION 01 62 00

## PRODUCT OPTIONS

## <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes:
    - a. CONTRACTOR's options for selecting materials and equipment.
    - b. Requirements for consideration of "or-equal" materials and equpiment.

#### 1.2 PRODUCT OPTIONS

- A. For materials and equipment specified only by reference standard or description, without reference to Supplier, furnish materials and equipment complying with such standard, by a Supplier or from a source that complies with the Contract Documents.
- B. For materials and equipment specified by naming one or more items or Suppliers, furnish the named materials and equipment that comply with the Contract Documents, unless an "or-equal" or substitute item is approved by ENGINEER.
- C. For materials and equipment specified by naming one or more items or Suppliers and the term, "or-equal", when CONTRACTOR proposes a material or equipment item or Supplier as an "or-equal", submit to ENGINEER a request for approval of an "or-equal" item or Supplier.

#### 1.3 "OR-EQUAL" ITEMS

- A. Procedure:
  - 1. For proposed materials and equipment not named in the Contract Documents and considered as an "or-equal" in accordance with the General Conditions, CONTRACTOR shall request in writing ENGINEER's approval of the "orequal".
  - 2. Request for approval of an "or-equal" item shall accompany the Shop Drawing or product data submittal for the proposed item
- B. Requests for approval of "or-equals" shall include:
  - 1. CONTRACTOR's written request that the proposed item be considered as an "or-equal" in accordance with the General Conditions, accompanied by CONTRACTOR's certifications required in the General Conditions.
  - 2. Documentation adequate to demonstrate to ENGINEER that proposed item does not require extensive revisions to the Contract Documents, that proposed item is consistent with the Contract Documents, and that proposed item will

produce results and performance required in the Contract Documents, and that proposed item is compatible with other portions of the Work.

- 3. Detailed comparison of significant qualities of proposed item with the materials and equipment and manufacturers named in the Contract Documents. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements shown or indicated.
- 4. Evidence that proposed item's manufacturer will furnish warranty equal to or better than that specified, if any.
- 5. List of similar installations for completed projects with project names and addresses, and names and address of design professionals and owners, when requested.
- 6. Samples, when requested by ENGINEER.
- 7. Other information requested by ENGINEER.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

## SECTION 01 64 00

#### **OWNER-FURNISHED PRODUCTS**

### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements and procedures for OWNER-furnished materials and equipment to be installed by CONTRACTOR.
  - 2. CONTRACTOR shall provide labor, materials, tools, equipment, and incidentals shown, specified, and required for accepting, handling, storing as required, installing, checking out and starting-up, and completing OWNER-furnished materials and equipment.
- B. Coordination:
  - 1. Review installation procedures for OWNER-furnished materials and equipment and coordinate installation of items to be installed with or before OWNERfurnished materials and equipment.
  - 2. Notify other contractors in advance of installing OWNER-furnished materials and equipment to provide other contractors with sufficient time for installing items included in their contracts to be installed with or before OWNERfurnished materials and equipment.

#### 1.2 OWNER-FURNISHED MATERIALS AND EQUIPMENT

- A. Items of equipment and materials to be furnished by OWNER for installation by General CONTRACTOR are:
  - 1. Fluoride Analyzer (Hach CA 610).
- B. Delivery:
  - 1. Materials and equipment indicated in Paragraph 1.2.A of this Section will be furnished F.O.B. to the Site.
  - 2. OWNER-furnished materials and equipment will be available to General CONTRACTOR starting on the Notice to Proceed date, and as required thereafter to maintain the Progress Schedule accepted by ENGINEER.
- C. OWNER's Responsibilities:
  - 1. OWNER shall pay for services of seller's factory-trained representative to furnish consultation and advice during the installation of the OWNER-furnished materials and equipment, to inspect, check, and approve installation before operation, and to furnish technical advice and direction during start-up and field testing of the OWNER-furnished materials and equipment. Extent to which services of seller's representative will be provided during installation

will be in accordance with the procurement contract documents unless determined otherwise by OWNER.

- 2. OWNER shall arrange for manufacturers' warranties, inspections, and services relative to OWNER-furnished materials and equipment.
- F. General CONTRACTOR's Responsibilities:
  - 1. Responsibilities for OWNER-furnished materials and equipment delivered to the Site will begin upon CONTRACTOR's commencing to unload and handle OWNER-furnished materials and equipment at that location.
  - 2. Receive and unload at the Site OWNER-furnished materials and equipment. Provide labor, materials, equipment, tools, and incidentals for unloading. Perform unloading promptly. Pay all charges for demurrage due to negligence or delay by CONTRACTOR.
  - 3. Inspect for completeness or damage, jointly with OWNER, and reject defective items. OWNER reserves the right to accept OWNER-furnished items rejected by CONTRACTOR and to authorize their use in the Work.
  - 4. Indicate to OWNER signed acceptance of delivery on copy of shipping invoice.
  - 5. Handle, store, and maintain OWNER-furnished materials and equipment.
  - 6. Repair or replace OWNER-furnished materials and equipment that are missing, lost, or damaged after receipt by CONTRACTOR. Replacements shall be in accordance with OWNER's procurement contract documents.
  - 7. Install, connect, and start up OWNER-furnished materials and equipment in accordance with manufacturer's instructions, unless otherwise specified.

# 1.4 HANDLING AND STORAGE

## A. Handling:

- 1. Handle OWNER-furnished materials and equipment in accordance with the Contract Documents and the manufacturer's instructions.
- B. Storage:
  - 1. Store OWNER-furnished materials and equipment in accordance with the Contract Documents and the manufacturer's instructions.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION (NOT USED)

## SECTION 01 65 00

## PRODUCT DELIVERY REQUIREMENTS

## PART 1 - GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes general requirements for preparing for shipping, delivering, and handling materials and equipment to be incorporated into the Work.
  - 2. CONTRACTOR shall make all arrangements for transporting, delivering, and handling of materials and equipment required for prosecution and completion of the Work.
  - 3. When required, move stored materials and equipment without changes to the Contract Price or Contract Times.

## 1.2 SUBMITTALS

A. Refer to individual Specifications Sections for submittal requirements relative to delivering and handling materials and equipment.

# 1.3 PREPARING FOR SHIPMENT

- A. When practical, factory-assemble materials and equipment. Mark or tag separate parts and assemblies to facilitate field-assembly. Cover machined and unpainted parts that may be damaged by the elements or climate with strippable, protective coating.
- B. Package materials and equipment to facilitate handling, and protect materials and equipment from damage during shipping, handling, and storage. Mark or tag outside of each package and crate to indicate the associated purchase order number, bill of lading number, contents by name, OWNER's contract designation, CONTRACTOR name, equipment number, and approximate weight. Include complete packing lists and bills of materials with each shipment.
- C. Protect materials and equipment from exposure to the elements and damage by climate, and keep thoroughly dry and dust-free at all times. Protect painted surfaces against impact, abrasion, discoloration, and other damage. Lubricate bearings and other items requiring lubrication in accordance with manufacturer's instructions.
- D. Advance Notification of Shipments:
  - 1. Keep ENGINEER informed of delivery of all materials and equipment to be incorporated in the Work.

- E. Do not ship materials and equipment until:
  - 1. Related Shop Drawings, Samples, and other submittals required by the Contract Documents have been approved or accepted (as applicable) by ENGINEER, including, but not necessarily limited to, all Action Submittals associated with the materials and equipment being delivered.
  - 2. Manufacturer's instructions for handling, storing, and installing the associated materials and equipment have been submitted to and accepted by ENGINEER in accordance with the Specifications.
  - 3. Results of source quality control testing (factory testing), when required by the Contract Documents for the associated materials or equipment, have been submitted to and accepted by ENGINEER.
  - 4. Facilities required for handling materials and equipment in accordance with the Contract Documents and manufacturer's instructions are in place and available.
  - 5. Required storage facilities have been provided.

# 1.4 DELIVERY

- A. Scheduling and Timing of Deliveries:
  - 1. Arrange deliveries of materials and equipment in accordance with the Progress Schedule accepted by ENGINEER and in ample time to facilitate inspection and observation prior to installation.
  - 2. Schedule deliveries to minimize space required for and duration of storage of materials and equipment at the Site or other delivery location, as applicable.
  - 3. Coordinate deliveries to avoid conflicting with the Work and conditions at Site, and to accommodate the following:
    - a. Work of other contractors and OWNER.
    - b. Storage space limitations.
    - c. Availability of equipment and personnel for handling materials and equipment.
    - d. OWNER's use of premises.
  - 4. Deliver materials and equipment to the Site during regular working hours.
  - 5. Deliver materials and equipment to avoid delaying the Work and the Project, including work of other contractors, as applicable. Deliver anchor system materials, including anchor bolts to be embedded in concrete or masonry, in ample time to avoid delaying the Work.
- B. Deliveries:
  - 1. Shipments shall be delivered with CONTRACTOR's name, Subcontractor's name (if applicable), Site name, Project name, and contract designation (example: "ABC Construction Co., City of Happy Beach, Idaho, Wastewater Treatment Plant Primary Clarifier Improvements, Contract 25, General Construction") clearly marked.
  - 2. Site may be listed as the "ship to" or "delivery" address; but OWNER shall not be listed as recipient of shipment unless otherwise directed in writing by ENGINEER.

- 3. Provide CONTRACTOR's telephone number to shipper; do not provide OWNER's telephone number.
- 4. Arrange for deliveries while CONTRACTOR's personnel are at the Site. CONTRACTOR shall receive and coordinate shipments upon delivery. Shipments delivered to the Site when CONTRACTOR is not present will be refused by OWNER, and CONTRACTOR shall be responsible for the associated delays and additional costs, if incurred.
- C. Containers and Marking:
  - 1. Have materials and equipment delivered in manufacturer's original, unopened, labeled containers.
  - 2. Clearly mark partial deliveries of component parts of materials and equipment to identify materials and equipment, to allow easy accumulation of parts, and to facilitate assembly.
- D. Inspection of Deliveries:
  - 1. Immediately upon delivery, inspect shipment to verify that:
    - a. Materials and equipment comply with the Contract Documents and approved or accepted (as applicable) submittals.
    - b. Quantities are correct.
    - c. Materials and equipment are undamaged and of the required quality.
    - d. Containers and packages are intact and labels are legible.
    - e. Materials and equipment are properly protected.
  - 2. Promptly remove damaged materials and equipment from the Site and expedite delivery of new, undamaged materials and equipment, and remedy incomplete or lost materials and equipment. Furnish materials and equipment in accordance with the Contract Documents, to avoid delaying progress of the Work.
  - 3. Advise ENGINEER in writing when damaged, incomplete, or defective materials and equipment are delivered, and advise ENGINEER of the associated impact on the Progress Schedule.

## 1.5 HANDLING OF MATERIALS AND EQUIPMENT

- A. Provide equipment and personnel necessary to handle materials and equipment, including those furnished by OWNER, by methods that prevent soiling or damaging materials and equipment and packaging.
- B. Provide additional protection during handling as necessary to prevent scraping, marring, and otherwise damaging materials and equipment and surrounding surfaces.
- C. Handle materials and equipment by methods that prevent bending and overstressing.
- D. Lift heavy components only at designated lifting points.

E. Handle materials and equipment in safe manner and as recommended by the manufacturer to prevent damage. Do not drop, roll, or skid materials and equipment off delivery vehicles or at other times during handling. Hand-carry or use suitable handling equipment.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

## SECTION 01 66 00

## PRODUCT STORAGE AND HANDLING REQUIREMENTS

# <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes general requirements for storing and protecting materials and equipment.
  - 2. CONTRACTOR shall provide all labor, materials, tools, equipment, and incidentals to store and handle materials and equipment to be incorporated into the Work, and other materials and equipment at the Site.

## 1.2 STORAGE

- A. Store and protect materials and equipment in accordance with manufacturer's recommendations and the Contract Documents.
- B. General:
  - 1. CONTRACTOR shall make all arrangements and provisions necessary for, and pay all costs for, storing materials and equipment.
  - 2. Excavated materials, construction equipment, and materials and equipment to be incorporated into the Work shall be placed to avoid injuring the Work and existing facilities and property, and so that free access is maintained at all times to all parts of the Work and to public utility installations in vicinity of the Work.
  - 3. Store materials and equipment neatly and compactly in locations that cause minimum inconvenience to OWNER, facility manager, other contractors, public travel, and owners, tenants, and occupants of adjoining property.
  - 4. Arrange storage in manner to allow easy access for inspection by ENGINEER and Resident Project Representative (RPR).
- C. Storage Location:
  - 1. Limited area is available at the Site for storing materials and equipment.
  - 2. Restrictions:
    - a Do not store materials or equipment in structures being constructed unless approved by ENGINEER in writing.
    - b. Do not use lawns or other private property for storage without written permission of the owner or other person in possession or control of such premises.
- D. Protection of Stored Materials:
  - 1. Store materials and equipment to become OWNER's property to ensure preservation of quality and fitness of the Work, including proper protection

against damage by freezing, moisture, and with outdoor ambient air high temperatures as high as 100 degrees F; temperature and humidity inside crates, containers, storage sheds, and packaging may be significantly higher than the outdoor ambient air temperature.

- 2. Store in indoor, climate-controlled storage areas all materials and equipment subject to damage by moisture, humidity, heat, cold, and other elements, unless otherwise acceptable to OWNER.
- 3. When placing orders to Suppliers for equipment and controls containing computer chips, electronics, and solid-state devices, CONTRACTOR shall obtain, coordinate, and comply with specific temperature and humidity limitations on materials and equipment, because temperature inside cabinets and components stored in warm temperatures can approach 200 degrees F.
- 4. CONTRACTOR shall be fully responsible for loss or damage (including theft) to stored materials and equipment.
- 5. Do not open manufacturer's containers until time of installation, unless recommended by the manufacturer or otherwise specified in the Contract Documents.
- 6. Comply with requirements of Article 1.3 of this Section.

# 1.3 PROTECTION – GENERAL

- A. Equipment to be incorporated into the Work shall be boxed, crated, or otherwise completely enclosed and protected during shipping, handling, and storage, in accordance with Section 01 65 00, Product Delivery Requirements.
- B. Store all materials and equipment off the ground (or floor) on raised supports such as skids or pallets.
- C. Protect painted surfaces against impact, abrasion, discoloration, and other damage. Painted equipment surfaces that are damaged or marred shall be repainted in their entirety in accordance with equipment manufacturer and paint manufacturer requirements, to the satisfaction of ENGINEER.
- D. Protect electrical equipment, controls, and instrumentation against moisture, water damage, humidity, heat, cold, and dust. Space heaters provided in equipment shall be connected and operating at all times until equipment is placed in operation and permanently connected.

## 1.4 UNCOVERED STORAGE

- A. The following types of materials may be stored outdoors without cover on supports so there is no contact with the ground:
  - 1. Reinforcing steel.
  - 2. Precast concrete materials.
  - 3. Structural steel.
  - 4. Metal stairs.
  - 5. Handrails and railings.

- 6 Grating.
- 7. Castings.
- 8. Fiberglass items.
- 9. Rigid electrical conduit, except PVC-coated conduit.
- 10. Piping, except PVC or chlorinated PVC (CPVC) pipe.

# 1.5 COVERED STORAGE

- A. The following materials and equipment may be stored outdoors on supports and completely covered with covering impervious to water:
  - 1. Grout and mortar materials.
  - 2. Masonry units.
  - 3. Rough lumber.
  - 4. Soil materials and granular materials such as aggregate.
  - 5. PVC and CPVC pipe.
  - 6. PVC-coated electrical conduit.
  - 7. Filter media.
- B. Tie down covers with rope, and install covering properly sloped to prevent accumulation of water.
- C. Store loose granular materials, with covering impervious to water, in well-drained area or on solid surfaces to prevent mixing with foreign matter.

# 1.6 FULLY PROTECTED STORAGE

- A. Store all material and equipment not indicated in Articles 1.4 and 1.5 of this Section on supports in buildings or trailers that have concrete or wooden flooring, roof, and fully-closed walls on all sides. Covering with visquine plastic sheeting or similar material in space without floor, roof, and walls is unacceptable. Comply with the following:
  - 1. Provide heated storage for materials and equipment that could be damaged by low temperatures or freezing.
  - 2. Provide air-conditioned storage for materials and equipment that could be damaged by high temperatures or humidity.
  - 3. Protect mechanical and electrical equipment from being contaminated by dust, dirt, and moisture.
  - 4. Maintain humidity at levels recommended by manufacturers for electrical and electronic equipment.

# 1.7 MAINTENANCE OF STORAGE

- A. On a scheduled basis, periodically inspect stored materials and equipment to ensure that:
  - 1. Condition and status of storage facilities is adequate to provide required storage conditions.
  - 2. Required environmental conditions are maintained on continuing basis.

3. Materials and equipment exposed to elements are not adversely affected.

# 1.8 MICROPROCESSORS, PANELS, AND INSTRUMENTATION STORAGE

- A. Store control panels, microprocessor-based equipment, electronics, and other devices subject to damage or decreased useful life because of temperatures below 40 degrees F or above 100 degrees F, relative humidity above 90 percent, or exposure to rain or exposure to blowing dust in climate-controlled storage space.
- B. General:
  - 1. Storage shall be in third-party owned, bonded, insured, climate-controlled warehouse.
  - 2. OWNER and ENGINEER have the right to observe or inspect materials and equipment during normal working hours.
  - 3. Place inside each control panel or device a desiccant, volatile corrosion inhibitor blocks (VCI), moisture indicator, and maximum-minimum indicating thermometer.
  - 4. Check panels and equipment not less than once per month. Replace desiccant, VCI, and moisture indicator as often as required, or every six months, whichever occurs first.
  - 5. Certified record of daily maximum and minimum temperature and humidity in storage facility shall be available for inspection by OWNER and ENGINEER. Certified record of monthly inspection, noting maximum and minimum temperature for month, condition of desiccant, VCI, and moisture indicator, shall be made available to OWNER and ENGINEER upon request.
- C. Costs for storing climate-sensitive materials and equipment shall be paid by CONTRACTOR. Replace panels and devices damaged during storage, or for which storage temperatures or humidity range has been exceeded, at no additional cost to OWNER. Delays resulting from such replacement are causes within CONTRACTOR's control.
- D. Do not ship control panels and equipment to the Site until conditions at the Site are suitable for installation, including slabs and floors, walls, roofs, and environmental controls. Failure to have the Site ready for installation shall not relieve CONTRACTOR from complying with the Contract Documents.

# 1.9 RECORDS

A. Keep up-to-date account of materials and equipment in storage to facilitate preparation of Applications for Payment, if the Contract Documents provide for payment for materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

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## SECTION 01 71 33

## PROTECTION OF THE WORK AND PROPERTY

## <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes general requirements for safety and protection that augment the requirements of the General Conditions, as may be modified by the Supplementary Conditions. This Section also includes requirements for barricades and warning signals, and protection of trees and plants, existing structures, floors, roofs, installed items, and landscaping.
  - 2. CONTRACTOR shall be responsible for taking all precautions, providing all programs, and taking all actions necessary to protect personnel health and safety, and to protect the Work and all public and private property and facilities from damage, as specified in the General Conditions, Supplementary Conditions, and the Specifications.
  - 3. To prevent damage, injury, or loss, CONTRACTOR's actions shall include the following:
    - a. Provide measures for safety of personnel at the Site, including workers engaged in the Work, delivery personnel, testing and inspection personnel, personnel of authorities having jurisdiction, other visitors to the Site, the public, OWNER's personnel, facility manager's personnel (if different from OWNER), ENGINEER, and Resident Project Representative (if any).
    - b. Storing apparatus, materials, supplies, and equipment in an orderly, safe manner that does not unduly interfere with progress of the Work or work of other contractors, utility owners, and owners of transportation rights-of-way.
    - c. Providing suitable storage facilities for materials and equipment subject to damage or degradation by exposure to climate, temperature, theft, breakage, or other cause.
    - d. Placing upon the Work or any part thereof only loads consistent with the safety and integrity of that portion of the Work and existing construction.
    - e. Frequently removing and disposing of refuse, rubbish, scrap materials, and debris caused by CONTRACTOR's operations so that, at all times, the Site is safe, orderly, and workmanlike in appearance.
    - f. Providing temporary barricades, fencing, and guard rails around the following: openings, scaffolding, temporary stairs and ramps, around excavations, for elevated walkways, and other areas that may present a fall-hazard or hazard to vehicles.
  - 4. Do not, except after written consent from proper parties, enter or occupy privately-owned property or premises with personnel, tools, materials or equipment, except on lands and easements provided by OWNER.

- 5. CONTRACTOR has full responsibility for preserving public and private property and facilities on and adjacent to the Site. Direct or indirect damage done by, or on account of, any act, omission, neglect, or misconduct by CONTRACTOR in executing the Work, shall be remedied by CONTRACTOR, at his expense, to condition equal to that existing before damage was done.
- 6. Owner May Remedy:
  - a. Should CONTRACTOR fail to protect and safeguard property and the Work after requests from ENGINEER or OWNER, OWNER may implement measures to protect property and the Work.
  - b. Cost of such OWNER-implemented measures shall be paid by CONTRACTOR. OWNER may deduct from payments due CONTRACTOR such amounts as set-offs in accordance with the Contract Documents.
  - c. Such right, however, shall not result in any obligation by OWNER or ENGINEER to continuously monitor or have responsibility for protection of property and the Work, which responsibility is exclusively CONTRACTOR's.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION

# 3.1 BARRICADES AND WARNING SIGNALS

- A. Barricades and Warning Signals General:
  - 1. Where the Work is performed on or adjacent to roadway, access road or driveway, right-of-way, or public place:
    - a. Provide temporary barricades, fences, lights, warning signs, danger signals, watchmen, and take other precautionary measures for protecting persons, property, and the Work.
    - b. Use appropriately colored and reflective barricades, or paint barricades accordingly, to be visible at night.
    - c. From sunset to sunrise, provide and maintain not less than one temporary light at each barricade.
    - d. Erect sufficient barricades to keep vehicles from being driven on or into Work under construction.
    - e. Furnish watchmen in sufficient numbers to protect the Work.
  - 2. Provide temporary barricades to protect personnel and property for Work not in or adjacent to transportation routes and vehicular travel areas, including indoor work, in accordance with Laws and Regulations.
  - 3. CONTRACTOR's responsibility for maintaining temporary barricades, signs, lights, and for providing watchmen shall continue until the Work is substantially complete in accordance with the Contract Documents, unless other provision for security and protection is agreed to by the parties. After

Substantial Completion, protect Work and property during periods when final Work or corrective Work is underway.

B. Temporary Fencing: Refer to Section 01 57 33, Security.

# 3.2 TREE AND PLANT PROTECTION

- A. Tree and Plant Protection General:
  - 1. Protect existing trees, shrubs, and plants on or adjacent to the Site, shown or designated to remain in place, against unnecessary cutting, breaking, damage, or skinning of trunk, branches, bark, and roots.
  - 2. Do not store materials or equipment or park construction equipment and vehicles within foliage drip lines.
  - 3. In areas subject to traffic, provide temporary fencing or temporary barricades to protect trees and plants.
  - 4. Open fires are not allowed onsite.
  - 5. Within the limits of the Work, water trees and plants that are to remain to maintain their health during construction operations.
  - 6. Cover exposed roots with burlap, and keep such burlap continuously wet. Cover exposed roots with earth as soon as possible. Protect root systems from mechanical damage and damage by erosion, flooding, runoff, and noxious materials in solution.
  - 7. If branches or trunks are damaged, prune branches immediately and protect cut or damaged areas with emulsified asphalt compounded specifically for horticultural use, in manner acceptable to ENGINEER.
  - 8. When directed by ENGINEER, remove and dispose of at location away from the Site damaged trees and plants that die or suffer permanent injury, and replace each damaged tree or plant with specimen of equal or better species and quality.

## 3.3 PROTECTION OF EXISTING STRUCTURES

- A. Underground Facilities:
  - 1. Underground Facilities known to OWNER and ENGINEER, except water, gas, sewer, electric, and communications services to individual buildings and properties, are shown. Information shown for Underground Facilities is the best available to OWNER and ENGINEER but, in accordance with the General Conditions, as may be modified by the Supplementary Conditions, is not guaranteed to be correct or complete.
  - 2. CONTRACTOR shall explore ahead of trenching and excavating Work and shall sufficiently uncover Underground Facilities that will or may interfere with the Work to determine their location, to prevent damage to Underground Facilities, and to prevent service interruption to structures and properties served by Underground Facilities. If CONTRACTOR damages an Underground Facility, CONTRACTOR shall restore it to its pre-construction condition, in accordance with requirements of the owner of the damaged facility and the Contract Documents.

- 3. Necessary changes in the location of the Work may be directed by ENGINEER to avoid Underground Facilities not shown or indicated on the Contract Documents.
- 4. If permanent relocation of an existing Underground Facilities is required and is not otherwise shown or indicated in the Contract Documents, CONTRACTOR may be directed in writing to perform the required work. When such relocation Work results in a change in the Contract Price, Contract Times, the associated Contract modification procedures and payment for such Work shall be in accordance with the Contract Documents.
- B. Surface Structures:
  - 1. Surface structures are existing buildings, structures, and other facilities at or above ground surface, including their foundations and any extension below ground surface. Surface structures include, but are not limited to, buildings, tanks, walls, bridges, roads, dams, channels, open drainage routes, exposed piping and utilities, poles, exposed wires, posts, signs, markers, curbs, walks, fencing, and other facilities visible at or above ground surface.
  - 2. Existing surface facilities, including but not limited to guard rails, posts, guard cables, signs, poles, markers, curbs, and fencing, that are temporarily removed to facilitate the Work shall be replaced and restored to their pre-construction condition at CONTRACTOR's expense.
- C. Protection of Underground Facilities and Surface Structures:
  - 1. CONTRACTOR shall sustain in their places and protect from direct or indirect injury all Underground Facilities and surface structures located within or adjacent to the limits of the Work. Such sustaining and supporting shall be done carefully and as required by the party owning or controlling such structure or facility.
  - 2. Before proceeding with the Work of sustaining and supporting such structure or facility, CONTRACTOR shall satisfy ENGINEER that methods and procedures to be used have been approved by party owning same.
  - 3. CONTRACTOR shall bear all risks attending the presence or proximity of all Underground Facilities and surface structures within or adjacent to limits of the Work, in accordance with the Contract Documents.
  - 4. CONTRACTOR shall be responsible for damage and expense for direct or indirect injury, caused by CONTRACTOR's activities, to structures and facilities. CONTRACTOR shall promptly repair damage caused by CONTRACTOR's activities, to the satisfaction of owner of damaged structure or facility.
  - 5. Protection of Underground Facilities Under Roads and Parking Areas: Provide temporary, heavy-duty steel roadway plates to protect existing manholes, handholes, valve boxes, vaults, and other Underground Facilities near to or visible at the ground surface.

## 3.4 PROTECTION OF FLOORS AND ROOFS

A. Protection of Floors and Roofs – General:

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- 1. Use proper protective covering when moving equipment, handling materials or other loads, when painting, handling mortar or grout, and when cleaning walls, ceilings, or structure contents.
- 2. Use metal pans to collect oil and cuttings from piping, conduits, and rod threading machines, and under metal cutting machines.
- 3. Do not load concrete floors less than 28 days old without written permission of ENGINEER. Do not load floors, roofs, or slabs in excess of design loading.
- 4. Do not load roofs without written permission of ENGINEER.
- 5. Restrict access to roofs, and keep CONTRACTOR personnel off existing roofs, except as required for the Work.
- 6. If access to roofs is required, roofing, parapets, openings, and all other construction on or adjacent to roof shall be protected with suitable plywood or other acceptable means.

# <u>3.5 PROTECTION OF INSTALLED MATERIALS, EQUIPMENT, AND LANDSCAPING</u>

- A. Protect installed Work to prevent damage from subsequent operations. Remove protective items when no longer needed, prior to Substantial Completion of the Work.
- B. Control traffic to prevent damage to equipment, materials, and surfaces.
- C. Coverings:
  - 1. Provide temporary coverings to protect materials and equipment from damage.
  - 2. Cover projections, wall corners and jambs, sills, and soffits of openings, in areas used for traffic and for passage of materials and equipment in subsequent work.

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## SECTION 01 73 19

## INSTALLATION

## PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section describes general requirements for installing materials and equipment. Additional installation requirements are included in the various Specifications Sections in Divisions 02 through 49 and elsewhere in the Contract Documents.
  - 2. CONTRACTOR shall provide all labor, materials, equipment, services, tools, and incidentals required to install materials and equipment.

## 1.2 QUALITY ASSURANCE

- A. General:
  - 1. Provide appropriate quality assurance for installing materials and equipment, and provide quality control over Suppliers, materials and equipment, services, Site conditions, and workmanship, to provide Work of the required quality.
- B. Qualifications:
  - 1. Installer:
    - a. Installers shall be experienced in the types of Work required, including, but not limited to, the requirements of Section 01 42 00, References, and the Division 02 through 49 Specifications where the particular element of the Work is specified.
- C. Regulatory Requirements: Comply with the following:
  - 1. 29 CFR 1910, OSHA.

## PART 2 – PRODUCTS (Not Used)

#### PART 3 – EXECUTION

## 3.1 INSTALLATION

- A. General:
  - 1. Installation Instructions and Requirements:
    - a. Install materials and equipment in accordance with approved Shop Drawings and CONTRACTOR's other submittals approved by ENGINEER, the Contract Documents, and manufacturer's installation

instructions. When manufacturer's installation instructions conflict with the Contract Documents, obtain interpretation or clarification from ENGINEER before proceeding.

- b. Manufacturer's installation instructions include manufacturer's written instructions; drawings; illustrative, wiring and schematic diagrams; diagrams identifying external connections, terminal block numbers and internal wiring; and other such information pertaining to installation of materials and equipment. Included are all of manufacturer's printed installation instructions, including those that may be attached to equipment upon delivery.
- 2. Prior to installing materials and equipment, complete preparation of surfaces on which materials and equipment are to be installed. Prior to installing materials and equipment on new concrete, concrete shall achieve sufficient compressive strength to support the materials and equipment.
- 3. Maintain the work area in a broom-clean condition while installing materials and equipment.
- 4. Use proper tools to assemble materials and equipment. Do not deform or mar surface of shafts, nuts, and other parts.
- 5. Do not support rigging from building or structure without written permission of ENGINEER. CONTRACTOR is responsible for and shall repair damage to building or structure resulting from CONTRACTOR's operations, in accordance with Section 01 71 33, Protection of the Work and Property.
- 6. During installation, maintain materials and equipment in neutral position and do not exert undue stress on materials and equipment.
- 7. Tighten connections requiring gaskets evenly all around to ensure uniform stress over entire gasket.
- 8. Use only an oil bath heater to expand couplings, gears, and other mechanical components to be expanded for installation. Do not force or drive couplings, gears, and other mechanical components onto equipment shafts, or subject such items to open flame or torch.
- 9. Do not alter or repair materials and equipment and do not burn or weld materials and equipment unless required in the Contract Documents or allowed by ENGINEER.
- 10. Provide plugs in lubrication holes to prevent entry of foreign matter.
- B. Setting and Erection:
  - 1. Install materials and equipment plumb, level, true, and free of rack unless lotherwise shown or indicated, and demonstrate plumbness and level to ENGINEER. Bring parts to proper bearing after installation and erection.
  - 2. Anchorages:
    - a. Provide anchorage setting drawings in time to coordinate with fabrication of materials and equipment and the Work.
  - 3. Shimming:
    - a. Wedging is not allowed.
    - b. During installation, use the minimum number of shims required for leveling the equipment.

- c. Provide shims, filling pieces, keys, packing, grouting of the type required by the Contract Documents, and other materials and equipment necessary to properly align, level, and secure apparatus in place.
- 4. Installing Equipment onto Foundations:
  - a. Using experienced millwrights, carefully set and align equipment on foundations, after equipment soleplates or baseplates (as applicable) have been shimmed to true alignment at anchorages.
  - b. Set anchorages in place and tighten nuts against shims.
  - c. Check bedplates or wing feet of equipment after securing to foundations and, after confirming alignments, grout soleplates or baseplates (as applicable) in place in accordance with the Contract Documents.
- 5. Ream misaligned holes. Do not "force" bolts or keys.
- 6. Where applicable, properly align equipment with associated piping and utility connections, without exerting undue stress on connecting piping and utilities.
- C. Alignment and Leveling:
  - 1. Verify that all shafts, couplings, and sheaves are properly aligned and adjust to required tolerances.
  - 2. Align couplings while equipment is free of external loads.
  - 3. Check angular and parallel alignment and record actual alignment and submit to ENGINEER. Alignment shall be within tolerances specified in Contract Documents and as recommended by Supplier of the material or equipment item.
  - 4. Use laser indicators or dial indicators for checking angular and parallel alignment. Using dial indicators requires that, during rotation of half-couplings in performing testing, dial indicator shall be maintained in same relative position, and dial indicator readings taken at same place on circumference of coupling.
- D. Threaded Connections:
  - 1. Apply a molybdenum disulfide, anti-seize compound to threads in mechanical connections such as bolts, studs, cap screws, tubing, and other threads, unless otherwise shown or indicated.

## 3.2 FIELD QUALITY CONTROL

- A. Supplier's Services:
  - 1. When specified, provide competent, qualified representatives of material or equipment Supplier to perform services required, including: supervising installation, checking the completed installation, adjusting, testing of materials and equipment, and where required instructing operations and maintenance personnel in the use and care of materials and equipment.

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## SECTION 01 73 24

## CONNECTIONS TO EXISTING FACILITIES

## <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for connections to existing facilities. Requirements for tie-ins and shutdowns necessary to complete the Work are in Section 01 14 16, Coordination with Owner's Operations.
  - 2. CONTRACTOR shall provide labor, materials, tools, equipment, and incidentals shown, specified, and required for performing connections to existing facilities.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate Work that will be performed with or before the Work specified in this Section.
  - 2. Notify other contractors in advance of Work for connections to existing facilities to provide other contractors sufficient time for work included in their contracts that will be installed with or before Work specified in this Section.
- C. General:
  - 1. Requirements for shutdowns, tie-ins, and other provisions on connections to existing facilities, are indicated in Section 01 14 16, Coordination with Owner's Operations.
  - 2. Requirements for cutting and patching are in Section 01 73 29, Cutting and Patching.
  - 3. To extent possible, materials, equipment, systems, piping, and appurtenances that will be placed into service upon completion of connection to existing facilities shall be checked, successfully tested, and in condition for operation prior to making connections to existing facilities, if valves, gates, or similar watertight and gastight isolation devices are not provided at the connection point.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION (NOT USED)

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## SECTION 01 73 29

## CUTTING AND PATCHING

## <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes general requirements for cutting and patching Work.
  - 2. CONTRACTOR shall perform cutting and coring, and rough and finish patching of holes and openings in existing construction.
  - 3. Provide cutting, coring, fitting and patching, including attendant excavation and fill, required to complete the Work, and to:
    - a. remove and replace defective Work;
    - b. remove samples of installed Work as specified or required for testing;
    - c. remove construction required to perform required alterations or additions to existing construction;
    - d. uncover the Work for ENGINEER's observation of covered Work, testing or inspection by testing entities, or observation by authorities having jurisdiction;
    - e. connect to completed Work not performed in proper sequence;
    - f. remove or relocate existing utilities and piping that obstruct the Work in locations where connections are to be made;
    - g. make connections or alterations to existing or new facilities.
- B. Coordination:
  - 1. Cutting, coring, and rough patching shall be performed by the prime contractor requiring the opening. Finish patching shall be responsibility of General CONTRACTOR and shall be performed by trade associated with application of the particular finish.

## 1.2 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Cutting and Patching Request:
    - a. Submit written request to ENGINEER, well in advance of executing cutting or alteration that affects one or more of the following:
      - 1) Design function or intent of Project.
      - 2) Work of OWNER or other contractors.
      - 3) Structural value or integrity of an element of the Project.
      - 4) Integrity or effectiveness of weather-exposed or moisture-resistant elements or systems.
      - 5) Efficiency, operational life, maintenance, or safety of operational elements.
      - 6) Visual qualities of sight-exposed elements.

- b. Request shall include:
  - 1) Identification of Project and Contract designation.
  - 2) Description of affected Work of CONTRACTOR and work of others (if any).
  - 3) Necessity for cutting.
  - 4) Effect on work or operations of OWNER, other contractors (if any), and on structural or weatherproof integrity of Project.
  - 5) Description of proposed Work, describing: scope of cutting and patching; trades who will be executing the Work; materials and equipment to be used; extent of refinishing; schedule of operations; alternatives to cutting and patching, if any, and net effect on aesthetics following completion of finishing Work.
  - 7) Designation of entity responsible for cost of cutting and patching, when applicable.
  - 8) Written permission of other prime contractors (if any) whose work will or may be affected.
- 2. Recommendation Regarding Cutting and Patching:
  - a. Should conditions of work or schedule indicate a change of materials or methods, submit written recommendation to ENGINEER including:
    1) Conditions indicating change.
    - 2) Recommendations for alternative materials or methods.
    - 3) Items required with request for approval of substitute, in accordance with the substitution request requirements of the Contract Documents.
- 3. Product Data:
  - a. Submit manufacturer's data for the protective compound to be applied to core-drilled surfaces and cut concrete surfaces.
  - b. When not required under other Sections, submit manufacturer's data on materials to be used for finishing around the cut or patched area.
  - c. Furnish submittals for patching materials under the associated Specifications Section.
- B. Informational Submittals: Submit the following:
  - 1. Written Notification of Cutting and Patching:
    - a. Submit written indication designating the day and time that the construction associated with cutting and patching will be uncovered to allow for observation. Do not begin cutting or patching operations until submittal is accepted by ENGINEER.
  - 2. X-ray Investigations:
    - a. Proposed method of investigation. Submit and obtain ENGINEER's acceptance prior to performing X-ray inspections.
    - b. Report of X-ray evaluation of slabs, floors, and walls to be cut or coredrilled.

## PART 2 – PRODUCTS

## 2.1 MATERIALS

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- A. Materials General:
  - 1. Use materials that comply with the Contract Documents.
  - 2. If not shown or indicated in the Contract Documents, use materials that are identical to existing materials affected by cutting and patching Work.
  - 3. For exposed surfaces, use materials that visually match existing adjacent surfaces to fullest extent possible. If identical materials are unavailable or cannot be used, use materials whose installed performance will equal or surpass that of existing materials.
  - 4. Replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, using materials that do not void required or existing warranties.
- B. Compound Applied to Core-Drilled Surfaces and Cut Concrete Surfaces:
  - 1. After core-drilling and before installing the utility or equipment through the penetration, coat exposed concrete and steel with solvent-free, two-component, protective, epoxy resin coating.
  - 2. Color shall approximate the finish color of the existing surface to be coated.
    - Product and Manufacturer: Provide one of the following:
    - a. Sikagard 62, by Sika Corporation.
    - b. Or equal.

## PART 3 – EXECUTION

## 3.1 GENERAL

3.

- A. Perform cutting and coring in such manner that limits extent of patching required.
- B. Structural Elements:
  - 1. Do not cut or patch structural elements in manner that would change the element's structural load-carrying capacity as load deflection ratio.
- C. Operating Elements:
  - 1. Do not cut or patch operating elements in manner that would reduce their capacity to perform as intended.
  - 2. Do not cut or patch operating elements or related components in manner that would increase maintenance requirements or decrease operational life or safety.
- D. Replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, using methods that do not void required or existing warranties.

## 3.2 INSPECTION

A. Examine surfaces to be cut or patched, and conditions under which cutting or patching will be performed before starting cutting or patching Work.

- B. Report unsatisfactory or questionable conditions to ENGINEER in writing. Do not proceed with cutting or patching Work until unsatisfactory conditions are corrected.
- C. Non-Destructive Investigation:
  - 1. In advance of cutting or coring through existing slabs or walls, use X-ray or other non-destructive methods accepted by ENGINEER to determine location of reinforcing steel, electrical conduits, and other items embedded in slabs or walls.
  - 2. Submit to ENGINEER written report of findings of evaluation.
  - 3. Perform X-ray investigation and submit results to ENGINEER sufficiently in advance of cutting Work to allow time to identify and implement alternatives, if changes to the Work are necessary because of conduit or other features in floor or wall.

## 3.3 PREPARATION

- A. Provide temporary support required to maintain structural integrity of facilities, to protect adjacent work from damage during cutting, and to support the element(s) to be cut.
- B. Protection of Existing Construction during Cutting and Patching:
  - 1. Protect existing construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of the Project and facility that will be exposed during cutting and patching operations.
  - 2. Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.
  - 3. Do not cut existing pipe, conduit, ductwork, or other utilities serving facilities scheduled to be removed or relocated until provisions have been made to bypass them.

## 3.4 CORING

- A. Use core-drilling to make penetrations through concrete and masonry walls, slabs, or arches, unless otherwise accepted by ENGINEER in writing.
- B. Coring:
  - 1. Perform coring with non-impact rotary tool using diamond core-drills. Size holes for pipe, conduit, sleeves, equipment or mechanical seals, as required, to be installed through the penetration.
  - 2. Do not core-drill through electrical conduit or other utilities embedded in walls or slabs without approval of ENGINEER. To extent possible, avoid cutting reinforcing steel in slabs and walls.
- C. Protection:
  - 1. Protect existing equipment, utilities, and adjacent areas from water and other damage caused by or resulting from core-drilling operations.

- 2. After core-drilling and before installing the utility or equipment through the penetration, coat exposed concrete and steel with protective coating material indicated in Paragraph 2.1.B of this Section. Apply protective coating in accordance with manufacturer's instructions.
- D. Cleaning:
  - 1. After core-drilling, vacuum or otherwise remove slurry and tailings from the work area.

## 3.5 CUTTING

- A. Cutting General:
  - 1. Cut existing construction using methods least-likely to damage elements retained and adjoining construction and that provide proper surfaces to receive subsequent installation or repair.
  - 2. In general, use hand tools or small power tools suitable for sawing or grinding. When possible, avoid using hammering and avoid chopping.
  - 3. Cut holes and slots as small as possible, neatly to the size required, and with minimum disturbance of adjacent surfaces.
  - 4. Prior to starting cutting, provide adequate bracing of area to be cut.
  - 5. To avoid marring existing finished surfaces, cut or drill from exposed or finished side into concealed side.
  - 6. Provide equipment of adequate size to remove the cut panel or "coupon".
  - 7. Provide temporary covering over cut openings where not in use.
- B. Cutting Concrete and Masonry:
  - 1. Cut through concrete and masonry using concrete wall saw with diamond saw blades.
  - 2. On both of the element being cut, provide for control of slurry generated during sawing.
  - 3. After cutting concrete and before installing subsequent construction on or through the opening, coat exposed concrete and steel with protective coating material indicated in Paragraph 2.1.B of this Section. Apply protective coating in accordance with manufacturer's instructions.

## 3.6 PATCHING

- A. Patching General:
  - 1. Patch construction by filling, repairing, refinishing, closing-up, and similar operations following performance of other Work.
  - 2. Patch with durable seams that are as inconspicuous as possible. Provide materials and comply with installation requirements indicated in the Contract Documents.
  - 3. Patch to provide airtight and watertight connections to pipes, sleeves, ducts, conduit, and other penetrations through surfaces.
  - 4. Where feasible, test patched areas to demonstrate integrity of installation.

- B. Restoration:
  - 1. Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in manner that eliminates evidence of patching and refinishing.
  - 2. For continuous surfaces, refinish to nearest intersection.
  - 3. For an assembly, refinish the entire unit that was patched.
  - 4. Patch, repair, or rehang existing ceilings as necessary to provide an even-plane surface of uniform appearance.

## 3.7 CLEANING

- A. Cleaning and Restoration:
  - 1. Clean areas and spaces where cutting, coring, or patching were performed.
  - 2. Clean piping, conduit, and similar constructions before applying paint or other finishing materials.
  - 3. Restore damaged coverings of pipe and other utilities to original condition.

## SECTION 01 74 05

## CLEANING

## PART 1 – GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for keeping the Site free of accumulations of waste materials during construction ("progress cleaning") and cleaning for Substantial Completion and prior to final inspection (collectively, "closeout cleaning").
  - 2. CONTRACTOR shall perform cleaning during the Project, including progress cleaning, upon completion of the Work, and as required by the General Conditions, as may be modified by the Supplementary Conditions, and this Section.
  - 3. Maintain in a clean manner the Site, the Work, and areas adjacent to or affected by the Work.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. NFPA 241, Safeguarding Construction, Alteration, and Demolition Operations.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

#### 3.1 PROGRESS CLEANING

- A. General:
  - 1. Clean the Site, work areas, and other areas occupied by CONTRACTOR not less than weekly. Dispose of materials in accordance with the General Conditions, as may be modified by the Supplementary Conditions, and the following:
    - a. Comply with NFPA 241 for removing combustible waste materials and debris.
    - b. Do not hold non-combustible materials at the Site more than three days if the temperature is expected to rise above 80 degrees F. When temperature is less than 80 degrees F, dispose of non-combustible materials within seven days of their generation.
    - c. Provide suitable containers for storage of waste materials and debris.

- d. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately.
- B. Site:
  - 1. Keep outdoor, dust-generating areas wetted down or otherwise control dust emissions.
- C. Work Areas:
  - 1. Clean areas where the Work is in progress to maintain the extent of cleanliness necessary for proper execution of the Work.
  - 2. Remove liquid spills promptly. Immediately report spills to OWNER, ENGINEER, and authorities having jurisdiction, in accordance with the Contract Documents and Laws and Regulations.
  - 3. Where dust would impair proper execution of the Work, broom-clean or vacuum entire work area, as appropriate.
  - 4. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- D. Installed Work:
  - 1. Keep installed Work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of material or equipment installed, using only cleaning agents and methods specifically recommended by material or equipment manufacturer. If manufacturer does not recommend specific cleaning agents or methods, use cleaning agents and methods that are not hazardous to health and property and that will not damage exposed surfaces.
- E. Exposed Surfaces: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration until Substantial Completion.
- F. Cutting and Patching:
  - 1. Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, trailings and cuttings, and similar materials.
  - 2. Thoroughly clean piping, conduits, and similar features before applying patching material, paint, or other finishing materials. Restore damaged coverings on piping, ducting, and similar items to its pre-construction condition.
- G. Cleaning of Hydraulic Structures: Clean hydraulic structures that will contain fluid, such as tanks and channels, in accordance with this Section and Section 01 45 53, Cleaning, Testing, and Disinfecting Hydraulic Structures.
- H. Waste Disposal:
  - 1. Properly dispose of waste materials, surplus materials, debris, and rubbish off the Site.
  - 2. Do not burn or bury rubbish and waste materials at the Site.
  - 3. Do not discharge volatile or hazardous substances, such as mineral spirits, oil, or paint thinner, into storm sewers or sanitary sewers.

- 4. Do not discharge wastes into surface waters or drainage routes.
- 5. CONTRACTOR is solely responsible for complying with Laws and Regulations regarding storing, transporting, and disposing of waste generated by CONTRACTOR's operations or brought to the Site by CONTRACTOR.
- I. During handling and installation of materials and equipment, clean and protect construction in progress and adjoining materials and equipment already in place. Apply protective covering where required for protection from damage or deterioration, until Substantial Completion.
- J. Clean completed construction as frequently as necessary throughout the construction period.

## 3.2 CLOSEOUT CLEANING

- A. Complete the following prior to requesting inspection for Substantial Completion:
  - 1. Clean and remove from the Site rubbish, waste material, debris, and other foreign substances.
  - 2. Sweep paved areas broom-clean. Remove petrochemical spills, stains, and other foreign deposits.
  - 3. Hose-clean sidewalks and loading areas.
  - 4. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
  - 5. Leave surface waterways, drainage routes, storm sewers, and gutters open and clean.
  - 6. Repair pavement, roads, sod, and other areas affected by construction operations and restore to specified condition; if condition is not specified, restore to pre-construction condition.
  - 7. Clean exposed exterior and interior hard-surfaced finishes to dirt-free condition, free of spatter, grease, stains, fingerprints, films, and similar foreign substances.
  - 8. Clean, wax, and polish wood, vinyl, and painted floors.
  - 9. Remove debris and surface dust from limited-access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, and similar spaces.
  - 10. In unoccupied spaces, sweep concrete floors broom-clean.
  - 11. Clean transparent materials, including mirrors and glazing in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
  - 12. Remove non-permanent tags and labels.
  - 13. Surface Finishes:
    - a. Touch-up and otherwise repair and restore chipped, scratched, dented or otherwise marred surfaces to specified finish and match adjacent surfaces.
    - b. Do not paint over "UL" or similar labels, including mechanical and electrical nameplates.

- 14. Wipe surfaces of mechanical and electrical equipment, and similar equipment. Remove excess lubrication, paint, and mortar droppings, and other foreign substances.
- 15. Clean plumbing fixtures to sanitary condition, free of stains, including stains resulting from water exposure.
- 16. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
- 17. Clean lighting fixtures, lamps, globes, and reflectors to function with full efficiency. Replace temporary lamps provided in permanent fixtures. Replace existing lighting fixture components that are burned out or noticeably dimmed from use during construction. Replace defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.
- 18. Leave the Site clean, and in neat, orderly condition, satisfactory to OWNER and ENGINEER.
- B. Complete the following prior to requesting final inspection:
  - 1. Following completion of the Work on the "punch list" of Work uncompleted at Substantial Completion, clean in accordance with Paragraph 3.2.A of this Section.

## SECTION 01 75 11

## CHECKOUT AND STARTUP PROCEDURES

## PART 1 – GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall initially start up and place equipment and systems installed under the Contract into successful operation, in accordance with the equipment manufacturer's written instructions and as instructed by Supplier at the Site.
  - 2. Provide all material, labor, tools, and equipment required to complete equipment checkout and start-up.
  - 3. OWNER will provide Fluoride as required for start-up of equipment.
  - 4. Provide fuel, electricity, water, filters, and other expendables required for startup of equipment, unless otherwise specified.
  - 5. General activities by CONTRACTOR include the following:
    - a. Cleaning, as required under other provisions of the Contract Documents.
    - b. Removing temporary protective coatings.
    - c. Flushing and replacing lubricants, where required by manufacturer.
    - d. Lubrication.
    - e. Checking shaft and coupling alignments and resetting where required.
    - f. Checking and setting motor, pump, and other equipment rotation, safety interlocks, and belt tensions.
    - g. Checking and correcting (as necessary) leveling plates, grout, bearing plates, anchorage devices, fasteners, and alignment of piping, conduits, and ducts that may place stress on the connected equipment.
    - h. Performing all adjustments required.
- B. Coordination:
  - 1. Coordinate checkout and start-up with other contractors, as necessary.
  - 2. Do not start up system or subsystem for continuous operation until all components of that system or subsystem, including instrumentation and controls, have been tested to the extent practicable and proven to be operable as intended by the Contract Documents.
  - 3. OWNER will furnish sufficient personnel to assist CONTRACTOR in starting up equipment, but responsibility for proper operation is CONTRACTOR's.
  - 4. Supplier shall be present during checkout, startup, and initial operation, unless otherwise acceptable to ENGINEER.
  - 5. Startup of heating equipment, air conditioning equipment, and other equipment that provides cooling or other temperature control, and systems is dependent upon the time of year. Return to the Site at beginning of next heating or cooling season (as applicable) to recheck and start the appropriate systems.

- 6. Do not start up system, unit process, or equipment without submitting acceptable preliminary operations and maintenance manuals by CONTRAC-TOR in accordance with Section 01 78 23, Operations and Maintenance Data.
- C. OWNER's Assumption of Responsibility for Equipment and Systems:
  - 1. OWNER will assume responsibility for the equipment upon Substantial Completion, unless otherwise mutually agreed upon by OWNER and CONTRACTOR or as documented in the certificate of Substantial Completion.
  - 2. Before turning over to OWNER responsibility for operating and maintaining system or equipment CONTRACTOR shall:
    - a. Provide training of operations and maintenance personnel in accordance with Section 01 79 23, Instruction of Operations and Maintenance Personnel.
    - b. Complete performance of equipment and system field quality control testing in accordance with the Contract Documents, to the extent possible.
    - c. Submit acceptable final operations and maintenance manuals in accordance with Section 01 78 23, Operations and Maintenance Data.
    - d. Obtain from ENGINEER final certificate of Substantial Completion for either entire Work or the portion being turned over to OWNER.

## 1.2 SUBMITTALS

- A. Closeout Submittals: Submit the following:
  - 1. Certifications:
    - a. Supplier's certification of installation in accordance with Paragraph 3.1.B of this Section.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

## 3.1 SERVICES OF SUPPLIER

- A. When specified, furnish services of competent, qualified representatives of material and equipment manufacturers, including supervising installation, adjusting, checkout, startup, and testing of materials and equipment.
- B. Certification:
  - 1. When services by Supplier are required at the Site, within 14 days after first test operation of equipment, submit to ENGINEER a letter from Supplier, on Supplier's letterhead, stating that materials and equipment are installed in accordance with Supplier's requirements and installation instructions, and in accordance with the Contract Documents.
  - 2. In lieu of Supplier letter, submit completed form attached to this Section.

3. Include in the final operations and maintenance manual for the associated equipment a copy of the letter or completed form, as applicable.

## 3.2 MINIMUM STARTUP REQUIREMENTS

- A. Bearings and Shafting:
  - 1. Inspect for cleanliness, and clean and remove foreign matter.
  - 2. Verify alignment.
  - 3. Replace defective bearings and those that operate in a rough or noisy manner.
  - 4. Grease as necessary, in accordance with manufacturer's recommendations.
- B. Drives:
  - 1. Adjust tension in V-belt drives and adjust vari-pitch sheaves and drives for proper equipment speed.
  - 2. Adjust drives for alignment of sheaves and V-belts.
  - 3. Clean and remove foreign matter before starting operation.
- C. Motors:
  - 1. Check each motor for comparison to amperage nameplate value.
  - 2. Correct conditions that produce excessive current flow and conditions that exist due to equipment malfunction.
- D. Pumps:
  - 1. Check glands and seals for cleanliness and adjustment before running pump.
  - 2. Inspect shaft sleeves for scoring.
  - 3. Inspect mechanical faces, chambers, and seal rings, and replace if defective.
  - 4. Verify that piping system is free of dirt and scale before circulating liquid through pump.
- E. Valves:
  - 1. Inspect manual and automatic control valves, and clean bonnets and stems.
  - 2. Tighten packing glands to ensure no leakage, but allow valve stems to operate without galling.
  - 3. Replace packing in valves to retain maximum adjustment after system is determined to be complete.
  - 4. Replace packing on valves that continue to leak.
  - 5. Remove, correct, and replace bonnets that leak.
  - 6. After cleaning, coat packing gland threads and valve stems with surface preparation of "Molycote" or "Fel-Pro".
- F. Verify that control valve seats are free of foreign matter and are properly positioned for intended service.
- G. Pipe Joints and Other Connections:
  - 1. Tighten flanges and other pipe joints after system has been placed in operation.
  - 2. Replace gaskets that show signs of leakage after tightening.
  - 3. Inspect all joints for leakage.

- 4. Promptly remake each joint that appears to be faulty; do not wait for rust other corrosion to form.
- 5. Clean threads on both parts, and apply compound and remake joints.
- H. After system has been placed in operation, clean strainers, drives, pockets, orifices, valve seats, and headers in fluid system to ensure freedom from foreign matter.
- I. Open steam traps and air vents, where used, and remove operating elements. Clean thoroughly, replace internal parts, and place back into operation.
- J. Remove rust, scale, and foreign matter from equipment and renew defaced surfaces.
- K. Set and calibrate draft gauges of air filters and other equipment.
- L. Inspect fan wheels for clearance and balance. Provide factory-authorized personnel for adjustment where needed.
- M. Check each electrical control circuit to verify that operation complies with the Contract Documents.
- N. Inspect each pressure gauge, thermometer, and other instruments for calibration. Replace items that are defaced, broken, or that read incorrectly.
- O. Repair damaged insulation.
- P. Excess Gasses and Fluids:
  - 1. Vent gasses trapped in systems.
  - 2. Verify that liquids are drained from all parts of gas or air systems.

## 3.3 ATTACHMENTS

- A. The attachment listed below, following this Section's "End of Section" designation, is a part of this Specification Section.
  - 1. Supplier's Installation Certification Form (one page).

## SUPPLIER'S INSTALLATION CERTIFICATION

Contract No. and Name:		
Equipment Specification Section	on:	
Equipment Name:		
Contractor:		
Manufacturer of Equipment: _		
The undersigned Supplier that Supplier has checke equipment or system, as accordance with the manu that the trial operation of	of the equipment or system described above he d the installation of the equipment or system specified in the Contract Documents, has been facturer's recommendations and the Contract Do the equipment or system has been satisfactory.	ereby certifies and that the n provided in ocuments, and
Comments:		
Date	Supplier Name (print)	
	Signature of Supplier	
Date	Contractor Name (print)	

Signature of Contractor

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## SECTION 01 78 23

## OPERATIONS AND MAINTENANCE DATA

## <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for manufacturers' operations and maintenance manuals and related data to be furnished by CONTRACTOR.
  - 2. CONTRACTOR shall submit operation and maintenance data, in accordance with this Section and in accordance with requirements elsewhere in the Contract Documents, as instructional and reference manuals by operations and maintenance personnel at the Site.
  - 3. Required operation and maintenance data groupings are listed in table(s) in Article 1.2 of this Section. At minimum, submit operation and maintenance data for:
    - a. All equipment and systems.
    - b. Valves, gates, actuators, and related accessories.
    - c. Instrumentation and control devices.
    - d. Electrical equipment.
  - 4. For each operation and maintenance manual, submit the following:
    - a. Preliminary Submittal: Printed and bound copy of entire operation and maintenance manual, except for test data, service reports by Supplier, and submit electronic copies.
    - b. Final Submittal: Printed and bound copy of complete operations and maintenance manual, including test data and service reports by Supplier, and submit electronic copies.

## 1.2 SUBMITTALS

- A. Closeout Submittals: Submit the following:
  - 1. Operation and Maintenance Data:
    - a. Submit the operations and maintenance data indicated in the Contract Documents, grouped into submittals as indicated in Table 01 78 23-A:

<b>TABLE 01 78 23-A, General Contract REQUIRED OPERATIONS AND</b>
MAINTENANCE DATA

Name of O&M Manual/Data	For Materials or Equipment Specified in Section(s)
Packaged Submersible Grinder Pump Station	22 13 33
Electric Domestic Water Heaters	22 33 00
Emergency Plumbing Fixtures	22 45 00
HVAC Systems	
Control Dampers	23 09 23
Axial HVAC Fans	23 34 13

Name of O&M Manual/Data	For Materials or Equipment Specified in Section(s)
Wall and Ceiling Unit Heaters	23 82 39
Piping, Valves and Appurtenances	40 23 26
Instrumentation and Control	40 60 05
Polyethylene Tanks	43 41 00
Skid Mounted Chemical Metering Pumps	46 33 44

## TABLE 01 78 23-B, Electrical Contract REQUIRED OPERATIONS AND MAINTENANCE DATA

	For Materials or Equipment
Name of O&M Manual/Data	Specified in Section(s)
Electrical and Lighting Systems	Division 26

- B. Quantity Required and Timing of Submittals:
  - 1. Preliminary Submittal:
    - a. Electronic Copies: In accordance with Section 01 31 26, Electronic Communication Protocols.
    - b. Submit to ENGINEER by the earlier of: 90 days following approval of Shop Drawings and product data submittals, or 10 days prior to starting training of operations and maintenance personnel, or 10 days prior to field quality control testing at the Site.
  - 2. Final Submittal: Furnish final submittal prior to Substantial Completion, unless submittal is specified as required prior to an interim Milestone.
    - a. Printed Copies: Two copies.
    - b. Electronic Copies: In accordance with Section 01 31 26, Electronic Communication Protocols.

## 1.3 FORMAT OF PRINTED COPIES

- A. Binding and Cover:
  - 1. Bind each operation and maintenance manual in durable, permanent, stiff-cover binder(s), comprising one or more volumes per copy as required. Binders shall be not less than one inch wide and maximum of three inches wide. Binders for each copy of each volume shall be identical.
  - 2. Binders shall be locking three-ring/"D"-ring type, or three-post type. Threering binders shall be riveted to back cover and include plastic sheet lifter (page guard) at front of each volume.
  - 3. Do not overfill binders.
  - 4. Covers shall be oil-, moisture-, and wear-resistant, including identifying information on cover and spine of each volume.
  - 5 Provide the following information on cover of each volume:
    - a. Title: "OPERATING AND MAINTENANCE INSTRUCTIONS".
    - b. Name or type of material or equipment covered in the manual.
    - c. Volume number, if more than one volume is required, listed as "Volume \_\_\_\_\_\_ of \_\_\_\_", with appropriate volume-designating numbers filled in.
    - d. Name of Project and, if applicable, Contract name and number.

- e. Name of building or structure, as applicable.
- 6 Provide the following information on spine of each volume:
  - a. Title: "OPERATING AND MAINTENANCE INSTRUCTIONS".
  - b. Name or type of material or equipment covered in the manual.
  - c. Volume number, if more than one volume is required, listed as "Volume \_\_\_\_\_ of \_\_\_\_", with appropriate volume-designating numbers filled in.
  - d. Project name and building or structure name.
- B. Pages:
  - 1. Print pages in operations and maintenance manual on 30-pound (minimum) paper, 8.5 inches by 11 inches in size.
  - 2. Reinforce binding holes in each individual sheet with plastic, cloth, or metal. When published, separately-bound booklets or pamphlets are part of the manual, reinforcing of pages within booklet or pamphlet is not required.
  - 3. Furnish each page with binding margin not less than one inch wide. Punch each page with holes suitable for the associated binding.
- C. Drawings:
  - 1. Bind into the operation and maintenance manual drawings, diagrams, and illustrations up to and including 11 inches by 17 inches in size, with reinforcing specified for pages.
  - 2. Documents larger than 11 inches by 17 inches shall be folded and inserted into clear plastic pockets bound into the manual. Mark pockets with printed text indicating content and drawing numbers. Include not more than three drawing sheets per pocket.
- D. Copy Quality and Document Clarity:
  - 1. Contents shall be original-quality copies. Documents in the operations and maintenance manual shall be either original manufacturer-printed documents or first-generation photocopies indistinguishable from originals. If original is in color, copies shall be in color. Manuals that contain copies that are unclear, not completely legible, off-center, skewed, or where text or drawings are cut by binding holes, are unacceptable. Pages that contain approval or date stamps, comments, or other markings that cover text or drawing are unacceptable. Faxed copies are unacceptable.
  - 2. Clearly mark in ink to indicate all components of materials and equipment on catalog pages for ease of identification. In standard or pre-printed documents, indicate options furnished or cross out inapplicable content. Using highlighters to so indicate options furnished is unacceptable.
- E. Organization:
  - 1. Table of Contents:
    - a. Provide table of contents in each volume of each operations and maintenance manual.
    - b. In table of contents and not less than once in each chapter or section, identify materials and equipment by their functional names. Thereafter, abbreviations and acronyms may be used if their meaning is clearly

indicated in a table bound at or near beginning of each volume. Using material or equipment model or catalog designations for identification is unacceptable.

2. Use dividers and indexed tabs between major categories of information, such as operating instructions, preventive maintenance instructions, and other major subdivisions of data in each manual.

#### 1.4 FORMAT OF ELECTRONIC COPIES

- A. Electronic Copies of Operation and Maintenance Manuals:
  - 1. Each electronic copy shall include all information included in the corresponding printed copy.
  - 2. Submit electronic copies in accordance with Section 01 31 26, Electronic Communications Protocols.
  - 3. File Format:
    - a. Files shall be in "portable document format" (PDF). Files shall be electronically searchable.
    - b. Submit separate file for each separate document in the printed copy.
    - c. Within each file, provide bookmarks for the following:
      - 1) Each chapter and subsection listed in the corresponding printed copy document's table of contents.
        - 2) Each figure.
        - 3) Each table.
        - 4) Each appendix.
- B. Copies of Programming and Configuration Files:
  - 1. Furnish on CD or portable USB "thumb drive" copy of all software programming, such as programmable logic controller programs, prepared specifically for the Project. Third-party, licensed, commercially available software is excluded from requirements of this Article; submit copies of commercially-available, licensed, third-party software, where required, in accordance with the Contract Documents.
  - 2. Submit on CD or portable USB "thumb drive" copies of system configuration prepared specifically for the Project, such as plant monitoring system and SCADA display configurations.
  - 3. Submit programming and configuration files concurrently with electronic copies of operation and maintenance data.

#### 1.5 CONTENT

- A. General:
  - 1. Prepare each operations and maintenance manual specifically for the Project. Include in each manual all pertinent instructions, as-built drawings as applicable, bills of materials, technical bulletins, installation and handling requirements, maintenance and repair instructions, and other information required for complete, accurate, and comprehensive data for safe and proper operation, maintenance, and repair of materials and equipment furnished for

the Project. Include in manuals specific information required in the Specification Section for the material or equipment, data required by Laws and Regulations, and data required by authorities having jurisdiction.

- 2. Completeness and Accuracy:
  - a. Operation and maintenance manuals that include language stating or implying that the manual's content may be insufficient or stating that the manual's content is not guaranteed to be complete and accurate are unacceptable.
  - b. Operations and maintenance manuals shall be complete and accurate.
  - c. Operation and maintenance manuals shall indicate the specific alternatives and features furnished, and the specific operation and maintenance provisions for the material or equipment furnished.
- 3. Submit complete, detailed written operating instructions for each material or equipment item including: function; operating characteristics; limiting conditions; operating instructions for start-up, normal and emergency conditions; regulation and control; operational troubleshooting; and shutdown. Also include, as applicable, written descriptions of alarms generated by equipment and proper responses to such alarm conditions.
- B. Submit written explanations of safety considerations relating to operation and maintenance procedures.
- C. Submit complete, detailed, written preventive maintenance instructions including all information and instructions to keep materials, equipment, and systems properly lubricated, adjusted, and maintained so that materials, equipment, and systems function economically throughout their expected service life. Instructions shall include:
  - 1. Written explanations with illustrations for each preventive maintenance task such as inspection, adjustment, lubrication, calibration, and cleaning. Include pre-startup checklists for each equipment item and maintenance requirements for long-term shutdowns.
  - 2. Recommended schedule for each preventive maintenance task.
  - 3. Lubrication charts indicating recommended types of lubricants, frequency of application or change, and where each lubricant is to be used or applied.
  - 4. Table of alternative lubricants.
  - 5. Troubleshooting instructions.
  - 6. List of required maintenance tools and equipment.
- D. Submit complete bills of material or parts lists for materials and equipment furnished. Lists or bills of material may be furnished on a per-drawing or per-equipment assembly basis. Bills of material shall indicate:
  - 1. Manufacturer's name, address, telephone number, fax number, and Internet website address.
  - 2. Manufacturer's local service representative's or local parts supplier's name, address, telephone number, fax number, Internet website address, and e-mail addresses, when applicable.
  - 3. Manufacturer's shop order and serial number(s) for materials, equipment or

assembly furnished.

- 4. For each part or piece include the following information:
  - a. Parts cross-reference number. Cross-reference number shall be used to identify the part on assembly drawings, Shop Drawings, or other type of graphic illustration where the part is clearly shown or indicated.
  - b. Part name or description.
  - c. Manufacturer's part number.
  - d. Quantity of each part used in each assembly.
  - e. Current unit price of the part at the time the operations and maintenance manual is submitted. Price list shall be dated.
- E. Submit complete instructions for ordering replaceable parts, including reference numbers (such as shop order number or serial number) that will expedite the ordering process.
- F. Submit manufacturer's recommended inventory levels for spare parts, extra stock materials, and consumable supplies for the initial two years of operation. Consumable supplies are items consumed or worn by operation of materials or equipment, and items used in maintaining the operation of material or equipment, including items such as lubricants, seals, reagents, and testing chemicals used for calibrating or operating the equipment. Include estimated delivery times, shelf life limitations, and special storage requirements.
- G. Submit manufacturer's installation and operation bulletins, diagrams, schematics, and equipment cutaways. Avoid submitting catalog excerpts unless they are the only document available showing identification or description of particular component of the equipment. Where materials pertain to multiple models or types, mark the literature to indicate specific material or equipment supplied. Marking may be in the form of checking, arrows, or underlining to indicate pertinent information, or by crossing out or other means of obliterating information that does not apply to the materials and equipment furnished.
- H. Submit original-quality copies of each approved and accepted Shop Drawing, product data, and other submittal, updated to indicate as-installed condition. Reduced drawings are acceptable only if reduction is to not less than one-half original size and all lines, dimensions, lettering, and text are completely legible on the reduction.
- I. Submit complete electrical schematics and wiring diagrams, including complete point-to-point wiring and wiring numbers or colors between all terminal points.
- J. Programmable Logic Controllers: If programmable logic controllers are furnished under the Contract:
  - 1. Submit complete logic listings in ladder diagram format.
  - 2. Format Requirements:
    - a. For ladder diagram logic, include complete cross-referencing of all logic elements. Annotate all elements with clearly understandable tags or

descriptive labels.

- 3. Submit complete programmable logic controller listing of all input/output address assignments, tag assignments, and pre-set constant values, with functional point descriptions.
- 4. Submit complete manufacturer's programming manuals.
- K. Submit copy of warranty bond and service contract as applicable.
- L. When copyrighted material is used in operations and maintenance manuals, obtain copyright holder's written permission to use such material in the operation and maintenance manual.

## PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION (NOT USED)

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## SECTION 01 78 39

## PROJECT RECORD DOCUMENTS

## <u>PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for Project record documents, to supplement the requirements of the General Conditions, as may be modified by the Supplementary Conditions.
  - 2. CONTRACTOR shall provide all labor, materials, equipment, and services to maintain and submit to ENGINEER Project record documents in accordance with the Contract Documents.
- B. Maintenance of Record Documents:
  - 1. Maintain on Site in clean, dry, legible condition, complete sets of the following record documents: Drawings, Specifications, and Addenda; CONTRACTOR submittals, including records of test results, approved or accepted as applicable, by ENGINEER; Change Orders, Work Change Directives, Field Orders, copies of all interpretations and clarifications issued, photographic documentation, survey data, and all other documents pertinent to the Work.
  - 2. Promptly make record documents available for observation and review upon request of ENGINEER or OWNER.
  - 3. Do not use record documents for any purpose other than serving as Project record.

## 1.2 SUBMITTALS

- A. Closeout Submittals: Submit the following:
  - 1. Record Documents:
    - a. Submit the following Project record documents:
      - 1) Drawings.
      - 2) Project Manual including Specifications and Addenda (bound).
    - b. Prior to readiness for final payment, submit to ENGINEER one copy of Project's final record documents and obtain ENGINEER's acceptance of same. Submit complete record documents; do not make partial submittals.
    - c. Submit both printed record documents and electronic record documents, in accordance with Section 01 31 26, Electronic Communication Protocols.
    - d. Submit record documents with transmittal letter on CONTRACTOR letterhead in accordance with requirements in Section 01 33 00, Submittal Procedures.
  - 2. Certifications:

a. Record documents submittal shall include certification, with original signature of official authorized to execute legal agreements on behalf of CONTRACTOR, reading as follows:

"[*Insert Contractor's corporate name*] has maintained and submitted Project record documentation in accordance with the General Conditions and Supplementary Conditions, Section 01 78 39, Project Record Documents, and other elements of Contract Documents, for the Town of Yorktown, Catskill Water Supply Drinking Water Fluoridation Project. We certify that each record document submitted is complete, accurate, and legible relative to the Work performed under our Contract, and that the record documents comply with the requirements of the Contract Documents.

[*Provide signature, print name, print signing party's corporate title, and date*]"

## 1.3 RECORDING CHANGES

- A. Recording Changes General:
  - 1. At the start of the Project, label each record document to be submitted as, "PROJECT RECORD" using legible, printed letters. Letters on record copy of the Drawings shall be two inches high.
  - 2. Keep record documents current consistent with the progress of the Work. Make entries on record documents within two working days of receipt of information required to record the change.
  - 3. Do not permanently conceal the Work until required information has been recorded for Project record documents.
  - 4. Accuracy of record documents shall be such that future searches for items shown on the record documents may rely reasonably on information obtained from ENGINEER-accepted record documents.
  - 5. Marking of Entries:
    - a. Use erasable, colored pencils (not ink or indelible pencil) for marking changes, revisions, additions, and deletions to record documents.
    - b. Clearly describe the change by graphic line and make notations as required. Use straight-edge to mark straight lines. Writing shall be legible and sufficiently dark to allow scanning of record documents into legible electronic files in portable document format (".PDF").
    - c. Date each entry on record documents.
    - d. Indicate changes by drawing a "cloud" around the change(s) indicated.
    - e. Mark initial revisions in red. In the event of overlapping changes, use different colors for subsequent changes.
- B. Drawings:
  - 1. Record changes on copy of the Drawings. Submittal of CONTRACTORoriginated or -produced drawings as a substitute for recording changes on a copy of the Drawings is unacceptable.

- 2. Record changes on plans, sections, elevations, schematics, schedules, and details as required for clarity, making reference dimensions and elevations (to Project datum) for complete record documentation.
- 3. Record actual construction including:
  - a. Depths of various elements of foundation relative to Project datum.
  - b. Horizontal and vertical location of Underground Facilities referenced to permanent surface improvements and project elevation datum. For each Underground Facility, including pipe fittings, show and indicate dimensions to not less than two permanent, visible surface improvements.
  - c. Location of exposed utilities and appurtenances concealed in construction, referenced to visible and accessible features of structure and, where applicable, to Project elevation datum.
  - d. Changes in structural and architectural elements of the Work, including changes in reinforcing.
  - e. Field changes of dimensions, arrangements, and details.
  - f. Changes made in accordance with Addenda, Change Orders, Work Change Directives, and Field Orders.
  - g. Changes in details on the Drawings. Submit additional details prepared by CONTRACTOR when required to document such changes.
- 4. Recording Changes for Schematic Layouts:
  - a. In some cases on the Drawings, arrangements of conduits, circuits, piping, ducts, and similar items are shown schematically and are not intended to portray physical layout. For such cases, the final physical arrangement shall be determined by CONTRACTOR subject to acceptance by ENGINEER.
  - b. Record on the Project record documents all revisions to schematics on the Drawings, including: piping schematics, ducting schematics, process and instrumentation diagrams, control and circuitry diagrams, electrical one-line diagrams, motor control center layouts, and other schematics when included in the Drawings. Show and indicate actual locations of equipment, lighting fixtures, in-place grounding system, and other pertinent data.
  - c. When dimensioned plans and dimensioned sections or elevations on the Drawings show the Work schematically, indicate on the record documents, by dimensions accurate to within one inch in the field, centerline location of items of Work such as conduit, piping, ducts, and similar items
    - 1) Clearly identify each item of the Work by accurate notations such as "cast iron drain", "rigid electrical conduit", "copper waterline", and similar descriptions.
    - 2) Show by symbol or by note the vertical location of each item of the Work; for example, "embedded in slab", "under slab", "in ceiling plenum", "exposed", and similar designations. For piping not embedded, also indicate elevation dimension relative to Project elevation datum.
    - 3) Descriptions shall be sufficiently detailed to be related to the Specifications.

- d. ENGINEER may furnish written waiver of requirements relative to schematic layouts shown on plans, sections, and elevations when, in ENGINEER's judgment, dimensioned layouts of Work shown schematically will serve no useful purpose. Do not rely on such waiver(s) being issued.
- 5. Supplemental Drawings:
  - a. In some cases, drawings produced during construction by ENGINEER or CONTRACTOR supplement the Drawings and shall be included with Project record documents submitted by CONTRACTOR. Supplemental record drawings shall include drawings or sketches that are part of Change Orders, Work Change Directives, and Field Orders and that cannot be incorporated into the Drawings because of space limitations.
  - b. Supplemental drawings submitted with record drawings shall be integrated with the Drawings and include necessary cross-references between drawings. Supplemental record drawings shall be on sheets the same size as the Drawings.
  - c. When supplemental drawings developed by CONTRACTOR using computer-aided drafting/design (CADD) software are to be included in record drawings, submit electronic files for such drawings in accordance with Section 01 31 26, Electronic Communication Protocols, as part of record drawing submittal. Label such files, "Supplemental Record Drawings", including with CONTRACTOR's name, Project name, and Contract designation.
- C. Specifications and Addenda:
  - 1. Mark each Specifications Section to record:
    - a. Manufacturer, trade name, catalog number, and Supplier of each material and equipment item actually provided.
    - b. Changes made by Addendum, Change Orders, Work Change Directives, and Field Orders.

## 1.4 ELECTRONIC FILES FURNISHED BY ENGINEER

- A. CADD files of the Drawings will be furnished by ENGINEER upon the following conditions:
  - 1. CONTRACTOR shall submit to ENGINEER a letter on CONTRACTOR letterhead requesting CADD files of the Drawings and indicating specific definition(s) or description(s) of how such files will be used, and specific description of benefits to OWNER (including credit proposal, if applicable) if the request is granted.
  - 2. CONTRACTOR shall execute ENGINEER's standard agreement for release of electronic files and shall abide by the provisions of such agreement for release of electronic files.
  - 3. Layering system incorporated in CADD files shall be maintained as transmitted by ENGINEER. CADD files transmitted by ENGINEER containing crossreferenced files shall not be bound by CONTRACTOR. Drawing crossreferences and paths shall be maintained. If CONTRACTOR alters layers or
cross-reference files, CONTRACTOR shall restore all layers and cross-references prior to submitting record documents to ENGINEER.

4. CONTRACTOR shall submit record drawings to ENGINEER in same CADD format that files were furnished to CONTRACTOR.

## PART 2 – PRODUCTS (NOT USED)

### PART 3 - EXECUTION (NOT USED)

+ + END OF SECTION + +

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### SECTION 01 78 43

### SPARE PARTS AND EXTRA MATERIALS

### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes administrative and procedural requirements for furnishing spare parts, extra materials, maintenance supplies, and special tools required for maintenance (collectively, "spare parts and extra materials") required by the Contract Documents.
  - 2. CONTRACTOR shall furnish spare parts, extra materials, and associated information, for materials and equipment furnished in accordance with the Contract Documents. Furnish such items in accordance with the requirements of this Section and the Specifications sections in which such items are indicated.
  - 3. CONTRACTOR shall be fully responsible for loss and damage to spare parts and extra materials until such items are received by OWNER's facility manager.
  - 4. Promptly replace spare parts and extra materials furnished by OWNER to CONTRACTOR for use in remedying defective Work.
- B. List of Spare Parts and Extra Materials:
  - 1. With the Shop Drawings and product data submittals for each Specifications section, submit a complete listing of spare parts and extra materials required for maintenance for two years of operation, together with unit prices in current United States funds, and source(s) of supply for each.
  - 2. Also include listing of spare parts and extra materials, with pricing and sources, in the operations and maintenance data submitted in accordance with Section 01 78 23, Operations and Maintenance Data.

#### 1.2 SUBMITTALS

- A. Maintenance Material Submittals: Submit the following:
  - 1. Spare Parts and Extra Materials:
    - a. Furnish to OWNER in accordance with requirements of this Section, and the Specifications section in which the spare parts and extra materials are specified.
  - 2. Transfer Documentation: For each delivery of spare parts and extra materials, submit to ENGINEER the following:
    - a. Submit, on CONTRACTOR's letterhead, a letter of transmittal for spare parts and extra materials furnished under each Specifications section. Letter of transmittal shall accompany spare parts and extra materials. Do

not furnish letter of transmittal separate from associated spare parts and extra materials.

- b. Furnish three original, identical, signed letters of transmittal for each delivery of spare parts and extra materials furnished under each Specifications section. Upon delivery of specified quantities and types of spare parts and extra materials to OWNER, designated person from OWNER will countersign each original letter of transmittal indicating OWNER's receipt of spare parts and extra materials in the quantity, type, and quality required by the Contract Documents. OWNER will retain two fully-signed originals. CONTRACTOR shall retain one fully-signed original for CONTRACTOR's records.
- c. Letter of transmittal shall include the following:
  - 1) Information required for letters of transmittal in Section 01 33 00, Submittal Procedures.
  - 2) Transmittal shall list spare parts and extra materials furnished under each Specifications Section. List each individual part, material, equipment item, tool, and product and the associated quantity furnished.
  - 3) Include space for countersignature by OWNER as follows: space for signature, space for printed name, and date.

### 1.3 DELIVERY, STORAGE, AND HANDLING

- A. Packaging and Labeling of Spare Parts and Extra Materials:
  - 1. Furnish spare parts and extra materials in manufacturer's unopened cartons, boxes, crates, or other original, protective covering suitable for preventing corrosion and deterioration for maximum length of storage normally anticipated by manufacturer.
  - 2. Packaging of spare parts and extra materials shall be clearly marked and identified with name of manufacturer, applicable material or equipment, part number, part description, and part location in the equipment or system.
  - 3. Protect and package spare parts and extra materials for maximum shelf life normally anticipated by manufacturer.
- B. Storage Prior to Delivery to Owner:
  - 1. Prior to furnishing spare parts and extra materials to OWNER, store spare parts and extra materials in accordance with the Contract Documents and manufacturers' recommendations.
- C. Procedure for Delivery to Owner:
  - 1. Deliver spare parts and extra materials to OWNER's permanent storage rooms at the Site or area(s) at the Site designated by OWNER.
  - 2. When spare parts and extra materials are delivered, CONTRACTOR and OWNER will mutually inventory the spare parts and extra materials delivered to verify compliance with the Contract Documents regarding quantity, part numbers, and quality.

- 3. Additional procedures for delivering spare parts and extra materials to OWNER, if required, will be developed by ENGINEER and complied with by CONTRACTOR.
- 4. CONTRACTOR shall reimburse OWNER for all costs and expenses incurred by OWNER, including professional services, for delivery of inadequate, incorrect, or defective spare parts and extra materials. OWNER may withhold such amounts from payments due CONTRACTOR via set-offs in accordance with the Contract Documents.
- D. Delivery Time and Eligibility for Payment:
  - 1. Deliver to OWNER spare parts and extra materials prior to date of Substantial Completion for materials and equipment associated therewith.
  - 2. Do not deliver spare parts and extra materials before commencing startup for associated material or equipment.
  - 2. Spare parts and extra materials are not eligible for payment until delivered to OWNER and CONTRACTOR's receipt of OWNER's countersignature on letter of transmittal.

# PART 2 – PRODUCTS (NOT USED)

# PART 3 – EXECUTION (NOT USED)

+ + END OF SECTION + +

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### SECTION 01 79 13

#### SYSTEM AND FACILITY PERFORMANCE TESTING PROCEDURES

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. This Section includes requirements for operation of specific systems or facility by CONTRACTOR and associated performance testing.
  - 2. CONTRACTOR shall provide all labor, materials, services, equipment, and incidentals required for performance testing as indicated in the Contract Documents.
  - 3. Perform required performance testing for each item of process; mechanical; instrumentation and control; plumbing; heating, ventilating, and air conditioning (HVAC); electrical systems and equipment; and other systems and equipment; as indicated in Table 01 79 13-A of this Section, to demonstrate compliance with the performance requirements of the Contract Documents.
  - 4 Objectives of performance testing are to:
    - a. Demonstrate to satisfaction of OWNER and ENGINEER that systems, materials, and equipment tested comply with all functional and performance requirements in the Contract Documents.
    - b. Demonstrate that facility, or designated portion thereof, is substantially complete.
    - c. Establish baseline operating conditions for OWNER's use in establishing standard operating procedures and preventative maintenance programs.
  - 5. Utilities and Consumables:
    - a. CONTRACTOR shall provide the following: electricity, fuel, compressed air, chemicals, temporary piping and appurtenances, and all other items, work, and services required for completing performance testing.
    - b. OWNER will provide the following: water for initial performance testing. CONTRACTOR shall provide temporary piping and appurtenances required to convey to the testing location utilities and consumables furnished by OWNER. If re-testing is required, cost of utilities and consumables furnished by OWNER for initial testing shall be paid by CONTRACTOR at OWNER's cost or standard rates, as applicable. OWNER may deduct such costs from payments due CONTRACTOR as set-offs, in accordance with the Contract Documents.
  - 6. Sequence: The following general sequence applies to performance testing required under this Section:
    - a. Furnish submittals required prior to performance testing, in accordance with this Section.

- b. Complete the Work associated with starting and placing equipment in operation.
- c. To the extent practicable, complete field quality control Work, including testing at the Site, specified in Specifications Sections for individual materials, equipment items, and systems.
- d. Perform the performance testing in accordance with this Section, simulating the range of actual operating conditions to the greatest extent possible.
- e. Successful completion of performance testing is required to achieve Substantial Completion.
- B. Coordination:
  - 1. Review procedures under this and other Sections and coordinate installation and testing of items that will be started up and tested with or before performance testing Work.
  - 2. Notify other contractors in advance of performance testing Work to provide other contractors with sufficient time for installing and testing items included in their contracts that will be installed and tested with or before performance testing Work.

## 1.2 TERMINOLOGY

- A. The following words or terms are not defined but, when used in this Section, have the following meaning:
  - 1. A "system" includes all required items of materials, equipment, devices, and appurtenances connected so that their operation or function complements, protects, or controls the operation or function of the others.

## 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Contractor's Performance Testing Manager:
    - a. Appoint a performance testing manager, who shall:
      - 1) Manage, coordinate, and supervise CONTRACTOR's performance testing.
      - 2) Assist in coordinating and documenting field quality control Work specified in individual Specifications Sections.
      - 3) Prepare, or review and approve, all submittals for the Work under this Section.
      - 4) Assist in coordinating activities of Subcontractors and Suppliers relative to performance testing.
      - 5) Make frequent visits to the Site during performance testing.
    - b. Experience:
      - 1) Performance testing manager shall be an operations engineer or a qualified operations specialist, having not less than five years of experience in work similar to that required, or experience on not less than five separate projects, in managing performance testing of

process, mechanical, instrumentation and control, HVAC, and electrical systems similar in scope and extent to those to be performance-tested under the Project.

- 2) Operations Engineer: Shall be a graduate of four-year course in mechanical or civil engineering at an accredited college or university.
- 3) Operations Specialist: Shall have equivalent experience in operation and maintenance of facility similar to the systems and facility to be performance-tested under the Project.
- B. Pre-performance Testing Conference:
  - 1. General:
    - a. After initial submittal of documentation plan and performance testing plan and prior to starting performance testing, arrange a meeting at the Site.
    - b. Record discussions of conference and decisions and agreements and disagreements and furnish a copy of record to each party attending.
  - 2. Required Attendees:
    - a. CONTRACTOR's performance testing manager.
    - b. CONTRACTOR's other key personnel.
    - c. Material and equipment Suppliers' technical representatives.
    - d. Authorities having jurisdiction over operating permit(s).
    - e. Utility owners (Northern Westchester Joint Water Works).
    - f. ENGINEER.
    - g. OWNER.
    - h. Other representatives directly concerned with performance testing Work.
  - 3. At pre-performance testing conference, review foreseeable methods and procedures relating to performance testing Work including:
    - a. Review Project requirements including Contract Documents, submittals related to performance testing, interpretations and clarifications relative to performance testing, and other pertinent documents.
    - b. Review required submittals, both completed and to be completed.
    - c. Review status of the equipment and systems to be performance-tested and work to be completed prior to commencing performance testing.
    - d. Review Progress Schedule and testing schedule.
    - e. Review status of utilities and consumables required for performance testing.
    - f. Review required observations, inspections, testing, certifying, and quality control procedures.
    - g. Review methods for complying with Laws and Regulations and requirements of authorities having jurisdiction, such as compliance with facility operating permit requirements, insurance requirements, environmental protection, health, safety, fire, and similar regulations.
  - 4. Reconvene the conference at earliest opportunity if additional information must be developed to conclude the conference's required topics.
  - 5. Record revisions or changes agreed upon, reasons therefor, and entities agreeing or disagreeing with them.

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Documentation plan, in accordance with Article 1.5 of this Section.
  - 2. Performance testing plans, in accordance with Article 1.5 of this Section.
- B. Informational Submittals: Submit the following:
  - 1. Records of pre-performance testing conference.
  - 2. Testing schedules, in accordance with Article 1.5 of this Section.
  - 3. Notices: Written notice to ENGINEER and OWNER not less than 72 hours prior to beginning each performance test.
  - 4. Field Quality Control Submittals: All records produced during, and results of, performance testing.
  - 5. Qualifications Statements:
    - a. Testing laboratory qualifications and certifications, if not previously submitted and accepted under other Sections.
    - b. Qualifications of CONTRACTOR's performance testing manager and other required performance testing personnel.

### 1.5 DOCUMENTATION PLAN, PERFORMANCE TESTING PLAN, AND TESTING SCHEDULE

- A. Documentation Plans: Develop recordkeeping system to document compliance with requirements of this Section and authorities having jurisdiction. Documentation plan shall include:
  - 1. Calibration documentation including identification (by make, manufacturer, model, and serial number) of all test equipment, date of original calibration, date(s) of subsequent calibrations, calibration method, and test laboratory verifying calibration.
  - 2. Documentation to be furnished for each material, equipment item, and system to be tested shall include date of test, material designation, equipment tag number or system name, nature of test, test objectives, test results, test instruments employed, and signature spaces for CONTRACTOR's performance testing manager and OWNER's and ENGINEER's witnesses. Establish separate electronic (and, where applicable, paper) file for each system and equipment item to be tested. Files shall include the following information, as applicable, when associated tests, source quality control, or field quality control measures are required in the Contract Documents:
    - a. Source quality control (factory) tests.
    - b. Field calibration tests, in accordance with the Contract Documents.
    - c. Field hydrostatic tests for materials, equipment, and systems that operate under pressure, in accordance with the Contract Documents.
    - d. Other field quality control testing, in accordance with the Contract Documents
  - 3. Forms:
    - a. Develop forms specific to each item of materials, equipment, and system being tested, to document results of testing.

- b. Furnish forms approved by ENGINEER in sufficient quantity to document all testing Work.
- B. Performance Testing Plans:
  - 1. Develop performance testing plans describing in detail coordinated, sequential performance testing of each material, equipment item, and system to be performance-tested under this Section. Each performance testing plan shall be specific to the material, equipment item, and system to be performance-tested, and shall identify by specific equipment or tag number each device or control station to be manipulated or observed during performance testing, and specific results to be observed or obtained. Performance testing plans shall be specific regarding support systems required to complete the performance testing Work, temporary devices and systems required (if any) during performance testing, and planned performance testing duration.
  - 2. Performance testing plans shall include:
    - a. Summary of startup, checkout, and field quality control testing required for each material, equipment item, and system to be performance-tested, prior to starting performance testing.
    - b. Calibration of all field instruments and control devices.
    - c. Description of and information on temporary systems, equipment, and devices proposed for performance testing, including calibration data for temporary instrumentation and controls.
    - d. Plan and procedures for implementing performance testing of materials, equipment items, and systems.
    - e. Description of data reduction required, if any, and proposed time between collection of data and submittal of results to ENGINEER.
    - f. Summary of criteria for acceptance of test results. Summary shall include performance tolerances (if any) included in the Contract Documents. Where performance tolerances are not included in the Contract Documents, testing plan shall include proposed performance tolerances. Where CONTRACTOR-proposed performance tolerances are proposed to and accepted by ENGINEER and OWNER, said tolerances shall be binding on the parties as criteria upon which acceptability of performance testing results will be evaluated.
  - 3. Performance testing plans shall contain complete description of proposed procedures to achieve desired testing environment.
  - 4. Following ENGINEER's approval of performance testing plans, CONTRACTOR shall reproduce performance testing plans in sufficient quantity for CONTRACTOR'S purposes plus two copies to ENGINEER and two copies to OWNER. Do not start performance testing until required quantity of approved performance testing plans is provided.
- C. Testing Schedule:
  - 1. Prepare and submit a testing schedule that sets forth the planned sequence for performance testing Work.

- 2. Testing schedule shall be a subset of the Progress Schedule and shall comply with the Contract Documents' requirements for the Progress Schedule, except as otherwise specified in this Section.
- 3. Test schedule shall:
  - a. Detail the materials, equipment items, and systems to be performancetested, and the testing duration required for each.
  - b. Show and indicate planned start date, duration, and completion of each performance test and related activities.
  - c. Submitted not later than 28 days prior to the date performance testing is to begin. ENGINEER will not witness performance testing Work until test schedule is submitted to and accepted by ENGINEER.
  - d. Be updated and resubmitted to ENGINEER not less than weekly. Updates shall indicate actual dates of performance testing Work, indicating systems and equipment for which performance testing is in progress, and that are satisfactorily completed in accordance with the Contract Documents and the previously-approved submittals furnished under this Section.

# PART 2 – PRODUCTS (NOT USED)

## PART 3 – EXECUTION

## 3.1 PREPARATION

- A. Before starting the performance testing under this Section, complete the following:
  - 1. Prepare and align equipment in accordance with equipment Specifications and Section 01 73 19, Installation.
  - 2. To the extent practicable, complete equipment tests and checkout in accordance with the Contract Documents and manufacturers' recommendations.
  - 3. Complete other tests required by the Contract Documents, including instrumentation and controls calibration and testing, piping tests, electrical tests, and other tests required prior to full operation of the system or facility.
  - 4. Complete the Work required in Section 01 75 11, Checkout and Startup Procedures, for the materials, equipment items, and systems to be performance-tested under this Section.
- B. Temporary Systems and Devices Required for Performance Testing:
  - 1. Minimize the need for temporary systems and devices required for performance testing under this Section.
  - 2. Provide temporary connections and bulkheads as required, and make other provisions to recirculate process fluids and gasses as required, or otherwise simulate the range of anticipated operating conditions for the materials, equipment items, and systems being tested. During performance testing, CONTRACTOR's performance testing manager and team shall monitor the

characteristics of each material, equipment item, and system being tested and report unusual conditions to ENGINEER.

- 3. Properly install temporary systems. Test temporary equipment and devices in accordance with manufacturer's instructions to verify suitability for use in performance testing. Test temporary piping in accordance with requirements for associated permanent piping.
- 4. Calibration and Loop Testing of Temporary Instruments and Controls: Calibrate and test all loops and associated instruments and control devices, in accordance with instrumentation and controls Sections of Division 40 and other applicable provisions of the Contract Documents.

### 3.2 PERFORMANCE TESTING

- A. CONTRACTOR's performance testing manager shall organize teams comprising qualified representatives of Suppliers, Subcontractors, CONTRACTOR's independent testing laboratory (if applicable), and others as appropriate, to efficiently complete performance testing Work within the Contract Times and in accordance with the accepted Progress Schedule.
- B. Performance testing shall be done in accordance with the approved performance testing plan, approved documentation plan, and accepted testing schedule. Performance-test the systems and equipment items indicated in Table 01 79 13-A of this Section.
- C. System Performance Tests:
  - 1. Testing:
    - a. Duration:
      - 1) Operate and performance-test the system (or each portion thereof, as applicable) and equipment for sufficient period of time to determine: operating characteristics of materials, equipment, and systems, including noise, temperatures, and vibration; observe its performance characteristics; and for adjustment of controls and appurtenances.
      - 2) The minimum duration of performance testing for each system or equipment item shall be the number of continuous and consecutive days specified in Table 01 79 13-A in this Section. Indicate the proposed performance testing duration in the testing plan and testing schedule.
      - 3) Duration of performance testing shall be in accordance with the approved testing plan and accepted testing schedule.
    - b. When testing requires availability of temporary systems such as temporarily "looped" piping, temporary or standby electrical power, temporary compressed air, or temporary instrumentation and controls, provide acceptable alternate sources that meet the requirements of system and equipment being tested.
    - c. Disposal site for test media that will contain Constituents of Concern or that have the potential, upon disposal, to create a Hazardous

Environmental Condition, are subject to review and acceptance by OWNER and ENGINEER.

- d. During performance testing, CONTRACTOR shall obtain baseline operating data on equipment with motors greater than one horsepower. Baseline data shall include amperage, bearing temperatures, and vibration data obtained at intervals in the approved testing plan. Methods of measurement shall be in accordance with industry standards applicable for the motors being tested.
- 2. Test Interruption: Should testing be halted for any reason, repeat the performance testing until specified continuous testing period is completed for the material, equipment item, or system without interruption, in accordance with the Contract Documents.
- 3. Test Results and Re-testing: The following applies to the entire system tested and to portions thereof:
  - a. Successful test results shall indicate compliance with the Contract Documents. If performance tolerances are not specified in the Contract Documents, test results shall comply with tolerances established in testing plan submittal approved by ENGINEER.
  - b. When results of performance testing fail to comply with the Contract Documents regarding such test, CONTRACTOR shall make adjustments and repairs as required and shall repeat the tests as required until compliance with the Contract Documents is achieved.
  - c. Re-testing Because of Disputed Testing Results or Procedures: In the case of an otherwise satisfactory performance test, when there is doubt, disagreement, or difference between ENGINEER and CONTRACTOR regarding testing results, methods, or equipment used in performance testing, ENGINEER may order CONTRACTOR to repeat the testing. If repeat testing using such modified methods or equipment required by ENGINEER confirms the previous test, all costs of repeat test will be paid by OWNER. Otherwise all costs, including costs of engineering, labor, testing agencies, inspections, and other performance testing-related costs shall be paid by CONTRACTOR.

## 3.3 REQUIRED PERFORMANCE TESTING

- A. The schedules listed below, following this Section's "End of Section" designation, are a part of this Specifications Section.
  - 1. Table 01 79 13-A, Required Performance Testing.

#### + + END OF SECTION + +

### TABLE 01 79 13-A, REQUIRED PERFORMANCE TESTING

Name of System or Principal		Performance		
Equipment to be	Appurtenant or Associated	<b>Testing Minimum</b>	Systems or Equipment to be Tested	
Performance-Tested	Systems or Equipment <sup>(1)</sup>	Duration (days)	Concurrently with this System <sup>(2)</sup>	Remarks
1. Fluoride Feed System	Fluoride Storage System	5	Instrumentation & Control	
2.				
3.				
4.				

Notes:

- (1) The appurtenant or associated systems or equipment listed in this column may not completely name all systems or equipment associated with the operation of the principal system or equipment being performance-tested. Performance-test all appurtenant systems and equipment associated with the principal system or equipment, when the appurtenant system or equipment is required for operation of the principal system or equipment being performance-tested.
- (2) Performance-test all appurtenant systems and equipment associated with the principal system or equipment being performance-tested and the additional systems or equipment listed in this column.

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### SECTION 01 79 23

#### INSTRUCTION OF OPERATIONS AND MAINTENANCE PERSONNEL

### PART 1 – GENERAL

### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall furnish services of Supplier's operation and maintenance training specialists to instruct OWNER's and facility manager's personnel in recommended operating and maintenance procedures for materials and equipment furnished, in accordance with the Contract Documents.
  - 2. Supplier shall provide a combination of classroom and field training at the Site, unless otherwise required elsewhere in the Contract Documents.
  - 3. OWNER or facility manager reserves the right to record training sessions on video for OWNER's later use in instructing OWNER's or facility manager's personnel.
- B. Scheduling of Training Sessions:
  - 1. General:
    - a. CONTRACTOR shall coordinate training services with start-up and initial operation of materials and equipment on days and times, and in manner, acceptable to OWNER, in accordance with the Contract Documents.
    - b. Training may be required outside of normal business hours to accommodate schedules of operations and maintenance personnel. Furnish training services at the required days and times at no additional cost to OWNER.
  - 2. Prerequisites to Training:
    - a. Training of facility operations and maintenance personnel shall commence after preliminary operation and maintenance data has been submitted and accepted by ENGINEER, and Work required in Section 01 75 11, Checkout and Startup Procedures is complete.
    - b. At option of OWNER or ENGINEER, training may be allowed to take place before, during, or after equipment startup.
  - 3. Training Schedule Submittal:
    - a. Training Schedule Required: CONTRACTOR shall prepare and submit proposed training schedule for review and acceptance by ENGINEER and OWNER. Proposed training schedule shall show and indicate all training required in the Contract Documents, and shall demonstrate compliance with specified training requirements relative to number of hours of training for various elemnts of the Work, number of training sessions, and scheduling.
    - b. Training Schedule Coordination: When Project has multiple prime contracts, prime contractors shall comply with this Section. All prime

contractors shall coordinate with the General CONTRACTOR in developing a single training schedule submittal for the entire Project, to be submitted by General CONTRACTOR. All prime contractors shall implement training in accordance with the approved training schedule.

- c. Timing of Training Schedule Submittal: Submit initial training schedule not less than 30 days before scheduled start of first training session. Submit final training schedule, incorporating revisions in accordance with ENGINEER's comments, not later than 10 days prior to starting the first training session.
- d. OWNER reserved the right to modify personnel availability for training in accordance with process or emergency needs at the facility.

#### 1.2 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Manufacturer's Instructors:
    - a. Shall be factory-trained by manufacturer of material or equipment.
    - b. Manufacturer's instructors shall be proficient and experienced in performing training of the type required.
    - c. Instructors shall be proficient in spoken and written English language.
    - d. Qualifications of instructors are subject to acceptance by ENGINEER. If ENGINEER does not accept qualifications of proposed instructor, furnish services of replacement instructor with acceptable qualifications.

#### 1.3 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Training Schedule: Detailed schedule of training sessions, demonstrating compliance with number of training sessions, hours required in the Contract Documents, and complying with the Contract Times. Submit training schedule submittals in accordance with time frames specified in this Section.
- B. Informational Submittals: Submit the following:
  - 1. Lesson Plan: Acceptable lesson plan for training on each material or equipment item, in accordance with Table 01 79 23-A and the Contract Documents. Lesson plan shall comply with requirements of this Section as may be supplemented by Specifications Sections where materials and equipment are specified. Include with lesson plan copy of handouts that will be used during training sessions. Furnish lesson plan submittals in accordance with time frames specified in this Section.
  - 2. Qualifications:
    - a. Credentials of manufacturer's proposed operations and maintenance instructor(s). Credentials shall demonstrate compliance with requirements of this Section and shall include brief resume' and specific details of instructor's operating, maintenance, and training experience relative to the specific material and equipment for which instructor will provide training.

- C. Closeout Submittals: Submit the following:
  - 1. Trainee sign-in sheets for each training session. Submit to OWNER's training coordinator with copy to ENGINEER.

### 1.4 LESSON PLAN

- A. Supplier's lesson plan shall describe specific instruction topics, system components for which training will be furnished, and training procedures. Handouts, if any, to be used in training shall be included with the lesson plan. Describe in lesson plan "hands-on" demonstrations planned for training sessions.
- B. Submit acceptable lesson plan not less than 10 days prior to starting associated training.
- C. Indicate in lesson plan estimated duration of each training segment.
- D. Lesson plan shall include the following:
  - 1. Material and Equipment Overview (required for all types of operations and maintenance training):
    - a. Describe material and equipment's operating (process) function and performance objectives.
    - b. Describe material and equipment's fundamental operating principles and dynamics.
    - c. Identify equipment's mechanical, electrical, and electronic components and features. Group related components into subsystems and describe function of subsystem and subsystem's interaction with other subsystems.
    - d. Identify all support materials and equipment associated with operation of subject equipment, such as air intake filters, valve actuators, motors, and other appurtenant items and equipment.
    - e. Identify and describe safety precautions and potential hazards related to operation.
    - f. Identify and describe in detail safety and control interlocks.
  - 2. Operations Personnel Training:
    - a. Material and Equipment Overview: As described in Paragraph 1.4.D.1 of this Section.
    - b. Operation:
      - 1) Describe operating principles and practices.
      - 2) Describe routine operating, startup, and shutdown procedures.
      - 3) Describe abnormal or emergency startup, operating, and shutdown procedures that may apply.
      - 4) Describe alarm conditions and responses to alarms.
      - 5) Describe routine monitoring and recordkeeping procedures.
      - 6) Describe recommended housekeeping procedures.
    - c. Troubleshooting:
      - 1) Describe how to determine if corrective maintenance or an operating parameter adjustment is required.

- 3. Mechanical Maintenance Training:
  - a. Material and Equipment Overview: As described in Paragraph 1.4.D.1 of this Section.
  - b. Material and Equipment Preventive Maintenance:
    - 1) Describe preventative maintenance inspection procedures required to:
      - a) Inspect materials and equipment in operation.
      - b) Identify potential trouble symptoms and anticipate breakdowns.
      - c) Forecast maintenance requirements (predictive maintenance).
    - 2) Define recommended preventative maintenance intervals for each component.
    - 3) Describe lubricant and replacement part recommendations and limitations.
    - 4) Describe appropriate cleaning practices and recommend intervals.
    - 5) Identify and describe use of special tools required for maintenance of materials and equipment.
    - 6) Describe component removal, installation, and disassembly and assembly procedures.
    - 7) Perform "hands-on" demonstrations of preventive maintenance procedures.
    - 8) Describe recommended measuring instruments and procedures, and provide instruction on interpreting alignment measurements, as appropriate.
    - 9) Define recommended torquing, mounting, calibrating, and aligning procedures and settings, as appropriate.
    - 10)Describe recommended procedures to check and test equipment following corrective maintenance.
  - c. Equipment Troubleshooting:
    - 1) Define recommended systematic troubleshooting procedures.
    - 2) Provide component-specific troubleshooting checklists.
    - 3) Describe applicable materials and equipment testing and diagnostic procedures to facilitate troubleshooting.
    - 4) Describe common corrective maintenance procedures with "hands-on" demonstrations.
- 4. Instrumentation/Controls and Electrical Maintenance Training:
  - a. Materials and Equipment Overview: As described in Paragraph 1.4.D.1 of this Section.
  - b. Preventative Maintenance and Troubleshooting of Instrumentation and Control Systems.
  - c. Preventative Maintenance and Troubleshooting of Other Electrical Systems: In accordance with requirements for Paragraph 1.4.D.3 of this Section.

#### 1.5 TRAINING AIDS

A. Manufacturer's instructor shall incorporate training aids as appropriate to assist in the instruction. Furnish handouts of text, tables, graphs, and illustrations as required. Other appropriate training aids include:

- 1. Audio-visual aids, such as videos, Microsoft PowerPoint presentations, overhead transparencies, posters, drawings, diagrams, catalog sheets, or other items.
- 2. Equipment cutaways and samples, such as spare parts and damaged equipment.
- 3. Tools, such as repair tools, customized tools, and measuring and calibrating instruments.
- B. Handouts:
  - 1. Manufacturer's instructor shall distribute and use descriptive handouts during training. Customized handouts developed especially for training for the Project are encouraged.
  - 2. Photocopied handouts shall be good quality and completely legible.
  - 3. Handouts should be coordinated with the instruction, with frequent references made to the handouts.
  - 4. Provide not less than 5 copies of each handout for each training session.
- C. Audio-visual Equipment: Training provider shall provide audio-visual equipment required for training sessions. If suitable equipment is available at the Site, OWNER may make available OWNER's audio-visual equipment; however, do not count on OWNER providing audio-visual equipment. Audio-visual equipment that training provider shall provide, as required, includes:
  - 1. Laptop computer, presentation software, and suitable projector.
  - 2. As required, extension cords and spare bulb for projector.

## PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 TRAINING DELIVERY

- A. Training Delivery General:
  - 1. Instructors shall be fully prepared for the training sessions. Training delivery shall be communicative, clear, and proceed according to lesson plan accepted by ENGINEER, with lesson content appropriate for trainees. If OWNER or ENGINEER deems that training delivery does not to comply with the Contract Documents, training shall be postponed, rescheduled, and re-performed in acceptable manner at no additional cost to OWNER.
  - 2. Trainee Sign-in Sheets: In format acceptable to OWNER, furnish sign-in sheet for trainees for each session. Sign-in sheets shall include the Project name, equipment or system for which training was furnished, and type of training (e.g., operations, mechanical maintenance, instrumentation/controls maintenance, or other), and name of each trainee. Upon completion of training, submit copy of each sign-in sheet as indicated in Article 1.3 of this Section.

- B. "Hands-on" Demonstrations:
  - 1. Manufacturer's instructor shall present "hands-on" demonstrations of operations and maintenance of materials and equipment for each training session, in accordance with lesson plan accepted by ENGINEER.
  - 2. CONTRACTOR and manufacturer shall furnish tools necessary for demonstrations.

### 3.2 TRAINING SCHEDULE

A. Manufacturer shall furnish not less than the hours of training and number of sessions indicated in Table 01 79 23-A of this Section. Travel time and expenses are responsibility of manufacturer and are excluded from required training time indicated in the Contract Documents.

Material or Equipment	Specification Section	Total Training Time (hours)	Training Sessions Required
General Contract -	22 13 33	4	1
Packaged Submersible			
Grinder Pump Station			
General Contract – HVAC	Division 23	2	1
Systems			
General Control –	40 60 05	4	1
Instrumentation and Control			
General Control –	43 41 00	2	1
Polyethylene Tanks			
General Control – Skid	46 33 44	4	1
Mounted Chemical			
Metering Pumps			
Total		16	5

## TABLE 01 79 23-A, TRAINING SUMMARY TABLE

+ + END OF SECTION + +

#### SECTION 02 41 00

#### DEMOLITION

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified and required for demolition, removal, and disposal Work.
  - 2. The Work under this Section includes, but is not necessarily limited to:
    - a. Demolition and removal of existing materials and equipment as shown or indicated in the Contract Documents. The Work includes demolition of doors, windows, structural steel, metals, roof, masonry, attachments, appurtenances, piping, electrical and mechanical systems and equipment, paving, curbs, gutters, and similar existing facilities.
  - 3. Demolitions and removals specified under other Sections shall comply with requirements of this Section.
  - 4. Perform demolition Work within areas shown or indicated.
  - 5. Pay all costs associated with transporting and, as applicable, disposing of materials and equipment resulting from demolition.
- B. Coordination:
  - 1. Comply with Section 01 14 16, Coordination with Owner's Operations.
  - 2. Review procedures under this and other Sections and coordinate the Work that will be performed with or before demolition and removals.
  - 3. Notify other contractors in advance of demolition and removals Work to provide other contractors with sufficient time for performing work and coordinating items included in their contracts that will be performed before or in conjunction with demolition and removals Work.

#### 1.2 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Electrical Removals: Entity and personnel performing electrical removals shall be electrician legally qualified to perform electrical construction and electrical work in the jurisdiction where the Site is located.
- B. Regulatory Requirements:
  - 1. Demolition, removal, and disposal Work shall be in accordance with 29 CFR 1926.850 through 29 CFR 1926.860 (Subpart T Demolition), and all other Laws and Regulations.
  - 2. Comply with requirements of authorities having jurisdiction.

### 1.3 SUBMITTALS

- A. Informational Submittals: Submit the following:
  - 1. Procedure Submittals:
    - a. Demolition and Removal Plan: Not less than ten days prior to starting demolition Work, submit acceptable plan for demolition and removal Work, including:
      - 1) Plan for coordinating shut-offs, capping, temporary services, and continuing utility services.
      - 2) Other proposed procedures as applicable.
      - 3) Equipment proposed for use in demolition operations.
      - 4) Recycling/disposal facility(ies) proposed, including facility owner, facility name, location, and processes. Include copy of appropriate permits and licenses, and compliance status.
      - 5) Planned demolition operating sequences.
      - 6) Detailed schedule of demolition Work in accordance with the accepted Process Schedule.
  - 2. Notification of Intended Demolition Start: Submit in accordance with Paragraph 3.1.A of this Section.

### PART 2 – PRODUCTS (NOT USED)

#### PART 3 – EXECUTION

#### 3.1 PREPARATION

- A. Notification:
  - 1. At least 48 hours prior to commencing demolition or removal, notify ENGINEER in writing of planned start of demolition Work. Do not start removals without permission of ENGINEER.
- B. Protection of Surrounding Areas and Facilities:
  - 1. Perform demolition and removal Work in manner that prevents damage and injury to property, structures, occupants, the public, and facilities. Do not interfere with use of, and free and safe access to and from, structures and properties.
  - 2. Closing or obstructing of roads, drives, sidewalks, and passageways adjacent to the Work is not allowed unless indicated otherwise in the Contract Documents. Conduct the Work with minimum interference to vehicular and pedestrian traffic.
  - 3. Provide temporary barriers, lighting, sidewalk sheds, and other necessary protection.
  - 4. Repair damage to facilities that are to remain.
- C. Existing Utilities: In addition to requirements of the General Conditions, Supplementary Conditions, and Division 01 Specifications, do the following:

- 1. Should uncharted or incorrectly charted Underground Facilities be encountered, CONTRACTOR responsibilities shall be in accordance with the General Conditions as may be modified by the Supplementary Conditions. Cooperate with utility owners in keeping adjacent services and facilities in operation.
- 2. Sanitary Sewer: Before proceeding with demolition, locate and cap all sewer lines and service laterals discharging from the building or structure being demolished.
- 3. Storm Water: Existing storm water system shall remain in place until demolitions of existing building or structure is completed. Upon completing demolition, cut and cap storm sewer laterals at locations shown on the Drawings. Remove existing storm water piping and related structures between points of cutting, and backfill, restore to grade, and stabilize the area over the removed facilities.
- 4. Water Piping: Before proceeding with demolition, locate and cap all potable and non-potable waterlines and service laterals serving the building or structure being demolished.
- 5. Other Utilities: Before proceeding with demolition, locate and cap as required all other utilities, such as fuel and gas; heating, ventilating, and air conditioning; electric; and communications; and service laterals serving the building or structure being demolished.
- 6. Shutdown of utility services shall be coordinated by CONTRACTOR, assisted by OWNER as required relative to contacting utility owners.
- D. Remediation:
  - 1. Demolition Work involving lead-based / PCB containing paint and asbestos shall be completed in accordance with all applicable regulations.
  - 2. Asbestos waste shall be disposed of at an asbestos waste receiving facility that is duly permitted by the state and/or local municipality in which it resides. The OSHA Construction Standard for Asbestos (29 CFR 1926.1101) procedures and guidelines shall be followed for personnel conducting activities that may disturb materials that contain asbestos during demolition.
  - 3. Compliance with the OSHA Lead in Construction Standard (29 CFR 1926.62) is required for any detectable levels of lead in painted surfaces. Any work that may disturb lead paint shall be conducted in a way to minimize and control dust, with a thorough cleanout performed upon completion.
  - 4. Waste characterization sampling and analysis shall be performed for the representative waste stream generated by renovation activities, and include toxicity characteristic leaching procedure analysis, as required.

## 3.2 DEMOLITION – GENERAL

- A. Locate construction equipment used for demolition Work and remove demolished materials and equipment to avoid imposing excessive loading on supporting and adjacent walls, floors, framing, facilities, and Underground Facilities.
- B. Pollution Controls:

- 1. Use water sprinkling, temporary enclosures, and other suitable methods to limit emissions of dust and dirt to lowest practical level. Comply with Section 01 57 05, Temporary Controls, and Laws and Regulations.
- 2. Do not use water when water may create hazardous or objectionable conditions such as icing, flooding, or pollution.
- 3. Clean adjacent structures, facilities, properties, and improvements of dust, dirt, and debris caused by demolition Work, in accordance with the General Conditions and Section 01 74 05, Cleaning.
- C. Comply with Section 01 73 29, Cutting and Patching.
- D. Demolition of Site Improvements:
  - 1. Pavement, Curbs, and Gutters: Demolition of asphalt or concrete pavement, curbs, and gutters, as applicable, shall terminate at cut edges. Edges shall be linear and have a vertical cut face.
  - 2. Fencing, Guardrails, and Bollards: Remove to the limits shown or indicated on the Drawings. Completely remove below-grade posts and concrete.
  - 3. Manholes, Vaults, Chambers, and Handholes: Remove to the limits shown or indicated on the Drawings.
  - 4. Underground Facilities Other than Manholes, Vaults, Chambers, and Handholes: Remove to the extent shown or indicated on the Drawings. Unless otherwise shown or indicated, cap ends of piping to remain in place in accordance with the "Mechanical Removals" Article in this Section.
- E. Salvage and Ownership:
  - 1. Refer to Section 01 12 13, Summary of Work, for requirements on salvage, ownership, and handling of equipment and materials removed during demolition and removal Work.
  - 2. Materials and equipment to remain OWNER's property shall be carefully removed and appropriately handled by CONTRACTOR to avoid damage and invalidation of warranties in effect, and shall be cleaned and stored at the Site (or other site specified in the Contract Documents) at place designated by ENGINEER or OWNER.
- F. Finishing of Surfaces Exposed by Removals: Unless otherwise shown or indicated in the Contract Documents, surfaces of walls, floors, ceilings, and other areas exposed by removals, and that will remain as finished surfaces, shall be repaired and re-finished with materials that match existing adjacent surface, or as otherwise approved by ENGINEER.

#### 3.3 STRUCTURAL REMOVALS

A. Remove structures to lines and grades shown or indicated, unless otherwise directed by ENGINEER. Where limits are not shown or indicated, limits shall be four inches outside item to be installed. Removals beyond limits shown or indicated shall be at CONTRACTOR's expense and such excess removals shall be reconstructed to satisfaction of ENGINEER without additional cost to OWNER.

- B. Recycling and Reuse of Demolition Materials:
  - 1. All concrete, brick, tile, masonry, roofing materials, reinforcing steel, structural metals, miscellaneous metals, plaster, wire mesh, and other items contained in or upon building or structure to be demolished shall be removed, transported, and disposed of away from the Site, unless otherwise approved by ENGINEER.
  - 2. Do not use demolished materials as fill or backfill adjacent to structures, in pipeline trenches, or as subbase under structures or pavement.
- C. After removing concrete and masonry walls or portions thereof, slabs, and similar construction that ties in to the Work or to existing construction, neatly repair the junction point to leave exposed only finished edges and finished surfaces.
- D. Where parts of existing structures are to remain in service following demolition, remove the portions shown or indicated for removal, repair damage, and leave the building or structure in proper condition for the intended use.
  - 1. Remove concrete and masonry to the lines shown or indicated by sawing, drilling, chipping, and other suitable methods. Leave the resulting surfaces true and even, with sharp, straight corners that will result in neat joints with new construction and be satisfactory for the purpose intended.
  - 2. Do not damage reinforcing bars beyond the area of concrete and masonry removal. Do not saw-cut beyond the area to be removed.
  - 3. Reinforcing bars that are exposed at surfaces of removed concrete and masonry that will not be covered with new concrete or masonry shall be removed to 1.5 inches below the final surface. Repair the resulting hole, with repair mortar for concrete and grout for masonry, to be flush with the surface.
  - 4. Where existing reinforcing bars are shown or indicated to extend into new construction, remove existing concrete so that reinforcing bars are clean and undamaged.
- E. Where equipment or material anchored to concrete or masonry are removed and anchors are not to be re-used, remove the anchors to not less than 1.5 inches beneath surface of concrete or masonry member. Repair the resulting hole, using repair mortar for concrete and grout for masonry, to be flush with the surface. Alternately, when the anchor is stainless steel, the anchor may be cut flush with the surface of the concrete or masonry, when so approved by ENGINEER.
- E. Jambs, sills and heads of windows, passageways, doors, or other openings (as applicable) cut-in to the Work or to existing construction shall be dressed with masonry, concrete, or metal to provide smooth, finished appearance.
- F. Where anchoring materials, including bolts, nuts, hangers, welds, and reinforcing steel, are required to attach the Work to existing construction, provide such materials under this Section, unless specified elsewhere in the Contract Documents.

#### 3.4 MECHANICAL REMOVALS

- A. Mechanical demolition and removal Work includes dismantling and removing existing piping, ductwork, pumps, equipment, tanks, and appurtenances as shown, indicated, and required for completion of the Work. Mechanical removals include cutting and capping as required, except that cutting of existing piping and ductwork to make connections is included under Section 01 14 16, Coordination with Owner's Operations; Section 01 73 29, Cutting and Patching; and applicable Sections of Division 40, Process Integration.
- B. Demolition and Removals of Piping, Ductwork, and Similar Items:
  - 1. Purge piping and tanks (as applicable) of chemicals or fuel (as applicable) and make safe for removal and capping. Remove to the extent shown or indicated existing process, water, waste and vent, chemical, gas, fuel, and other piping. Remove piping to the nearest solid piping support, and provide caps on ends of remaining piping. Where piping to be demolished passes through existing walls to remain, cut off and cap pipe on each side of the wall.
  - 2. Caps, Closures, Blind Flanges, and Plugs:
    - a. Provide closure pieces, such as blind flanges and caps, where shown or required to complete the Work.
    - b. Where used in this Section, the term "cap" means the appropriate type closure for the piping or ductwork being closed, including caps, blind flanges, and other closures.
    - c. Caps shall be compatible with the piping or ductwork to which the cap is attached, fluid-tight and gastight, and appropriate for the fluid or gas conveyed in the pipe or duct.
    - d. Unless otherwise shown or indicated, caps shall be mechanically fastened, fused, or welded to pipe or duct. Plug piping with means other than specified in this Section only when so shown or indicated in the Contractor Documents or when allowed by ENGINEER.
  - 3. When Underground Facilities are altered or removed, properly cut and cap piping left in place, unless otherwise shown or indicated.
  - 4. Remove waste and vent piping, and ductwork to extent shown and cap as required. Where demolished vent piping, stacks, and ductwork passes through existing roofing, patch the roof with the same or similar materials. Completed patch shall be watertight and comply with roofing manufacturer's recommendations.
  - 5. Modifications to potable water piping and other plumbing and heating system work shall comply with Laws and Regulations. All portions of potable water system that have been modified or opened shall be hydrostatically tested and disinfected in accordance with the Contract Documents, and Laws and Regulations. Hydrostatically test other, normally-pressurized, plumbing piping and heating piping.
- C. Equipment Demolition and Removals:
  - 1. To the extent shown or indicated, remove existing process equipment; pumps; heating, ventilating, and air conditioning equipment; and other equipment.

- 2. Where required, disassemble equipment to avoid imposing excessive loading on supporting walls, floors, framing, facilities, and Underground Facilities. Disassemble equipment as required for access through and egress from building or structure. Disassembly shall comply with Laws and Regulations. Provide required means to remove equipment from building or structure.
- 3. Remove control panels, operator stations, and instruments associated with equipment being removed, unless shown or indicated otherwise.
- 4. Remove fuel appurtenances as applicable, including fuel storage tanks. Dispose of tank contents in accordance with Laws and Regulations.
- 5. Remove equipment supports as applicable, anchorages, base, grout, and piping. Remove anchorage systems in accordance with the "Structural Removals" Article in this Section. Remove small-diameter piping back to header unless otherwise indicated.
- 6. Remove access platforms, ladders, and stairs related to equipment being removed, unless otherwise shown or indicated.

## 3.5 ELECTRICAL REMOVALS

- A. Electrical demolition Work includes removing existing transformers, distribution switchboards, control panels, motors, starters, conduit and raceways, cabling, poles and overhead cabling, panelboards, lighting fixtures, switches, and miscellaneous electrical equipment, as shown, specified, or required.
- B. Remove existing electrical equipment and fixtures to avoid damaging systems to remain, to keep existing systems in operation, and to maintain integrity of grounding systems.
- C. Remove or modify motor control centers and switchgear as shown or indicated. Modified openings shall be cut square and dressed smooth to dimensions required for installation of equipment.
- D. Disconnect and remove motors, control panels, and other electrical gear where shown or indicated. Motors, microprocessors and electronics, other electrical gear to be reused shall be stored in accordance with Section 01 66 00, Product Storage and Handling Requirements.
- E. Cables in conduits to be removed shall be removed back to the power source or control panel, unless otherwise shown or indicated. Verify the function of each cable before disconnecting and removing.
- F. Conduits, raceways, and cabling shall be removed where shown or indicated. Abandoned conduits concealed in floor, ceiling slabs, or in walls shall be cut flush with the slab or wall (as applicable) at point of entrance, suitably capped, and the area repaired in a flush, smooth manner acceptable to ENGINEER. Exposed conduits, junction boxes, other electrical appurtenances, and their supports shall be disassembled and removed. Repair all areas of the Work to prevent rusting on exposed surfaces.

- G. Conduits in Underground Facilities not scheduled for reuse shall be suitably capped watertight where each enters building or structure to remain.
- H. Where shown or indicated, remove direct burial cable. Openings in buildings for entrance of direct burial cable shall be patched with repair mortar or other material approved by ENGINEER for this purpose, and made watertight.
- I. Existing poles and overhead cables shall be removed or abandoned as shown and specified. Existing substation(s) and poles owned by electric utility will be removed by the electric utility. Completely remove from the Site poles not owned by electric utility and shown or indicated for removal. Make necessary arrangements with electric utility for removal of utility company's transformers and metering equipment after new electrical system has been installed and energized.
- J. Lighting fixtures, wall switches, receptacles, starters, and other miscellaneous electrical equipment, not designated as remaining as OWNER's property, shall be removed and properly disposed off-Site as required.

### 3.6 DISPOSAL OF DEMOLITION DEBRIS

- A. Remove from the Site all debris, waste, rubbish, and material resulting from demolition operations and equipment used in demolition Work. Comply with the General Conditions, Supplementary Conditions, and Section 01 74 05, Cleaning.
- B. Transportation and Disposal:
  - 1. Non-hazardous Material: Properly transport and dispose of non-hazardous demolition debris at appropriate landfill or other suitable location, in accordance with Laws and Regulations. Non-hazardous material does not contain Asbestos, PCBs, Petroleum, Hazardous Waste, Radioactive Material, or other material designated as hazardous in Laws and Regulations.
  - 2. Hazardous Material: When handling and disposal of hazardous materials is included in the Work, properly transport and dispose of hazardous materials in accordance with the Contract Documents and Laws and Regulations.
- C. Submit to ENGINEER information required in this Section on proposed facility(ies) where demolition material will be recycled. Upon request, ENGINEER or OWNER, shall be allowed to visit recycling facility(ies) to verify adequacy and compliance status. During such visits, recycling facility operator shall cooperate and assist ENGINEER and OWNER.

+ + END OF SECTION + +

### SECTION 03 00 05

### CONCRETE

### <u>PART 1 – GENERAL</u>

### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install concrete, reinforcing, and related materials.
  - 2. The Work includes:
    - a. Providing concrete consisting of portland cement, fine and coarse aggregates, water, and approved admixtures; combined, mixed, transported, placed, finished, and cured.
    - b. Fabricating and placing reinforcing, including ties and supports.
    - c. Design, erection, and removal of formwork.
    - d. Building into the concrete all sleeves, frames, anchorage devices, inserts, and other items required to be embedded in concrete.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate installation of items to be installed in the concrete Work.
- C. Classifications of Concrete:
  - 1. Class "A" concrete shall be steel-reinforced and includes all concrete unless otherwise shown or indicated.
  - 2. Class "B" concrete shall be placed without forms or with simple forms, with little or no reinforcing and includes the following:
    - a. Concrete fill.
    - b. Duct banks.
    - c. Unreinforced encasements.
    - d. Curbs and gutters.
    - e. Sidewalks.
    - f. Thrust blocks.
- B. Related Sections:
  - 1. Section 05 05 33, Anchor Systems.
  - 2. Section 07 92 00, Joint Sealants.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ACI 224R, Control of Cracking in Concrete Structures.
  - 2. ACI 301, Specifications for Structural Concrete for Buildings.

- 3. ACI 304R, Guide for Measuring, Mixing, Transporting and Placing Concrete.
- 4. ACI 305R, Specification for Hot Weather Concreting.
- 5. ACI 306R, Cold Weather Concreting.
- 6. ACI 309R, Guide for Consolidation of Concrete.
- 7. ACI 318, Building Code Requirements for Structural Concrete and Commentary.
- 8. ACI 347, Guide to Formwork for Concrete.
- 9. ACI SP-66, ACI Detailing Manual.
- 10. ASTM A82/A82M, Specification for Steel Wire, Plain, for Concrete Reinforcement.
- 11. ASTM A185/A185M, Specification for Steel Welded Wire Reinforcement, Plain, for Concrete.
- 12. ASTM A615/A615M, Specification for Deformed and Plain Carbon-Steel Bars for Concrete Reinforcement.
- 13. ASTM C31/C31M, Practice for Making and Curing Concrete Test Specimens in the Field.
- 14. ASTM C33/C33M, Specification for Concrete Aggregates.
- 15. ASTM C39/C39M, Test Method for Compressive Strength of Cylindrical Concrete Specimens.
- 16. ASTM C94/C94M, Specification for Ready-Mixed Concrete.
- 17. ASTM C138/C138M, Test Method for Density (Unit Weight), Yield, and Air Content (Gravimetric) of Concrete.
- 18. ASTM C143/C143M, Test Method for Slump of Hydraulic-Cement Concrete.
- 19. ASTM C150/C150M, Specification for Portland Cement.
- 20. ASTM C172, Practice for Sampling Freshly Mixed Concrete.
- 21. ASTM C231, Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method.
- 22. ASTM C260, Specification for Air-Entraining Admixtures for Concrete.
- 23. ASTM C309, Specification for Liquid Membrane-Forming Compounds for Curing Concrete.
- 24. ASTM C494/C494M, Specification for Chemical Admixtures for Concrete.
- 25. ASTM C579, Methods for Compressive Strength of Chemical-Resistant Mortars, Grouts, Monolithic Surfacings, and Polymer Concretes.
- 26. ASTM C1064/C1064M, Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete.

## 1.3 QUALITY ASSURANCE

- A. Laboratory Trial Batch:
  - 1. Employ independent testing laboratory experienced in design and testing of concrete materials and mixes to perform material evaluation tests and to design concrete mixes.
  - 2. Each concrete mix design specified shall be verified by laboratory trial batch, unless indicated otherwise.
  - 3. Perform the following testing on each trial batch:
    - a. Aggregate gradation for fine and coarse aggregates.
    - b. Slump.

- c. Air content.
- d. Compressive strength based on three cylinders each tested at seven days and at 28 days.
- 4. Submit for each trial batch the following information:
  - a. Project identification name and number (if applicable).
  - b. Date of test report.
  - c. Complete identification of aggregate source of supply.
  - d. Tests of aggregates for compliance with the Contract Documents.
  - e. Scale weight of each aggregate.
  - f. Absorbed water in each aggregate.
  - g. Brand, type, and composition of cementitious materials.
  - h. Brand, type, and amount of each admixture.
  - i. Amounts of water used in trial mixes.
  - j. Proportions of each material per cubic yard.
  - k. Gross weight and yield per cubic yard of trial mixtures.
  - l. Measured slump.
  - m. Measured air content.
  - n. Compressive strength developed at seven days and 28 days, from not less than three test cylinders cast for each seven day and 28-day test, and for each design mix.

### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. List of concrete materials and concrete mix designs proposed for use. Include results of tests performed to qualify the materials and to establish the mix designs. Do not start laboratory trial batch testing until this submittal is approved by ENGINEER.
    - b. Laboratory Trial Batch Reports: Submit laboratory test reports for concrete cylinders, materials, and mix design tests.
    - c. Concrete placement drawings showing the location and type of all joints.
    - d. Drawings for fabricating, bending, and placing concrete reinforcing. Comply with ACI SP-66. For walls and masonry construction, provide elevations to a minimum scale of 1/4-inch to one foot. Show bar schedules, stirrup spacing, adhesive dowels, splice lengths, diagrams of bent bars, arrangements, and assemblies, as required for fabricating and placing concrete reinforcing.
  - 2. Product Data:
    - a. Manufacturer's specifications with application and installation instructions for proprietary materials and items, including admixtures and bonding agents.
  - 3. Samples:

- a. Samples: Submit samples of materials as specified and as otherwise requested by ENGINEER, including names, sources, and descriptions.
- B. Informational Submittals: Submit the following:
  - 1. Site Quality Control Submittals:
    - a. Report of testing results for testing of field concrete cylinders for each required time period. Submit within 24 hours after completion of associated test. Test report shall include results of all testing required at time of sampling.

# 1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Transportation, Delivery, and Handling:
  - 1. Deliver concrete reinforcing products to Site bundled, tagged, and marked. Use metal tags indicating bar size, lengths, and other information corresponding to markings on approved Shop Drawings.
  - 2. Materials used for concrete shall be clean and free from foreign matter during transportation and handling, and kept separate until measured and placed into concrete mixer.
  - 3. Implement suitable measures during hauling, piling, and handling to ensure that segregation of coarse and fine aggregate particles does not occur and grading is not affected.
  - 4. Deliver grout materials from manufacturers in unopened containers that bear intact manufacturer labeling.
  - 5. Comply with Section 01 65 00, Product Delivery Requirements.
- B. Storage:
  - 1. Store formwork materials above ground on framework or blocking. Cover wood for forms and other accessory materials with protective, waterproof covering. Provide for adequate air circulation or ventilation under cover.
  - 2. Store concrete reinforcing materials to prevent damage and accumulation of dirt and excessive rust. Store on heavy wood blocking so that reinforcing does not come into contact with the ground. Space framework or blocking supports to prevent excessive deformation of stored materials.
  - 3. Store concrete joint materials on platforms or in enclosures or covered to prevent contact with ground and exposure to weather and direct sunlight.
  - 4. For storage of concrete materials, provide bins or platforms with hard, clean surfaces.
  - 5. Comply with Section 01 66 00, Product Storage and Handling Requirements.

# PART 2 – PRODUCTS

## 2.1 CONCRETE MATERIALS

A. Portland Cement: ASTM C150/C150M, Type II.

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- B. Aggregates: ASTM C33/C33M.
  - 1. Fine Aggregate: Clean, sharp, natural sand free of loam, clay, lumps, and other deleterious substances. Dune sand, bank run sand, and manufactured sand are unacceptable.
  - 2. Coarse Aggregate:
    - a. Clean, uncoated, processed aggregate containing no clay, mud, loam, or foreign matter.
    - b. Coarse aggregate shall comply with the following:
      - 1) Crushed stone, processed from natural rock or stone.
      - 2) Washed gravel, either natural or crushed. Slag, pit gravel, and bankrun gravel are not allowed.
    - c. Coarse Aggregate Size: ASTM C33/C33M, Nos. 57 or 67, unless otherwise approved by ENGINEER.
- C. Water: Clean, potable.
- D. Admixtures:
  - 1. Air-Entraining Admixture: ASTM C260.
  - 2. Water-Reducing Admixture: ASTM C494/C494M, Type A.
  - 3. Water Reducing and Set-Adjusting Admixtures: ASTM C494/C494M, Types D and E.
  - 4. High Range Water-Reducing Admixture: ASTM C494/C494M, Type F/G.
  - 5. Use only admixtures that have been tested and approved in the mix designs.
  - 6. Do not use calcium chloride or admixtures containing chloride ions.

## 2.2 CONCRETE MIX

- A. General:
  - 1. Normal weight: 145 pounds per cubic foot.
  - 2. Use air-entraining admixture in all concrete. Provide not less than four percent, nor more than eight percent, entrained air for concrete exposed to freezing and thawing, and provide from three to five percent entrained air for other concrete.
- B. Proportioning and Design of Class "A" and Pre-Cast Concrete Mix:
  - 1. Minimum compressive strength at 28 days: 4,500 psi.
  - 2. Maximum water-cement ratio by weight: 0.42.
  - 3. Minimum cement content: 564 pounds per cubic yard.
- C. Proportioning and Design of Class "B" Concrete Mix:
  - 1. Minimum compressive strength at 28 days: 3,000 psi.
  - 2. Maximum water-cement ratio by weight: 0.50.
  - 3. Minimum cement content: 517 pounds per cubic yard.
- D. Slump Limits:
  - 1. Proportion and design mixes to result in concrete slump at point of placement of not less than one inch and not more than four inches.

- 2. When using high-range water reducers, slump prior to addition of admixture shall not exceed three inches. Slump after adding admixture shall not exceed eight inches at point of placement.
- E. Adjustment of Concrete Mixes:
  - 1. Concrete mix design adjustments may be requested by CONTRACTOR when warranted by characteristics of materials, Site conditions, weather, test results, or other, similar circumstances.
  - 2. Submit for ENGINEER's approval laboratory test data for adjusted concrete mix designs, including compressive strength test results.
  - 3. Implement adjusted mix designs only after ENGINEER's approval.
  - 4. Adjustments to concrete mix designs shall not result in additional costs to OWNER.

# 2.3 FORM MATERIALS

- A. Provide form materials with sufficient stability to withstand pressure of placed concrete without bow or deflection. CONTRACTOR shall be responsible for designing the formwork system to resist all applied loads including pressures from fluid concrete and construction loads.
- B. Smooth Form Surfaces: Acceptable panel-type to provide continuous, straight, smooth, as-cast surfaces in accordance with ACI 301.
- C. Unexposed Concrete Surfaces: Material to suit project conditions.
- D. Provide 3/4-inch chamfer at all external corners. Chamfer is not required at reentrant corners unless otherwise shown or indicated.
- E. Form Ties:
  - 1. Provide factory-fabricated, removable, or snap-off metal form ties, that prevent form deflection and prevent spalling of concrete surfaces upon removal. Materials used for tying forms are subject to approval of ENGINEER.
  - 2. Unless otherwise shown or indicated, provide ties so that portion remaining within concrete after removal of exterior parts is at least 1.5 inches from outer surface of concrete. Unless otherwise shown or indicated, provide form ties that, upon removal, will leave a uniform, circular hole not larger than one-inch diameter in the concrete surface.
  - 3. Wire ties are unacceptable.

## 2.4 REINFORCING MATERIALS

- A. Reinforcing Bars: ASTM A615/A615M, Grade 60 deformed bars.
- B. Welded Wire Fabric: ASTM A185/A185M.
- C. Steel Wire: ASTM A82/A82M.

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- D. Provide supports for reinforcing including bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing in place.
  - 1. Use wire bar-type supports complying with CRSI MSP1 recommendations, except as specified in this Section. Do not use wood, brick, or other unacceptable materials.
  - 2. For slabs on grade, use precast concrete blocks, four inches square minimum with compressive strength equal to or greater than the surrounding concrete, or supports with sand plates or horizontal runners where base materials will not support chair legs.
  - 3. For all concrete surfaces where legs of supports are in contact with forms, provide supports having either hot-dip galvanized, plastic-protected, or stainless steel legs in accordance with CRSI MSP1.
  - 4. Provide precast concrete supports over waterproof membranes.
- E. Adhesive Dowels:
  - 1. Dowels:
    - a. Dowel reinforcing bars shall comply with ASTM A615, Grade 60.
  - 2. Adhesive:
    - a. For requirements for adhesive, refer to Section 05 05 33, Anchor Systems.

# 2.5 RELATED MATERIALS

- A. Vapor Retarder:
  - 1. Products and Manufacturers: Provide one of the following:
    - a. Stego Wrap 10-mil Vapor Retarder, by Stego Industries LLC.
    - b. Griffolyn 10-mil, by Reef Industries.
    - c. Moistop Ultra, by Fortifiber Industries.
    - d. Or equal.
  - 2. Vapor retarder membrane shall comply with the following.
    - a. Water Vapor Transmission Rate, ASTM E96/E96M: 0.04 perms or lower.
    - b. Water Vapor Retarder, ASTM E1745: Meets or exceeds Class C.
    - c. Thickness of Retarder (plastic), ACI 302 1R: Not less than 10 mils.
    - d. Provide accessories by same manufacturer as vapor retarder.
- B. Membrane-Forming Curing Compound: ASTM C309, Type I.
- C. Epoxy Bonding Agent:
  - 1. Two-component epoxy resin bonding agent.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Sikadur 32, Hi-Mod LPL, by Sika Corporation.
    - b. Eucopoxy LPL, by the Euclid Chemical Company.
    - c. Or equal.

- D. Epoxy-Cement Bonding Agent:
  - 1. Three-component blended epoxy resin-cement bonding agent.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Sika Armatec 110 EpoCem, by Sika Corporation.
    - b. Duralprep A.C., by Euclid Chemical Company.
    - c. Or equal.
- E. Preformed Expansion Joint Filler:
  - 1. Provide preformed expansion joint filler complying with ASTM D1752, Type I (sponge rubber) or Type II (cork).
- F. Joint Sealant and Accessories:
  - 1. For joint sealants and accessories used on isolation joints, control joints, and expansion joints, refer to Section 07 92 00, Joint Sealants.

#### 2.6 GROUT

- A. Non-shrink Grout:
  - 1. Pre-packaged, non-metallic, cementitious grout requiring only the addition of water at the Site.
  - 2. Minimum 28-day Compressive Strength: 7,000 psi.
  - 3. Products and Manufacturers: Provide one of the following:
    - a. NS Grout by Euclid Chemical Company.
    - b. Set Grout by Master Builders, Inc.
    - c. NBEC Grout by Five Star Products, Inc.
    - d. Or equal.
- B. Epoxy Grout:
  - 1. Pre-packaged, non-shrink, non-metallic, 100 percent solids, solvent-free, moisture-insensitive, three-component epoxy grouting system.
  - 2. Minimum Seven-day Compressive Strength: 14,000 psi, when tested in accordance with ASTM C579.
  - 3. Products and Manufacturers: Provide one of the following:
    - a. Euco High Strength Grout, by Euclid Chemical Company.
    - b. Sikadur 42, Grout Pak, by Sika Corporation.
    - c. Five Star Epoxy Grout, by Five Star Products, Inc.
    - d. Or equal.
- C. Grout Fill:
  - 1. Grout mix shall consist of cement, fine and coarse aggregates, water, and admixtures complying with requirements specified in this Section for similar materials in concrete.
  - 2. Proportion and mix grout fill as follows:
    - a. Minimum Cement Content: 564 pounds per cubic yard.
    - b. Maximum Water-Cement Ratio: 0.45.
    - c. Maximum Coarse Aggregate size: 1/2-inch, unless otherwise indicated.
    - d. Minimum 28-day Compressive Strength: 4,000 psi.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. CONTRACTOR shall examine the substrate and the conditions under which the Work will be performed and notify ENGINEER in writing of unsatisfactory conditions. Do not proceed with the Work until unsatisfactory conditions are corrected.

#### 3.2 FORMWORK

- A. Construct formwork in accordance with ACI 347 such that concrete members and structures are of correct size, shape, alignment, elevation, and position.
- B. Provide openings in formwork to accommodate the Work of other trades. Accurately place and securely support items required to be built into formwork.
- C. Clean and adjust forms prior to placing concrete. Apply form release agents or wet forms as required. Re-tighten forms during and after concrete placing, when required, to eliminate cement paste leaks.
- D. Removing Formwork:
  - 1. Comply with ACI 301 and ACI 347, except as otherwise indicated in the Contract Documents.
  - 2. Do not remove formwork and shoring until supported concrete members have acquired minimum of 90 percent of specified compressive strength. Results of suitable quality control tests of field-cured specimens may be submitted to ENGINEER for review as evidence that concrete has attained sufficient strength for removal of supporting formwork and shoring prior to removal times indicated in the Contract Documents.
  - 3. Removal time for formwork is subject to ENGINEER's acceptance.
  - 4. Repair form tie-holes following in accordance with ACI 301.

#### 3.3 REINFORCING, JOINTS, AND EMBEDDED ITEMS

- A. Comply with the applicable recommendations of Laws and Regulations and standards referenced in this Section, including CRSI MSP1, for details and methods of placing and supporting reinforcing.
- B. Clean reinforcing to remove loose rust and mill scale, earth, ice, and other materials which act to reduce or destroy bond between reinforcing material and concrete.
- C. Position, support, and secure reinforcing against displacement during formwork construction and concrete placing. Locate and support reinforcing by means of metal chairs, runners, bolsters, spacers, and hangers, as required.

- 1. Place reinforcing to obtain minimum concrete coverages as shown on the Drawings and as required in ACI 318. Arrange, space, and securely tie bars and bar supports together with 16-gage wire to hold reinforcing accurately in position during concrete placing. Set with ties so that twisted ends are directed away from exposed concrete surfaces.
- 2. Do not secure reinforcing to formwork using wire, nails or other ferrous metal. Metal supports subject to corrosion shall not be in contact with formed or exposed concrete surfaces.
- D. Provide sufficient quantity of supports of strength required to carry reinforcing. Do not place reinforcing more than two inches beyond the last leg of continuous bar support. Do not use supports as bases for runways for concrete conveying equipment and similar construction loads.
- E. Splices: Provide standard reinforcing splices by lapping ends, placing bars in contact, and tying tightly with wire. Comply with requirements shown or indicated for minimum lap of spliced bars, as shown on the Drawings.
- F. Install welded wire fabric in lengths as long as practical, lapping adjoining sections a minimum of one full mesh.
- G. Do not place concrete until reinforcing is inspected and ENGINEER indicates that conditions are acceptable for placing concrete. Concrete placed in violation of this paragraph will be rejected. Notify ENGINEER in writing at least two working days prior to proposed concrete placement.
- H. Joints:
  - 1. Provide construction, isolation, expansion, and control joints as indicated or required. Locate construction joints so as to not impair the strength and appearance of the structure. Place isolation and control joints in slabs-on-grade to stabilize differential settlement and random cracking.
  - 2. In walls, locate joints at a maximum spacing of 40 feet and approximately 12 feet from corners.
  - 3. In foundation slabs and slabs-on-grade, locate joints at intervals of approximately 40 feet.
  - 4. In mats and structural slabs and beams, locate joints in compliance with ACI 224R.
  - 5. Locations of joints shall be in accordance with the Contract Documents and as approved by ENGINEER in the Shop Drawings.
  - 6. Where construction joints are indicated to be roughened, intentionally roughen surfaces of previously-placed concrete to amplitude of 1/4-inch.
- I. Installation of Embedded Items: Set and build into the Work anchorage devices and embedded items required for other Work that is attached to, or supported by, cast-inplace concrete. Use setting diagrams, templates, and instructions provided under other Sections for locating and setting. Refer to Paragraph 1.1.B of this Section. Do not embed in concrete uncoated aluminum items. Where aluminum items are in

contact with concrete surfaces, coat aluminum to prevent direct contact with concrete.

- J. Adhesive Dowels:
  - 1. Adhesive dowels shall be reinforcing bar dowels set in an adhesive in hole drilled into hardened concrete. Comply with adhesive system manufacturer's installation instructions regarding hole diameter, drilling method, embedment depth required to fully develop required tensile strength, and hole cleaning and preparation instructions. Unless more-stringent standards are required by adhesive system manufacturer, comply with the following.
  - 2. Drill holes to adhesive system manufacturer's recommended diameter and depth to develop required tensile strength. Holes shall not be more than 1/4-inch greater than nominal bar diameter, and hole depth shall not be less than twelve times nominal bar diameter. Hammer-drill holes. Cored holes are not allowed.
  - 3. Embedment depths shall be based on concrete compressive strength of 2,000 psi when embedded in existing concrete, and 4,000 psi when embedded in new concrete.
  - 4. Determine location of existing reinforcing steel in vicinity of proposed holes prior to drilling. Adjust location of holes to be drilled to avoid drilling through or damaging existing reinforcing bars only when approved by ENGINEER.
  - 5. Before setting adhesive dowel, hole shall be free of dust and debris using method recommended by adhesive system manufacturer. Hole shall be brushed, with manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove dust and loose particles. Hole shall be dry as defined by adhesive system manufacturer.
  - 6. Inject adhesive into hole through injection system mixing nozzle and necessary extension tubes, placed to bottom of hole. Withdraw discharge end as adhesive is placed, but keep end of tube immersed to prevent forming air pockets. Fill hole to depth that ensures that excess material is expelled from hole during dowel placement.
  - 7. Twist dowels during insertion into partially-filled hole to guarantee full wetting of bar surface with adhesive. Insert bar slowly to avoid developing air pockets.

# 3.4 CONCRETE PLACING

- A. Site Mixing: Use drum-type batch machine mixer, mixing not less than 1.5 minutes for one cubic yard or smaller capacity. Increase required mixing time by minimum of 15 seconds for each additional cubic yard or fraction thereof.
- B. Ready-Mixed Concrete: Comply with ASTM C94/C94M.
- C. Concrete Placing:
  - 1. Place concrete in a continuous operation within planned joints or sections in accordance with ACI 304R.
  - 2. Do not begin placing concrete until work of other trades affecting concrete is completed.

- 3. Wet concrete and subgrade surfaces to saturated surface dry condition immediately prior to placing concrete.
- 4. Deposit concrete as near its final location as practical to avoid segregation due to re-handling or flowing.
- 5. Avoid separation of the concrete mixture during transportation and placing. Concrete shall not free-fall for distance greater than four feet during placing.
- 6. Complete concrete placing within 90 minutes of addition of water to the dry ingredients.
- D. Consolidate placed concrete in accordance with ACI 309R using mechanical vibrating equipment supplemented with hand rodding and tamping, such that concrete is worked around placing and other embedded items and into all parts of formwork. Insert and withdraw vibrators vertically at uniformly-spaced locations. Do not use vibrators to transport concrete within the formwork. Vibration of formwork or placing is not allowed.
- E. Protect concrete from physical damage or reduced strength due to weather extremes during mixing, placing, and curing.
  - 1. In hot weather comply with ACI 305R.
  - 2. In cold weather comply with ACI 306R.

# 3.5 QUALITY OF CONCRETE WORK

- A. Make concrete solid, compact, smooth, and free of laitance, cracks, and cold joints.
- B. Concrete for liquid-retaining structures and concrete in contact with earth, water, or exposed directly to the elements shall be watertight.
- C. Cut out and properly replace to extent directed by ENGINEER, or repair to satisfaction of ENGINEER, surfaces that contain cracks or voids, are unduly rough, or are in defective in any way. Patches or plastering are unacceptable.
- D. Repair, removal and replacement of defective concrete directed by ENGINEER shall be at no additional cost to OWNER.

# 3.6 CURING

A. Begin initial curing as soon as free water has disappeared from exposed surfaces. Where possible, keep continuously moist for not less than 72 hours. Continue curing by using moisture-retaining cover or membrane-forming curing compound. Cure formed surfaces by moist curing until formwork is removed. Provide protection, as required, to prevent damage to exposed concrete surfaces. Total curing period shall not be less than seven days. Curing methods and materials shall be compatible with scheduled finishes.

#### 3.7 FINISHING

- A. Slab Finish:
  - 1. After placing concrete slabs, do not work the surface further until ready for floating. Begin floating when surface water has disappeared or when concrete has stiffened sufficiently. Use a wood float only. Check and level surface plane to a tolerance not exceeding 1/4-inch in ten feet when tested with a ten foot straightedge placed on the surface at not less than two different angles. Cut down high spots and fill low spots. Uniformly slope surfaces to drains. Immediately after leveling, re-float the surface to a uniform, smooth, granular texture. Slab surfaces shall receive a float finish. Provide additional trowel finishing as required in this Section.
  - 2. After floating, begin first trowel finish operation using power-driven trowel. Begin final troweling when surface produces a ringing sound as trowel is moved over the surface.
  - 3. Consolidate concrete surface by the final hand troweling operation. Finish shall be free of trowel marks, uniform in texture and appearance, and with a surface plane tolerance not exceeding 1/8-inch in ten feet when tested with a ten-foot straightedge. Grind smooth surface defects that would telegraph through applied floor covering system.
  - 4. Use trowel finish for the following:
    - a. Interior exposed slabs, unless otherwise shown or indicated.
    - b. Apply non-slip broom finish, after troweling, to exterior concrete slab and elsewhere as shown.
- B. Apply chemical floor hardener to exposed interior concrete floor areas when cured and dry, in accordance with hardener manufacturer's instructions.
- C. Formed Finish:
  - 1. Provide smooth form concrete finish at exposed surfaces. Use largest practical form panel sizes to minimize form joints. Exposed surfaces include interior water-contacting surfaces of tanks, whether or not directly visible. All surfaces shall be considered as exposed, unless buried or covered with permanent structural or architectural material. After removing forms, patch form tie holes and defects in accordance with ACI 301. Remove fins exceeding 1/8-inch in height. Where surface will be coated or will receive further treatment, remove all fins flush with concrete surface.

# 3.8 GROUT PLACING

- A. Place grout as shown and indicated, and in accordance with grout manufacturer's instructions and recommendations. If grout manufacturer's instructions conflict with the Contract Documents, notify ENGINEER and not proceed until obtaining ENGINEER's clarification.
- B. Dry-packing is not allowed, unless otherwise indicated.

- C. Manufacturers of proprietary grout materials shall make available upon 72 hours notice the services of qualified, full-time, factory-trained employee to aid in ensuring proper use of grout materials at the Site.
- D. Placing grout shall comply with temperature and weather limitations described in Article 3.4 of this Section.

# 3.9 FIELD QUALITY CONTROL

- A. Site Testing Services:
  - 1. OWNER will employ testing laboratory to perform field quality control testing for concrete. ENGINEER will direct the testing requirements.
  - 2. Testing laboratory will provide all labor, material, and equipment required for sampling and testing concrete, including: scale, glass tray, cones, rods, molds, air tester, thermometer, and other incidentals required.
  - 3. CONTRACTOR shall provide curing and necessary cylinder storage in accordance with Section 01 45 28, On-Site Facilities for Testing Laboratory.
- B. Quality Control Testing During Construction:
  - 1. Perform sampling and testing for field quality control during concrete placing, as follows:
    - a. Sampling Fresh Concrete: ASTM C172.
    - b. Slump: ASTM C143/C143M; one test for each concrete load at point of discharge.
    - c. Concrete Temperature: ASTM C1064/C1064M; one for every two concrete loads at point of discharge, and when a change in the concrete is observed. Test each load when time from batching to placement exceeds 75 minutes.
    - d. Air Content: ASTM C231; one for every two concrete load at point of discharge, and when a change in the concrete is observed.
    - e. Unit Weight: ASTM C138/C138M; one for every two concrete loads at point of discharge, and when a change in the concrete is observed.
    - f. Compression Test Specimens:
      - 1) In accordance with ASTM C31/C31M, make one set of compression cylinders for each 50 cubic yards of concrete, or fraction thereof, of each mix design placed each day. Each set shall be four standard cylinders, unless otherwise directed by ENGINEER.
      - 2) Cast, store, and cure specimens in accordance with ASTM C31/C31M.
    - g. Compressive Strength Tests:
      - 1) In accordance with ASTM C39/C39M; one specimen tested at seven days, and three specimens tested at 28 days.
      - 2) Concrete that does not comply with strength requirements will be considered as defective Work.
    - h. Within 24 hours of completion of test, testing laboratory will transmit certified copy of test results to CONTRACTOR and ENGINEER.
    - i. When there is evidence that strength of in-place concrete does not comply with the Contract Documents, CONTRACTOR shall employ the services of concrete testing laboratory to obtain cores from hardened

concrete for compressive strength determination. Cores and tests shall comply with ASTM C42/C42M and the following:

 Testing of Adhesive Dowels: OWNER will employ testing agency to perform field quality control testing of drilled dowel installations. After adhesive system manufacturer's recommended curing period and prior to placing connecting reinforcing, proof-test for pullout ten percent of adhesive dowels installed. Adhesive dowels shall be tensioned to 60 percent of specified yield strength. Where dowels are located less than six bar diameters from edge of concrete, ENGINEER will determine tensile load required for test. If one or more dowels fail, retest all dowels installed for the Work. Dowels that fail shall be reinstalled and retested at CONTRACTOR's expense.

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## SECTION 03 45 00

#### DESIGNED PRECAST CONCRETE VAULTS

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all professional services, labor, materials, equipment and incidentals as shown, specified and required to design, furnish, and install all precast concrete structures and precast force main vaults.
- B. General:
  - 1. Structures shall conform in shape, size, dimensions, material, and other respects to the details shown or as ordered by ENGINEER.
  - 2. Concrete for inverts in precast concrete structures shall be Class A and shall conform to the requirements specified under Section 03005, Concrete.
- C. Related Sections:
  - 1. Section 03 00 05, Concrete.
  - 2. Section 33 05 13, Manholes and Structures.

#### 1.2 QUALITY ASSURANCE

- A. Standards referenced in this Section are:
  - 1. American Association of State Highway and Transportation Officials (AASHTO) HS-20.
  - 2. ASTM A 82, Standard Specification for Steel Wire, Plain, for Concrete Reinforcement.
  - 3. ASTM A 153, Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware.
  - 4. ASTM A 185, Standard Specification for Steel Welded Wire Fabric, Plain, for Concrete Reinforcement.
  - 5. ASTM A 497, Standard Specification for Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement.
  - 6. ASTM A 615, Standard Specification for Deformed and Plain Billet-Steel Bars for Concrete Reinforcement.
  - 7. ASTM A 706, Standard Specification for Low-Alloy Steel Deformed and Plain Bars for Concrete Reinforcement.
  - 8. ASTM C 33, Standard Specification for Concrete Aggregates.
  - 9. AWWA C 302, Reinforced Concrete Pressure Pipe, Non-Cylinder Type for Water and Other Liquids.
  - 10. ASTM C 150, Standard Specification for Portland Cement.
  - 11. ASTM C 260, Air-Entraining Admixtures for Concrete.

- 12. ASTM C 478, Specification for Precast Reinforced Concrete Manhole Sections. ASTM C 494, Standard Specification for Chemical Admixtures for Concrete.
- 13. PCI MNL-116, Manual for Quality Control for Plants and Production of Structural Precast Concrete Products.
- 15. ACI 318 Building Code Requirements for Structural Concrete
- 16. ACI 350 Code Requirements for Environmental Engineering Concrete Structures
- 17. ASTM C 858, Specification or Undergraound, Pre-Cast Conceter Utility Structures
- 18. ASTM C 857, Practice for Minimum Structural Design for Loading for Undergraound, Pre-Cast Conceter Utility Structures
- B. Fabrication Tolerances:
  - 1. General: Fabricate precast concrete units complying with manufacturing and testing procedures, quality control recommendations, and dimensional tolerances of PCI MNL-116, unless otherwise indicated. Keep bar sizes small, even where this will reduce the spacing of the bars.
  - 2. Units shall be true to dimensions. Unit bow, as fabricated and installed, shall not exceed 1/8 inch per unit in the short dimension and 1/4-inch per unit in the long dimension. Step in alignment face and jog in alignment shall not exceed 1/4-inch. Provide a 3/4-inch chamfer or 1 x 2-inch radius on all exposed edges and corners.
  - 3. Imperfections such as air bubbles, ripples, joint lines, warpage, stains, projections, honeycombs, uneven matrix plate, and other defects will not be acceptable.
- C. Qualifications and Responsibilities of Contractor's Design Professional:
  - 1. Professional Engineer:
    - a. Engage a registered professional engineer qualified to practice in the state of New York and experienced in providing engineering services of the kind indicated.
    - b. Responsibilities include but are not necessarily limited to:
      - 1) Carefully reviewing precast concrete structure performance and design criteria stated in the Contract Documents.
      - 2) Preparing written requests for clarifications or interpretations of performance or design criteria for submittal to ENGINEER.
      - 3) Preparing or supervising the preparation of design calculations and related drawings, Shop Drawings, testing plan development, test-result interpretation and a comprehensive engineering analysis verifying compliance of the precast concrete structure with the requirements of the Contract Documents.
      - 4) Signing and sealing all calculations and design drawings, and Shop Drawings.
      - 5) Certifying that:
        - i. The Contractor's Design Proffessional has performed the design of the precast concrete structure in accordance with the performance and design criteria stated in the Contract Documents, and
        - ii. The said design conforms to all applicable local, state and federal codes, rules and regulations, and to the prevailing standards of practice.

- D. Fabricator Qualifications: Fabricated by a firm regularly engaged in the manufacture of precast structural concrete vaults for at least five years. Manufacturer to participate in and furnish evidence to ENGINEER of plant certification program.
  - 1. Manufacturer: Provide precast structural concrete vaults as manufactured by one of the the clients's list of qualified manufacturers.

#### 1.3 SUBMITTALS

- A. Shop Drawings: Submit for approval the following:
  - 1. Qualifications:
    - a. Submit qualifications data for the Contractor's Design Professional.
  - 2. Precast Structures:
    - a. Drawing showing design and construction of all precast concrete, as well as the location and details of all items that are to be embedded in the precast units.
    - b. Design calculations, including all connections, and shop drawings, signed and stamped with a seal of a Registered Professional Engineer licensed to practice in the state of New York.
    - c. Test result from concrete cylinder strength tests.
  - 3. Certificate of Performance: Submit certification of performance of the delegated design by the Contractor's design professional (See attachment A).
- B. Shop Tests:
  - 1. Submit description of proposed testing methods, procedures and apparatus. Prepare and submit report for each test.

#### 1.4 DELIVERY, STORAGE AND HANDLING

- A. Delivery of Materials:
  - 1. Deliver precast concrete units to project site in such quantities and at such times to assure continuity of installation.
- B. Storage of Materials:
  - 1. Store units at project site in a manner that will prevent cracking, distortion, warping, staining, or other physical damage and so that precast copings are without damage at time of installation.
- C. Handling of Materials:
  - 1. Lift and support units only at designated lifting or supporting points as shown on final Shop Drawings.

#### PART 2 – PRODUCTS

#### 2.1 PRECAST CONCRETE STRUCTURES

- A. Layout and details shall be as shown and specified. Design shall be adequate to withstand live loads, lateral earth pressure loading, and uplift case. The precast concrete structures shall be constructed to the lengths, widths and heights as shown on the contract drawings. The structure shall be designed to adequately and safely support all live and dead loads to which the structure will be subjected, and to withstand all conditions which may be encountered. Minimum structure design shall be a minimum of HS-20 truck loading
  - 1. Design Criteria:
    - a. Top slab live load: 300 psf or AASHTO HS-20 truck wheel loads, whichever causes the greater stress.
    - b. Lateral soil pressure above ground water surface: 60 pcf equivalent fluid pressure.
    - c. Lateral soil pressure below ground water surface: 95pcf equivalent fluid pressure.
    - d. Lateral soil pressure due to seismic activity: 10.2H psf at the top of the wall. H is equal to the wall height, in feet.
    - e. Maximum allowable soil bearing pressure: 1500 psf.
    - f. Unit weight of soil = 130 pcf.
    - g. Ground water table for normal ground water = 4 ft below grade.
    - h. Ground water table for 100 year storm = Determine elevation from most recent Flood Insurance Rate Map for the 100 year flood.
    - i. Vertical surcharge on soil of 300 psf.
    - j. Lateral load due to surcharge: 0.5q, where q is the vertical surcharge pressure in psf.
    - k. Safety factor for uplift normal ground water > 1.5.
    - 1. Safety factor for uplift 100 year storm ground water > 1.1.
  - 2. Design shall meet the requirements of ACI 350 and the Building Code.
- B. Concrete Mix: Standard-weight concrete consisting of specified portland cement, pigments, aggregates, admixtures, and water to produce the following properties:
  - 1. Compressive Strength: 5,000 psi minimum at 28 days.
  - 2. Total Air Content: Not less than 4 percent nor more than 6 percent.
- C. Where precast structures are made up of various precast components such as base sections, riser sections and top sections, the joint between sections shall be watertight and be the tongue and groove type complying with AWWA C302.
- D. Walls shall be precast with wall pipes or with pipe sleeves with water stop suitable for use with mechanical link seal as shown on the Drawings.
- E. Precast structure shall be designed and constructed to accept access hatches or castings as shown and specified.

- F. Precast structures shall be designed to support the weight of equipment lifted from the station to the top slab.
- G. Underground precast units shall have a shop-applied coal tar epoxy applied to the exterior surface.
- H. Lifting holes, if used, shall be tapered. Tapered, solid rubber plugs shall be furnished to seal the lifting holes. The lifting holes shall be made to be sealed by plugs driven from the outside face only.
- I. All wall penetrations shall be in accordance with Section 33 05 13, Manholes and Structures.
- J. Mark date of manufacture and name of trademark of manufacturer on inside of barrel.
- K. Butyl Joint Sealer
  - 1. Products and Manufacturers: Provide one of the following:
    - a. ConSeal CS-202 ASTM C990 by ConSeal Concrete Sealants Inc.
    - b. Or approved equal.
  - 2. Precaster is required to submit a detail describing the material and procedure used to seal joints.
- L. Exterior Coating
  - 1. Products and Manufacturers: Provide one of the following:
    - a. ConSeal CS-1800 by ConSeal Concrete Sealants Inc.
    - b. Or approved equal.
  - 2. All exterior surfaces of precast sections to be coated according to manufacturer instructions.
- M. Exterior Joint Wrap
  - 1. Products and Manufacturers: Provide one of the following
    - a. ConSeal CS-212 by ConSeal Concrete Sealants Inc.
    - b. Or approved equal.
  - 2. Polyolefin Backed Exterior Joint Wrap:
    - a. Thickness: Minimum of 0.10".
    - b. Width: Minimum of 12".
  - 3. Contractor to wrap all exterior joints of precast sections in field according to manufacturer instructions.
- N. Single Component, Non-Sag, Moisture Cure, Polyurethane Joint Sealant
  - 1. Products and Manufacturers: Provide one of the following:
    - a. Dymonic 100 by TREMCO, Inc.
    - b. Or approved equal
  - 2. Sealants shall meet the requirements of ASTM C920
    - a. Type: S
    - b. Grade: NS

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- c. Class: 50
- d. Use: NT
- O. Miscellaneous Materials:
  - 1. Joint Cleaner: As recommended by sealant and coating manufacturer and compatible with the substrate.
  - 2. Joint Primer: As recommended by sealant and coating manufacturer and compatible with the substrate.
  - 3. Cylindrical Sealant Backing: ASTM C 1330, Type B non-absorbent, bi-cellular material with surface skin, or Type O open-cell polyurethane, as recommended by sealant manufacturer for application.
  - 4. Masking tape: Non-staining, non-absorbent tape product compatible with joint sealants and adjacent joint surfaces.

#### 2.2 ACCESS HATCHES AND CASTINGS

A. All necessary access hatches, manhole covers, and castings as shown and specified shall be cast into concrete as necessary. Manhole covers shall be as shown on the Contract Drawings and as specified in Section 31 05 13, Manholes and Structures.

## 2.3 SHOP TESTING

- A. Shop Tests:
  - 1. At a minimum, conduct the following shop tests:
    - a. Conduct concrete cylinder strength tests. Cylinders shall be cured in the same manner as the precast structures. Collect a minimum of five test cylinders from every 50 cubic yards of concrete poured at a minimum.

#### 2.4 ACCESSORIES

- A. Provide manhole frame and cover and manhole access steps in vaults as shown. Conform with requirements of Section 31 05 13, Manholes and Structures..
- B. Provide threaded connections for lifting hooks in underside of top slab of vaults as shown.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. CONTRACTOR and his installer shall examine the substrate and the conditions under which Work is to be performed and notify OWNER of unsatisfactory conditions. Do not proceed with the Work until unsatisfactory conditions have been corrected in a manner acceptable to OWNER.

#### 3.2 INSTALLATION

- A. Set units in true alignment. All joints shall be sealed with cement mortar inside and out and troweled smooth to the contour of the wall surface. Raised or rough joint finishes will not be accepted.
- B. Precast structures shall be set on a crushed stone, crushed gravel, or concrete foundation as shown on drawings and in accordance with geotechnical recommendations. Precast units shall be set at the proper grade and carefully leveled and aligned.
- C. Install units in accordance with manufacturer's recommendations.
- D. Replace precast concrete units damaged for any reason or which fail to perform as specified.

#### 3.3 ATTACHMENTS

- A. Attachments listed below, following the "End of Section" designation, are part of this Specification section.
  - 1. Attachment A, Professional Design Services Performance Certification.

#### 3.4 VAULT WATERTIGHTNESS

- A. Testing:
  - 1. All vaults and chambers shall be hydrostatically field-tested for exfiltration.
  - 2. Hydrostatic Testing:
    - a. Hydrostatic testing shall be performed by exfiltration testing. During exfiltration testing, the vault shall be filled with water by CONTRACTOR to a point two feet above the top joint of the vault. Water shall be supplied by CONTRACTOR.
    - b. Vaults shall be hydrostatically tested as if they were sections of pipe equal in diameter to the vault barrel size, and of a length equal to the depth of the vault from the water surface used in the testing (minimum of two feet above the top joint of the vault) to the finished bottom of the vault. In no event shall the finished bottom of the vault be construed to be above the lowest pipe invert elevation.
    - c. During exfiltration testing, CONTRACTOR shall furnish, install, and remove temporary bulkheads as required to perform the test.
    - d. Allowable leakage (exfiltration or infiltration test) for concrete vaults is 100 gallons per day (24 hours) per equivalent inch of vault barrel diameter per mile of vault depth. For example, a vault with interior dimensions of 9 feet x 14 feet has a floor area of 126 square feet, which is equivalent to a circular area with a diameter of 12.7 feet. If the chamber has a depth of 12 feet from the water surface during testing to the bottom slab of the vault, the chamber is equivalent to a circular pipe, 12.7 feet (152 inches in diameter and 12/5280 =

0.0023 miles in length. The allowable leakage is thus: 100 gallons/day x 152 inches x 0.0023 miles, or 34.96 gallons in 24 hours.

- 3. Any vault which fails the test applied shall be repaired and retested by CONTRACTOR at his expense until satisfactory results are obtained.
- 4. Any leaks discovered after testing and/or during the maintenance period shall be repaired by CONTRACTOR at his expense. Any leaks discovered after the initial testing shall require re-testing of the vault after repairs are made.
- 5. All precast vaults shall be free of all visible leaks. Any vault section exhibiting visible leaks shall be replaced by CONTRACTOR at his expense. Any repairs proposed require approval of ENGINEER.
- 6. Testing requirements of this section apply to all special chambers as well as vaults.

++ END OF SECTION ++

# ATTACHMENT A

# Professional Design Services Performance Certification

1.	My name is
2.	My state of New York professional engineering license number is
3.	My license expires, 20
4.	The Project for which I have performed professional design services is described as
5.	The Specification Section(s) under which I have performed my services is/are
6.	The name and address of the individual or entity for whom I have performed my professional design services is:

#### ATTACHMENT A (continued)

Professional Design Services Performance Certification (cont'd)

7. I hereby certify that, to the best of my knowledge, information and belief, I have performed or supervised the performance of the professional design services hereunder, and that said services have been performed in accordance with all applicable local, state and federal codes, rules and regulations and in accordance with the standard of care currently expected of professional engineers/architects performing similar services for projects of similar size and complexity in theCommonwealth of Pennsylvania.

Signature	
Typed or Printed Name	
Name of Firm	
Street Address	
[ SEAL]	
City/State/Zip Code	
Telephone:	
Fax:	

#### SECTION 05 05 33

#### ANCHOR SYSTEMS

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install anchor systems.
  - 2. This Section includes all anchor systems required for the Work, but not specified under other Sections.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before anchor systems Work.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ACI 318, Building Code Requirements for Structural Concrete.
  - 2. ACI 350, Code Requirements for Environmental Engineering Concrete Structures.
  - 3. ACI 355.2, Qualification of Post-Installed Mechanical Anchors in Concrete.
  - 4. ANSI B212.15, Cutting Tools Carbide-tipped Masonry Drills And Blanks For Carbide-tipped Masonry Drills.
  - 5. ANSI/MSS SP-58, Pipe Hangers and Supports Materials, Design, Manufacture, Selection, Application, and Installation.
  - 6. ASTM A194/A194M, Specification for Carbon and Alloy Steel Nuts for Bolts for High Pressure or High Temperature Service, or Both.
  - 7. ASTM A276, Specification for Stainless Steel Bars and Shapes.
  - 8. ASTM A493, Specification for Stainless Steel Wire and Wire Rods for Cold Heading and Cold Forging.
  - 9. ASTM A563, Specification for Carbon and Alloy Steel Nuts.
  - 10. ASTM A1011/A1011M, Specification for Steel, Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, and Ultra-High Strength.
  - 11. ASTM B633, Specification for Electrodeposited Coatings of Zinc on Iron and Steel.
  - 12. ASTM C307, Test Method for Tensile Strength of Chemical-Resistant Mortar, Grouts, and Monolithic Surfacings.

- 13. ASTM C881/C881M, Specification for Epoxy-Resin-Base Bonding Systems for Concrete.
- 14. ASTM E329, Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection.
- 15. ASTM E488, Test Methods for Strength of Anchors in Concrete and Masonry Elements.
- 16. ASTM F593, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
- 17. ASTM F594, Specification for Stainless Steel Bolts, Hex Cap Screws, and Studs.
- 18. ASTM F1554, Specification for Anchor Bolts, Steel, 36, 55 and 105-ksi Yield Strength.
- 19. FS A-A-1922A, Shield, Expansion (Caulking Anchors, Single Lead).
- 20. FS A-A-1923A, Concrete Expansion Anchors.
- 21. FS A-A-1925A, Shield, Expansion (Nail Anchors).
- 22. FS A-A-55614, Shield, Expansion (non-drilling expansion anchors).
- 23. ICC-ES AC01, Acceptance Criteria for Expansion Anchors in Masonry Elements.
- 24. ICC-ES AC58, Acceptance Criteria for Adhesive Anchors in Masonry Elements.
- 25. ICC-ES AC193, Acceptance Criteria for Mechanical Anchors in Concrete Elements.
- 26. ICC-ES AC308, Acceptance Criteria for Post-Installed Adhesive Anchors in Concrete Elements.
- 27. ISO 3506-1, Mechanical Properties of Corrosion-Resistant Stainless Steel Fasteners -- Part 1: Bolts, Screws and Studs.
- 28. NSF/ANSI 61, Drinking Water System Components Health Effects.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Testing Laboratory: Shall comply with ASTM E329 and shall be experienced in tension testing of post-installed anchoring systems.
  - 2. Post-installed Anchor Installer:
    - a. Mechanical Anchors: Installer shall be experienced and trained by post-installed anchor system manufacturer in proper installation of manufacturer's products. Product installation training by distributors or manufacturer's representatives is unacceptable unless the person furnishing the training is qualified as a trainer by the anchor manufacturer.
    - b. Adhesive Anchors: Installation shall be performed by personnel certified under an applicable certification program. Certification shall include written and performance tests in accordance with the ACI/CRSI Adhesive Anchors Installer Certification Program, or equivalent. Description of equivalent programs shall be submitted for ENGINEER's approval and shall be accepted by the building official having jurisdiction.

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Listing of all anchor systems products intended for use in the Work including product type, intended location in the Project, and embedded lengths.
  - 2. Product Data:
    - a. Manufacturer's specifications, load tables, dimension diagrams, acceptable base material conditions, acceptable drilling methods, and acceptable bored hole conditions.
    - b. When required by ENGINEER, copies of valid ICC ES reports that presents load-carrying capacities and installation requirements for anchor systems.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. For each type of anchor bolt or threaded rod, submit copies of laboratory test reports and other data required to demonstrate compliance with the Contract Documents.
      - 1) Reports shall demonstrate compliance with ductile steel element definition of ACI 350, Appendix D, Section D.1.
    - b. Post-installed anchor system manufacturer's certification that installer received training in the proper installation of manufacturer's products required for the Work.
    - c. For each adhesive anchor installer, submit ACI/CRSI Adhesive Anchor Installer Certification.
  - 2. Manufacturer's Instructions:
    - a. Installation instructions for each anchor system product proposed for use, including bore hole cleaning procedures and adhesive injection, cure and gel time tables, and temperature ranges (storage, installation and in-service).
  - 3. Field Quality Control Submittals:
    - a. Submit results of field quality control testing and inspections performed by testing laboratory.

#### 1.5 DELIVERY, STORAGE AND HANDLING

- A. Storage and Protection:
  - 1. Keep materials dry during delivery and storage.
  - 2. Store adhesive materials within manufacturer's recommended storage temperature range.
  - 3. Protect anchor systems from damage at the Site. Protect products from corrosion and deterioration.

#### PART 2 – PRODUCTS

#### 2.1 SYSTEM PERFORMANCE

- A. General:
  - 1. At locations where conditions dictate that Work specified in other Sections is to be of corrosion resistant materials, provide associated anchor systems of stainless steel materials, unless other corrosion-resistant anchor system material is specified. Provide anchor systems of stainless steel materials where stainless steel materials are required in the Contract Documents.
  - 2. Stainless Steel Nuts:
    - a. For anchor bolts and adhesive anchors, provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts for stainless steel anchors used for anchoring equipment, gates, and weirs, and other locations, if any, where the attachment will require future removal for operation or maintenance. Provide lock washer or double nuts on each anchorage device provided for equipment, as required by equipment manufacturer.
    - b. For other locations, provide for each anchorage device a nut as specified or as required by anchor manufacturer. When ASTM A194/A194M, Grade 8S (Nitronic 60) nuts are not required for anchor bolts and adhesive anchors as specified in this Section, provide antiseizing compound where stainless steel rods are used with stainless steel nuts of the same type.
  - 3. Materials that can contact potable water or water that will be treated to become potable shall be listed in NSF/ANSI 61.
- B. Design Criteria
  - 1. Size, Length, and Load-carrying Capacity: Comply with the Contract Documents. When size, length or load-carrying capacity of anchor system is not otherwise shown or indicated, provide the following:
    - a. Anchor Bolts: Provide size, length, and capacity required to carry design load based on values and requirements of Paragraph 3.2.A of this Section. For conditions outside limits of critical edge distance and spacing in Paragraph 3.2.A of this Section, minimum anchor bolt embedment as shown or indicated in Paragraph 3.2.A of this Section apply and capacity shall be based on requirements of Laws and Regulations, including applicable building codes.
    - b. Adhesive Anchors, Expansion Anchors, or Concrete Inserts: Provide size, length, type, and capacity required to carry design load. Anchor capacity shall be based on the procedures required by the building code in effect at the Site. Where Evaluation Service Reports issued by the ICC Evaluation Service are required in this Section, anchor capacities shall be based on design procedure required in the applicable ICC Evaluation Service Report.

- General: Determine capacity considering reductions due to installation and inspection procedures, embedment length, strength of base fastening materials, spacing, and edge distance, as indicated in the manufacturer's design guidelines. For capacity determination, concrete shall be assumed to be in the cracked condition, unless calculations demonstrate that the anchor system will be installed in an area that is not expected to crack under any and all conditions of design loading.
- 2) Concrete Adhesive Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum embedment depth of the greater of the following: required to develop tensile strength of anchor, or a minimum embedment of 10 anchor diameters; and minimum anchor spacing and edge distance of 12 anchor diameters.
- 3) Concrete Masonry Adhesive Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum anchor spacing and edge distance as indicated in anchor manufacturer's instructions.
- 4) Concrete Expansion Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum embedment depth of six anchor diameters, and minimum anchor spacing and edge distance of seven anchor diameters.
- 5) Concrete Masonry Expansion Anchors: Unless otherwise shown or indicated in the Contract Documents or approved by ENGINEER, provide minimum anchor spacing and edge distance as indicated in anchor manufacturer's instructions.
- 6) Concrete Undercut Anchors: Unless otherwise shown or indicated in the Contract Documents, or approved by ENGINEER, provide minimum anchor spacing and edge distance as tabulated in anchor manufacturer's instructions.
- 2. Design Loads. Comply with the Contract Documents. When design load of supported material, equipment, or system is not otherwise shown or indicated, provide the following:
  - a. Equipment Anchors: Use design load recommended by equipment manufacturer. When equipment can be filled with fluid, use loads that incorporate equipment load and load imposed by fluid.
  - b. Pipe Hangers and Supports: Use full weight of pipe, and fluid contained in pipe that are tributary to the support plus the full weight of valves and accessories located between the hanger or support being anchored and the next hanger or support.
  - c. Hangers and Supports for Electrical Systems, and HVAC, Plumbing, and Fire Suppression Systems and Piping: Use the full weight of supported system that is tributary to the support plus the full weight of accessories located between the hanger or support being anchored and the next hanger or support. When piping or equipment is to be filled with fluid, anchor systems shall be sized to support such loads in

addition to the weight of the equipment, piping, or system, as applicable.

- d. Delegated Design: When anchor systems are used for supporting materials, equipment, or systems delegated to a design professional retained by CONTRACTOR, Subcontractor, or Supplier, provide anchor system suitable for loads indicated in delegated design documents and consistent with the design intent expressed in the Contract Documents.
- C. Application:
  - 1. Anchor Bolts:
    - a. Where anchor bolt is shown or indicated, use cast-in-place anchor bolt unless another anchor type is approved by ENGINEER.
    - b. Provide anchor bolts as shown or indicated, or as required to secure structural element to appropriate anchor surface.
  - 2. Concrete Adhesive Anchors:
    - a. Use where adhesive anchors are shown or indicated for installation in concrete.
    - b. Suitable for use where subject to vibration.
    - c. Suitable for use in exterior locations or locations subject to freezing.
    - d. Suitable for use in submerged, intermittently submerged, or buried locations.
    - e. Do not use in overhead applications, unless otherwise shown or approved by ENGINEER.
    - f. Do not use for pipe hangers, unless otherwise shown or approved by ENGINEER.
  - 3. Concrete Masonry Adhesive Anchors:
    - a. Use where adhesive anchors are shown or indicated for installation in grout-filled or hollow masonry units.
    - b. Suitable for use where subject to vibration.
    - c. Suitable for use in exterior locations or locations subject to freezing.
    - d. Do not use for pipe hangers, unless otherwise shown or approved by ENGINEER.
  - 4. Concrete Wedge Expansion Anchors:
    - a. Use where expansion anchors are shown or indicated for installation in concrete.
    - b. Do not use where subject to vibration.
    - c. Do not use in exterior locations or locations subject to freezing.
    - d. Do not use in submerged, intermittently submerged, or buried locations.
    - e. Suitable for use in overhead applications.
  - 5. Grout-filled Concrete Masonry Wedge Expansion Anchors:
    - a. Use where expansion anchors are shown or indicated for installation on the interior face of grout-filled unit masonry.
    - b. Do not use where subject to vibration.
    - c. Do not use in exterior locations or locations subject to freezing.
  - 6. Hollow Concrete Masonry Sleeve Expansion Anchors:

- a. Use where expansion anchors are shown or indicated for installation in hollow concrete unit masonry or solid brick.
- b. Do not use for attaching safety-related systems, such as piping conveying hazardous or potentially hazardous materials, or fire suppression systems.
- c. Do not use where subject to vibration.
- d. Do not use in exterior locations or locations subject to freezing.
- 7. Drop-in Expansion Anchors:
  - a. Use drop-in expansion anchors installed in concrete where light-duty anchors are required to support piping or conduit two-inch diameter or smaller.
  - b. Do not use for attaching safety-related systems, such as piping conveying hazardous or potentially hazardous materials, or fire suppression systems.
  - c. Do not use where subject to vibration.
  - d. Do not use at submerged, intermittently submerged, or buried locations.
  - e. Do not use in exterior locations or locations subject to freezing.
  - f. Suitable for use in overhead applications.
- 8. Concrete Undercut Anchors:
  - a. Use where undercut anchors are shown or indicated for installation in concrete.
  - b. Suitable for use where subject to vibration.
  - c. Do not use in submerged, intermittently submerged, or buried locations.
  - d. Do not use in exterior locations or locations subject to freezing.
  - e. Suitable for use in overhead applications.
- 9. Concrete Inserts:
  - a. Use only where shown or indicated in the Contract Documents.
  - b. Allowed for use to support pipe hangers and pipe supports for pipe size and loading recommended by the concrete insert manufacturer.
- 10. Drive-In Expansion Anchors:
  - a. Use drive-in expansion anchors installed in concrete, precast concrete, grouted masonry units, or brick, where light-duty anchors are required to support piping or conduit one-inch diameter and smaller.
  - b. Do not use for attaching safety-related systems, such as piping conveying hazardous or potentially hazardous materials, or fire suppression systems.
  - c. Do not use in overhead applications.

# 2.2 MATERIALS

- A. Anchor Bolts:
  - 1. Interior Dry Non-corrosive Locations: Provide straight threaded carbon steel rods complying with ASTM F1554, Grade 36, with heavy hex nuts complying with ASTM A563 GradeA, unless otherwise shown or indicated on the Drawings. Hooked anchor bolts are unacceptable.

- 2. Exterior, Buried, Submerged Locations, or When Exposed to Wastewater: Provide stainless steel straight threaded rods complying with ASTM F593, AISI Type 316, Condition A, with ASTM F594, AISI Type 316, stainless steel nuts. Provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts where required. Other AISI types may be used when approved by ENGINEER. Hooked bolts are unacceptable.
  - a. Stainless steel straight threaded rod shall comply with ductility requirements of ACI 350 or ACI 318 Appendix D, Section D.3.3.
- 3. Equipment: Provide anchor bolts complying with material requirements of this Section and equipment manufacturer's requirements relative to size, embedment length, and anchor bolt projection. Anchor bolts shall be straight threaded rods with washers and nuts as specified in this Section. Hooked bolts are unacceptable.
- 4. Anchoring of Structural Elements: Provide anchor bolts of size, material, and strength shown or indicated in the Contract Documents.
- B. Concrete Adhesive Anchors:
  - 1. General:
    - a. Adhesive anchors shall consist of threaded rods anchored into hardened concrete using an adhesive system.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. HIT-RE 500-SD Injection Epoxy Adhesive Anchoring System, by Hilti Fastening Systems, Inc.
    - b. SET-XP Epoxy-Tie Adhesive, by Simpson Strong-Tie Company, Inc.
    - c. Or equal.
  - 3. Adhesive:
    - a. Adhesive system shall use two-component adhesive mix.
    - b. Epoxy adhesives shall comply with physical requirements of ASTM C881/C881M, Type IV, Grade 2 and 3, Class A, B, and C, except gel times.
    - c. Adhesives shall have a current evaluation report by ICC Evaluation Service for use in both cracked and uncracked concrete with seismic recognition for SDC A through F as tested and assessed in accordance with ICC-ES AC308.
    - d. Adhesives shall have minimum bond strength and minimum design bond strength (bond strength multiplied by strength reduction factor) in accordance with Table 05 05 33-A:

Anchor	Uncrackee	l Concrete	Cracked Concrete		
Rod Diameter /	Bond Strength Design Bond		Bond Strength	Design Bond	
Dowel Size	(psi)	Strength (psi)	(psi)	Strength (psi)	
3/8-inch / #3	2040	1300	1090	700	
1/2-inch / #4	1920	1200	920	560	
5/8-inch / #5	1830	1150	710	390	
3/4-inch / #6	1760	1050	710	460	

# TABLE 05 05 33-A:ADHESIVE BOND STRENGTH 1,2

7/8inch / #7	1670	900	610	340
1-inch / #8	1650	1050	850	460
- / #9	1900	1000	800	400
1.25-inch/#10	1580	1000	730	400

Table Notes:

1. Bond strengths listed for hammer-drilled, dry hole.

- 2. Bond strengths listed for maximum short term concrete temperature of 110 degrees F and maximum long term concrete temperature of 75 degrees F.
- 4. Anchor:
  - a. Provide continuously-threaded, AISI Type 316 stainless steel adhesive anchor rod. Threaded rods shall comply with the concrete adhesive anchor manufacturer's specifications as included in the ICC Service Evaluation Report for the anchor submitted. Nuts shall have specified proof load stresses equal to or greater than the minimum tensile strength of the stainless steel threaded rod used. Provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts where required.
  - b. Stainless steel threaded rod shall comply with ductility requirements of ACI 350 or ACI 318 Appendix D, Section D.3.3.

#### C. Concrete Masonry Adhesive Anchors:

- 1. General:
  - a. Grout-filled concrete masonry adhesive anchors shall consist of threaded rods anchored into grout-filled concrete block masonry using an adhesive system.
  - b. Hollow concrete masonry adhesive anchors shall consist of threaded rods with a cylindrical mesh steel or plastic screen tube anchored into hollow concrete block masonry using an adhesive system.
- 2. Products and Manufacturers: Provide one of the following:
  - a. HIT-HY 270 Hybrid Adhesive Anchor System, by Hilti Fastening Systems, Inc.
  - b. Acrylic-Tie Adhesive, by Simpson Strong-Tie Company, Inc.
  - c. Or equal.
- 3. Adhesive:
  - a. Adhesive system shall use two-component adhesive mix.
  - b. Hybrid adhesives shall comply with the following:
    - 1) ASTM D695 compressive yield strength greater than 7,200 psi on a seven-day cure.
  - c. Adhesives shall have current ICC Evaluation Service Report for use in grout-filled concrete masonry, tested and assessed in accordance with ICC-ES AC 58 and ICC-ES AC 60.
- 4. Anchor:
  - a. Provide stainless steel adhesive anchor rod complying with ASTM F593, AISI Type 316, Condition CW, with ASTM F594, AISI Type 316 stainless steel nuts. Provide ASTM A194/A194M, Grade 8S (Nitronic 60) stainless steel nuts where required.
- 5. Mesh Screen Tube (for hollow masonry applications):

- a. Provide with mesh size, length, and diameter as specified by adhesive anchor manufacturer.
- D. Concrete Wedge Expansion Anchors:
  - 1. General:
    - a. Concrete wedge expansion anchors shall consist of stud, wedge, nut, and washer.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Kwik Bolt TZ Wedge Anchor, by Hilti Fastening Systems, Inc.
    - b. Strong Bolt 2 Wedge Anchor, by Simpson Strong-Tie Company, Inc.
    - c. Or equal.
  - Anchors shall comply with physical requirements of FS A-A-1923A, Type
    Provide concrete wedge expansion anchors suitable for use in cracked and uncracked concrete in accordance with ACI 318 and ACI 350, Appendix D. Demonstrate suitability of cracked concrete wedge anchors in accordance with ACI 355.2 prequalification tests.
  - 4. Interior Dry Non-corrosive Locations: Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633.
  - 5. Other Locations: Provide expansion anchors complete with nuts and washers, AISI Type 304 stainless steel anchor body, in accordance with ASTM A276 or ASTM A493.
  - 6. Anchor shall comply with ductility requirements of ACI 350 or ACI 318 Appendix D, Section D.3.3.
  - 7. Concrete wedge expansion anchors shall have a current ICC Evaluation Service Report for use in both cracked and uncracked concrete with seismic recognition in seismic design Categories A through F when tested and assessed in accordance with ICC-ES AC193.
- E. Grout-filled Masonry Wedge Expansion Anchors:
  - 1. General:
    - a. Grout-filled masonry wedge expansion anchors shall each consist of stud, wedge, nut, and washer.
  - 2. Product and Manufacturers: Provide one of the following:
    - a. Kwik-Bolt 3 Expansion Anchors, by Hilti Fastening Systems, Inc.
    - b. Wedge-All Wedge Anchors, by Simpson Strong-Tie Company, Inc.
    - c. Or equal.
  - Anchors shall comply with physical requirements of FS A-A-1923A, Type
    Anchors shall be non-bottom bearing type with single-piece steel expansion clip providing 360-degree contact with base material and shall not require oversized holes for installation.
  - 4. Interior Dry Non-corrosive Locations: Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633.
  - 5. Other Locations: Provide AISI Type 316 stainless steel anchor, complete with nut and washer, in accordance with ASTM A276 or ASTM A493.

- 6. Grout-filled masonry wedge expansion anchors shall have a current ICC Evaluation Service report for use in fully-grouted concrete masonry construction when tested and assessed in accordance with ICC-ES AC01.
- F. Hollow Concrete Masonry Sleeve Expansion Anchors:
  - 1. General:
    - a. Sleeve expansion anchors shall each consist of an externally threaded stud with full length expanding sleeve.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. HLC Sleeve Anchors, by Hilti Fastening Systems, Inc.
    - b. Dynabolt Sleeve Anchors, by ITW Red Head.
    - c. Or equal.
  - 3. Anchors shall comply with physical requirements of FS A-A-1922A. Anchors shall be non-bottom bearing type with single-piece steel expansion sleeve providing 360-degree contact with base material, and shall not require oversized holes for installation.
  - 4. Interior Dry Non-corrosive Locations: Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633.
  - 5. Other Locations: Provide expansion anchors complete with nuts and washers, Type 304 stainless steel, in accordance with ASTM A276 or ASTM A493.
- G. Drop-in Expansion Anchors:
  - 1. General:
    - a. Drop-in expansion anchors shall each consist of an internally threaded, deformation-controlled expansion anchor with pre-assembled expander plug.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. HDI Drop-In Anchors, by Hilti Fastening Systems, Inc.
    - b. Drop-In Anchor, by Simpson Strong-Tie Company, Inc.
    - c. Or equal.
  - 3. Provide carbon steel anchors complete with nuts and washers, zinc plated, in accordance with ASTM B633, complying with physical requirements of FS A-A-55614, Type I. Anchors shall be flush or shell type. Provide low-profile anchors for use in precast concrete planks.
- H. Concrete Undercut Anchors:
  - 1. General:
    - a. Each concrete undercut anchor shall consist of threaded stud, thickwalled expansion sleeve, expander coupler, and nut and washer. Anchors shall be pre-set type or through-set type, as shown on the Drawings.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. HDA Undercut Anchor, by Hilti Fastening Systems, Inc.
    - b. DUC Ductile Undercut Anchor, by USP Structural Connectors.
    - c. Or equal

- 3. Provide concrete undercut expansion anchors in accordance with ACI 318 and ACI 350, Appendix D. Demonstrate suitability of cracked concrete undercut anchors in accordance with ACI 355.2 prequalification tests.
  - a. Anchor shall comply with ductility requirements of ACI 350 or ACI 318 Appendix D, Section D.3.3.
- 4. Installed anchor shall exhibit form fit between bearing elements and the undercut in the concrete.
- 5. Interior Dry Non-Corrosive Locations: Provide carbon steel anchors, complete with nuts and washers, zinc plated, in accordance with ASTM B633.
- 6. Other Locations: Provide stainless steel anchors, complete with nuts and washers, manufactured of AISI Type 316 stainless steel or materials complying with ISO 3506-1 and having corrosion resistance equivalent to AISI Type 316 stainless steel.
- 7. Concrete undercut anchors shall have a current ICC Evaluation Service Report for use in both cracked and uncracked concrete for seismic recognition for seismic design Categories A through F when tested and assessed in accordance with ICC-ES AC193.
- I. Concrete Inserts:
  - 1. Manufacturers: Provide products of one of the following:
    - a. Unistrut Corporation.
    - b. Cooper B-Line, Inc.
    - c. Anvil International, Inc.
    - d. Or equal.
  - 2. Spot Concrete Inserts:
    - a. Provide inserts recommended by insert manufacturer for required loading. Inserts shall comply with ANSI/MSS SP-58, malleable iron, Type 18. Spot inserts shall allow for lateral adjustment and have means for attachment to forms. Provide nuts compatible with insert and to suit threaded hanger rod sizes.
  - 3. Continuous Concrete Inserts:
    - a. Provide inserts recommended by insert manufacturer for required loading. Inserts shall be continuous type and shall be manufactured from minimum 12-gage cold-formed channel sections, complying with ASTM A1011/A1011M, stainless steel, Grade 33, complete with styrofoam inserts, end caps, and means for attaching to forms. Provide channel nuts compatible with insert suitable for threaded hanger rod sizes.
  - 4. Provide inserts with plain finish.
- J. Drive-In Expansion Anchors:
  - 1. General:
    - a. Drive-In expansion anchors shall each consist of stainless steel drive pin and expanding alloy body.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Metal HIT Anchor, by Hilti Fastening Systems, Inc.

- b. Zinc Nailon Anchor, by Simpson Strong-Tie Company, Inc.
- c. Or equal.
- 3. Provide Type 304 stainless steel drive pin with zinc alloy body. Anchor shall comply with physical requirements of FS A-A-1925A, Type 1.
- K. Unless approved by ENGINEER, do not use power-actuated fasteners or other types of bolts and fasteners not specified in this Section.
- L. Anti-Seizing Compound:
  - 1. Products and Manufacturers: Provide one of the following:
    - a. Pure Nickel Never-Seez, by Bostik.
    - b. Nickel-Graf, by Anti-Seize Technology.
    - c. Or equal.
  - 2. Provide pure nickel anti-seizing compound.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. Examine conditions under which materials will be installed and advise ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

#### 3.2 INSTALLATION

- A. Anchor Bolts:
  - 1. Provide anchor bolts as shown or indicated in the Contract Documents, or as required to secure structural element to the appropriate anchor surface.
  - 2. Locate and accurately set anchor bolts using templates or other devices as required, prior to placing concrete. Wet setting of anchor bolts is unacceptable.
  - 3. Protect threads and shank from damage during installation and subsequent construction operations.
  - 4. Unless otherwise shown or approved by ENGINEER anchor bolts shall comply with Table 05 05 33-B:

	SINGLE ANCHOR ALLOWABLE LOADS ON ANCHOR BOLTS <sup>1</sup>								
(	F1554 Grade 36			F1554					
nch	F593 Type 316, Condition A			Grade 55					
Bolt Diameter (i	Minimum Embedment (inch)	Minimum Edge Distance and Spacing <sup>2</sup> (inch)	Shear <sup>3,4</sup> (lb)	Tension <sup>3</sup> (1b)	Minimum Embedment (inch)	Minimum Edge Distance and Spacing <sup>2</sup> (inch)	Shear <sup>3</sup> (lb)	Tension <sup>3</sup> (lb)	

TABLE 05 05 33-B:SINGLE ANCHOR ALLOWABLE LOADS ON ANCHOR BOLTS 1

1/2	6	9	947	1,815	8.5	12.75	1,245	2,393
5/8	7.5	11.25	1,508	2,895	10.5	15.75	1,980	3,810
3/4	9	13.5	2,231	4,290	13	19.5	2,933	5,640
7/8	10.5	15.75	3,080	5,918	15	22.5	4,050	7,793
1	12	18	4,040	7,770	17	25.5	5,318	10,088
1 1/8	13.5	20.25	5,090	9,789	19	28.5	8,930	12,435
1.1/4	15	22.5	6,463	12,429	21	31.5	8,505	15,030

Table Notes:

- 1. Table is based on ACI 318 and ACI 350, Appendix D, f'<sub>c</sub> = 4000 psi. Table 05 05 33-B is not applicable to anchor bolts embedded in grouted masonry.
- 2. Critical edge distance and spacing are indicated in the table. Capacity of anchor bolts for other combination of edge distances and spacing shall be evaluated in accordance with ACI 318 and ACI 350, Appendix D.
- 3. Values for shear and tension listed are not considered to act concurrently. Interaction of tension and shear will be evaluated by ENGINEER in accordance with ACI 318 and ACI 350, Appendix D.
- B. Adhesive Anchors, Undercut Anchors, and Expansion Anchors General:
  - 1. Prior to drilling, locate existing reinforcing steel in vicinity of proposed holes. If reinforcing conflicts with proposed hole location, obtain ENGINEER's approval of alternate hole locations to avoid drilling through or damaging existing reinforcing bars.

#### C. Adhesive Anchors:

- 1. Comply with manufacturer's written installation instructions and the following.
- 2. Drill holes to adhesive system manufacturer's recommended drill bit diameter to the specified depth. Drill holes in hammering and rotation mode with carbide-tipped drill bits that comply with the tolerances of ANSI B212.15. Core-drilled holes are unacceptable.
- 3. Before setting adhesive anchor, hole shall be made free of dust and debris by method recommended by adhesive anchor system manufacturer. Hole shall be brushed with adhesive system manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove all dust and loose particles. Hole shall be dry as defined by adhesive system manufacturer.
- 4. Before injecting adhesive, obtain ENGINEER's concurrence that hole is dry and free of oil and other contaminants.
- 5. Prior to injecting adhesive into the drilled hole, dispense, to a location appropriate for such waste, an initial amount of adhesive from the mixing nozzle, until adhesive is uniform color.
- 6. Inject adhesive into hole through injection system-mixing nozzle and necessary extension tubes, placed to bottom of hole. Discharge end shall be withdrawn as adhesive is placed but kept immersed to prevent formation of air pockets. Fill hole to depth that ensures that excess material is expelled from hole during anchor placement.
- 7. Twist anchors during insertion into partially-filled hole to guarantee full wetting of rod surface with adhesive. Insert rod slowly to avoid developing

air pockets.

- 8. Provide adequate curing in accordance to adhesive system manufacturer's requirements prior to continuing with adjoining Work that could place load on installed adhesive anchors. Do not begin adjoining Work until adhesive anchors are successfully tested or when allowed by ENGINEER.
- 9. Limitations:
  - a. At time of anchor installation, concrete shall have compressive strength (f'c) of not less than 2,500 psi.
  - b. At time of anchor installation, concrete shall have age of not less than 21 days.
  - c. Installation Temperature: Comply with manufacturer's instructions for installation temperature requirements. Provide temporary protection and other measures, such as heated enclosures, necessary to ensure that base material temperature complies with anchor systems manufacturer's requirements during installation and curing of adhesive anchor system.
  - d. Oversized Holes: Advise ENGINEER immediately if size of drilled hole is larger than recommended by anchor system manufacturer. Cost of corrective measures, including but not limited to redesign of anchors due to decreased anchor capacities, shall be paid by CONTRACTOR.
  - e. Embedment depths shall be based on installation in normal-weight concrete with compressive strength of 2,500 psi when embedded in existing concrete, and 4,000 psi when embedded in new concrete.
- D. Expansion Anchors:
  - 1. Comply with expansion anchor manufacturer's written installation instructions and the following:
  - 2. Drill holes using anchor system manufacturer's recommended drill bit diameter and to the specified depth. Drill holes in hammering and rotation mode with carbide-tipped drill bits complying with tolerances of ANSI B212.15. Core drilled holes are unacceptable.
  - 3. Before installing anchor, hole shall be made free of dust and debris by method recommended by anchor system manufacturer. Hole shall be brushed with anchor system manufacturer-approved brush and blown clean with clean, dry, oil-free compressed air to remove all dust and loose particles.
  - 4. Before installing anchor, obtain ENGINEER's concurrence that hole is dry and free of oil and other contaminants.
  - 5. Protect threads from damage during anchor installation. Drive anchors not less than four threads below surface of the attachment. Set anchors to anchor manufacturer's recommended torque using a torque wrench.
- E. Concrete Undercut Anchors:
  - 1. Comply with undercut anchor manufacturer's written installation instructions and the following.
  - 2. Protect threads from damage during anchor installation.

- 3. Drill hole to anchor manufacturer's specified depth and diameter using a drill bit matched to the specific anchor.
- 4. Before setting the undercut anchor, hole shall be free of dust and debris using method recommended by undercut anchor system manufacturer. Hole shall be blown clean with clean, dry, oil-free compressed air to remove all dust and loose particles.
- 5. Insert the anchor by hand until anchor reaches bottom of hole.
- 6. Set anchor in accordance with manufacturer's instructions using anchor manufacturer's specified setting tool.
- 7. Verify that the setting mark is visible on the threaded rod above the sleeve.
- 8. Anchor shall be set to manufacturer's recommended torque, using a torque wrench.
- F. Concrete Inserts:
  - 1. Comply with concrete insert manufacturer's installation instructions.
  - 2. Inserts shall be flush with slab bottom surface.
  - 3. Protect embedded items from damage during concrete placing. Ensure that embedded items are securely fastened to prevent movement during concrete placing, and ensure that embedded items do fill with concrete during concrete placing.
  - 4. Inserts intended for piping greater than four-inch diameter shall be provided with hooked rods attached to concrete reinforcing.
- G. Anti-Seizing Compound:
  - 1. Provide anti-seizing compound in accordance with anti-seizing compound manufacturer's installation instructions, at locations indicated in Paragraph 2.1.B of this Section.
  - 2. Do not use anti-seizing compound at locations where anchor bolt or adhesive anchor will contact potable water or water that will be treated to become potable.

#### 3.3 CLEANING

A. After embedding concrete is placed, remove protection and clean bolts and inserts.

#### 3.4 FIELD QUALITY CONTROL

- A. Site Tests:
  - 1. OWNER will employ testing agency to perform field quality tensile testing of production adhesive anchors at the Site, unless otherwise specified.
    - a. Testing shall comply with ASTM E488.
    - b. Test at least ten percent of all types of adhesive anchors. If one or more adhesive anchors fail the test, CONTRACTOR shall pay cost of testing, or at ENGINEER's option CONTRACTOR may arrange for testing paid by CONTRACTOR, for all adhesive anchors of same diameter and type installed on the same day as the failed anchor. If
anchors installed on the same day as the failed anchor also fail the test, ENGINEER may require retesting of all anchors of the same diameter and type installed in the Work. CONTRACTOR shall be responsible for retesting costs.

- c. ENGINEER will direct which adhesive anchors are to be tested and indicate test load to be used
- d. Apply test loads with hydraulic ram.
- e. Displacement of post-installed anchors shall not exceed D/10, where D is nominal diameter of anchor being tested.
  - 1) ENGINEER will indicate test loads to be used.
  - 2) Testing shall comply with ASTM E488.
  - 3) Apply test loads with hydraulic ram.
- d. Anchors that fail to reach the specified test load shall be considered as not passing the test and shall be re-tested at no additional cost to OWNER.
- e. Testing agency shall submit test results to CONTRACTOR and ENGINEER within 24 hours of completion of test.
- 3. Correct defective Work by removing and replacing or correcting, as directed by ENGINEER.
- 4. CONTRACTOR shall pay for all corrections and subsequent testing required to confirm competence in the installation of post-installed mechanical anchors.
- 5. Testing agency shall submit test results to CONTRACTOR and ENGINEER within 24 hours of completion of test.
- B. Manufacturer's Services:
  - 1. Provide at the Site services of qualified adhesive manufacturer's representative during initial installation of adhesive anchor systems to train CONTRACTOR's personnel in proper installation procedures. Manufacturer's representative shall observe to confirm that installer demonstrates proper installation procedures for adhesive anchors and adhesive material.

+ + END OF SECTION + +

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# SECTION 05 50 13

# MISCELLANEOUS METAL FABRICATIONS

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish miscellaneous metal fabrications including surface preparation and shop priming.
  - 2. The Work also includes:
    - a. Providing openings in miscellaneous metal fabrications to accommodate the Work under this and other Sections, and attaching to miscellaneous metal fabrications all items such as sleeves, bands, studs, fasteners, and all items required for which provision is not specifically included under other Sections.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate the Work to be installed with, or attached to miscellaneous metal fabrications Work.
- C. Related Sections:
  - 1. Section 03 60 00, Grouting.
  - 2. Section 05 05 33, Anchor Systems.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ASTM A36/A36M, Specification for Carbon Structural Steel.
  - 2. ASTM A53/A53M, Specification for Pipe Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless.
  - 3. ASTM A240/A240M, Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet and Strip for Pressure Vessels and for General Applications.
  - 4. ASTM A320/A320M, Specification for Alloy-Steel and Stainless Steel Bolting Materials for Low-Temperature Service.
  - 5. ASTM A793, Specification for Rolled Floor Plate, Stainless Steel.
  - 6. ASTM A992/A992M, Specification for Structural Steel Shapes.
  - 7. AWS D1.1/D1.1M, Structural Welding Code Steel.
  - 8. AWS D1.6, Structural Welding Code Stainless Steel.
  - 9. NAAMM, Metal Finishes Manual.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Welding:
    - a. Qualify welding processes and welding operators in accordance with AWS D1.1/D1.1M, D1.2/D1.2M, or D1.6, as applicable.
    - b. When requested by ENGINEER, provide certification that each welder employed on or to be employed for the Work have satisfactorily passed AWS qualification tests within previous 12 months. Ensure that all certifications are current.
- B. Regulatory Requirements: Conform to the following:
  - 1. 29 CFR 1910, Occupational Health and Safety Standards.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Fabrication and erection details for assemblies of miscellaneous metal Work. Include plans, elevations, and details of sections and connections. Show anchorage and accessory items. Include setting drawings and templates for locating and installing miscellaneous metal items and anchorage devices.
  - 2. Product Data:
    - a. Copies of manufacturer's specifications, load tables, dimension diagrams, anchor details, and installation instructions for products to be used in miscellaneous metal Work.
- B. Informational Submittals: Submit the following:
  - 1. Test and Evaluation Reports:
    - a. Mill test report that indicate chemical and physical properties of each type of material, when requested by ENGINEER.
  - 2. Qualifications Statements:
    - a. Copies of welder's certifications, when requested by ENGINEER.

#### 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Packing, Shipping, Handling and Unloading:
  - 1. Deliver products to Site to ensure uninterrupted progress of the Work. Deliver anchorage materials to be embedded in other construction in ample time to prevent delaying the Work.

#### PART 2 – PRODUCTS

# 2.1 MATERIALS

A. Stainless Steel:

- 1. Plates and Sheets: ASTM A240/A240M, Type 304L or Type 316 stainless steel.
- 2. Submerged or Intermittently Submerged: Type 316 stainless steel.
- 3. Non-submerged: Type 304L stainless steel.
- B. Stainless Steel Fasteners and Fittings: ASTM A 320/A 320M, Type 304L or Type 316 Stainless Steel.

# 2.2 MISCELLANEOUS METAL ITEMS

- A. Shop Assembly:
  - 1. Pre-assemble items in the shop to the greatest extent possible to minimize field-splicing and field-assembly of units at the Site. Disassemble units only to extent necessary for shipping and handling limitations. Clearly mark units for reassembly and coordinated installation.
- A. Miscellaneous Framing and Supports:
  - 1. Provide miscellaneous metal framing and supports that are not part of structural steel framework and are required to complete the Work.
  - 2. Fabricate miscellaneous units to sizes, shapes, and profiles shown on the Drawings or, if not shown, of required dimensions to receive adjacent grating, plates, tanks, doors, and other work to be retained by the framing.
  - 3. Except as otherwise shown, fabricate from structural shapes, plates, and bars, of all-welded construction using mitered corners, welded brackets, and splice plates and minimum number of joints for field connection.
  - 4. Cut, drill, and tap units to receive hardware and similar items to be anchored to the Work.
  - 5. Furnish units with integrally welded anchors for casting into concrete or building into masonry. Furnish inserts if units are to be installed after concrete is placed.
    - a. Except as otherwise shown, space anchors, 2.0 feet on centers, and provide units the equivalent of 1.25-inch by 1/4-inch by eight-inch strips.
  - 6. Miscellaneous steel framing and supports shall be Stainless Steel, unless otherwise shown or indicated.

# 2.3 SOURCE QUALITY CONTROL

- A. Tests and Inspections:
  - 1. Materials and fabrication procedures shall be subject to inspection and tests in the mill, shop, and field, conducted by a qualified inspection agency. Such inspections and tests will not relieve CONTRACTOR of responsibility for providing materials and fabrication procedures complying with the Contract Documents.

# PART 3 – EXECUTION

# 3.1 EXAMINATION

02328010.0000

A. Examine conditions under which the Work is to be performed and notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

# 3.2 INSTALLATION

- A. Install miscellaneous metal fabrications accurately in location, alignment, and elevation, plumb, level, true, and free of rack, measured from established lines and levels. Brace temporarily or anchor temporarily in formwork where fabrications are to be built into concrete, masonry, or other construction.
- B. Anchor securely as shown and as required for the intended use, using concealed anchors where possible.
- C. Fit exposed connections accurately together to form tight, hairline joints. Field-weld steel connections that are not to be exposed joints and cannot be shop-welded because of shipping size limitations. Comply with AWS D1.1/D1.1M, D1.2/D1.2M and D1.6, as applicable to the material being welded. Grind steel joints smooth and touch-up shop paint coat. Do not weld, cut, or abrade surfaces of exterior units that have been hot-dip galvanized after fabrication, and are intended for bolted or screwed field connections.

+ + END OF SECTION + +

# SECTION 06 10 53

## MISCELLANEOUS ROUGH CARPENTRY

#### PART 1 – GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, material, tools, equipment, and incidentals as shown, specified, and required to furnish and install all miscellaneous rough carpentry Work.
  - 2. The Work also includes:
    - a. Providing openings in miscellaneous rough carpentry to accommodate the Work under this and other Sections and building into miscellaneous rough carpentry items such as sleeves, anchorages, inserts and other items to be embedded in or penetrating miscellaneous rough carpentry for which placement is not specifically provided under other Sections.
  - 3. Extent of miscellaneous rough carpentry is shown or indicated.
  - 4. Types of materials required include:
    - a. Miscellaneous blocking, furring strips, and other miscellaneous wood framing.
    - b. Lumber for temporary protection.
    - c. Lumber for temporary support.
    - d. Pressure treatment of lumber specified in this Section.
    - e. Miscellaneous accessories.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before miscellaneous rough carpentry Work.
- C. Related Sections:
  - 1. Section 05 05 33, Anchor Systems.
  - 2. Section 07 31 13, Asphalt Shingles.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ALSC PS 20, American Softwood Lumber Standard.
  - 2. ASME B18.2.1 Square and Hex Bolts and Screws, Inch Series.
  - 3. ASME B18.6.1 Wood Screws, Inch Series.
  - 4. ASTM A653/A653M, Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process.
  - 4. AWPA M4, Care of Preservative Treated Wood Products.
  - 5. AWPA P5, Waterborne Preservatives.

- 6. AWPA P17, Fire Retardant Formulations.
- 7. AWPA T1, Use Category System: Processing and Treatment Standard.
- 8. AWPA U1, Use Category System: User Specification for Treated Wood.
- 9. APA E445S, Performance Standards and Policies for Structural-Use Panels (APA PRP-108).
- 10. NIST PS-1, Construction and Industrial Plywood.
- 11. National Lumber Grade Authority (NLGA), Standard Grading Rules for Canadian Lumber.
- 12. Northeastern Lumber Manufacturers Association (NELMA), Standard Grading Rules for Northeastern Lumber.
- 13. Southern Pine Inspection Bureau (SPIB), Standard Grading Rules for Southern Pine Lumber.

# 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with requirements of authorities having jurisdiction and the building code referred to in Section 01 42 00, References for size, spacing and attachment of wood members, unless more stringent requirements are shown or specified in the Contract Documents.
- B. Certifications:
  - 1. Pressure Treatment: For each type of pressure treatment specified, submit certification by wood treating plant stating chemicals and process used, and certifying conformance with applicable standards referenced in the Contract Documents.
    - a. For water borne preservatives, include statement that moisture content of treated materials was reduced to maximum of 19 percent prior to shipment to the Site.
  - 2. Certificates of Grade: Where appearance of wood is important and grade marks will deface the Work, in lieu of grade markings on wood, submit certificates attesting that materials comply with grade requirements specified.

# 1.4 SUBMITTALS

- A. Action Submittals; Submit the following:
  - 1. Shop Drawings:
    - a. List of species and grade of lumber proposed for each use.
    - b. Fastener schedule with location, size, material and type of each fastener to be used in the Work.
  - 2. Samples:
    - a. Provide Sample of each fastener tagged for use in the Work.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Lumber treater's certification of compliance, in accordance with Paragraph 1.3.B.1 of this Section.
    - b. Certificates of grade in accordance with Paragraph 1.3.B.2 of this Section.

- 2. Manufacturer's Instructions:
  - a. Chemical treatment manufacturer's instructions for proper use of each type of treated material.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Packing, Shipping, Handling, and Unloading:
  - 1. Deliver products to Site to ensure uninterrupted progress of the Work. Deliver anchorage products that are to be embedded in concrete or masonry in ample time to prevent delaying the Work.
  - 2. Handle treated materials in accordance with AWPA M4.
  - 3. Comply with Section 01 65 00, Product Delivery Requirements.
- B. Storage and Protection:
  - 1. Keep materials dry during delivery and storage.
  - 2. Keep materials off ground using pallets, platforms, or other appropriate supports. Protect materials from corrosion and deterioration. Stack lumber and provide air circulation within stacks.
  - 3. Comply with Section 01 66 00, Product Storage and Handling Requirements.

# PART 2 – PRODUCTS

# 2.1 MATERIALS

- A. Lumber, General:
  - 1. Factory-mark each piece of lumber with type, grade, mill and grading agency. Surfaces that will be exposed to view shall not have grade marks or other types of identifying marks.
  - 2. Nominal sizes are shown or indicated, unless otherwise shown or indicated in the Contract Documents. Provide actual sizes as required by ALSC PS 20 for moisture content specified for each use.
    - a. Provide dressed lumber, surfaced four sides (S4S), unless otherwise shown or specified.
    - b. Provide seasoned lumber with 19 percent maximum moisture content at time of dressing.
  - 3. Provide the following grade and species:
    - a. Construction Grade, for material up to and including four-inch wide.
    - b. No. 2 or better for material greater than four-inch wide up to and including 12-inch wide.
    - d. Eastern White Pine, NELMA.
    - e. Spruce-Pine-Fir, NLGA.
    - f. Hem-Fir (North), NLGA.
    - g. Southern Pine, SPIB.
  - 4. Lumber for Protection and Temporary Support: Size and grades to conform to Laws and Regulations, including OSHA.
- B. Plywood for Diaphragms: Provide the following:

- 1. See Specification section 06 11 00 for Plywood Diaphragms.
- C. Oriented Strand Board for Roof Sheathing: Provide the following:
  - 1. See Specification section 06 11 00 for Roof Sheathing.
- D. Infiltration Barrier:
  - 1. See Specification section 06 11 00 for Infiltration Barrier.
- E. Fasteners and Anchorages:
  - 1. Fasteners exposed to the weather as well as fasteners embedded in, or in contact with, preservative treated wood shall be hot-dip galvanized.
  - 2. Common wire nails shall conform to ASTM F1667.
  - 3. Wood screws shall conform to ASME B18.6.1.
  - 4. Lag screws and lag bolts shall conform to ASME B18.2.1.
  - 5. Anchorage devices shall conform to Section 05 05 33, Anchor Systems.
  - 6. Use joist hangers, framing anchors and clips where shown or specified.
    - a. Joist hangers shall be steel, zinc coated, sized to fit the supporting member, of sufficient strength to develop full strength of the supported member in accordance with applicable building code, and furnished complete with special nails required by joist hanger manufacturer.
    - Framing anchors shall be hot-dip galvanized steel conforming to ASTM A653/A653M, Z275 G90. Steel shall not be lighter than 18-gage. Use special nails furnished by manufacturer for nailing.
    - c. Clips shall consist of hot-dip galvanized conforming to ASTM A653/A653M, Z275 G90 steel angles, minimum 3/16-inch thick.
- F. Panel edge clips: Extruded galvanized steel, H-shaped clips to prevent differential deflection of roof sheathing.

# 2.2 WOOD TREATMENT

- A. Preservative Treatment: Where lumber is specified in this Section as treated, comply with AWPA P5, "Alkaline Copper Quat Mixture". Mark each treated item to comply with AWPA quality mark requirements.
  - 1. Pressure-treat above ground items with water-borne preservatives in accordance with AWPA U1 and AWPA T1. After treatment, kiln-dry to maximum moisture content of 19 percent. Treat materials indicated on the Drawings and the following:
    - a. Wood nailers, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
    - b. Wood, plates, blocking, furring, stripping, and similar concealed members and wood in contact with masonry, concrete, or steel.
    - c. Soffit and rain drainage framing.
  - 2. Complete the fabrication of treated items prior to treatment, wherever possible. If wood is cut after treatment, coat cut surfaces with heavy brush coat of same chemical used for treatment. Inspect each piece of wood after drying and discard damaged or defective pieces.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. Examine substrates and supporting structure and conditions under which miscellaneous rough carpentry Work will be installed and notify ENGINEER in writing of conditions detrimental to proper completion of the Work. Do not proceed with installation until unsatisfactory conditions are corrected.

#### 3.2 INSTALLATION

- A. Coordination: Fit miscellaneous rough carpentry Work to other Work and work under other contracts, as applicable, and scribe and cope as required for accurate fit. Correlate location of furring, nailers, blocking, grounds and similar supports to allow proper attachment of other construction.
- B. General:
  - 1. Discard units of material with defects that might impair quality of the Work, and units too small to fabricate the Work with minimum joints or optimum joint arrangement.
  - 2. Set miscellaneous rough carpentry Work accurately to required levels and lines, with members plumb and true, accurately cut and fitted.
  - 3. Securely attach miscellaneous rough carpentry Work to substrates by anchoring and fastening as shown and indicated in the Contract Documents. Countersink nail heads on exposed miscellaneous rough carpentry Work and fill holes. Make tight connections between members.
  - 4. Install fasteners without splitting of wood, pre-drill as required and for masonry anchors fastened to wood stud wall framing.
- C. Wood Grounds, Nailers, and Blocking:
  - 1. Provide where shown or indicated, and where required for attachment of other construction. Form to shapes as shown or indicated and cut as required for true line and level of Work to be attached. Coordinate location with other work involved.
  - 2. Attach substrates as required to support applied loading. Countersink bolts and nuts flush with surfaces, unless otherwise shown or indicated.
  - 3. Provide permanent grounds of dressed, preservative-treated, key-bevelled lumber not less than 1.5-inch wide and of thickness required to bring face of ground to exact thickness of finish material involved. Remove temporary grounds when no longer required.

+ + END OF SECTION + +

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## SECTION 06 11 00

## WOOD FRAMING AND SHEATHING

## <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, material, tools, equipment and incidentals as shown, specified, and required to furnish and install wood framing and sheathing.
  - 2. The Work also includes:
    - a. Providing openings in and attachments to wood framing and sheathing to accommodate the Work under this and other Sections and building into the framing and sheathing items such as fasteners and items required for which provision is not specifically included under other Sections.
  - 3. Extent of wood framing and sheathing is shown on the Drawings.
  - 4. Types of materials required include:
    - a. Wood studs, sills, plates, joists, rafters, and solid bridging.
    - b. Exterior wall framing with plywood sheathing.
    - c. Roof framing with plywood sheathing.
    - d. Blocking, bulkheading, crossarms, and bracing members.
    - e. Associated fasteners and anchorages for wood framing, sheathing, and wood trim.
    - f. Wood trim.
    - g. Insulation
    - h. Miscellaneous accessories.
- B. Coordination:
  - 1. Review procedures under this and other Sections and coordinate the Work that must be performed with or before wood framing and sheathing Work.
  - 2. Fit wood framing and sheathing Work to other work and scribe and cope as required for accurate fit. Correlate location of furring, nailers, blocking, grounds, and similar supports to allow proper attachment of other work.
- C. Related Sections:
  - 1. Section 05 05 33, Anchor Systems.
  - 2. Section 06 10 53, Miscellaneous Rough Carpentry.
- 1.2 REFERENCES
  - A. Standards referenced in this Section are:
    - 1. ALSC PS 20, American Softwood Lumber Standard.
    - 2. ASME B18.2.1 Square and Hex Bolts and Screws, Inch Series.
      - ASME B18.6.1 Wood Screws, Inch Series.

3.

- 4. ASTM A653/A653M, Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process.
- 5. ASTM F1667, Specification for Driven Fasteners: Nails, Spikes, and Staples.
- 6. AWPA M4, Care of Preservative Treated Wood Products.
- 7. AWPA P5, Waterborne Preservatives.
- 8. AWPA T1, Use Category System: Processing and Treatment Standard.
- 9. AWPA U1, Use Category System: User Specification for Treated Wood.
- 10. APA E445S, Performance Standards and Policies for Structural-Use Panels (APA PRP-108).
- 11. U.S. Department of Commerce, National Institute of Standards and Technology (NIST), PS-1, Construction and Industrial Plywood.
- 12. National Lumber Grade Authority (NLGA), Standard Grading Rules for Canadian Lumber.
- 13. Northeastern Lumber Manufacturers Association (NELMA), Standard Grading Rules for Northeastern Lumber.
- 14. Southern Pine Inspection Bureau (SPIB), Standard Grading Rules for Southern Pine Lumber.

## 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements: Comply with requirements of authorities having jurisdiction and the building code referred to in Section 01 42 00, References for size, spacing and attachment of wood members, unless more stringent requirements are shown or specified in the Contract Documents.
- B. Certifications:
  - 1. Pressure Treatment: For each type of pressure treatment specified, submit certification by wood treating plant stating chemicals and process used, and certifying conformance with applicable standards referenced in the Contract Documents.
    - a. For water borne preservatives, include statement that moisture content of treated materials was reduced to maximum of 19 percent prior to shipment to the Site.
  - 2. Certificates of Grade: Where appearance of wood is important and grade marks will deface the Work, in lieu of grade markings on wood, submit certificates attesting that materials comply with grade requirements specified.
- C. Professional Engineer:
  - 1. CONTRACTOR shall retain registered professional engineer legally qualified to practice in the same state as the site. Professional engineer shall have at least five years' experience in designing wood framing:
  - 2. Responsibilities include:
    - a) Reviewing wood Framing system performance and design criteria stated in the Contract Documents.
    - b) Preparing written requests for clarifications or interpretations of performance or design criteria for submittal to ENGINEER by CONTRACTOR.
    - c) Preparing or supervising preparation of design calculations, truss

design drawings, and related Shop Drawings.

- d) Signing and sealing all calculations, Wood Framing design drawings and Shop Drawings.
- e) Certifying that:
  - 1) Design of the Wood Framing has been performed in accordance with the performance and design criteria stated in the Contract Documents, and
  - 2) Design conforms to all applicable local, state and federal laws and regulations and to prevailing standards of practice.

#### 1.4 SUBMITTALS

- A. Action Submittals; Submit the following:
  - 1. Shop Drawings:
    - a. List of species and grade of lumber proposed for each use.
    - b. Fastener schedule with location, size, material and type of each fastener to be used in the Work.
  - 2. Samples:
    - a. Provide Sample of each fastener tagged for use in the Work.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Lumber treater's certificate of compliance, in accordance with Paragraph 1.3.B.1 of this Section.
    - b. Certificates of grade in accordance with Paragraph 1.3.B.2 of this Section.
    - c. Certification by professional Engineer that shop fabricated wood truss design is in accordance with performance and design criteria stated in the Contract Documents and that design conforms to applicable local, state and federal laws and regulations, and to prevailing standards of practice.
  - 2. Delegated Design Data:
    - a. Wood Framing Design drawings and Design data shall be prepared by a registered professional engineer legally qualified to practice in the Commonwealth of Virginia. Provide the following minimum information:
      - 1) Slope, depth, span and spacing.
      - 2) Location of all joints.
      - 3) Required bearing widths and connections.
      - 4) All applicable design loads. Provide detailed listing of all primary loads and load conditions.
  - 3. Manufacturer's Instructions:
    - a. Chemical treatment manufacturer's instructions for proper use of each

type of treated material.

- 4. Field Quality Control Submittals:
  - a. Submit results of testing and inspection performed in the field as specified in the Site Quality Control section of this specification.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Packing, Shipping, Handling, and Unloading:
  - 1. Deliver products to Site to ensure uninterrupted progress of the Work. Deliver anchorage products that are to be embedded in concrete or masonry in ample time to prevent delaying the Work.
  - 2. Handle treated materials in accordance with AWPA M4.
  - 3. Conform to Section 01 65 00, Product Delivery Requirements.
- B. Storage and Protection:
  - 1. Keep materials dry during delivery and storage.
  - 2. Keep materials off ground using pallets, platforms, or other appropriate supports. Protect materials from corrosion and deterioration. Stack lumber and provide air circulation within stacks.
  - 3. Conform to Section 01 66 00, Product Storage and Handling Requirements.

# PART 2 – PRODUCTS

# 2.1 MATERIALS

- A. Lumber, General:
  - 1. Factory-mark each piece of lumber with type, grade, mill and grading agency. Surfaces that will be exposed to view shall not have grade marks or other types of identifying marks.
  - 2. Nominal sizes are shown or indicated, unless otherwise shown or indicated in the Contract Documents. Provide actual sizes as required by ALSC PS 20 for moisture content specified for each use.
    - a. Provide dressed lumber, surfaced four sides (S4S), unless otherwise shown or specified.
    - b. Provide seasoned lumber with 19 percent maximum moisture content at time of dressing.
  - 3. Provide the following grade and species:
    - a. Construction Grade, for material up to and including four-inch wide.
    - b. No. 2 or better for material greater than four-inch wide up to and including 12-inch wide.
    - c. Stud Grade, for walls designated as stud framing only.
    - d. Eastern White Pine, NELMA.
    - e. Spruce-Pine-Fir, NLGA.
    - f. Hem-Fir (North), NLGA.
    - g. Southern Pine, SPIB.

- 4. Lumber for Protection and Temporary Support: Size and grades to conform to Laws and Regulations, including OSHA.
- B. Plywood for Diaphragms: Provide the following:
  - 1. NIST PS-1-rated sheathing, exterior exposure, Grade C-C, with minimum thickness shown on the Drawings, and span rating not less than 24/0.
    - a. Mark each sheet to identify plywood by species group or span rating, exposure durability classification, grade, and compliance with NIST PS-1. Surfaces that will be exposed to view shall not bear grade marks or other identifying marks.
- C. Oriented Strand Board for Roof Sheathing: Provide the following:
  - 1. APA E445S, sheathing grade with durability equivalent to Exposure 1 and span rating not less than 24/16.
    - a. Mark each panel with a mark that identifies end use, span rating, and exposure durability classification.
- D. Fasteners and Anchorages:
  - 1. Fasteners exposed to the weather as well as fasteners embedded in, or in contact with, preservative treated wood shall be hot-dip galvanized.
  - 2. Common wire nails shall conform to ASTM F1667.
  - 3. Wood screws shall conform to ASME B18.6.1.
  - 4. Lag screws and lag bolts shall conform to ASME B18.2.1.
  - 5. Anchorage devices shall conform to Section 05 05 33, Anchor Systems.
  - 6. Use joist hangers, framing anchors and clips where shown or specified.
    - a. Joist hangers shall be steel, zinc coated, sized to fit the supporting member, of sufficient strength to develop full strength of the supported member in accordance with applicable building code, and furnished complete with special nails required by joist hanger manufacturer.
    - Framing anchors shall be hot-dip galvanized steel conforming to ASTM A653/A653M, Z275 G90. Steel shall not be lighter than 18-gage. Use special nails furnished by manufacturer for nailing.
    - c. Clips shall consist of hot-dip galvanized conforming to ASTM A653/A653M, Z275 G90 steel angles, minimum 3/16-inch thick.
- E. Wood Trim:
  - 1. Western red cedar, custom grade.
  - 2. Provide solid wood boards and battens complying with ALSC PS 20 and with applicable grading rules of authorized lumber inspection bureau or association under which each species is produced, S4S, with square edges.
  - 3. Provide dressed, seasoned boards and battens with 15 percent maximum moisture content complying with dry size requirements of ALSC PS 20. Mark boards "MC-15" (moisture content 15 percent) or "KD" (kiln dried).
- F. Panel edge clips: Extruded galvanized steel, H-shaped clips to prevent differential deflection of roof sheathing.
- G. Vapor and Infiltration Barrier:

1. Comply with Section 07 31 13, Asphalt Shingles.

# 2.2 WOOD TREATMENT

- A. Preservative Treatment: Where lumber is specified in this Section as treated, comply with AWPA P5, "Alkaline Copper Quat Mixture". Mark each treated item to comply with AWPA quality mark requirements.
  - 1. Pressure-treat above-ground materials with water-borne preservatives complying with AWPA U1 and AWPA T1. After treatment, kiln-dry to maximum moisture content of 19 percent. Treat materials indicated on the Drawings and the following:
    - a. Wood framing, woodwork, and plywood up to and including subflooring at the first-floor level of structures having crawl spaces, when the bottoms of such items are 2.0 feet or less from the earth underneath.
    - b. Wood members in contact with water.
    - c. Exterior wood steps, platforms, and railings; and wood framing of opensided roofed structures.
    - d. Wood sills, soles, plates, blocking, furring, and sleepers less than 2.0 feet from the ground, and wood that is set into or is in contact with concrete or masonry.
    - e. Wood nailers, blocking, stripping, and similar members in connection with roofing, flashing, vapor barriers, and waterproofing.
    - f. Soffit and rain drainage framing.
  - 2. Complete the fabrication of treated items prior to treatment, wherever possible. If wood is cut after treatment, coat cut surfaces with heavy brush coat of same chemical used for treatment. Inspect each piece of lumber after drying and discard damaged or defective pieces.

# 2.3 INSULATION

- A. Glass Fiber Insulations: Provide the following types:
  - 1. General: Provide insulations formed from glass fibers and resinous binders fabricated into flexible blankets, semi-rigid and rigid sheets complying with ASTM C665, ASTM C553, and ASTM C612.
  - 2. Flame-resistant Vapor Barrier Faced Batt Insulation: Provide thermal batt insulation, faced on one side with foil-reinforced-kraft laminate vapor barrier complying with ASTM C665, Type III, Class A.
    - a. Physical Properties:
      - 1) Thermal Conductivity (k), ASTM C518: 0.33 Btu/inch/hour/square foot/degree F maximum.
      - 2) Density, ASTM C303: 1.5 pounds per cubic foot (pcf).
      - 3) Water Vapor Transmission, ASTM E96: 0.10 perm/inch.
      - 4) Flame Spread, ASTM E84: 25.
      - 5) Smoke Developed, ASTM E84: 50.
      - 6) Fuel Contributed, ASTM E84: 50.
    - b. Thickness: (R-30) minimum.
    - c. Width: 16-inches.
    - d. Products and Manufacturers: Provide one of the following:

- 1) FS-25 FRK Faced Thermal Batt Insulation by Owens-Corning Fiberglass Corporation.
- 2) FSK-25 Thermal Batt Insulation by Johns Manville.
- 3) Or equal.
- B. Foam Plastic Insulations: Provide the following types:
  - 1. General: Rigid, closed-cell, thermally stabilized, extruded, hydrochloroflurocarbon blown, foam board insulation consisting of 100 percent virgin extruded polystyrene modified resin complying with ASTM C578.
  - 2. Provide blowing agent with lowest available ozone depletion potential, such as HCFC-142b or better. HCFC-141b is not acceptable.
  - 3. Cavity Wall Rigid Insulation Board: Provide the following:
    - a. Rigid, rectangular boards of extruded polystyrene complying with ASTM C578, Type X and IV.
    - b. Physical Properties: Provide the following:
      - 1) Minimum Compressive Strength, (at 10 percent deformation), ASTM D1621: 25 psi.
      - 2) Flame Spread, ASTM E84: 10 maximum.
      - 3) Smoke Development, ASTM E84: 165 maximum.
      - 4) Vapor Transmission, ASTM E96: 1.1 perms/inch.
      - 5) Thermal Resistance, ASTM C177: 5.0 per inch.
      - 6) Maximum Water Absorption, ASTM C272: 0.10 percent by volume.
    - c. Size: 16 inches by 96 inches by One inches thick.
    - d. Products and Manufacturers: Provide one of the following:
      - 1) CAVITYMATE Plus by the Dow Chemical Company.
      - 2) Foamular 250 Square Edge by Owens-Corning Fiberglass Corp.
      - 3) Or equal.
- C. Nail Base Insulation: Nail base Insulation consisting of ASTM C578 Type I molded polystyrene foam insulation laminated to OSB identified with APA or PFS performance mark, exposure I and span rating of 24/16 or greater in accordance with DOC PS-2.
  - 1. Foam-Control Nailbase.
  - 2. Size: 4 foot by 8 foot.
  - 3. R-value: 2" thick with R-value of 6.4 at 75F
  - 4. Provide single direction vent channels with 1/2" depth.
  - 5. Termite Resistant Insulation
    - a. Perform Guard or Perform Guard 2 treatment.
    - b. Compliance with ICC-ES-AC239, Acceptance Criteria for Termite Resistant Foam Plastics.
  - 6. Mold, Mildew and Termite Resistant OSB: Frame Guard Treatment.
  - 7. Fasteners: Corrosion Resistant nailbase screws shall be provided by the nailbase manufacturer.
    - a. Wood screws for attachment to wood members.
    - b. Heavy Duty metal screws for attachment to metal members (16 gauge to 3/16").

- c. Light Duty metal screws for attachment to meal decks (18 gauge or thinner).
- 8. Splines: OSB splines shall be supplied by the nailbase manufacturer.
- 9. Sealant: Sealant shall be specifically designed for use with nailbase. Sealant shall be provided by the nailbase manufacturer.
- 10. Manufacturer:
  - a. ACH Foam Technologies, Inc.
  - b. Or Equal.
- D. Miscellaneous Materials and Accessories: Provide the following:
  - 1. Adhesive for Bonding Insulation: Type recommended by insulation manufacturer and complying with fire resistance requirements.
  - 2. Mechanical Anchors: Type and size shown or, if not shown, as recommended by insulation manufacturer for type of application shown and condition of substrate.
  - 3. Wire Mesh Insulation Support: Two-inch by 24-gage galvanized steel wire hexagonal woven mesh.
  - 4. Bitumen: Asphalt, ASTM D 449.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. Examine substrates and supporting structure and conditions under which the wood framing and sheathing Work is to be installed and notify ENGINEER in writing of conditions detrimental to the Work. Do not proceed with installation until unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. General:
  - 1. Discard material with defects that might impair the quality of the Work, and material that is too small to fabricate the Work with minimum joints or optimum joint arrangement.
  - 2. Install materials plumb and level in accordance with the Contract Documents and requirements of authorities having jurisdiction. Provide additional wood framing for eaves, overhangs, roof penetrations, and similar conditions.
  - 3. Securely attach Work to substrates by anchoring and fastening as shown or indicated. Nailing schedule shall, at minimum, comply with the provision of building code in effect at the Site analogous to International Building Code (IBC) Table 2304.9.1, unless otherwise shown or indicated.
  - 4. Countersink nail heads on exposed wood framing and sheathing Work and fill holes. Use common wire nails, unless otherwise shown. Use finishing nails for finish Work. Select fasteners of size that will not penetrate members where opposite side will be exposed to view or will receive finish materials. Make

tight connections between members but leaving adequate space for expansion of boards.

- 5. Install fasteners without splitting wood, pre-drill as required.
- B. Wood Framing:
  - 1. Set wood framing accurately to required lines and levels. Provide framing members of sizes and on spacings shown. Do not splice structural members between supports, unless otherwise detailed.
  - 2. Frame openings as shown, or if not shown, comply with the provision of building code in effect at the Site analogous to IBC Section 2308, "Conventional Light Frame Construction". Cut, join, and tightly fit framing around other Work. Do not cut or bore structural members for passage of ducts, piping, or conduits without ENGINEER's approval. Reinforce members damaged by such cutting or boring, by providing specially formed sheet metal or bar steel shapes approved by ENGINEER, or remove and provide new, as directed by ENGINEER.
  - 3. Firestop concealed spaces with wood blocking of nominal two-inch thickness, unless blocked by other framing members.
  - 4. In ceiling framing, provide firestopping at ends of joists and over supports for full depth of joists.
  - 5. Provide sill plates where wood framing is supported by concrete or masonry walls or piers. Anchor to embedded bolts as shown.
- C. Rafter and Ceiling Joist Framing:
  - 1. Ceiling Joists: Provide member size and spacing as shown. Face-nail to ends of parallel rafters.
  - 2. Rafters: Provide member size and spacing as shown. Notch to fit exterior wall plates and toe-nail or use special metal framing anchors. Double rafters to form headers and trimmers at openings in roof framing (if any), and support with metal hangers. Where rafters abut at ridge, place directly opposite each other and nail to ridge member or use metal ridge hangers
  - 3. At valleys, provide double rafters, two inches deeper than normal rafters. Bevel ends of jack rafters for full bearing against valley rafter.
  - 4. Provide collar beams (ties) as shown; if not shown, provide two-inch by sixinch boards between every other pair of rafters (16 inches on centers). Locate below ridge member, one-third of distance to ceiling joists. Cut ends to fit slope and nail to rafters.
  - 5. Provide special framing as shown for eaves, overhangs, dormers, and similar conditions.
- D. Plywood, General:
  - 1. Install in accordance with the Contract Documents and requirements of authorities having jurisdiction.
  - 2. Allow for installed clearances between individual plywood panels as specified by plywood manufacturer. Provide 1/4-inch space at panel edge joints and 1/8-inch space at panel end joints, unless otherwise recommended by manufacturer.
  - 3. Install plywood with long dimension across supports.

- 4. Install roof sheathing using 8d helical or annular nails spaced fix inches at panel edges and 12 inches at intermediate framing.
- 5. Provide panel edge clips at unsupported edges of roof sheathing.
- E. Plywood, Diaphragm:
  - 1. Diaphragms shall be blocked or unblocked, as shown or indicated on the Drawings. Conform to nailing schedule on the Drawings.
  - 2. Provide continuous lumber blocking at unsupported edges of blocked diaphragms. Do not use panel edge clips in blocked diaphragms.
- I. Air and Water Infiltration Barrier:
  - 1. Conform to Section 07 31 13, Asphalt Shingles.
- J. Vapor Barrier:
  - 1. Conform to Section 07 31 13, Asphalt Shingles .

# 3.3 SITE QUALITY CONTROL

A. Special Inspections of the Work under this Section shall be in accordance with Section 01 45 33.00, Code-Required Special Inspections and Procedures.

+ + END OF SECTION + +

# SECTION 07 31 13 ASPHALT SHINGLES

## PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Contractor shall provide all labor, materials, equipment and incidentals as shown, specified and required to furnish and install Roof Asphalt shingles work. 1.
  - Work shall include:
    - Asphalt shingles a.
    - Underlayment b.
    - **Ridge vents** c.
    - Metal flashing and trim d.
    - **Snow Guards** e.
- B. **Related Requirements:** 
  - Section 06 11 00. Wood Framing and Sheathing. 1.

#### REFERENCES 1.2

- Standards Referenced in this section are listed below: A.
  - 1. **ASTM D 1079**
  - 2. NRCA, National Roofing Contractor Association

#### 1.3 DEFINITION

A. Roofing Terminology: See ASTM D 1079 and glossary of NRCA's "The NRCA Roofing and Waterproofing Manual" for definitions of terms related to roofing work in this Section.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of product.
  - Copies of manufacturer's material specifications, recommended written 1. installation instructions and manufacturer's specifications showing
- B. Shop Drawings:
  - 1. Include plans, sections, details and attachments to other work. Show installation configuration, required cuts, and spacing.
- C. Samples: For each exposed product and for each color and texture specified.
  - Asphalt Shingles: Full size. 1.

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- 2. Ridge and Hip Cap Shingles: Full size.
- 3. Ridge Vent: 12-inch long Sample.
- D. Informational Submittals
  - 1. Qualification Data: For Installer.
  - 2. Product Test Reports: For each type of asphalt shingle and underlayment product indicated, for tests performed by a qualified testing agency.
  - 3. Evaluation Reports: For high-temperature, self-adhering sheet underlayment, from ICC-ES or other testing and inspecting agency acceptable to authorities having jurisdiction, indicating that product is suitable for intended use under applicable building codes.
  - 4. Sample Warranty: For manufacturer's warranty.
- E. Closeout submittals
  - 1. Maintenance Data: For asphalt shingles to include in maintenance manuals.

## 1.5 QUALITY ASSURANCE

A. Installer Qualifications: An authorized representative who is trained and approved by manufacturer.

#### 1.6 DELIVERY, STORAGE, AND HANDLING

- A. Store roofing materials in a dry, well-ventilated location protected from weather, sunlight, and moisture according to manufacturer's written instructions.
- B. Store underlayment rolls on end on pallets or other raised surfaces. Do not double stack rolls.
- C. Protect unused roofing materials from weather, sunlight, and moisture when left overnight or when roofing work is not in progress.
- D. Handle, store, and place roofing materials in a manner to prevent damage to roof deck or structural supporting members.

#### 1.7 FIELD CONDITIONS

A. Environmental Limitations: Install self-adhering sheet underlayment within the range of ambient and substrate temperatures recommended in writing by manufacturer.

#### 1.8 WARRANTY

A. Manufacturer's Warranty: Manufacturer agrees to repair or replace asphalt shingles that fail within specified warranty period.

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- 1. Failures include, but are not limited to, the following:
  - a. Manufacturing defects.
- 2. Material Warranty Period: 25 years from date of Substantial Completion, prorated, with first five years nonprorated.
- 3. Wind-Speed Warranty Period: Asphalt shingles will resist blow-off or damage caused by wind speeds of up to 100 mph five years from date of Substantial Completion.
- 4. Algae-Resistance Warranty Period: Asphalt shingles will not discolor for years from date of Substantial Completion.
- 5. Workmanship Warranty Period: Two years from date of Substantial Completion.
- B. Roofing Installer's Warranty: On warranty form at end of this Section, signed by Installer, in which Installer agrees to repair or replace components of asphalt-shingle roofing that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: Two years from date of Substantial Completion.

# PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

A. Exterior Fire-Test Exposure: Provide asphalt shingles and related roofing materials identical to those of assemblies tested for Class A fire resistance according to ASTM E 108 or UL 790 by Underwriters Laboratories or another testing and inspecting agency acceptable to authorities having jurisdiction. Identify products with appropriate markings of applicable testing agency.

#### 2.2 GLASS-FIBER-REINFORCED ASPHALT SHINGLES

- A. Three-Tab-Strip Asphalt Shingles: ASTM D 3462/D 3462M, glass-fiber reinforced, mineral-granule surfaced, and self-sealing; with tabs regularly spaced.
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide CERTAINTEED; XT25AR or comparable product by one of the following:
    - a. Atlas Roofing Corporation.
    - b. Building Products of Canada Corp.
    - c. CertainTeed Corporation.
    - d. GAF Materials Corporation.
    - e. IKO.
    - f. Malarkey Roofing Products Co.
    - g. Owens Corning.
  - 2. Strip Size: Manufacturer's standard.
  - 3. Algae Resistance: Granules resist algae discoloration.

- 4. Impact Resistance: UL 2218, Class 4.
- 5. Color and Blends: Grey
- B. Hip and Ridge Shingles: Manufacturer's standard units to match asphalt shingle.

# 2.3 UNDERLAYMENT MATERIALS

- A. Glass-Reinforced Felt: ASTM D 6757, glass-reinforced, asphalt-saturated organic felt.
- B. Self-Adhering Sheet Underlayment Ice and Water shield Polyethylene Faced: ASTM D 1970/D 1970M, minimum of 40-mil thick, slip-resisting, polyethylene-film-reinforced top surface laminated to SBS-modified asphalt adhesive, with release backing; cold applied.
  - 1. <u>Basis-of-Design Product</u>: Subject to compliance with requirements, provide Grace; Ice and Water Shield or comparable product by one of the following:
    - a. Atlas Roofing Corporation.
    - b. Carlisle Residential; a division of Carlisle Construction Products.
    - c. CertainTeed Corporation.
    - d. GAF Materials Corporation.
    - e. Grace, W. R. & Co. Conn.
    - f. Henry Company.

#### 2.4 RIDGE VENTS

- A. Rigid Ridge Vent: Manufacturers standard, rigid section high density polypropylene or other UV-stabilized plastic ridge vent for use under ridge shingles.
  - 1. Manufacturers: Subject to compliance with requirements. Provide products by the following:
    - a. Cor-A-Vent, Inc.
  - 2. Provide vent at Eave, Ridge and Hip.

#### 2.5 ACCESSORIES

- A. Asphalt Roofing Cement: ASTM D 4586, Type II, asbestos free.
- B. Roofing Nails: ASTM F 1667; aluminum, stainless-steel, copper, or hot-dip galvanized-steel wire shingle nails, minimum 0.120-inch diameter, sharppointed, with a minimum 3/8-inch diameter flat head and of sufficient length to penetrate 3/4 inch into solid wood decking or extend at least 1/8 inch through OSB or plywood sheathing.
  - 1. Shank: Barbed.
  - 2. Where nails are in contact with metal flashing, use nails made from same metal as flashing.

- C. Felt-Underlayment Nails: Aluminum, stainless-steel, or hot-dip galvanized-steel wire with low-profile capped heads or disc caps, 1-inch minimum diameter.
- D. Synthetic-Underlayment Fasteners: As recommended in writing by syntheticunderlayment manufacturer for application indicated.

#### 2.6 METAL FLASHING AND TRIM

- A. General: Comply with requirements in Section 07 62 00, Sheet Metal Flashing and Trim.
  - 1. Sheet Metal: Stainless Steel.
- B. Fabricate sheet metal flashing and trim to comply with recommendations in SMACNA's "Architectural Sheet Metal Manual" that apply to design, dimensions, metal, and other characteristics of the item.
  - 1. Apron Flashings: Fabricate with lower flange a minimum of 5 inches over and 4 inches beyond each side of downslope asphalt shingles and 6 inches up the vertical surface.
  - 2. Step Flashings: Fabricate with a head lap of 2 inches and a minimum extension of 5 inches over the underlying asphalt shingle and up the vertical surface.
  - 3. Cricket or Backer Flashings: Fabricate with concealed flange extending a minimum of 18 inches beneath upslope asphalt shingles and 6 inches beyond each side of curb and 6 inches above the roof plane.
  - 4. Drip Edges: Fabricate in lengths not exceeding 10 feet with 2-inch roofdeck flange and 1-1/2-inch fascia flange with 3/8-inch drip at lower edge.
- C. Vent Pipe Flashings: ASTM B 749, Type L51121, at least 1/16 inch thick. Provide lead sleeve sized to slip over and turn down into pipe, soldered to skirt at slope of roof, and extending at least 4 inches from pipe onto roof.

#### 2.7 SNOW GUARDS

- A. Manufacturers: Provide one of the following manufacturers listed below:
  - 1. Rocky Mountain Snow Guards Inc.
  - 2. Snow Gem, Inc
  - 3. Alpine Snow Guards
- B. Material: Aluminum
- C. Finish: Standard Mill Finish

#### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
  - 1. Examine roof sheathing to verify that sheathing joints are supported by framing and blocking or metal clips and that installation is within flatness tolerances.
  - 2. Verify that substrate is sound, dry, smooth, clean, sloped for drainage, and completely anchored; and that provisions have been made for flashings and penetrations through asphalt shingles.
- B. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 UNDERLAYMENT INSTALLATION

- A. General: Comply with underlayment manufacturer's written installation instructions applicable to products and applications indicated unless more stringent requirements apply.
- B. Double-Layer Felt Underlayment: Install on roof deck parallel with and starting at the eaves. Install a 19-inch wide starter course at eaves and completely cover with full-width second course. Install succeeding courses lapping previous courses 19 inches in shingle fashion. Lap ends a minimum of 6 inches. Stagger end laps between succeeding courses at least 72 inches. Fasten with feltunderlayment roofing nails.
  - 1. Apply a continuous layer of asphalt roofing cement over starter course and on felt-underlayment surface to be concealed by succeeding courses as each felt course is installed. Apply over entire roof.
  - 2. Install felt underlayment on roof sheathing not covered by self-adhering sheet underlayment. Lap edges over self-adhering sheet underlayment not less than 3 inches in direction that sheds water.
  - 3. Terminate felt underlayment flush against sidewalls, curbs, chimneys, and other roof projections.
  - 4. Install fasteners at no more than 36 inches o.c.
- C. Self-Adhering Sheet Underlayment: Install, wrinkle free, on roof deck. Comply with low-temperature installation restrictions of underlayment manufacturer if applicable. Install lapped in direction that sheds water. Lap sides not less than 3-1/2 inches. Lap ends not less than 6 inches staggered 24 inches between courses. Roll laps with roller. Cover underlayment within seven days.

- 1. Prime concrete and masonry surfaces to receive self-adhering sheet underlayment.
- 2. Eaves: Extend from edges of eaves 24 inches beyond interior face of exterior wall.
- 3. Hips: Extend 18 inches on each side.
- 4. Ridges: Extend 36 inches on each side without obstructing continuous ridge vent slot.
- 5. Other Roof-Penetrating Elements: Extend beyond penetrating element 18 inches, and return vertically against penetrating element not less than 4 inches.
- 6. Roof Slope Transitions: Extend 18 inches on each roof slope.

## 3.3 METAL FLASHING INSTALLATION

- A. General: Install metal flashings and other sheet metal to comply with requirements in Section 076200, Sheet Metal Flashing and Trim.
  - 1. Install metal flashings according to recommendations in ARMA's "Residential Asphalt Roofing Manual" and NRCA's "NRCA Guidelines for Asphalt Shingle Roof Systems."
- B. Apron Flashings: Extend lower flange over and beyond each side of downslope asphalt shingles and up the vertical surface.
- C. Step Flashings: Install with a head lap of 2 inches and extend over the underlying asphalt shingle and up the vertical surface. Fasten to roof deck only.
- D. Cricket or Backer Flashings: Install against the roof-penetrating element extending concealed flange beneath upslope asphalt shingles and beyond each side.
- E. Eave Drip Edges: Install eave drip-edge flashings below underlayment and fasten to roof sheathing.
- F. Pipe Flashings: Form flashing around pipe penetrations and asphalt shingles. Fasten and seal to asphalt shingles as recommended by manufacturer.

# 3.4 ASPHALT-SHINGLE INSTALLATION

- A. General: Install asphalt shingles according to manufacturer's written instructions, recommendations in ARMA's "Residential Asphalt Roofing Manual," and recommendations in NRCA's "NRCA Guidelines for Asphalt Shingle Roof Systems."
- B. Install starter strip along lowest roof edge, consisting of an asphalt-shingle strip with tabs removed with self-sealing strip face up at roof edge.
  - 1. Extend asphalt shingles 3/4 inch over fasciae at eaves and rakes.
  - 2. Install starter strip along rake edge.

- C. Install first and remaining courses of asphalt shingles stair-stepping diagonally across roof deck with manufacturer's recommended offset pattern at succeeding courses, maintaining uniform exposure.
- D. Install first and remaining courses of asphalt shingles stair-stepping diagonally across roof deck with manufacturer's recommended offset pattern at succeeding courses, maintaining uniform exposure.
- E. Install asphalt shingles by single-strip column or racking method, maintaining uniform exposure. Install full-length first course followed by cut second course, repeating alternating pattern in succeeding courses.
- F. Fasten asphalt-shingle strips with a minimum of five roofing nails located according to manufacturer's written instructions.
  - 1. When ambient temperature during installation is below 50 deg F, seal asphalt shingles with asphalt roofing cement spots.
- G. Ridge Vents: Install continuous ridge vents over asphalt shingles according to manufacturer's written instructions. Fasten with roofing nails of sufficient length to penetrate sheathing.
- H. Hip and Ridge Shingles: Maintain same exposure of cap shingles as roofing shingle exposure. Lap cap shingles at ridges to shed water away from direction of prevailing winds. Fasten with roofing nails of sufficient length to penetrate sheathing.
  - 1. Fasten ridge cap asphalt shingles to cover ridge vent without obstructing airflow.

# 3.5 ROOFING INSTALLER'S WARRANTY

- A. WHEREAS <Insert name> of <Insert address>, herein called the "Roofing Installer," has performed roofing and associated work ("the work") on the following project:
  - 1. Owner: <**Insert name of Owner**>.
  - 2. Address: **<Insert address**>.
  - 3. Building Name/Type: <**Insert information**>.
  - 4. Address: <**Insert address**>.
  - 5. Area of the Work: **<Insert information**>.
  - 6. Acceptance Date: *<***Insert date***>*.
  - 7. Warranty Period: *<Insert time>*.
  - 8. Expiration Date: *<***Insert date***>*.
- B. AND WHEREAS Roofing Installer has contracted (either directly with Owner or indirectly as a subcontractor) to warrant the work against leaks and faulty or defective materials and workmanship for designated Warranty Period,

C. NOW THEREFORE Roofing Installer hereby warrants, subject to terms and conditions herein set forth, that during Warranty Period he will, at his own cost 02328010.0000 07 31 13-8

and expense, make or cause to be made such repairs to or replacements of the work as are necessary to correct faulty and defective work and as are necessary to maintain the work in a watertight condition.

- D. This Warranty is made subject to the following terms and conditions:
  - 1. Specifically excluded from this Warranty are damages to the work and other parts of the building, and to building contents, caused by:
    - a. Lightning;
    - b. Peak gust wind speed exceeding 110 mph;
    - c. Fire;
    - d. Failure of roofing system substrate, including cracking, settlement, excessive deflection, deterioration, and decomposition;
    - e. Faulty construction of parapet walls, copings, chimneys, skylights, vents, equipment supports, and other edge conditions and penetrations of the work;
    - f. Vapor condensation on bottom of roofing; and
    - g. Activity on roofing by others, including construction contractors, maintenance personnel, other persons, and animals, whether authorized or unauthorized by Owner.
  - 2. When the work has been damaged by any of foregoing causes, Warranty shall be null and void until such damage has been repaired by Roofing Installer and until cost and expense thereof have been paid by Owner or by another responsible party so designated.
  - 3. Roofing Installer is responsible for damage to the work covered by this Warranty but is not liable for consequential damages to building or building contents resulting from leaks or faults or defects of the work.
  - 4. During Warranty Period, if Owner allows alteration of the work by anyone other than Roofing Installer, including cutting, patching, and maintenance in connection with penetrations, attachment of other work, and positioning of anything on roof, this Warranty shall become null and void on date of the alterations, but only to the extent the alterations affect the work covered by this Warranty. If Owner engages Roofing Installer to perform the alterations, Warranty shall not become null and void unless Roofing Installer, before starting the alterations, notified Owner in writing, showing reasonable cause for claim, that the alterations would likely damage or deteriorate the work, thereby reasonably justifying a limitation or termination of this Warranty.
  - 5. During Warranty Period, if original use of roof is changed and it becomes used for, but was not originally specified for, a use or service more severe than originally specified, this Warranty shall become null and void on date of the change, but only to the extent the change affects the work covered by this Warranty.
  - 6. Owner shall promptly notify Roofing Installer of observed, known, or suspected leaks, defects, or deterioration and shall afford reasonable opportunity for Roofing Installer to inspect the work and to examine evidence of such leaks, defects, or deterioration.

- 7. This Warranty is recognized to be the only warranty of Roofing Installer on the work and shall not operate to restrict or cut off Owner from other remedies and resources lawfully available to Owner in cases of roofing failure. Specifically, this Warranty shall not operate to relieve Roofing Installer of responsibility for performance of the work according to requirements of the Contract Documents, regardless of whether Contract was a contract directly with Owner or a subcontract with Owner's General Contractor.
- E. IN WITNESS THEREOF, this instrument has been duly executed this <Insert day> day of <Insert month>, <Insert year>.
  - 1. Authorized Signature: <**Insert signature**>.
  - 2. Name: *<***Insert name***>*.
  - 3. Title: **<Insert title**>.

++ END OF SECTION ++

## SECTION 07 62 00

# SHEET METAL FLASHING AND TRIM

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, tools, equipment and incidentals as shown, specified and required to furnish and install sheet metal flashing and trim.
  - 2. The Work also includes:
    - a. Providing openings in sheet metal flashing and trim to accommodate the Work under this and other Sections and building into the sheet metal flashing and trim all items such as sleeves, anchor bolts, inserts and all other items to be embedded in sheet metal flashing and trim for which placement is not specifically provided under other Sections.
  - 3. Extent of the sheet metal flashing and trim is shown.
  - 4. Types of products required include the following:
    - a. Stainless steel sheet flashing.
    - b. Surface-mounted reglets and counterflashing.
    - c.. Miscellaneous flashing not supplied under other Sections.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate the installation of items that must be installed with the sheet metal flashing and trim Work.
  - 2. Notify other contractors in advance of the installation of the sheet metal flashing and trim Work to provide them with sufficient time for the installation of items included in their contracts that must be installed before, or with, the sheet metal flashing and trim Work.
  - 3. Work advanced without sheet metal flashing and trim items that are specified to be cast-in-place or built-in-place as the Work advances, shall be stopped, demolished and rebuilt incorporating specified sheet metal flashing and trim Work, at no additional cost to OWNER.
- C. Related Sections:
  - 1. Section 05 50 13, Miscellaneous Metal Fabrications.
  - 2. Section 07 31 13, Asphalt Shingles.
  - 3. Section 07 92 00, Joint Sealants.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are listed below:
  - 1. ASTM A 666, Specification for Annealed or Cold-Worked Austenitic Stainless Steel, Sheet, Strip, Plate, and Flat Bar.

- 2. ASTM B 32, Specification for Solder Metal.
- 3. ASTM D 4586, Specification for Asphalt Roof Cement, Asbestos-Free .
- 4. FM Global, Loss Prevention Data for Roofing Contractors, 1-49 Perimeter Flashing.
- 5. SMACNA 1013, Architectural Sheet Metal Manual.
- 6. SSPC Paint 12, Cold Applied Asphalt Mastic (Extra Thick Film).

## 1.3 QUALITY ASSURANCE

- A. Installer Qualifications:
  - 1. Engage a single installer who is a recognized flashing and trim installer, skilled and experienced in the type of flashing and trim Work required and equipped to perform workmanship in accordance with recognized standards so that there will be undivided responsibility for the performance of the Work. Submit name and qualifications to ENGINEER along with at least three successfully completed Projects including names and telephone numbers of owners, architects and engineers, responsible for the project and the approximate contract price for flashing and trim work.
- B. Source Quality Control:
  - 1. Except as otherwise shown, comply with recommendations of the roofing manufacturer concerning the installation of flashing and trim that affects the roofing bond or warranty.

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Drawings showing the manner of forming, jointing and securing flashings and trim. Show fully dimensioned joint details and waterproof connections to adjoining Work and details at obstructions and penetrations.
    - b. Drawings showing the coordination of the Work of this Section with Section 07 31 13, Asphalt Shingles. Provide detailed Shop Drawings showing large scale details of sections and profiles of all sheet metal flashing and trim to be used in the Work, with all items, including fastener locations, cleats and other miscellaneous accessories necessary to complete the Work, fully dimensioned, properly located, quantified and presented such that sequence of installation is acceptable to each roofing system and adjacent construction material installer.
    - 2. Samples and Mock up:
      - a. 12-inch square samples of specified sheet metal flashing and 6-inch long samples of trim metal profiles and downspout.
  - 3. Product Data:
    - a. Copies of manufacturer's specifications, installation instructions and general recommendations for sheet metal flashing and trim required. Include manufacturer's data substantiating that the materials comply with the requirements.

- B. Informational Submittals: Submit the following:
  - Qualifications Statements:
    - a. Installer's qualifications
- C. Closeout Submittals: Submit the following:
  - Warranty

     Submit warranty as specified in Article 1.7

#### 1.5 DELIVERY, STORAGE AND HANDLING

A. Delivery of Materials:

1.

- 1. Deliver sheet metal flashing and trim materials in manufacturer's original, unopened, and undamaged containers and rolls, with labels intact and legible, indicating compliance with approved Shop Drawings.
- 2. Items delivered in broken, damaged, rusted, or unlabeled condition shall immediately be removed from Site and not offered again for approval by ENGINEER.
- B. Storage of Materials:
  - 1. Store materials in an area undercover and protected from construction traffic.
  - 2. Store materials in same package in which they were shipped, off the ground and on platforms protected from dirt and other contamination.
  - 3. Store in a manner which does not permit water to remain on sheet metal flashing and trim materials and system components.
- C. Handling of Materials:
  - 1. Protect sheet metal flashing and trim from dents, scratches, warps and bends.
  - 2. Remove strippable protective film, immediately proceeding installation of each system component.

#### 1.6 JOB CONDITIONS

- A. Scheduling:
  - 1. Do not proceed with sheet metal flashing and trim Work until curb and substrate construction, cant strips, blocking, reglets and other construction to receive the Work is completed.
  - 2. Deliver materials to the Site in sufficient quantities to ensure uninterrupted progress of the Work.
  - 3. Schedule the installation of sheet metal flashing and trim to coincide with the installation of roofing, waterproofing, drains, piping, blocking, nailers, reglets, framing at openings, curbs, parapets and other adjoining and substrate Work.
  - 4. Proceed with and complete the Work only when materials, equipment and knowledgeable tradesmen, required for the installation of sheet metal flashing and trim, are at the Site and are ready to follow, and integrate sheet metal flashing and trim Work with roofing Work, in order to maintain watertight conditions.

#### 1.7 WARRANTY

A. Provide reglet and counterflashing manufacturer's five year warranty against defects and workmanship.

## PART 2 - PRODUCTS

#### 2.1 SYSTEM PERFORMANCE

- A. Performance Criteria:
  - 1. Sheet metal flashing and trim shall be permanently watertight, and not deteriorate in excess of manufacturer's published limitations.
  - 2. Comply with fabrication details recommended by FM, SMACNA, NRCA and the requirements of the sheet metal flashing and trim manufacturer, and as shown on approved Shop Drawings.
  - 3. Where aluminum material is identified substitute stainless steel per this specification section.

#### 2.2 MATERIALS

- A. Sheet Metal Flashing and Trim:
  - 1. Stainless Steel Sheet metal flashing and trim: Provide 26 gage sheet stainless steel, Type 316, complying with ASTM A 666, with No. 2D dead soft, fully annealed finish, unless required to be harder temper for proper forming and performance for application indicated.
  - 2. Stainless Steel shall be painted to match color identified on contract drawings. Provide primer and polyurethane paint system compatible with stainless steel.

#### B. Flashing Reglets:

- 1. General:
  - a. Provide snap-lock type reglets of Type 304 stainless steel, 0.020-inches minimum thickness.
  - b. Provide reglets that engage counterflashing by use of a snap-lock or springlock profile. System shall employ only mechanical interlocking features for securing counterflashing in reglet, without the need for clips or screws.
  - c. Provide manufacturer's standard Type 304 stainless steel spring-lock profile flashing, 5-1/8-inches high, designed to incorporate a positive air break and to engage spring-lock reglet flange.
  - d. Provide reglets with 1-inch end laps and spring-lock flashing with 3-inch end laps.
- 2. Surface-Mounted Reglets: Provide reglets for surface mounting, with slots for expansion, and a complete line of manufacturer's standard accessories including drive pins, and 7/8-inch diameter stainless steel washers with neoprene facing.
- a. Provide engagement flange 2-1/2-inch high by 1/2-inch wide with snaplock profile shaped to receive sealant at top lip edge and at mid-flange recess.
- b. Products and Manufacturers: Provide one of the following:
  - 1) Type SM Surface Mounted Reglets by Fry Reglet Corporation.
  - 2) Surface Mounted Reglets by National Sheet Metal Systems, Incorporated.
  - 3) Or equal.
- C. Miscellaneous Materials:
  - 1. Solder for Stainless Steel: ASTM B 32, 60 percent tin and 40 percent lead alloy grade 60A, used with an acid flux of the type recommended by the stainless steel manufacturer. Use a non-corrosive rosin flux over tinned surfaces.
  - 2. Stainless Welding Rods: Type recommended by stainless steel sheet manufacturer for the type of metal sheets furnished.
  - 3. Nails, Screws and Rivets: Same material as flashing sheet, or as recommended by manufacturer of flashing sheet.
  - 4. Cleats: Same metal and gage as sheet being anchored, 2-inches wide, punched for two anchors.
  - 5. Bituminous Coating: SSPC-Paint 12, cold-applied solvent-type bituminous mastic coating for application in dry film thickness of 15-mils per coat.
  - 6. Sealants: Refer to Section 07 92 00, Joint Sealants.
  - 7. Roofing Cement: Provide a medium to heavy trowel-grade, cut-back asphalt mastic roof cement reinforced with non-asbestos fibers, and containing petroleum solvents and special mineral stabilizers, complying with ASTM D 4586, Type II.
  - 8. Base Flashing Felts: Asphalt-coated, polyester/glass scrim reinforced flashing sheet or as recommended by the manufacturer of the built-up bituminous roofing.

### 2.3 FABRICATION

- A. Fabricated Metal Flashing: Shop-fabricate metal sheet metal flashing and trim to comply with profiles and sizes shown, and to comply with manufacturer's recommended details. Except as otherwise shown or specified, provide soldered flat-lock seams, and fold back metal to form a hem on the concealed side of exposed edges. Comply with metal producers' recommendations for tinning, soldering and cleaning flux from metal.
- B. Where fabricator does not recommend grinding welds smooth, comply with SMACNA formed metal details requiring double-lock seamed construction.

### PART 3 - EXECUTION

#### 3.1 INSPECTION

A. CONTRACTOR and installer shall examine the substrate and the conditions under which the sheet metal flashing and trim Work is to be performed, and notify ENGINEER, in writing, of unsatisfactory conditions. Do not proceed with sheet metal flashing and trim Work until unsatisfactory conditions have been corrected in a manner acceptable to ENGINEER.

### 3.2 PREPARATION

- A. Before installing sheet metal flashing and trim, verify shapes, and dimensions to be covered.
- B. Prepare substrates as recommended by the sheet metal manufacturer.

### 3.3 INSTALLATION

- A. General:
  - 1. Separate dissimilar metals from each other by painting each metal surface in the area of contact with a heavy application of bituminous coating, or by other permanent separation as recommended by the manufacturers of the dissimilar metals. Comply with the following:
    - a. Separate stainless steel from dissimilar metals, including regular steel and iron, and from cementitious materials by a course of roofing felt wherever possible. Where felt application is not possible, coat the stainless steel or the other material with a 15-mil bituminous coating. Where felt is applied under sheets which will be soldered or welded, cover felt with a course of building paper before installing stainless steel. Comply with manufacturer's recommendations for other forms of protection of the stainless steel against corrosion.
  - 2. Provide thermal expansion for running trim, flashing, valleys, hips and other items exposed for more than 15 feet-0 inches continuous length. Maintain a watertight installation at expansion seams. Locate expansion seams as shown or, if not shown, at the following maximum spacing for each general flashing use:
    - a. Valleys: Midway between drains (at high points in slopes), but in no case more than 30 feet-0 inches apart, except as otherwise shown.
    - b. Sheet metal flashing and trim: At 10 feet-0 inch intervals and 2 feet-0 inch each side of corners and intersections.
  - 3. Fabricate and install Work with lines and corners of exposed units true and accurate. Form exposed faces flat and free of buckles, excessive waves and avoidable tool marks, considering the temper and reflectivity of the metal. Provide uniform, neat flat-locked seams with minimum exposure of solder, welds and sealant. Except as otherwise shown, fold back the sheet metal to form a hem on the concealed side of exposed edges. All exposed edges of all sheet metal flashing shall be hemmed not less than 1/2-inch wide.

- 4. Conceal fasteners and expansion provisions wherever possible in exposed Work, and locate so as to minimize the possibility of leakage. Cover and seal Work as required for a watertight installation.
  - a. Provide cleat-type anchorages for metal flashings and trim wherever practical, arranged to relieve stresses from building movement, and thermal expansion and contraction.
- 5. On vertical surfaces lap two-piece flashings a minimum of 4-inches.
- 6. On sloping surfaces, for slopes of not less than 6-inches in 12-inches, lap unsealed flashings a minimum of 6-inches. For slopes less than 6-inches in 12-inches use soldered flat locked seams.
- 7. For embedment of metal flashing flanges in roofing or composition flashing or stripping, extend flanges for a minimum of 4-inches embedment.
- B. Installation of Stainless Steel Sheet Metal Flashing and Trim:
  - 1. Tin the edges of plain stainless steel to be soldered, for a width of 1-1/2-inches, using solder for stainless steel and acid flux. Remove every trace of acid flux residue from the metal promptly after tinning or soldering.
  - 2. Where welded joints are shown, provide upturned, 1/2-inch wide hooked flanges, and weld between adjoining sheets; lay seam flat.
- D. Installation of Elastic Sheet Metal Flashing and Trim:
  - 1. Refer to Section 04 05 05, Unit Masonry.
- E. Installation of Reglets and Counterflashing:
  - 1. Install surface-mounted reglets complying with manufacturer's written instructions to produce a watertight installation. Use sealant specified in Section 07 92 00, Joint Sealants.
  - 2. Install counterflashing with positive pressure against base flashing and reglet and with air break at mid-point to prevent capillary action. No screws or exposed fasteners shall be permitted in the finished Work except those required at each pre-punched hole for surface-mounted reglet attachment.
  - 3. End lap counterflashing horizontally a minimum of 3-inches.
  - 4. Overlap base flashing with counterflashing a minimum of 4-inches vertically and fold lower edge back on itself for 1/2-inch.

## 3.4 ADJUSTMENT AND CLEANING

- A. Protect sheet metal flashing and trim until Final Acceptance of the Work.
- B. Do not permit workmen, or others, to step directly on flashing sheets in place, or to place or move equipment over sheet metal flashing and trim surfaces. Protect surfaces during installation of permanent covering work and adjoining Work.
- C. Neutralize excess flux as the Work progresses with five percent to percent washing soda solution and rinse thoroughly.

D. Clean exposed surfaces of every substance which is visible or might cause corrosion or prevent uniform oxidation of the metal surfaces. Exercise extreme care to remove fluxes and ferrous metal particles, including welding splatter and grinding dust.

++ END OF SECTION ++

## SECTION 07 92 00

## JOINT SEALANTS

## PART 1 – GENERAL

### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, tools, equipment, and incidentals as shown, specified, and required to furnish and install joint sealants.
  - 2. Extent of each type of calking and sealant is shown or indicated and includes the following:
    - a. Interior and exterior joints in equipment and construction systems not filled by another material, and that are not required to be open for operation.
    - b. Exposed-to-view joints of all fire-rated sealants.
    - c. Joints specified to be recaulked.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate installation of items to be installed with or before joint sealants.
  - 2. Coordinate final selection of joint sealants so that materials are compatible with all caulking and sealant substrates specified.
- C. Related Sections:
  - 1. Section 03 15 00, Concrete Accessories.

### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ASTM C510, Test Method for Staining and Color Change of Single- or Multicomponent Joint Sealants.
  - 2. ASTM C661, Test Method for Indentation Hardness of Elastomeric-Type Sealants by Means of a Durometer.
  - 3. ASTM C793, Test Method for Effects of Accelerated Weathering on Elastomeric Joint Sealants.
  - 4. ASTM C794, Test Method for Adhesion-in-Peel of Elastomeric Joint Sealants.
  - 5. ASTM C920, Specification for Elastomeric Joint Sealants.
  - 6. ASTM C1021, Practice for Laboratories Engaged in Testing Building Sealants.
  - 7. ASTM C1087, Test method for Determining Compatibility of Liquid-Applied Sealants with Accessories Used in Structural Glazing Systems.
  - 8. ASTM C1193, Guide for Use of Joint Sealants.

- 9. ASTM C1247, Practice for Durability of Sealants Exposed to Continuous Immersion in Liquids.
- 10. BAAQMD Regulation 8, Rule 51.
- 11. 40 CFR 59, Subpart D (EPA Method 24): National Volatile Organic Compound Emission Standards for Architectural Coatings
- 12. FS TT-S-00227, Sealing Compound: Elastomeric Type, Multi-component (for Calking, Sealing, and Glazing in Buildings and Other Structures).
- 13. FS TT-S-00230 Sealing Compound: Elastomeric Type, Single Component (for Calking, Sealing, and Glazing in Buildings and Other Structures).
- 14. NSF/ANSI Standard 61, Drinking Water System Components Health Effects.
- 15. SCAQMD Rule 1168.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Installer:
    - a. Engage a single installer, approved by product manufacturer, regularly engaged in calking and sealant installation and with successful experience in applying types of products required, and who employs only tradesmen with specific skill and successful experience in the type of Work required.
  - 2. Testing Laboratory:
    - a. Furnish services of independent testing laboratory qualified according to ASTM C1021, for conducting testing required.
- B. Component Supply and Compatibility:
  - 1. Obtain materials only from manufacturers who will, if required:
    - a. Test joint sealants for compatibility with substrates for conformance with FS-TT-S-00227, and recommend remedial procedures as required.
  - 2. Before purchasing each sealant, investigate its compatibility with joint surfaces, joint fillers, and other materials in joint system. Provide products that are fully compatible with actual installation condition, verified by manufacturer's published data or certification, and as shown on approved Shop Drawings and other approved submittals.
- C. Product Testing: Provide test results of laboratory pre-construction compatibility and adhesion testing, as specified in Article 3.1 of this Section, by qualified testing laboratory, based on testing of current sealant formulations within a 36-month period preceding the Notice to Proceed for the Work.
  - 1. Test elastomeric joint sealants for compliance with requirements specified by reference to ASTM C920 and, where applicable, to other standard test methods.
  - 2. Test other joint sealants for compliance using specified post-construction field adhesion test.

## 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Product Data:
    - a. Copies of manufacturer's data sheets including color charts, specifications, recommendations, and installation instructions for each type of sealant, calking compound, and associated miscellaneous material required. Include manufacturer's published data, indicating that each product complies with the Contract Documents and is intended for the applications shown or indicated.
    - b. Product test reports.
    - c. Samples will be reviewed by ENGINEER for color and texture only. Compliance with other requirements is responsibility of CONTRACTOR.
- B. Closeout Submittals: Submit the following:
  - 1. Operation and Maintenance Data:
    - a. Recommended inspection intervals.
    - b. Instructions for repairing and replacing failed sealant joints.
  - 2. Warranty: Submit written warranties as specified in this Section.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Comply with Section 01 65 00, Product Delivery Requirements, and Section 01 66 00, Product Storage and Handling Requirements, and the following:
  - 1. Delivery of Products:
    - a. Deliver products in calking and sealant manufacturer's original unopened, undamaged containers, indicating compliance with approved Shop Drawings and approved Sample color selections.
    - b. Include the following information on label:
      - 1) Name of material and Supplier.
      - 2) Formula or Specification Section number, lot number, color and date of manufacture.
      - 3) Mixing instructions, shelf life, and curing time, when applicable.
  - 2. Storage of Products:
    - a. Do not store or expose materials to temperature above 90 degrees F or store in direct sunlight.
    - b. Do not use materials that are outdated as indicated by shelf life.
    - c. Store sealant tape in manner that will not deform tape.
    - d. In cool or cold weather, store containers for sixteen hours before using in temperature of approximately 75 degrees F.
    - e. When high temperatures prevail, store mixed sealants in a cool place.
  - 3. Handling:
    - a. Do not open containers or mix components until necessary preparatory Work and priming are complete.

## 1.6 JOB CONDITIONS

A. Environmental Conditions:

- 1. Do not install joint sealants under adverse weather conditions, or when temperatures are below or above manufacturer's recommended limitations for installation.
- 2. Proceed with the Work when forecasted weather conditions are favorable for proper cure and development of high-early bond strength.
- 3. Where joint width is affected by ambient temperature variations, install elastomeric sealants when temperatures are in the lower third of manufacturer's recommended installation temperature range, so that sealant will not be subjected to excessive elongation and bond stress at subsequent low temperatures.
- 4. When high temperatures prevail, avoid mixing sealants in direct sunlight.
- 5. Supplemental heat sources required to maintain both ambient and surface temperatures within the range recommended by manufacturer for material applications are not available at the Site.
- 6. Provide supplemental heat and energy sources, power, equipment, and operating, maintenance, and temperature monitoring personnel.
- 7. Do not use heat sources that emit carbon dioxide or carbon monoxide into areas of calking, sealants, and painting Work, and areas where OWNER's personnel or construction personnel may work. Properly locate and vent such heat sources to outdoors so that joint sealants and other Work are unaffected by exhaust.

## 1.7 WARRANTY

- A. Provide written warranty, signed by manufacturer and CONTRACTOR, agreeing to repair or replace sealants that fail to perform as air-tight and watertight joints; or fail in joint adhesion, cohesion, abrasion resistance, weather resistance, extrusion resistance, migration resistance, stain resistance, or general durability; or appear to deteriorate in any other manner not clearly specified in approved Shop Drawings and other submittals, as an inherent quality of material for exposure indicated.
  - 1. Provide manufacturer warranty for period of one year from date of Substantial Completion of joint sealants Work.
  - 2. Provide installer warranty for period of two years from date of Substantial Completion of joint sealants Work.

### PART 2 – PRODUCTS

## 2.1 SYSTEM PERFORMANCE

- A. Provide elastomeric joint sealants for interior and exterior joint applications that establish and maintain watertight and airtight continuous joint seals without staining or deteriorating joint substrates.
- B. Provide colors selected by ENGINEER from calking and sealant manufacturer's standard and custom color charts. "Or equal" manufacturers shall provide same generic products and colors as available from manufacturers specified.

## 2.2 MATERIALS

- A. Exterior and Interior Horizontal and Vertical Joints; Submerged and Intermittently Submerged in Potable Water or Water That Will be Treated to Become Potable:
  - 1. One-component Polyurethane Sealant:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Sikaflex-1a by Sika Corporation.
      - 2) Or equal.
    - b. One-component, moisture cured, gun grade, polyurethane sealant, complying with:
      - 1) FS TT-S-00230C, Type II, Class A; ASTM C920, Type S, Grade NS, Class 25.
      - 2) Adhesion-in-Peel, FS TT-S-00230C, ASTM C794 (minimum five pounds.): Glass, minimum 20 pounds per linear inch; Aluminum, minimum 20 pounds per linear inch; Concrete, minimum 20 pounds per linear inch.
      - 3) Hardness (Standard Conditions), ASTM D2240: 20 to 25 (Shore A).
      - 4) Stain and Color Change, FS TT-S-00227E and ASTM C510: No discoloration or stain.
      - 5) Accelerated Aging, ASTM C793: No change in sealant characteristics after 250 hours in weatherometer.
      - 6) Rheological Vertical Displacement at 120 degrees F, FS TT-S-00227E: No sag.
      - 7) VOC Content: 100 g/L, maximum.
      - 8) Listed in NSF/ANSI 61
- B. Exterior and Interior Vertical Joints; Non-submerged:
  - 1. Two-component Polyurethane Sealant:
    - a. Products and Manufacturers: Provide one of the following:
      - 1) Sikaflex- 2c NS by Sika Corporation.
      - 2) Dymeric 240 FC by Tremco Sealant/Waterproofing Division of RPM International, Inc.
      - 3) Or equal.
    - b. Polyurethane based, two-component elastomeric sealant complying with:
      - 1) FS TT-S-00227E: Type II (non-sag) Class A and ASTM C920, Type M, Grade NS, Class 25.
      - 2) Adhesion-in-Peel, FS TT-S-00227E and ASTM C794: (Minimum five pounds per linear inch with no adhesion failure): 10 pounds.
      - 3) Hardness (Standard Conditions), ASTM C661: 25 to 35 (Shore A).
      - 4) Stain and color change, FS TT-S-00227E and ASTM C510: No discoloration or stain.
      - 5) Accelerated Aging, ASTM C793: No change in sealant characteristics after 250 hours in weatherometer.
      - 6) Rheological Vertical Displacement at 120 degrees F, FS TT-S-00227E: No sag.
      - 7) VOC Content: 100 g/L, maximum.

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## C. Miscellaneous Materials:

- 1. Joint Cleaner: As recommended by calking and sealant manufacturer.
- 2. Joint Primer and Sealer: As recommended for compatibility with calking and sealant by calking and sealant manufacturer.
- 3. Bond Breaker Type: Polyethylene tape or other plastic tape as recommended for compatibility with calking and sealant by calking and sealant manufacturer, to be applied to sealant-contact surfaces where bond to substrate or joint filler must be avoided for proper performance of calking and sealant. Provide self-adhesive tape where applicable.
- 4. Sealant Backer Rod: Compressible rod stock polyethylene foam, polyethylene jacketed polyurethane foam, butyl rubber foam, neoprene foam or other flexible, permanent, durable nonabsorptive material as recommended for compatibility with calking and sealant by calking and sealant manufacturer. Provide size and shape of rod that will control joint depth for sealant placement, break bond of sealant at bottom of joint, form optimum shape of sealant bead on back side, and provide highly-compressible backer to minimize possibility of sealant extrusion when joint is compressed.

# PART 3 – EXECUTION

## 3.1 INSPECTION

A. Examine joint surfaces, substrates, backing, and anchorage of units forming sealant rabbet, and conditions under which caulking, and sealant Work will be performed, and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work and performance of sealants. Do not proceed with calking and sealant Work until unsatisfactory conditions are corrected.

## 3.2 PREPARATION

- A. Protection: Do not allow joint sealants to overflow or spill onto adjoining surfaces, or to migrate into voids of adjoining surfaces including rough textured materials. Use masking tape or other precautionary devices to prevent staining of adjoining surfaces, by either the primer/sealer or calking and sealant materials.
- B. Joint Surface Preparation:
  - 1. Clean joint surfaces immediately before installing sealant compound. Remove dirt, weakly adhering coatings, moisture and other substances that would interfere with bonds of sealant compound as recommended in sealant manufacturer's written instructions as shown on approved Shop Drawings.
  - 2. If necessary, clean porous materials by grinding, sandblasting, or mechanical abrading. Blow out joints with oil-free compressed air or by vacuuming joints prior to applying primer or sealant.

- 3. Roughen joint surfaces on vitreous coated and similar non-porous materials, when sealant manufacturer's data indicates lower bond strength than for porous surfaces. Rub with fine abrasive cloth or steel wool to produce a dull sheen.
- 4. Concrete Joint Preparation: Refer to Section 03 15 00, Concrete Accessories
- C. Mixing:
  - 1. Comply with sealant manufacturer's written instructions for mixing multi-component sealants.
  - 2. Thoroughly mix components before use.
  - 3. Add entire contents of activator can to base container. Do not mix partial units.
  - 4. Mix contents for minimum of five minutes or as recommended by sealant manufacturer, until color and consistency are uniform.

## 3.3 INSTALLATION

- A. Install joint sealants after adjacent areas have been cleaned and before joint has been cleaned and primed, to ensure calking and sealant joints will not be soiled. Replace calking and sealant joints soiled after installation.
- B. Comply with sealant manufacturer's written instructions except where more stringent requirements are shown or indicated in the Contract Documents, and except where manufacturer's technical representative directs otherwise, only as acceptable to ENGINEER.
- C. Prime or seal joint surfaces as shown on approved Shop Drawings and approved other submittals. Do not allow primer or sealer to spill or migrate onto adjoining surfaces. Allow primer to dry prior to applying sealants.
- D. Apply masking tape before installing primer, in continuous strips in alignment with joint edge to produce sharp, clean interface with adjoining materials. Remove tape immediately after joints have been sealed and tooled as directed.
- E. Confirm that compressible filler is installed before installing sealants.
- F. Do not install sealants without backer rods and bond breaker tape.
- G. Roll back-up rod stock into joint to avoid lengthwise stretching. Do not twist, braid, puncture, or prime backer rods.
- H. Employ only proven installation techniques that will ensure that sealants are deposited in uniform, continuous ribbons without gaps or air pockets, with complete "wetting" of joint bond surfaces equally on opposite sides. Except as otherwise indicated, fill sealant rabbet to a slightly concave surface slightly below adjoining surfaces. Where horizontal joints are between a horizontal surface and a vertical surface, fill joint to form a slight cove, so that joint will not trap moisture and dirt.
- I. Install sealants to depths recommended by sealant manufacturer but within the following general limitations, measured at the center (thin) section of bead.

- 1. For horizontal joints in sidewalks, pavements, and similar locations sealed with elastomeric sealants and subject to traffic and other abrasion and indentation exposures, fill joints to depth equal to 75 percent of joint width, but not more than 5/8-inch deep or less than 3/8-inch deep.
- 2. For vertical joints subjected to normal movement and sealed with elastomeric sealants and not subject to traffic, fill joints to a depth equal to 50 percent of joint width, but not more than 1/2-inch deep or less than 1/4-inch deep.
- J. Remove excess and spillage of compounds promptly as the Work progresses.
- K. Cure calking and sealant compounds in compliance with manufacturer's instructions and recommendations, to obtain high-early bond strength, internal cohesive strength, and surface durability.

# 3.4 EXISTING JOINTS

- A. Mechanically remove existing sealant and backer rod.
- B. Clean joint surfaces of residual sealant and other contaminates capable of affecting sealant bond to joint surface.
- C. Conduct laboratory pre-construction compatibility and adhesion testing on joint surfaces in accordance with Paragraph 3.1.B of this Section.
- D. Allow joint surfaces to dry before installing new sealants.

# 3.5 ADJUSTING AND CLEANING

- A. Where leaks and lack of adhesion are evident, replace sealant.
- B. Clean adjacent surfaces of sealant and soiling resulting from the Work. Use solvent or cleaning agent recommended by sealant manufacturer. Leave all finish Work in neat, clean condition.
- C. Protect sealants during construction so that they will be without deterioration, soiling, or damage at time of readiness for final payment of the Contract.

## 3.6 PROTECTION

A. During and after curing period, protect joint sealants from contact with contaminating substances and from damage resulting from construction operations or other causes, so sealants are without deterioration or damage at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, cut out and remove damaged or deteriorated joint sealants immediately so installations with repaired areas are indistinguishable from original Work.

+ + END OF SECTION + +

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#### SECTION 08 34 00

### FIBERGLASS REINFORCED PLASTIC DOORS AND FRAMES

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment and incidentals as shown, specified and required to furnish and install fiberglass reinforced plastic doors and frames as shown and specified.
  - 2. The extent of doors and frames Work is shown on the Drawings.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate the installation of items that must be installed or demolished in association with the doors and frames.
- C. Related Sections:
  - 1. Section 07 92 00, Joint Sealants.
  - 2. Section 08 71 00, Finish Hardware.

#### 1.2 QUALITY ASSURANCE

- A. Reference Standards: Comply with the latest edition of the applicable provisions and recommendations of the following, except as otherwise shown or specified:
  - 1. ANSI A115, Specifications for Door and Frame Preparations for Hardware.
  - 2. ASTM A 103, Zinc (hot-galvanized) Coatings on Products Fabricated From Rolled, Pressed, and Forged Steel Shapes, Plates, Bars, and Strip.
  - 3. ASTM A 123, Zinc (Hot-Galvanized) Coatings on Products Fabricated from Rolled, Pressed, and Forged Steel Shapes, Plates, Bars, and Strip.
  - 4. ASTM B 509, Cellular Elastomeric Preformed Gasket and Sealing Material.
  - 5. ASTM D 2000, Classification for Elastomeric Materials for Automotive Applications.
  - 6. Underwriters Laboratories, Inc. "Standard for Fire Test of Doors, UL10B".
  - 7. ASTM D 635, Standard Test Method for Rate of Burning or Extent and Time of Burning of Self Supporting Plastics in a Horizontal Position.
  - 8. ASTM E 84, Standard Test Method for Surface Burning Characteristics of Building Materials.
  - 9. ASTM D 2287, Nonrigid Vinyl Chloride Polymer and Copolymer Molding and Extrusion Compounds.
  - 10. NAAMM, Entrance Manual.
  - 11. Laminate properties:
    - a. ASTM D 882 Tensile strength.
    - b. ASTM D 790 Flexural strength.
    - c. ASTM D 2583 Barcol Hardness.

- d. ASTM D 256 Impact resistance.
- e. ASTM D 792 Density/specific gravity of laminate.
- 12. Core Properties
  - a. ASTM C 177 Thermail properties.
  - b. ASTM D 1622 Density/specific gravity.
  - c. ASTM D E 84 Surface burning characteristics.
- B. Manufacturer Qualifications: Provide doors and frames manufactured by a single firm specializing in the production of this type of Work.

#### 1.3 SUBMITTALS

- A. Shop Drawings: Submit for approval the following:
  - 1. Product Data: Manufacturer's printed product data indicating characteristics of products specified in this Section.
  - 2. Plans: Indicate location of each door opening assembly in project.
  - 3. Elevations: Dimensioned elevation of each type door opening assembly in project; indicate sizes and locations of door hardware, and lites and louvers, if specified.
  - 4. Details: Installation details of each type installation condition in project; indicate installation details of glazing, if specified.
  - 5. Schedule: Indicate each door opening assembly in project; cross-reference to plans, elevations, and details.
- B. Selection Samples: Manufacturer's standard color chips.
- C. Verification Samples: Two (2) samples to verify color match.
- D. Manufacturer's Instructions: Printed installation instructions for door opening assemblies.
- E. Warranty Documents: Manufacturer's standard warranty documents, executed by manufacturer's representative, countersigned by CONTRACTOR.

### 1.4 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Delivery of Materials:
  - 1. Package door opening assemblies in manufacturer's standard containers.
- B. Storage of Materials:
  - 1. Store door assemblies in manufacturer's standard containers, on end, to prevent damage to face corners and edges.

#### PART 2 - PRODUCTS

#### 2.1 MATERIALS

- A. Fiberglass Met: Random glass fiber mat. Minimum 4.5 ounces per square foot weight of glass material.
- B. Roving: Unidirectional glass fiber mat, minimum 16 ounces per square yard weight.
- C. Resins: Formulated for specified environment, minimum flame spread 25 in accordance with ASTM E 84, self-extinguishing in accordance with ASTM D 635.
- D. Anchors: Manufacturer's standard stainless steel fasteners.
- E. Bonding Materials: Manufacturer's standard frame-to-opening bonding system.
- F. Joint Sealer: Sealant, specified in Section 07920.

#### 2.2 FABRICATION

- A. General:
  - 1. Sizes and Profiles: The required sizes for door and frame units, and the profile requirements are shown on the Drawings. Variable dimensions for profiles (if any) are shown along with maximum and minimum dimensions as required to achieve design requirements and coordination with other work.
  - 2. The details shown are based upon standard details by one or more manufacturers. Similar details by other manufacturers will be acceptable, provided they comply with the size requirements, and with minimum/maximum profile requirements as shown.

### 2.3 HARDWARE

- A. Flush Doors: Refer to Section 08 71 00, Finish Hardware, and to the frame, door and hardware schedules and details, for the furnishing and installing of hardware items. Hardware templates only will be furnished to the manufacturer for the fabrication of door and frames to receive hardware not supplied by door manufacturer.
- B. Hardware Installation: Cut, reinforce, drill and tap frames and doors as required to receive hardware, except do not drill and tap for surface-mounted items until the time of installation. Comply with hardware manufacturer's instructions and template requirements. Use concealed fasteners wherever possible.

### 2.4 MANUFACTURED UNITS

A. Non-rated Fiberglass Reinforced Plastic (FRP) Doors:

- 1. Thickness: 1-3/4 inches.
- 2. Thermal Insulating Value: >R factor 12.
- 3. Construction:
  - a. Style and Rail Structure: One-piece molded U-shaped cross-section, minimum 15 mil gel coat, minimum three (3) layers random-fiberglass mat, saturated with resins.
  - b. Core: End-grain balsa wood, resin-impregnated.
  - c. Face Sheets: Molded in one continuous piece, resin reinforced with handlaid glass fiber mat, nominal 1/8 inch thick, minimum 15 mil gel-coated surface with minimum two (2) layers random-fiberglass mat ad one (1) layer roving, saturated with resins.
  - d. Door Edges: Minimum three (3) layers resin-reinforced glass fiber mat, nominal 3/8 inch thick, machine tooled.
- 4. Sizes: Indicated on Drawings.
- 5. Finish: Smooth gloss surface, minimum value 88 in accordance with ASTM D 523.
- 6. Color: Medium Brown.
- B. Non-rated Fiberglass Frames:
  - 1. Construction: One-piece molded cross-section with molded stop, minimum 15 mil get coat, minimum two (2) layers random-fiberglass mat, saturated with resins polyurethane foam core. Sizes: For door sizes and frame depths indicated on drawings.
  - 2. Frame Profile: 5-3/4 inches deep, 2 inches wide face; double rabbeted with 5/8 inch high stop.
  - 3. Sizes: Indicated on Drawings.
  - 4. Finish: Satin finish, with true and consistent color throughout frame thickness.
  - 5. Color: Medium Brown.
- C. Product and Manufacturer: Provide one of the following:
  - 1. Fiberglass Reinforced Plastic door and frames by Chem-Pruf Door Company.
- D. Hardware Set:
  - 1. Hinges
  - 2. Weatherseal at meeting, jamb and head
  - 3. Exterior weatherseal drip edge at sill.
  - 4. Exit device
  - 5. Closer (both leaf on pair of doors).
  - 6. Manual flush bolts.
  - 7. Astragal.
  - 8. Threshold Bronze material

### 2.5 FABRICATION

- A. Fiberglass Reinforced Plastic (FRP) Doors: Workmanship is to be of the highest quality in order to meet quality control requirements.
  - 1. Stile and Rail Structure:

- a. Form in mold of exact door size, with get coat layer to form, glass mat layers to a U-shaped channel interior.
- b. Formulate get coat for environment and integral color specified.
- c. Form structure as single component, jointed construction at intersections of stiles and rails is prohibited.
- d. Form mortise for lockset, and recess for strike plate in lock stile, at time of molding.
- e. Embed compression members at the time of molding in locations where thru-bolting of hardware is required.
- 2. Core:
  - a. Fit core material within stile/rail structure, fit around compression members and projections of mortises.
  - b. Mold openings for lites or louvers, if specified, form to sizes and at locations indicated.
- 3. Face sheets:
  - a. Formulate get coat with integral color specified, embed glass materials.
  - b. Chemically bond face sheets to stile/rail structure and core material.
- B. Fiberglass Frames:
  - 1. Resin transfer in mold of exact wall opening size, with get coat. Glass mat layers to form solid fiberglass outer surface.
  - 2. Formulate get coat for environment and integral color specified.
  - 3. Form structure of fiberglass components.
  - 4. Form mortise for kick strike, and recess for strike plate in lock jamb, at time of molding.
  - 5. Embed reinforcement for hinges and other indicated hardware in fiberglass matriz, provide for hinge leaf recesses in hinge jamb at time of molding.

## PART 3 - EXECUTION

### 3.1 INSPECTION

A. CONTRACTOR and his installer must examine the substrate and conditions under which doors and frames Work are to be installed and notify the ENGINEER in writing of any conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected in a manner acceptable to the ENGINEER.

### 3.2 INSTALLATION

- A. Install door opening assemblies in accordance with shop drawings, SDI-100, and manufacturer's printed installation instructions, using installation methods and materials specified in installation instructions.
- B. Installation of door hardware is specified in Section 08710.

- C. Install door hardware in accordance with manufacturer's printed instructions, using through-bolts to secure surface applied hardware.
- D. Site Tolerances: Maintain plumb and level tolerances specified in manufacturer's printed installation instructions.

## 3.3 CLEANING AND REPAIRING

- A. Adjust doors in accordance with door manufacturer's maintenance instructions to swing open and shut without binding, and to remain in place at any angle without being moved by gravitational influence.
- B. Adjust door hardware to operate correctly in accordance with hardware manufacturer's maintenance instructions.
- C. Clean surfaces of door opening assemblies and sight-exposed door hardware in accordance with manufacturer's maintenance instructions.
- D. Protect door opening assemblies and door hardware from damage by subsequent construction activities until final inspection.

++ END OF SECTION ++

## SECTION 08 71 00

### DOOR HARDWARE

### PART 1 - GENERAL

### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, tools, equipment and incidentals as shown, specified and required to furnish and install door hardware. Furnish door hardware for all doors in compliance with these Specifications herein.
  - 2. Extent of door hardware is specified. Door hardware is defined to include all items known commercially as door hardware, except special types of unique and non-matching hardware specified in the same Section as the door and door frame.
  - 3. Types of products required include the following:
    - a. Mortise hinges.
    - b. High-security mortise locksets.
    - c. Panic exit devices.
    - d. Heavy-duty, Overhead, surface-mounted, door closers.
    - e. Flush bolts.
    - f. Cylinders for doors specified in other Sections.
    - g. Stripping and seals.
    - h. Thresholds.
    - i. Silencers.
    - j. Security astragal.
    - k. Miscellaneous items and accessories for a complete installation functioning in compliance with the requirements of governing authorities having jurisdiction at the Site.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate the installation of items that must be installed with, or before, the door hardware.
  - 2. Coordinate the Work of other Sections to provide clearances and accurate positioning of recessed or cast-in-place items.
- C. Related Sections:
  - 1. Section 08 34 00, Fiberglass Reinforced Plastic Doors and Frames.
  - 2. Section 28 00 05, Electronic Security System.

### 1.2 REFERENCES

- A. Standards referenced in this Section are listed below:
  - 1. ANSI A117.1, Accessible and Usable Buildings and Facilities.
  - 2. ANSI/BHMA A156.1, Butts and Hinges.

- 3. ANSI/BHMA A156.3, Exit Devices.
- 4. ANSI/BHMA A156.4, Door Controls Closers.
- 5. ANSI/BHMA A156.5, Auxiliary Locks and Associated Products.
- 6. ANSI/BHMA A156.6, Architectural Door Trim.
- 7. ANSI/BHMA A156.7, Template Hinge Dimensions.
- 8. ANSI/BHMA A156.8, Door Controls Overhead Stops and Holders.
- 9. ANSI/BHMA A156.13, Mortise Locks and Latches, Series 1000.
- 10. ANSI/BHMA A156.16, American National Standard for Auxiliary Hard-ware.
- 11. ANSI/BHMA A156.18, Hardware Materials and Finishes.
- 12. ANSI/BHMA A156.21, Thresholds.
- 13. ANSI/BHMA A156.22, Door Gasketing and Edge Seal Systems.
- 14. ANSI/BHMA A156.24, Delayed Egress Locks.
- 15. ANSI/BHMA A156.25, Electrified Locking Devices.
- 16. ANSI/BHMA A156.26, Continuous Hinges.
- 17. ANSI/DHI A115.1, Preparation of Mortise Locks in 1-3/8-inch and 1-3/4-inch Standard Steel Doors and Frames.
- 18. ANSI/NFPA 252, Standard Methods of Fire Tests of Door Assemblies.
- 19. ASTM E329, Standard Specification for Agencies Engaged in Construction Inspection and/or Testing.
- 20. BMHA, Certified Product Directory.
- 21. DHI, Recommended Locations for Architectural Hardware for Standard Steel Doors and Frames.
- 22. DHI, Recommended Locations for Builders' Hardware for Custom Steel Doors and Frames.
- 23. DHI, Sequencing and Format for the Hardware Schedule.
- 24. FF-TT-S-00227,
- 25. HMMA 830, Hardware Preparation and Locations for Hollow Metal Doors and Frames.
- 26. NIST, U. S. Standard.
- 27. NFPA 70, National Electric Code.
- 28. NFPA 80, Fire Doors and Fire Windows.
- 29. NFPA 101, Life Safety Code.
- 30. SDI 109, Hardware for Standard Steel Doors and Frames.
- 31. SDI 118, Basic Fire Door Requirements.
- 32. UL 10B, Fire Tests of Door Assemblies.
- 33. UL 10C, Positive Pressure Fire Tests of Door Assemblies.
- 34. UL 305, Panic Hardware.
- 35. UL, Building Materials Directory.
- 36. UL, List of Inspected Fire Protection Equipment and Material.

## 1.3 QUALITY ASSURANCE

- A. Manufacturer's Qualifications:
  - 1. Provide door hardware and accessories manufactured by firms specializing in the production of this type of Work and complying with specified standards of ANSI, BHMA, DHI, NFPA, HMMA, SDI and UL.
  - 2. Provide door hardware from manufacturers who are members of BHMA and participate in BHMA certification programs.

- B. Installer's Qualifications:
  - 1. The door hardware installer shall have in his employ an architectural hardware consultant. The architectural hardware consultant shall be a member of the Door and Hardware Institute, (DHI), who has passed the DHI certification examine and successfully completed an apprenticeship program. The architectural hardware consultant shall be responsible for preparing door hardware schedules and Shop Drawings and be present at the Site for the purpose of checking and supervising the Work of the installer during the time of installation and adjustment of the door hardware Work, and shall prepare a written field report on status of completed door hardware installation as specified.
  - 2. Submit name and qualifications of the installer to ENGINEER.
- C. Architectural Hardware Consultant Qualifications:
  - 1. A person who is currently certified by DHI as an Architectural Hardware Consultant and who is experienced in providing consulting services for door hardware installations and electrified door hardware installations that are comparable in material, design, and extent to that indicated for this Project.
- D. Component Supply and Compatibility:
  - 1. Finish hardware equipment manufacturer to review and approve or to prepare all Shop Drawings and other submittals for all components furnished under this Section.
  - 2. All components shall be specifically constructed for the specified service conditions and shall be integrated into the overall assembly by the finish hardware manufacturer.
  - 3. Provide electrified door hardware from same manufacturer as mechanical door hardware, unless otherwise indicated. Manufacturers that perform electrical modifications and that are listed by a testing and inspecting agency acceptable to authorities having jurisdiction are acceptable.
- E. Testing Agency Qualifications: The independent testing agency shall demonstrate to ENGINEER'S satisfaction, based on evaluation of criteria submitted by testing agency, that it has the experience and capability to satisfactorily conduct the testing indicated in accordance with ASTM E 329, without delaying the Work.
- F. Regulatory Requirements:
  - 1. Provide only door hardware that has been tested, listed and labeled by UL for the types and sizes of doors required, and complies with the requirements of the door and door frame labels.
  - 2. Modify features of door hardware items specified, and provide additional accessories and features as required to meet UL and NFPA requirements, at no additional cost to the OWNER.
  - 3. Codes: Comply with applicable requirements of codes.
- G. Source Quality Control:
  - 1. Obtain each type of door hardware item from only one manufacturer.

- 2. Provide door hardware schedule, for submission to, and for approval by, ENGINEER, prepared in compliance with DHI standards.
- 3. Comply with specified BHMA standards.

## 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Copies of the Door Hardware Schedule in the manner and format specified, complying with the actual construction Progress Schedule requirements (for each draft). Include explanation of abbreviations, symbols, and codes used to present scheduled information.
      - 1) Prepare and submit Door Hardware Schedule in compliance with HDI standards.
- B. Based on the door hardware requirements specified, organize the final Door Hardware Schedule into "hardware sets," indicating complete designation of every item required for each door or opening. Furnish initial draft of schedule at the earliest possible date, in order to facilitate the fabrication of other Work (such as hollow metal frames) which may be critical in the Project Schedule. Furnish final draft of schedule after Samples, manufacturer's data sheets, coordination with Shop Drawings for other Work, delivery schedules and similar information have been completed and accepted.
- C. Description of each electrified door hardware function, including location, sequence of operation, and interface with other building control systems.
  - 1. Sequence of Operation: Include description of component functions that occur in the following situations: authorized person wants to enter; authorized person wants to exit; unauthorized person wants to enter; unauthorized person wants to exit.
- D. Include a separate key schedule, showing clearly how OWNER'S final instructions on keying of locks have been fulfilled.
- E. Door Hardware Schedules are intended for coordination of the Work.Review and acceptance by ENGINEER does not relieve CONTRACTOR of responsibility to fulfill the requirements as shown and specified.
  - 1. Product Data:
    - a. Copies of manufacturer's data for each item of door hardware. Include whatever information may be required to show compliance with specified requirements, and include instructions for installation and for maintenance of operating parts and exposed finishes. Include mounting heights and locations for each item of door hardware. Provide ENGINEER with latest complete technical catalogue of all available door hardware manufactured by proposed manufacturers, even if manufacturer specified by ENGINEER is submitted by CONTRACTOR to perform the Work. Furnish templates to

fabricators of other Work, which is to receive door hardware.

- Details of electrified door hardware, indicating the following:
  - 1) Wiring Diagrams: Power, signal, and control wiring. Include the following:
    - a) System schematic.
    - b) Point-to-point wiring diagram.
    - c) Riser diagram.
    - d) Elevation of each door.
- F. Informational Submittals: Submit the following:
  - 1. Certificates:

b.

- a. Certify that electrified door hardware approved for use on types and sizes of labeled fire doors complies with listed fire door assemblies.
- 2. Test and Evaluation Reports:
  - a. Certified independent laboratory test reports for BHMA certification program and certification tests for each type of product specified.
- Site Quality Control Submittals:
   a. Field Report: Architectural Hardware Consultant's Report.
- 4. Qualifications Statements:
  - a. Installer.
- C. Closeout Submittals: Submit the following:
  - 1. Operation and Maintenance Documentation: Upon completion of the Work, furnish five copies of detailed maintenance manuals, including the following information:
    - a. Product name and manufacturer.
    - b. Name, address, e-mail address and telephone number of manufacturer and local distributor.
    - c. Detailed procedure for routine maintenance and cleaning.
    - d. Detailed procedures for repairs such as dents, scratches and staining.
    - e. Parts identification manual and maintenance manuals for each piece of door hardware.

## 1.5 DELIVERY, STORAGE AND HANDLING

- A. Packing, Shipping, Handling and Unloading:
  - 1. Deliver materials to the Site to ensure uninterrupted progress of the Work. Deliver anchor bolts and anchorage devices which are to be embedded in castin-place concrete in ample time to prevent delay of that Work. Deliver recessed floor pivot hinge boxes which are to be embedded in cast-in-place concrete in ample time to prevent delay of that Work.
  - 2. Deliver all items of door hardware in manufacturer's original, undamaged packages, bearing accurate representation of the item within each package.
  - 3. Pack each piece of door hardware separately, complete with screws, keying, instructions and templates, tagged to correspond with items submitted on approved Shop Drawings and as specified.
- B. Storage and Protection:

- 1. Store materials to permit easy access for inspection and identification. Keep all material off the ground, using pallets, platforms, or other supports. Protect steel members and packaged materials from corrosion and deterioration.
- 2. Provide secure storage area for door hardware items, secured by locks and accessible only to door hardware installer, ENGINEER and CONTRACTOR.
- 3. Store door hardware in manufacturers' original packages.
- C. Acceptance at Site:
  - 1. All boxes, crates and packages shall be inspected by CONTRACTOR upon delivery to the Site. CONTRACTOR shall notify ENGINEER, in writing, if any loss or damage exists to equipment or components. Items that arrive in a damaged condition shall be removed from the Site and not offered again for acceptance. Replace loss and repair damage to new condition in accordance with manufacturer's instructions.

## 1.6 COORDINATION

- A. Coordinate layout and installation of recessed pivots and closers with floor construction. Cast anchoring inserts into concrete.
- B. Templates: Distribute door hardware templates for doors, frames, and other work specified to be factory prepared for installing door hardware. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing door hardware to comply with indicated requirements.
- C. Electrical System Roughing-in: Coordinate layout and installation of electrified door hardware with connections to power supplies, fire alarm system and detection devices, access control system, security system and building control system.
- D. Existing Openings: Where new hardware components are scheduled for application to existing construction or where modifications to existing door hardware are required, field verify existing conditions and coordinate installation of door hardware to suit opening conditions and to provide for proper operation.

## 1.7 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of door hardware that fail in materials or workmanship within specified warranty period.
  - 1. Failures include, but are not limited to, the following:
    - a. Structural failures including excessive deflection, cracking, or breakage.
    - b. Faulty operation of operators and door hardware.
    - c. Deterioration of metals, metal finishes, and other materials beyond normal weathering and use.
  - 2. Warranty Period: 3 years from date of Substantial Completion, except as follows:

- a. Electrified Locks: One year from date of Substantial Completion.
- b. Exit Devices: 2 years from date of Substantial Completion.
- c. Manual Closers: 10 years from date of Substantial Completion.
- d. Concealed Floor Closers: 25 years from date of Substantial Completion.

### 1.8 MAINTENANCE

- A. Maintenance Service
  - 1. Maintenance Tools and Instructions: Furnish a complete set of specialized tools and maintenance instructions as needed for Owner's continued adjustment, maintenance, and removal and replacement of door hardware.
  - 2. Maintenance Service: Beginning at Substantial Completion, provide 6 months' full maintenance by skilled employees of door hardware Installer. Include quarterly preventive maintenance, repair or replacement of worn or defective components, lubrication, cleaning, and adjusting as required for proper door hardware operation. Provide parts and supplies same as those used in the manufacture and installation of original products.

## PART 2 - PRODUCTS

## 2.1 SYSTEM PERFORMANCE

- A. Design Criteria:
  - 1. Where the door, shape, size, fire-resistance-rating, frequency of use, or function of a member receiving door hardware is such as to prevent, or make unsuitable, the types of door hardware specified, furnish similar types having as nearly as practicable the same operation but of type or kind more appropriate to the design intention and requirements of governing authorities having jurisdiction at the Site. Clearly identify and highlight to ENGINEER all such required modifications on Shop Drawings submitted for approval.
  - 2. If door hardware for any location is not specified, provide door hardware equal in design and quality to adjacent door hardware specified for comparable openings at no additional cost to OWNER.
  - 3. Furnish door hardware items of proper design for use on doors and frames of the thickness, profile, swing, security and similar requirements, as necessary for proper installation and function.
  - 4. Unless otherwise specified, comply with DHI, Recommended Locations for Architectural Hardware for Standard Steel Doors and Frames and Recommended Locations for Builders' Hardware for Custom Steel Doors and Frames.

### 2.2 DETAILS OF CONSTRUCTION

## A. General:

- 1. Hand of Door: The Drawings show the swing or hand of each door leaf (left, right, reverse bevel, etc.). Furnish each item of door hardware for proper installation and operation of the door swing as shown.
- 2. Manufacturer's Name Plate: Do not use manufacturer's products which have manufacturer's name or trade name displayed in a visible location (omit removable nameplates), except in conjunction with labels required by governing authorities having jurisdiction at the Site.
- 3. Base Metals: Produce door hardware units of the basic metal and forming method specified, using the manufacturer's standard metal alloy, composition, temper and hardness. Do not substitute materials or forming methods for those specified.
- 4. Fasteners: Manufacture door hardware to conform to published templates, generally prepared for machine screw installation. Do not provide door hardware, which has been prepared for self-tapping sheet metal screws, except as specifically indicated.
- 5. Furnish screws for installation, with each door hardware item. Provide Phillips flat-head screws except as otherwise specified. Finish exposed (exposed under any condition) screws to match the hardware finish or, if exposed in surfaces on other Work, to match the finish of such other Work as closely as possible, including "prepared for paint" in surfaces to receive painted finish.
- 6. Provide fasteners which are compatible with both the unit fastened and the substrate, and which will not cause corrosion or deterioration of door hardware, base material or fastener.
- 7. Provide concealed fasteners for door hardware units, which are not exposed when the door is closed, except to the extent no standard manufacturer units of the type specified are available with concealed fasteners. Do not use through bolts for installation where the bolt head or the nut on the opposite face is exposed in other Work under any condition, except where it is not possible to adequately reinforce the Work and use machine screws or concealed fasteners of another standard type to satisfactorily avoid the use of through bolts.
- 8. Tools for Maintenance: Furnish two complete sets of specialized tools as required for OWNER'S continued adjustment, maintenance, removal and replacement of door hardware.

## 2.3 HARDWARE TYPES

- A. Mortise Hinges:
  - 1. Templates and Screws: Provide only template-produced units.
  - 2. Base Metal: Except as otherwise specified, fabricate hinges from stainless steel and finish to match the latch and lock set.
  - 3. Number of Hinges: Provide three hinges on each door leaf of less than 60-inches in height; provide one additional hinge for next 30-inches of door height or fraction thereof; provide two additional hinges for each 30-inches, or fraction thereof, for doors above 90-inches tall.

- 4. Hinge Size: Except as otherwise specified or as required to comply with UL and NFPA, provide hinges of the following sizes:
  - a. Interior Doors:
    - 1) Average Use, Maximum 36-Inches Wide: 4-1/2-inch standard weight (0.134-inches).
    - 2) Heavy Use, Maximum 36-Inches Wide: 4-1/2-inch heavy-weight (0.180-inches).
  - b. Exterior Doors, Maximum 36-Inches Wide: 4-1/2-inch heavy-weight (0.180-inch).
  - c. Wide Exterior and Interior Doors:
    - 1) Maximum 48-inches wide: 5-inch heavyweight (0.190-inch).
    - 2) Over 48-inches wide: 6-inch heavy weight (0.203-inch).
- 5. Types of Hinges: Provide full-mortise type, ball-bearing hinges, swaged for mortise applications, inner leaf beveled, square cornered, unless manufacturer's recommendations indicate that half-mortise, half-surface, full-surface or other type should be used for the frame and door type or condition.
- 6. Hinge Pins: Except as otherwise specified, provide hinge pins as follows:
  - a. Pins: Stainless steel.
  - b. Exterior Doors: Non-removable pins. Provide set screw in hinge barrel that, when tightened into a groove in hinge pin, prevents removal of pin while door is closed.
  - c. Tips: Slope ends of hinge barrel.
- 7. Conform to ANSI/BHMA A156.7.
- 8. Comply with UL, List of Inspected Fire Protection Equipment and Materials and NFPA 80 requirements.
- 9. Products and Manufacturers: Provide one of the following:
  - a. FBB 199, FBB 191 and CECSFBB 199, CECS FBB 191 by Stanley Commercial Hardware, Division of The Stanley Works.
  - b. T4B3386,TB3313 and QC+MMT4B3386, QC+MM TB3313 by McKinney Products Company, an ASSA ABLOY Group company.
  - c. Or equal.
- B. Panic Exit Devices:
  - 1. Strikes: Provide manufacturer's standard wrought stainless steel jamb-mounted top latch bolt and bottom latch bolt for each location and use shown to allow independent opening and closing of each leaf of double doors with panic exit devices; complying with UL List of Inspected Fire Protection Equipment and Materials and NFPA 80 requirements.
  - 2. Lock Throws: Provide minimum of 3/4-inch latch bolt throw complying with UL List of Inspected Fire Protection Equipment and Materials and NFPA 80 requirements.
  - 3. Strikes: Provide manufacturer's standard wrought stainless steel jamb-mounted top latch bolt and bottom latch bolt for each location and use shown to allow independent opening and closing of each leaf of double doors with panic exit devices; complying with UL List of Inspected Fire Protection Equipment and Materials and NFPA 80 requirements.

- 4. Lock Throws: Provide minimum of 3/4-inch latch bolt throw complying with UL List of Inspected Fire Protection Equipment and Materials and NFPA 80 requirements.
- 5. Provide the following features and materials:
  - a. Latch Bolt: Two-piece; mechanical; anti-friction, stainless steel.
  - b. Dead Bolt: One-piece, stainless steel with two enclosed hardened-steel roller armor pins.
  - c. Case: Wrought steel, zinc dichromatized.
  - d. Cylinders: High-security; brass; pick- and drill-resistant; ANSI/BHMA A156.5 E09211A.
  - e. Armor Front: 8-inches by 1-1/4-inches wide, minimum; steel.
  - f. Escutcheon: 8-inches by 2-1/2-inches wide by 3/16-inches thick, minimum; stainless steel, US 32D.
  - g. Hubs: Sintered steel, copper infiltrated.
  - h. Crossbar: Oval, seamless with interlocking expansion collets and roll pins; knurled, satin stainless steel, 0.062-inches minimum thickness, with steel reinforcing tube.
  - i. Concealed bolts: Minimum 1/2-inch diameter, stainless steel.
- 6. Backset: Provide minimum backset of 2-3/4-inches.
- 7. Finish: US 32D satin.
- 8. ANSI/BHMA: A156.3, Type 3 and Type 8, Grade 1; F08, entrance by lever, key locks or unlocks lever for entrances shown as accessible to people with disabilities as required by ADAAG; and F05, entrance by thumb piece, key locks or unlocks thumb piece.
- 9. Electrically Controlled Devices: ANSI A156.3 & A156.24.
- 10. Exit Devices for Means of Egress Doors: Comply with NFPA 101. Exit devices shall not require more than 15 lbf to release the latch. Locks shall not require use of a key, tool, or special knowledge for operation.
- 11. Panic Exit Devices: Listed and labeled by a testing and inspecting agency acceptable to authorities having jurisdiction, for panic protection, based on testing according to UL 305.
- 15. Products and Manufacturers: Provide one of the following:
  - a. 1530-L8 (F) and -T8 (F) Series Mortise Exit Devices with Escutcheon Trim and Augusta - ASL Lever Handles and Thumbpiece/Handle/Cylinder Unit by Yale Commercial Locks and Hardware, an ASSA ABLOY Group company.
  - ED6600Series Mortise Exit Devices with Escutcheon Trim and Newport

     N4M Lever Handles and D Grip T7M Thumb piece/Handle/Cylinder Unit by Corbin Russwin Architectural Hardware, an ASSA ABLOY Group company.
  - c. Or equal.
- C. Flush Bolts:
  - 1. Provide flush bolts on the inactive leaf of all pairs of doors, unless otherwise specified.
  - 2. Provide flush bolts at the top and bottom of door.

- 3. Provide downset of 12-inches for all automatic flush bolts, and manufacturers' automatic flush bolt strikes, for the locations specified in List of Door Hardware Items at end of Part 3.
- 4. Provide the following features and materials:
  - a. Flush Bolt Levers: Forged Brass.
  - b. Flush Bolt Plate: Forge Brass.
  - c. Flush Bolt Guide and Strike: Wrought Brass.
  - d. Flush Bolt Rods: 1/2-inch round rods, bronze, 12-inches minimum length. e. Bolt Head: Brass.
- 5. Provide extension flush bolts with 3/4-inch throws and with top bolt not over 6 foot-0 inches above finished floor. Provide bottom flush bolt 12-inches long.
- 6. ANSI/BHMA: A156.16: L14081, L14251 and L14091.
- 7. Products and Manufacturers: Provide one of the following:
  - a. GJ FB6 Extension Flush Bolts by Glynn-Johnson Part of Worldwide Ingersoll-Rand.
  - b. Or equal.
- D. Cylinders and Keying System:
  - 1. Existing System: Grandmaster key or great-grandmaster keys the locks to OWNER'S existing system, with a new master key for the Project.
  - 2. Review the keying system with OWNER'S and provide the type required (master, grandmaster or great grandmaster), either new or integrated with OWNER'S existing system.
  - 3. Furnish all locks with manufacturer's cylinders for interchangeable-core pin tumbler inserts. Furnish only temporary inserts for the construction period, and remove these before Substantial Completion. Construction control keys and cores shall not be part of OWNER'S permanent keying system. Permanent cores and keys shall be furnished to OWNER prior to Substantial Completion.
  - 4. Comply with the OWNER'S instructions for master keying and, except as otherwise specified, provide individual change key for each lock which is not designated to be keyed alike with a group of related locks.
  - 5. Permanent keys and cores shall be stamped with the applicable key mark for identification. These visual key control marks or codes shall not include the actual key cuts. Permanent keys shall also be stamped "DO NOT DUPLICATE".
  - 6. Cylinder Material: Brass, bronze or Series 300 stainless steels.
  - 7. Cylinder Features: Seven-pin, high-security, removable core.
  - 8. Key Material: Nickel silver.
  - 9. Key Quantity: Furnish three keys for each lock and five keys for each master and grandmaster system. Provide one extra key blank for each lock.
- E. Overhead, Surface-Mounted, Door Closers:
  - 1. Provide all doors, unless specially shown or specified as being provided with floor-mounted or concealed overhead closers, with surface-mounted overhead door closers. Provide both active and inactive door leafs with closers.
  - 2. Size of Units: Except as otherwise specified, comply with the manufacturer's recommendations for size of door control unit, depending upon size of door, exposure to weather, and anticipated frequency of use.

- 3. Where parallel arms are specified, and for closers on exterior doors, provide closer unit one size larger than recommended for use with standard arms.
- 4. Use parallel arm arrangement for doors that would otherwise have the door closer appearing in finished corridors or entries.
- 5. Comply with UL, Building Materials Directory, and List of Inspected Fire Protection Equipment and Materials, and NFPA 80. Modify closers specified as required.
- 6. Provide hold open feature for all non-fire-resistant-rated doors, unless otherwise specified.
- 7. Provide corner bracket mounting on exterior doors. Select all arms to clear weather-stripping, and overhead door holders.
- 8. Provide long arm to allow door to swing 180 degrees where long arm will eliminate floor-mounted stops.
- 9. Provide closers with spring power adjustment feature capable of increasing spring power 15 percent minimum in all closer sizes.
- 10. Provide individual regulating valves for closing and latching speeds, and separate adjustable back check valve.
- 11. Provide delayed closing action feature on all door closers. Position valve at top of closure.
- 12. Provide the following materials and features:
  - a. Full Metal Cover: Aluminum.
  - b. Case: Cast-iron.
  - c. Arms: Plated to match full metal covers.
  - d. Other Parts: Steel.
  - e. Extreme temperature fluid.
  - f. Security torx machine screws.
  - g. Ten-year warranty.
  - h. Provide manufacturer's optional corrosion protection.
- 13. Finishes: US 26D satin chrome. Color coordinate all arms and other accessories.
- 14. Highly Corrosive Atmospheres: Provide all closers with specified manufacturer's optional corrosion protection.
- 15. ANSI/BHMA: A156.4, C02011, in compliance with PT 1 and PT 4.
- 16. Products and Manufacturers: Provide one of the following:
  - a. DC8000 Series by Corbin Russwin Architectural Hardware; an ASSA ABLOY Group company.
  - b. 4040 Series by LCN Closers, an Ingersoll Rand Company.
  - c. Or equal.
- F. Weatherstrip Gasketing:
  - 1. Provide perimeter weather stripping at all exterior doors. Provide stripping and seals for interior doors where scheduled in List of Door Hardware Items at end of Part 3.
  - 2. Continuity of Stripping: Except as otherwise specified, stripping at each opening shall be continuous and without unnecessary interruptions at door corners and hardware.

- 3. Replaceable Seal Strips: Resilient or flexible seal strip of every unit shall be easily replaceable and readily available from stocks maintained by the manufacturer.
- 4. Provide bumper-type weather-stripping at jambs and head, including a resilient insert and metal retainer strip, surface-applied, of the following metal, finish and resilient bumper material:
  - a. Housing: Extruded aluminum with dark bronze anodized finish; 0.062-inch minimum thickness of main walls and flanges.
  - b. Dimensions: 1-3/8-inches by 7/8-inches, stop-mounted.
  - c. Seals: Closed-cell extruded silicone.
  - d. ANSI/BHMA: A156.22, R3E264.
  - e. Products and Manufacturers: Provide one of the following:
    - 1) No. 350DSPK and 2891 DPK (for parallel arms) by Pemko Manufacturing Company.
    - 2) No. 770D Compress-O-Matic and No. 429A(for parallel arms) by Zero International.
    - 3) Or equal.
- 5. Provide adhesive-backed, surface-mounted, fire/smoke compression bulb and separate intumescent strips of manufacturer's standard design, for mounting on door frames as follows:
  - a. Seal: Extruded compression silicone bulb.
  - b. Intumesent Strip: Surface-mounted.
  - c. Smoke Test: UL1784-01; NFPA 105 "Standard for the Installation of Smoke Door Assemblies and Other Opening Protectives".
  - d. Air Infiltration Test: ASTM E-283-04. Air infiltration is only .09 CFM / ft of crack.
  - e. Products and Manufacturers: Provide one of the following:
    - 1) No. PK 33 PemkoPrene Adhesive Perimeter Gasketing, Fire/Smoke Seal SiliconSeal with HSS2000 Hot Smoke Seal Intumescent Fire Seal by Pemko Manufacturing Company.
    - 2) No. 188 Seals and No. 3125750PSA Soft Puff Inument by Zero International.
    - 3) Or equal.
- G. Thermal Barrier Thresholds:
  - 1. All exterior doors shall be provided with thermal barrier thresholds.
  - 2. Metal: Bronze.
  - 3. Surface Pattern: Fluted tread, manufacturer's standard.
  - 4. Provide countersunk stainless steel screws and expansion shields.
  - 5. Width: 5 1/8-inches wide and of length sufficient to span full width of rough openings, coped and scribed neatly at and around door frames.
  - 6. Construction:
  - a. Two-piece, with ridgid vinyl keycomplying with manufacturer's recommendations.
  - 7. Profile: Provide manufacturer's unit, which conforms to the minimum size and profile requirements specified.

- a. For doors equipped with panic hardware, including floor bolts, provide profile with stop bar of proper size and shape to function as the strike plate for the floor bolts.
- 8. Thickness: 1/2-inch.
- 9. ANSI/BHMA: A156.21, J12100.
- 10. Products and Manufacturers: Provide one of the following:
  - a. 252X2 by Pemko Manufacturing Company.
  - b. 625 by Zero International.
  - c. Or equal.
- H. Security Astragals:
  - 1. Provide metal astragal bar, not less than 1/8-inch by 2-inches, for exposed flathead screw mounting on active leaf of all pairs of doors. Comply with UL and NFPA requirements for types and locations of astragals.
  - 2. Provide astragal of cold-rolled steel with prime painted finish.
  - 3. Provide astragal of extruded aluminum with clear anodized finish.
  - 4. Products and Manufacturers: Provide one of the following:
    - a. No. 357 Series by Pemko Manufacturing Company.
    - b. Or equal.
- I. Sealants: Provide elastomeric sealant complying with FS TT-S-00227, Type 2 (non-sag) Class A for use with thresholds.

# 2.3 HARDWARE FINISHES

- A. Provide matching finishes for door hardware units at each door or opening, to the greatest extent possible in compliance with ANSI/BHMA A156.18.
- B. Reduce differences in color and textures as much as commercially possible where the base metal or metal forming process is different for individual units of door hardware exposed at the same door or opening. In general, match all items to the manufacturer's standard finish for the latch and lock set for color and texture.

## PART 3 - EXECUTION

## 3.1 INSPECTION

A. CONTRACTOR shall examine the substrate to receive door hardware, and the conditions under which the Work will be performed, and notify ENGINEER, in writing, of unsatisfactory conditions. Do not proceed with the door hardware Work until unsatisfactory conditions have been corrected in a manner acceptable to ENGINEER.

## 3.2 PREPARATION

A. Templates: Furnish door hardware templates to each fabricator of doors, frames and other Work to be factory-prepared for the installation of door hardware. Check the

Shop Drawings of such other Work, to confirm that adequate provisions are made for the proper installation of the door hardware.

- B. Prepare Work to receive door hardware Work in compliance with ANSI/DHI A115.1.
- C. Surface-Applied Door Hardware: Drill and tap doors and frames according to ANSI A250.6.

### 3.3 INSTALLATION

- A. Installer shall check and approve the installation before operation. Installer shall assure that the system operates to the OWNER'S satisfaction.
- B. Mount door hardware units at heights recommended in, Door and Hardware Institute, "Recommended Locations for Architectural Hardware for Standard Steel Doors and Frames" and "Recommended Locations for Builders' Hardware for Custom Steel Doors and Frames", except as otherwise specified or required to comply with governing authorities having jurisdiction at the Site, HMMA 830 and ADAAG requirements.
- C. Install each door hardware item in compliance with the manufacturer's instructions and recommendations and approved Shop Drawings. Wherever cutting and fitting is required to install door hardware onto or into surfaces that are later to be painted or finished in another way, install each item completely, then remove, and store in a secure place during the finishapplication. After completion of the finishes, re-install each item. Do not install surface-mounted items until finishes have been completed on the substrate.
- D. Set units level, plumb and true to line and location. Adjust and reinforce the attachment substrate as necessary for proper installation and operation.
- E. Drill and countersink units that are not factory-prepared for anchorage fasteners. Space fasteners and anchors in accordance with industry standards.
- F. Cut and fit threshold and floor covers to profile of door frames, with mitered corners and hair-line joints. Join units with concealed welds or concealed mechanical joints. Cut smooth openings for spindles, bolts and similar items, if any.
- G. Screw thresholds to substrate with No. 10 or larger screws, of the proper type for permanent anchorage and of bronze or stainless steel that will not corrode in contact with the threshold metal.
- H. Set thresholds in a bead of elastomeric sealant to completely fill concealed voids and exclude moisture from every source. Do not plug drainage holes or block weeps. Remove excess sealant before sealant cures to a firm set.
- I. Initial Adjustment: Adjust and check each operating item of door hardware and each door, to ensure proper operation or function of every unit. Lubricate moving parts

with the type lubrication recommended by manufacturer (graphite-type if no other recommended). Replace units that cannot be adjusted and lubricated to operate freely and smoothly as intended for the application. Adjust door control devices to compensate for final operation of heating and ventilating equipment and to comply with referenced accessibility requirements.

- 1. Door Closers: Unless otherwise required by authorities having jurisdiction, adjust sweep period so that, from an open position of 70 degrees, the door will take at least 3 seconds to move to a point 3 inches from the latch, measured to the leading edge of the door.
- J. Final Adjustment: Where door hardware installation is made more than one month prior to Substantial Completion, return to the Work during the week prior to acceptance or occupancy, and make a final check and adjustment of all door hardware items in each space and area. Clean and re-lubricate operating items as necessary to restore proper function and finish of door hardware and doors. Adjust door control devices to compensate for final operating of heating and ventilating equipment.
- K. Provide manufacturer's authorized representative to instruct and train OWNER'S personnel in proper adjustment and maintenance of door hardware during the final adjustment of door hardware.
- L. Door hardware, which is blemished or defective, will be rejected even though it was set in place before defects were discovered. Remove and replace with new door hardware. Repair all resultant damage to other Work.
- M. Continued Maintenance Service: Approximately six months after the acceptance of door hardware in each area, the installer, accompanied by the representative of the latch and lock manufacturer, shall return to the Project and re-adjust every item of hardware to restore proper function of doors and door hardware. Consult with and instruct OWNER'S personnel in recommended additions to the maintenance procedures. Clean and lubricate operational items wherever required. Replace door hardware items that have deteriorated or failed due to faulty design, materials or installation of door hardware units. Prepare a written report of current and predictable problems (of substantial nature) in the performance or the door hardware.

### 3.4 FIELD QUALITY CONTROL

- A. Provide a written field report, prepared by installer's architectural hardware consultant, identifying actual condition, location, manufacturer, and product designation for each item of door hardware actually present on each door at the Site, including whether door hardware is adjusted and operating properly, compared with each item referenced to approved Shop Drawings and Contract requirements.
- B. Installer's hardware consultant shall provide opinions to, and assist ENGINEER in determining, acceptability of installation as Work proceeds. All comments and discussions, conversations and meetings with ENGINEER shall be included in

written field report for submission to ENGINEER for review and approval at completion of door hardware installation.

C. As part of written field report to be submitted to ENGINEER for approval, recommend remedial actions for Work not in compliance with these Specifications. No payment for Work shall be made until remedial recommendations and actions have been approved by ENGINEER and incorporated into the Work.

+ + END OF SECTION + +

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### SECTION 10 14 00

### SIGNAGE

# <u> PART 1 – GENERAL</u>

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, tools, equipment, and incidentals as shown, specified, and required to furnish and install signage.
    - a. Under this Section, each prime contractor shall provide signage for their own Contract, in accordance with the Contract Documents.
  - 2. Extent of signage is specified.
  - 3. Types of products required include the following:
    - a. Photo-luminescent exit signs.
    - b. Health, safety, warning, floor loading and fire extinguisher location signs.
    - c. Pipe markers, tags, and equipment nameplates.
    - d. Right-to-know labels, signs and tags.
    - e. Stainless steel fasteners, supports, very-high-bond high-performance mounting tape, primers and other accessories.
- B. Coordination:
  - 1. Coordinate adhesives and fasteners with mounting surfaces. Review other Sections to ensure compatibility of signage mounting accessories with various surfaces on which signage will be installed.
  - 2. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before signage Work.
  - 3. Notify other contractors in advance of installing signage to provide other contractors with sufficient time for installing items included in their contracts to be installed with or before signage Work.

### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. AA DSA-45, Designation System for Aluminum Finishes.
  - 2. ASME A13.1 Scheme for the Identification of Piping Systems.
  - 3. ANSI/ICC A117.1, Accessible and Usable Buildings and Facilities.
  - 4. ANSI Z535.1, Marking Physical Hazards Safety Color Code.
  - 5. ANSI Z535.2, Environmental and Facility Safety Signs.
  - 6. ANSI Z535.3, Criteria for Safety Symbols.
  - 7. ASTM B26/B26M, Specification for Aluminum-Alloy Sand Castings.
  - 8. ASTM B584, Specification for Copper Alloy Sand Castings for General Applications.

- 9. ASTM E527, Practice for Numbering Metals and Alloys in the Unified Numbering System (UNS).
- 10. CDA, Properties of Cast Copper Alloys.
- 11. NFPA 704, System for the Identification of the Hazards of Materials for Emergency Response.
- 12. UL 924, Safety of Emergency Lighting and Power Equipment.

# 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Signage Manufacturers:
    - a. Engage firms specializing in producing types of products specified, in compliance with the Contract Documents, with documented record of successful in-service performance, and that possess sufficient production capacity to avoid delaying the Work.
    - b. Submit to ENGINEER name and experience record of manufacturers.
- B. Component Supply and Compatibility:
  - 1. Obtain each separate type of signage from a single Supplier and from a single manufacturer.
- C. Regulatory Requirements: Comply with applicable requirements of the following:
  - 1. OSHA, 29 CFR Part 1910.1200, Hazard Communication Standard.
  - 2. OSHA, 29 CFR Part 1910, Subpart Z, Toxic and Hazardous Substances.
  - 3. OSHA, 29 CFR Part 1910.144, Safety Color Code for Marking Physical Hazards.
  - 4. OSHA, 29 CFR Part 1910. 145, Specification for Accident Prevention Signs and Tags.
  - 5. United States Access Board, Americans with Disabilities Act (ADA) and Architectural Barriers Act (ABA) Accessibility Guidelines.
  - 6. Americans with Disabilities Act (ADA), Public Law 101-36, 28 CFR Part 36, Appendix A, Accessibility Guidelines for Buildings and Facilities (ADAAG), relative to characters and symbols contrast only.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Schedule of all signage required for the Work, indicating signage type location, and other information to demonstrate compliance with the Contract Documents.
    - b. Fabrication and erection information for each type of signage
    - c. Valve schedule for small-diameter valves, in accordance with this Section.
    - d. Complete, camera-ready, color graphic layouts of custom- designed signs based on specified requirements and manufacturer recommendations.

- e. Complete selection of each specified manufacturer's standard and custom graphic layouts and pictograms, colors, and alphabetic/text styles.
- f. Full-size graphic layout drawings for plaques, individual dimensional letters and numbers, and other items where final graphic appearance is necessary prior to signage fabrication, incorporating all required graphic features specified.
- g. Mounting and Installation Data:
  - 1) Drawings of and information on anchorages and accessory items.
  - 2) Submit location template drawings for items supported or anchored to permanent construction.
  - 3) Coordinate mounting position, method, and proposed mounting accessories and fasteners with actual Project conditions. Indicate required mounting accessories on plan drawings showing locations of required exit signs based on measurements taken at the Site. Show final location and identify type of mounting surface for each exit sign. Coordinate location of exit signs for non-interference with other Work and as required by authorities having jurisdiction.
- 2. Product Data:
  - a. Copies of manufacturer's technical data, including catalog information and specifications, for each product specified.
- B. Informational Submittals: Submit the following:
  - 1. Manufacturer's Instructions:
    - a. Templates for anchorages to be installed in concrete or masonry.
    - b. Manufacturer's instructions and recommendations for support and foundations of signs installed outdoors.
- C. Closeout Submittals: Submit the following:
  - 1. Warranty Documentation:
    - a. General and special warranties required under this Section.
- D. Maintenance Material Submittals: Submit the following:
  - 1. Extra Stock Materials:
    - a. Submit documentation of actual quantities of signage installed for the Project and calculations indicating the required quantity of extra stock materials.

### 1.5 WARRANTY

A. General Warranty: The special warranty specified for each type of sign in this Article shall not deprive OWNER of other rights or remedies OWNER may otherwise have under the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under the Contract Documents. The obligations of CONTRACTOR under the Contract Documents shall not be limited in any way by the provisions of the specified special warranty.

- B. Special Warranty on Products:
  - 1. Provide each signage manufacturer's written warranty, running to the benefit of OWNER, agreeing to correct, or at option of OWNER, remove or replace materials specified in this Section found to be defective during a period of five years after the date of Substantial Completion.
  - 2. Special warranty shall cover defective Work that includes, but is not limited to, the following:
    - a. Deterioration of metal and polymer finishes beyond normal weathering.
    - b. Deterioration of embedded graphic image colors and sign lamination.

# PART 2 – PRODUCTS

# 2.1 SYSTEM PERFORMANCE

- A. General:
  - 1. Details indicated for signage, such as alpha-numeric and text type representation, letter spacing, designs of borders, and other graphic features, are generic and intended only to establish text, general positions, and symbols.
  - 2. Colors shall be brilliant, distinctive shades, matching the safety colors specified in ANSI Z535.1 and OSHA 1910.144.
  - 3. Permanent rooms and spaces, and directional and informational signage where specified as accessible to people with disabilities, shall comply with ANSI/ICC A117.1 and ADA-ABA Accessibility Guidelines.
  - 4. Accident prevention signs and tags shall comply with OSHA 1910.145.
  - 5. Health, safety, and warning signs shall comply with ANSI Z535.1, ANSI Z535.2, ANSI Z535.3, OSHA 1910.144, and 1910.145, unless otherwise indicated. Colors shall be as indicated in Table 1 of ANSI Z535.1. In addition to text, safety symbol pictograms shall be incorporated into each sign.

### 2.2 PHOTO-LUMNINESCENT EXIT SIGNS

- A. Products and Manufacturers: Provide one of the following:
  - 1. Series 90.8924 Photoluminescent Exit Signs by EverGlow NA, Inc.
  - 2. Series 2002 by Active Safety Corporation.
  - 3. Or equal.
- B. Photo-luminescent Exit Signs:
  - 1. Provide photo-luminescent exit signs with single- and double-face dimensions of nine inches by 14.25 inches by 3/4-inch deep.
  - 2. Sign housing shall consist of an AA-A42 color anodized extruded aluminum frame and legend protected by a temper-resistant polycarbonate shield.
  - 3. Size, graphics, and background colors of sign legend shall comply with Laws and Regulations.

- 4. Sign Letters and Directional Arrows. Furnish signs with photo-luminescent luminous letters and directional arrows.
- 5. Signs shall comply with UL 924 and be constructed for 15-year service life each.
- 6. Rated Viewing Distance: 75 feet.
- 7. Provide manufacturer's standard universal mounting brackets, extended wall and ceiling mounting brackets, pendant mounting brackets, and recessed mounting brackets as required by mounting surface and exiting conditions.

# 2.3 PANEL SIGNS – HEALTH, SAFETY, WARNING, FLOOR LOADING, AND FIRE EXTINGUISHER LOCATION

- A. General Description:
  - 1. Panel sign legends shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
  - 2. CONTRACTOR shall provide a total of 16 panel signs.
- B. Product Description: Provide rigid fiberglass reinforced plastic signs with faderesistant embedded graphics.
- C. Products and Manufacturers: Provide one of the following:
  - 1. Graphic Blast Word and Picture Series, by Best Sign Systems, Inc.
  - 2. Blast Etched Fiberglass Signs, by Visigraph Corporation.
  - 3. Or equal.
- D. General:
  - 1. Size and Thickness: 0.125-inch thick; 10 inches by 14 inches, unless otherwise indicated.
  - 2. Graphics and Text: Standard Helvetica Medium characters and matching arrow type-face; upper and lower case, one-inch high capitals and, in addition, Grade 2 Braille alphabet message designations and other text.
  - 3. Exposure: As recommended by sign manufacturer for both indoor and outdoor use and with an upper service temperature limit of 190degrees F. Average durability for outdoor use shall be 15 years.
- E. Safety Instruction Signs: Standard color of sign background shall be white; panel shall be green with white letters and numbers. Letters and numbers used against white background shall be black.
- F. Caution Signs: Standard color of sign background shall be yellow; panel shall be black with yellow letters and numbers.
- G. Danger Signs: Standard color of sign background shall be white; panel shall be black with red insert with white letters and numbers. Letters and numbers used against white background shall be black.

- H. Warning Signs: Standard color of sign background shall be orange; panel shall be black with orange insert with black letters and numbers. Letters and numbers used against orange background shall be black.
- I. No Smoking Signs: Standard color of sign background shall be white. Letters and numbers used against white background shall be red.
- J. Biohazard Signs: Standard color of sign background shall be white; panel shall be black with white letters. Sign shall include red international biohazard pictogram on white background.
- K. Floor Loading Signs: Standard color of sign background shall be white; panel shall be blue with white letters and numbers. Letters and numbers used against white background shall be black.
- L. Fire Extinguisher Location Signs (surface-mounted units only): Standard color of sign background shall be red with white letters and numbers. Each sign shall include international fire extinguisher pictogram and directional arrow indicating location of fire extinguisher.
- M. Auxiliary Products:
  - 1. Mounting Brackets: Provide sign manufacturer's standard mounting brackets for installing projected or double-sided signs.

# 2.4 PIPE MARKERS

- A. Description:
  - 1. Provide pipe markers for each pipeline provided under the Contract, and for other piping indicated to receive pipe markers.
  - 2. Pipeline marker legends and colors shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
  - 3. Pipe marker quanties shall be in accordance with the parameters defined in Paragraph 3.2.B.1.
- B. Products and Manufacturers: Provide one of the following:
  - 1. Custom High Performance Pipe Markers (B-689), and SnapOn and StrapOn Pipe Markers (B-915), by Brady Worldwide, Inc., Signmark Division.
  - 2. Custom Ultra-Mark High Performance Pipe Markers, by Seton Identification Products, a Tricor Direct Company.
  - 3. Or equal.
- C. Pipe Markers:
  - 1. Lettering of Titles/Legend and Color Field Size:
    - a. Letter size and color field length shall be as indicated in Table 10 14 00-A of this Section:

#### TABLE 10 14 00-A, PIPE MARKERS: SIZE OF TEXT AND COLOR FIELD

Outside Diameter of Pipeline or Covering* (inches)	Size of Text (Legend Characters)	Minimum Length of Color Field**
3/4 to 1.25	1/2-inch	8 inches
1.5 to 1-7/8	3/4-inch	8 inches
2 to 5-7/8	1.25-inch	12 inches
*Outside dismoster includes give dismoster gluss insulation and is cleating		

<sup>k</sup>Outside diameter includes pipe diameter plus insulation and jacketing.

\*\*Length of sign and color field shall be as required to accommodate required legend, and shall not be less than minimum length indicated unless required otherwise by space constraints.

- b. Text and symbols shall be Standard Helvetica Medium, all upper case. Pipe markers shall include text with separate arrow signs indicating direction of flow of pipeline contents. Pipe markers with arrows shall be located as specified in Part 3 of this Section.
- Pipe markers indicating pipeline contents shall identify pipeline c. contents by complete name. Pipeline legend shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
- 2. Pipe Marker Materials:
  - General: The following are applicable to all types of pipe markers a. furnished under this Section:
    - 1) Provide pipe markers with ultraviolet light-resistant, sealed, subsurface color graphics, recommended by sign manufacturer, suitable for both indoor and outdoor use.
    - 2) Pipe markers shall be resistant to abrasion, chemical reagents, and physical agitation such as washdowns and wind.
    - 3) Provide manufacturer's full selection of standard and custom sizes and graphics.
    - 4) Where manufacturer has established minimum order quantities for custom units provide minimum order quantities at no additional cost to OWNER.
  - Materials: Provide the following at CONTRACTOR's option, suitable b. for outside diameter of the associated pipe and pipe covering:
    - 1) Adhesive, Wrap-Around Pipe Markers: Adhesive pipe markers shall be coiled construction, 0.006-inch total thickness, PVF over laminated polyester, with peel-off backing. Suitable for for service temperature ranging from -40 degrees F to 230 degrees F.
    - 2) Snap-on Pipe Markers: Snap-on pipe markers shall be cylindrically coiled, printed plastic sheets. Pipe marker total thickness for pipe and pipe covering from 3/4-inch to 2-3/8-inch outside diameter shall be not less than 0.020-inch. Pipe marker total thickness for pipe and pipe covering from 2.5-inch through six-inch ourside diameter shall be not less than 0.030-inch. Suitable for service temperature ranging from -40 degrees F to 180 degrees F.

- 3) Strap-on Pipe Markers: Provide strap-on pipe markers where pipe diameter is large enough to preclude overlap of pipe marker material around the circumference of the pipe. Strap-on pipe markers shall be flat, printed plastic sheets, not less than 0.020-inch total thickness, constructed to be attached to the pipe with bands. Suitable for service temperature ranging from -40 degrees F to 180 degrees F. Provide each pipe marker with two 1/4-inch wide band straps of nylon, plastic, or stainless steel, lengths as required by circumference of pipe and pipe covering. Provide manufacturer's recommended banding tools for banding.
- 3. Legend for Pipe Markers: Pipe markers shall have the text or abbreviations in color combinations to identify the pipeline service hazard. Pipeline legend and color shall be coordinated with ENGINEER and OWNER during Shop Drawing review. Pipe marker colors shall comply with ASME A13.1, unless otherewise indicated.

# 2.5 EQUIPMENT NAMEPLATES

- A. Description:
  - 1. Provide equipment nameplate for each equipment item furnished under the Contract, and for other equipment items indicated to receive nameplates. Equipment nameplates specified in this Article are in addition to equipment manufacturer's standard nameplate with manufacturer name, model number, serial number, and similar information.
  - 2. Install equipment nameplates as indicated in Part 3 of this Section. Mechanically fasten equipment nameplates to the associated equipment item.
  - 3. Equipment nameplate legends and colors shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
  - 4. CONTRACTOR shall provide a total of ten (10) equipment nameplates.
- B. Products and Manufacturers: Provide one of the following:
  - 1. Engraved Plastic Tags (B-1), by Brady Worldwide, Inc.
  - 2. Custom Engraved Plastic Nameplates, by Seton Identification Products, a Tricor Direct Company
  - 3. Or equal.
- C. Equipment Nameplates:
  - 1. Material: 1/16-inch thick satin-surfaced acrylic nameplates with beveled edges, front-engraved. Suitable for indoor and outdoor use. Suitable for temperatures ranging from -40 to 90 degrees C.
  - 2. Provide each equipment nameplate with not less than two holes, each approximately 3/16-inch diameter, for mechanically fastening nameplate to the associated equipment. Provide appropriate stainless steel fasteners.
  - 3. Nameplate Size:
    - a. Size shall be as required for required text, and shall be not less than one-inch by four inches.

- 4. Text Engraved on Nameplates:
  - a. Text Size: Equipment nameplate titles shall have text as large as possible to fit on nameplate; text shall be not less than 1/2-inch high. All text on a given nameplate shall be one size.
  - b. Text and symbols shall be Standard Helvetica Medium, all upper-case.
  - c. Left-justify multiple lines of text
  - d. Where more than one item of the same type of equipment is furnished, consecutively number each associated equipment nameplates as; for example "Pump No. 1", "Pump No. 2", "Pump No. 3", and so on.
- 5. Legend for Nameplates:
  - a. Nameplates for equipment, including operating stands for valves and gates, shall be coordinated with ENGINEER and OWNER during Shop Drawing review.

# 2.6 VALVE AND PIPELINE TAGS

- A. Description:
  - 1. Provide valve and pipeline tags for each valve and pipeline provided under the Contract, and for other valves and piping indicated to receive valve and pipline tags.
  - 2. Valve and pipeline tag legends shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
  - 3. Each valve shall receive a valve tag.
  - 4. Pipeline tag quanties shall be in accordance with the parameters defined in Paragraph 3.2.B.1.
- B. Products and Manufacturers: Provide one of the following:
  - 1. Custom Engraved Stainless Steel Valve Tags, by Brady Worldwide, Inc.
  - 2. Custom Stainless Steel Valve Tags, by Seton Identification Products, a Tricor Direct Company
  - 3. Or equal.
- C. Metal Tags:
  - 1. For each valve and for pipelines smaller than 3/4-inch outside diameter, provide permanently-legible, round metal tags, each two-inch diameter, Type 304 or Type 316 stainless steel, with engraved lettering filled with black enamel. Provide tags with 3/16-inch diameter hole located that does not interfere with legend.
  - 2. Legend for Valve Tags:
    - a. Based on information provided on the Drawings, submit to ENGINEER not less than 120 days before system startup, a valve schedule indicating all required valves.
    - b. For each valve, the valve schedule shall indicate: location, valve type, valve number, words to identify valve's function, type of operator, and normal operating position.
    - c. Information presented in the valve schedules shall be coded on tags in a system provided by or acceptable to OWNER. Each valve shall be

coded and identified by ENGINEER utilizing a combination of up to twelve letters and numbers.

- 3. Legend for Small Pipeline Tags: Comply with requirements for pipe markers relative to legend. Where legend is not indicated, obtain interpretation from ENGINEER.
- 4. Miscellaneous Valve and Small Pipeline Tag Accessories:
  - a. Stainless Steel Wire: Nylon-coated; 0.048-inch outside diameter.
  - b. Clamps: Brass.
  - c. Lead Seals: Monel; four ply, 0.014-inch by 10 inches long; for attaching tags.
  - d. Hand Sealing Press: As recommended by tag manufacturer for crimping lead seals.

# 2.7 PANEL SIGNS – RIGHT-TO-KNOW LABELS, SIGNS, AND TAGS

- A. Description:
  - 1. Panel sign legends shall be coordinated with ENGINEER and OWNER during Shop Drawing review.
  - 2. Panel sign quanties shall be in accordance with the parameters defined in Paragraph 3.2.C.
- B. Products and Manufacturers: Provide one of the following:
  - Custom B-302 Pressure Sensitive Polyester Right-To-Know Labels, B-120 Fiberglass Chemical Tank Signs, Front No. 1/Back No. 1 B-851 Right-To-Know Accident Prevention Tags and Right-To-Know Pictograms, by Brady Worldwide, Inc.
  - 2. Right-to-Know & HazCom Signs, Labels, and Tags, by Seton Identification Products, a Tricor Direct Company.
  - 3. Or equal.
- C. General:
  - 1. Right-to-know signs, labels, and tags shall use NFPA 704 "diamond" hazard identification systems and shall comply with OSHA 1910.1200 and OSHA Subpart Z.
- D. Tank Signs:
  - 1. Provide quantity of signs indicated, identifying the chemical stored in the tank, chemical's hazards, required protective equipment in text and pictograms, first-aid for eyes, skin, ingestion and inhalation, information on confined space entry and NFPA 704-required hazard rating system information.
  - 2. Right-to-know fiberglass signs for storage tanks shall have pressuresensitive adhesive backs and be provided with subsurface numbers, symbols, text, and legends. Labels shall indicate chemical name and chemical abstracts service number, fire and health hazard potential, reactivity, personal protection and target organ legends in compliance with NFPA 704 format and OSHA 1910.1200.

- E. Labels: Provide right-to-know polyester labels for each hazardous chemical container. Provide labels seven inches by ten inches with information pre-printed by manufacturer. Provide labels with two-mil polyester overlaminate and with a complete line of all standard and custom pictograms.
- F. Tags: Provide 15-mil right-to-know vinyl tags with self-adhering clear polyester overlaminate. Tags shall be laminated plastic and provided with nylon tie fasteners. Provide tags three inches by 5.75 inches with two chamfered corners with reinforced 3/16-inch diameter grommeted hole.

# 2.8 AUXILIARY MATERIALS

- A. Very-High-Bond High-Performance Bonding Tape:
  - 1. Provide all surface-mounted signage with very-high-bond foam tape backing except where specified as requiring mechanical fasteners.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Scotch Brand (Very-High-Bond) 4942 VHB Double Coated Acrylic Foam Tape and No. 94 Acrylic Primer, by 3M Industrial Tape and Specialties Division.
    - b. Or equal.
  - 3. Provide a very-high-bonding pressure sensitive joining system consisting of double-coated conformable acrylic foam tape and release liners.
  - 4. Thickness: 0.045-inch.
  - 5. Tape Width: 1.5 inches.
  - 6. Color: Dark gray.
  - 7. Bonding Adhesive: Acrylic; very-high-bond, solvent and shear resistance.
  - 8. Primer: High-performance tape manufacturers recommended acrylic primer.
- B. Fasteners: Provide fasteners of non-magnetic stainless steel of size and type required and recommended by the associated individual signage manufacturer.
- C. Anchors and Inserts: Provide nonferrous metal or hot-dipped galvanized anchors and inserts. Provide toothed stainless steel or lead expansion bolts for drilled-in-place anchors.
- D. Mounting Brackets:
  - 1. Provide manufacturer's standard mounting brackets for each of the following sign types: hanging, projected, double-sided.
  - 2. Provide inserts, and mechanical and adhesive anchoring devices as specified in this Article for installation of signage.

### 2.9 FABRICATION

- A. Shop Assembly:
  - 1. Fabricate and preassemble items in the shop to the greatest extent possible.

- 2. Disassemble units only to extent necessary for shipping and handling limitations.
- 3. Clearly mark units for reassembly and coordinated installation.

# 2.10 SOURCE QUALITY CONTROL

- A. Fabrication Tolerances:
  - 1. Produce smooth, even, level sign panel surfaces, constructed to remain flat under installed conditions within tolerance of plus or minus 1/16-inch measured diagonally across each sign.

### PART 3 – EXECUTION

# 3.1 INSPECTION

A. Examine substrates and conditions under which signage will be installed and notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

# 3.2 INSTALLATION

- A. General:
  - 1. Location:
    - a. Install signage and appurtenances at the locations indicated. When locations are not indicated, install signage at locations directed by ENGINEER.
    - b. Provide exit signs at locations shown or indicated. Surface-mount signs above each point of egress, unless otherwise shown or indicated.
    - c. Lightly mark and locate position of each sign. Obtain ENGINEER's acceptance of marked locations before mounting.
  - 2. Installation General:
    - a. Install signs level, plumb, and at proper height.
    - b. Signage shall be securely mounted with concealed, very-high-bond acrylic foam tape, specified adhesives, or mechanical fasteners where specified. Attach signs to surfaces in accordance with sign manufacturer's instructions, unless otherwise indicated.
    - c. Provide very-high-bond acrylic foam tape on back of signage using a full perimeter of specified tape. Leave no gaps in tape perimeter at back of signage; peel off second release liner and press onto surfaces.
  - 3. Repair or replace damaged units.
- B. Pipe Markers, Equipment Nameplates, and Pipe and Valve Tags:
  - 1. Location of Pipe Markers and Pipe Tags:

- a. Provide pipe markers with text (pipeline contents or service) and adjacent arrow indicating the direction of flow of pipeline contents on each piping system provided under the Project and other piping systems indicated as to receive pipe markers.
- b. Locations: Provide pipe markers at each of the following locations:
  - 1) At intervals of not more than 30 linear feet apart
  - 2) Directly adjacent to each side of each penetration by the pipeline of the following: wall, floor, ceiling, roof.
  - 3) Adjacent to each change in flow direction.
  - 4) On each branch where pipes connect together including but not limited to tees, wyes, and crosses.
  - 5) Adjacent to each side of each valve (including but not limited to check valves, isolation valves, control valves, and other valves), strainer cleanouts, and each equipment item along the pipeline.
  - 6) Comply with ASME A13.1.
- c. Provide flow-direction arrows at intervals not greater than 15 linear feet. Where flow may be bi-directional, provide arrows adjacent to each other to indicate both directions.
- d. Pipe marker locations will be determined by ENGINEER, but in general place pipe markers where personnel view of label is unobstructed. When pipeline is overhead, install label on the two lower quarters of the pipe or pipe covering. Pipe markers shall be clearly visible from personnel operating positions, especially operating positions adjacent to valves and equipment.
- e. Provide pipe tags at locations as specified for pipe markers.
  - Location of Valve Tags and Valve Nameplates:
    - a. Valve nampeplates and valve signs for large valves shall be located on or adjacent to the valve.
    - b. For smaller valves, attach tags to valve bonnet or valve flange bolts.
    - c. For valves to receive equipment nameplates, as specified in this Section, install nameplate as requied for other equipment nameplates.
    - d. Do not attach tags, nameplates, or signs to valve handwheels or other valve actuators.
- 3. Equipment Nameplates:
  - a. Locate nameplates on equipment bases and on structures at readilyvisible elevation in such positions relative to the equipment and structures as to prevent damage to nameplate.
  - b. Position nameplace for ease of reading by operations and maintenance personnel.
- C. Panel Signs Right-To-Know Signs, Labels, and Tags:
  - 1. Locate tags at intervals of not more than 20 feet center-to-center along chemical pipelines and fill pipelines and on each side of locations where pipelines emerge from penetrations with other materials.
  - 2. Install tank signs on each tank indicated to receive signage at quarter-points on tank circumference, five feet above finished floor.

2.

# 3.3 PROTECTION AND CLEANING

- A. After installation, clean soiled signage surfaces in accordance with manufacturer's written instructions.
- B. Protect signage from damage until completion of the Work.

+ + END OF SECTION + +

# SECTION 22 05 23.12

## BALL VALVES FOR PLUMBING PIPING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section Includes:1. Brass ball valves.

#### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of valve.1. Certification that products comply with NSF 61 Annex G.

#### PART 2 - PRODUCTS

#### 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B1.20.1 for threads for threaded end valves.
  - 2. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
  - 3. ASME B16.18 for solder-joint connections.
  - 4. ASME B31.9 for building services piping valves.
- C. NSF Compliance: NSF 61 Annex G for valve materials for potable-water service.
- D. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- E. Valve Sizes: Same as upstream piping unless otherwise indicated.
- F. Valve Actuator Types:1. Hand lever: For quarter-turn valves smaller than NPS 4.
  - -
- G. Valves in Insulated Piping:1. Include 2-inch stem extensions.

- 2. Extended operating handles of nonthermal-conductive material and protective sleeves that allow operation of valves without breaking vapor seals or disturbing insulation.
- 3. Memory stops that are fully adjustable after insulation is applied.

# 2.2 BRASS BALL VALVES

- A. Brass Ball Valves, Two-Piece with Regular Port and Brass Trim:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. <u>Milwaukee Valve Company</u>.
    - b. <u>NIBCO INC</u>.
    - c. <u>WATTS</u>.
  - 2. Description:
    - a. Standard: MSS SP-110.
    - b. CWP Rating: 600 psig.
    - c. Body Design: Two piece.
    - d. Body Material: Forged brass.
    - e. Ends: Threaded and soldered.
    - f. Seats: PTFE.
    - g. Stem: Brass.
    - h. Ball: Chrome-plated brass.
    - i. Port: Regular.

# PART 3 - EXECUTION

# 3.1 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.

# 3.2 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- B. Select valves with the following end connections:

1. For Copper Tubing, NPS 2 and Smaller: Threaded ends except where solder-joint valve-end option is indicated in valve schedules below.

# 3.3 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

- A. Pipe NPS 2 and Smaller:
  - 1. Brass ball valves, two-piece with regular port and brass trim.

+ + END OF SECTION + +

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# SECTION 22 05 23.14

# CHECK VALVES FOR PLUMBING PIPING

### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section Includes:1. Bronze swing check valves.

# 1.2 ACTION SUBMITTALS

A. Product Data: For each type of valve.1. Certification that products comply with NSF 61 Annex G.

### PART 2 - PRODUCTS

#### 2.1 GENERAL REQUIREMENTS FOR VALVES

- A. Source Limitations for Valves: Obtain each type of valve from single source from single manufacturer.
- B. ASME Compliance:
  - 1. ASME B1.20.1 for threads for threaded end valves.
  - 2. ASME B16.1 for flanges on iron valves.
  - 3. ASME B16.10 and ASME B16.34 for ferrous valve dimensions and design criteria.
  - 4. ASME B16.18 for solder joint.
  - 5. ASME B31.9 for building services piping valves.
- C. NSF Compliance: NSF 61 Annex G for valve materials for potable-water service.
- D. Bronze valves shall be made with dezincification-resistant materials. Bronze valves made with copper alloy (brass) containing more than 15 percent zinc are not permitted.
- E. Valve Pressure-Temperature Ratings: Not less than indicated and as required for system pressures and temperatures.
- F. Valve Sizes: Same as upstream piping unless otherwise indicated.
- G. Valve Bypass and Drain Connections: MSS SP-45.

### 2.2 BRONZE SWING CHECK VALVES

- A. Bronze Swing Check Valves with Bronze Disc, Class 125:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. American Valve, Inc.
    - b. NIBCO INC.
    - c. WATTS.
  - 2. Description:
    - a. Standard: MSS SP-80, Type 3.
    - b. CWP Rating: 200 psig.
    - c. Body Design: Horizontal flow.
    - d. Body Material: ASTM B 62, bronze.
    - e. Ends: Threaded or soldered. See valve schedule articles.
    - f. Disc: Bronze.

# PART 3 - EXECUTION

### 3.1 VALVE INSTALLATION

- A. Install valves with unions or flanges at each piece of equipment arranged to allow service, maintenance, and equipment removal without system shutdown.
- B. Locate valves for easy access and provide separate support where necessary.
- C. Install valves in horizontal piping with stem at or above center of pipe.
- D. Install valves in position to allow full stem movement.
- E. Install swing check valves for proper direction of flow in horizontal position with hinge pin level.

### 3.2 ADJUSTING

A. Adjust or replace valve packing after piping systems have been tested and put into service but before final adjusting and balancing. Replace valves if persistent leaking occurs.

#### 3.3 GENERAL REQUIREMENTS FOR VALVE APPLICATIONS

- A. If valves with specified CWP ratings are unavailable, the same types of valves with higher CWP ratings may be substituted.
- B. End Connections:1. For Copper Tubing, NPS 2 and Smaller: Threaded.

## 3.4 DOMESTIC HOT- AND COLD-WATER VALVE SCHEDULE

A. Pipe NPS 2 and Smaller: Bronze swing check valves bronze disc, Class 125, with threaded end connections.

+ + END OF SECTION + +

+ + NO TEXT ON THIS PAGE + +

### SECTION 22 05 29

### HANGERS AND SUPPORTS FOR PLUMBING PIPING AND EQUIPMENT

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Metal pipe hangers and supports.
  - 2. Trapeze pipe hangers.
  - 3. Thermal-hanger shield inserts.
  - 4. Fastener systems.
  - 5. Pipe positioning systems.
  - 6. Equipment supports.

#### 1.2 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design trapeze pipe hangers and equipment supports, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Structural Performance: Hangers and supports for plumbing piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.
  - 1. Design supports for multiple pipes capable of supporting combined weight of supported systems, system contents, and test water.
  - 2. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.
  - 3. Design seismic-restraint hangers and supports for piping and equipment and obtain approval from authorities having jurisdiction.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: [Signed and sealed by a qualified professional engineer.] Show fabrication and installation details and include calculations for the following; include Product Data for components:
  - 1. Trapeze pipe hangers.
  - 2. Equipment supports.

C. Delegated-Design Submittal: For trapeze hangers indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.

## 1.4 INFORMATIONAL SUBMITTALS

A. Welding certificates.

### 1.5 QUALITY ASSURANCE

- A. Structural Steel Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code Steel."
- B. Pipe Welding Qualifications: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code.

# PART 2 - PRODUCTS

### 2.1 METAL PIPE HANGERS AND SUPPORTS

- A. Copper Pipe Hangers:
  - 1. Description: MSS SP-58, Types 1 through 58, copper-coated-steel, factory-fabricated components.
  - 2. Hanger Rods: Continuous-thread rod, nuts, and washer made of stainless steel.

# 2.2 TRAPEZE PIPE HANGERS

A. Description: MSS SP-69, Type 59, shop- or field-fabricated pipe-support assembly made from structural carbon-steel shapes with MSS SP-58 carbon-steel hanger rods, nuts, saddles, and U-bolts.

### 2.3 THERMAL-HANGER SHIELD INSERTS

- A. Insulation-Insert Material for Cold Piping: ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength and vapor barrier.
- B. Insulation-Insert Material for Hot Piping: ASTM C 552, Type II cellular glass with 100-psig minimum compressive strength.
- C. For Trapeze or Clamped Systems: Insert and shield shall cover entire circumference of pipe.
- D. For Clevis or Band Hangers: Insert and shield shall cover lower 180 degrees of pipe.

E. Insert Length: Extend 2 inches beyond sheet metal shield for piping operating below ambient air temperature.

### 2.4 FASTENER SYSTEMS

- A. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.
- B. Mechanical-Expansion Anchors: Insert-wedge-type, stainless- steel anchors, for use in hardened portland cement concrete; with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

### 2.5 PIPE POSITIONING SYSTEMS

A. Description: IAPMO PS 42, positioning system of metal brackets, clips, and straps for positioning piping in pipe spaces; for plumbing fixtures in commercial applications.

#### 2.6 EQUIPMENT SUPPORTS

A. Description: Welded, shop- or field-fabricated equipment support made from structural carbon-steel shapes.

# 2.7 MISCELLANEOUS MATERIALS

- A. Structural Steel: ASTM A 36/A 36M, carbon-steel plates, shapes, and bars; black and galvanized.
- B. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.
  - 1. Properties: Nonstaining, noncorrosive, and nongaseous.
  - 2. Design Mix: 5000-psi, 28-day compressive strength.

### PART 3 - EXECUTION

### 3.1 HANGER AND SUPPORT INSTALLATION

A. Metal Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Install hangers, supports, clamps, and attachments as required to properly support piping from the building structure.

- B. Metal Trapeze Pipe-Hanger Installation: Comply with MSS SP-69 and MSS SP-89. Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.
  - 1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
  - 2. Field fabricate from ASTM A 36/A 36M, carbon-steel shapes selected for loads being supported. Weld steel according to AWS D1.1/D1.1M.
- C. Thermal-Hanger Shield Installation: Install in pipe hanger or shield for insulated piping.
- D. Fastener System Installation:
  - 1. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install fasteners according to powder-actuated tool manufacturer's operating manual.
  - 2. Install mechanical-expansion anchors in concrete after concrete is placed and completely cured. Install fasteners according to manufacturer's written instructions.
- E. Pipe Positioning-System Installation: Install support devices to make rigid supply and waste piping connections to each plumbing fixture.
- F. Install hangers and supports complete with necessary attachments, inserts, bolts, rods, nuts, washers, and other accessories.
- G. Equipment Support Installation: Fabricate from welded-structural-steel shapes.
- H. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
- I. Install lateral bracing with pipe hangers and supports to prevent swaying.
- J. Load Distribution: Install hangers and supports so that piping live and dead loads and stresses from movement will not be transmitted to connected equipment.
- K. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping.
- L. Insulated Piping:
  - 1. Attach clamps and spacers to piping.
    - a. Piping Operating above Ambient Air Temperature: Clamp may project through insulation.

- b. Piping Operating below Ambient Air Temperature: Use thermal-hanger shield insert with clamp sized to match OD of insert.
- c. Do not exceed pipe stress limits allowed by ASME B31.9 for building services piping.
- 2. Install MSS SP-58, Type 39, protection saddles if insulation without vapor barrier is indicated. Fill interior voids with insulation that matches adjoining insulation.
  - a. Option: Thermal-hanger shield inserts may be used. Include steel weightdistribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 3. Install MSS SP-58, Type 40, protective shields on cold piping with vapor barrier. Shields shall span an arc of 180 degrees.
  - a. Option: Thermal-hanger shield inserts may be used. Include steel weightdistribution plate for pipe NPS 4 and larger if pipe is installed on rollers.
- 4. Shield Dimensions for Pipe: Not less than the following:
- a. NPS 1/4 to NPS 3-1/2: 12 inches long and 0.048 inch thick.
- 5. Thermal-Hanger Shields: Install with insulation same thickness as piping insulation.

# 3.2 EQUIPMENT SUPPORTS

- A. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.
- B. Grouting: Place grout under supports for equipment and make bearing surface smooth.
- C. Provide lateral bracing, to prevent swaying, for equipment supports.

# 3.3 METAL FABRICATIONS

- A. Cut, drill, and fit miscellaneous metal fabrications for trapeze pipe hangers and equipment supports.
- B. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.
- C. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:
  - 1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
  - 2. Obtain fusion without undercut or overlap.
  - 3. Remove welding flux immediately.
  - 4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

# 3.4 ADJUSTING

- A. Hanger Adjustments: Adjust hangers to distribute loads equally on attachments and to achieve indicated slope of pipe.
- B. Trim excess length of continuous-thread hanger and support rods to 1-1/2 inches.

### 3.5 HANGER AND SUPPORT SCHEDULE

- A. Specific hanger and support requirements are in Sections specifying piping systems and equipment.
- B. Comply with MSS SP-69 for pipe-hanger selections and applications that are not specified in piping system Sections.
- C. Use nonmetallic coatings on attachments for electrolytic protection where attachments are in direct contact with copper tubing.
- D. Use stainless-steel pipe hangers and stainless-steel attachments for hostile environment applications.
- E. Use padded hangers for piping that is subject to scratching.
- F. Use thermal-hanger shield inserts for insulated piping and tubing.
- G. Horizontal-Piping Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Adjustable, Steel Clevis Hangers (MSS Type 1): For suspension of noninsulated or insulated, stationary pipes NPS 1/2 to NPS 30.
  - 2. Pipe Stanchion Saddles (MSS Type 37): For support of equipment, with stainless steel-pipe base stanchion support and stainless steel floor flange, and with U-bolt to retain pipe.
- H. Vertical-Piping Clamps: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Extension Pipe or Riser Clamps (MSS Type 8): For support of pipe risers NPS 3/4 to NPS 24.
- I. Building Attachments: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Stainless Steel Concrete Inserts (MSS Type 18): For upper attachment to suspend pipe hangers from concrete ceiling.
  - 2. Top-Beam C-Clamps (MSS Type 19): For use under roof installations with barjoist construction, to attach to top flange of structural shape.
  - 3. Side-Beam or Channel Clamps (MSS Type 20): For attaching to bottom flange of beams, channels, or angles.
  - 4. Center-Beam Clamps (MSS Type 21): For attaching to center of bottom flange of beams.

- 5. C-Clamps (MSS Type 23): For structural shapes.
- J. Saddles and Shields: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Steel-Pipe-Covering Protection Saddles (MSS Type 39): To fill interior voids with insulation that matches adjoining insulation.
  - 2. Protection Shields (MSS Type 40): Of length recommended in writing by manufacturer to prevent crushing insulation.
  - 3. Thermal-Hanger Shield Inserts: For supporting insulated pipe.
- K. Spring Hangers and Supports: Unless otherwise indicated and except as specified in piping system Sections, install the following types:
  - 1. Spring Cushions (MSS Type 48): For light loads if vertical movement does not exceed 1-1/4 inches.
  - 2. Spring-Cushion Roll Hangers (MSS Type 49): For equipping Type 41, roll hanger with springs.
  - 3. Variable-Spring Base Supports (MSS Type 52): Preset to indicated load and limit variability factor to 25 percent to allow expansion and contraction of piping system from base support.
- L. Comply with MSS SP-69 for trapeze pipe-hanger selections and applications that are not specified in piping system Sections.
- M. Use mechanical-expansion anchors instead of building attachments where required in concrete construction.
- N. Use pipe positioning systems in pipe spaces behind plumbing fixtures to support supply and waste piping for plumbing fixtures.

+ + END OF SECTION + +

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# SECTION 22 05 53

# IDENTIFICATION FOR PLUMBING PIPING AND EQUIPMENT

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Equipment labels.
  - 2. Warning signs and labels.
  - 3. Pipe labels.
  - 4. Stencils.
  - 5. Valve tags.
  - 6. Warning tags.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples: For color, letter style, and graphic representation required for each identification material and device.
- C. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.
- D. Valve numbering scheme.
- E. Valve Schedules: For each piping system to include in maintenance manuals.

#### PART 2 - PRODUCTS

#### 2.1 EQUIPMENT LABELS

- A. Plastic Labels for Equipment:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- a. <u>Brady Corporation</u>.
- b. <u>Craftmark Pipe Markers</u>.
- c. <u>emedco</u>.
- 2. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
- 3. Letter Color: Black.
- 4. Background Color: White.
- 5. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
- 6. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- 7. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
- 8. Fasteners: Stainless-steel self-tapping screws.
- 9. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- B. Label Content: Include equipment's Drawing designation or unique equipment number, Drawing numbers where equipment is indicated (plans, details, and schedules), and the Specification Section number and title where equipment is specified.
- C. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11inch bond paper. Tabulate equipment identification number, and identify Drawing numbers where equipment is indicated (plans, details, and schedules) and the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

### 2.2 WARNING SIGNS AND LABELS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. <u>Brady Corporation</u>.
  - 2. <u>Craftmark Pipe Markers</u>.
  - 3. <u>emedco</u>.
- B. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
- C. Letter Color: White.
- D. Background Color: Red.
- E. Maximum Temperature: Able to withstand temperatures up to 160 deg F.

- F. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
- G. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-quarters the size of principal lettering.
- H. Fasteners: Stainless-steel self-tapping screws.
- I. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.
- J. Label Content: Include caution and warning information plus emergency notification instructions.

# 2.3 PIPE LABELS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. <u>Brady Corporation</u>.
  - 2. <u>Craftmark Pipe Markers</u>.
  - 3. <u>emedco</u>.
- B. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.
- C. Pretensioned Pipe Labels: Precoiled, semirigid plastic formed to partially cover circumference of pipe and to attach to pipe without fasteners or adhesive.
- D. Self-Adhesive Pipe Labels: Printed plastic with contact-type, permanent-adhesive backing.
- E. Pipe Label Contents: Include identification of piping service using same designations or abbreviations as used on Drawings; also include pipe size and an arrow indicating flow direction.
  - 1. Flow-Direction Arrows: Integral with piping-system service lettering to accommodate both directions or as separate unit on each pipe label to indicate flow direction.
  - 2. Lettering Size: At least 1/2 inch for viewing distances up to 72 inches and proportionately larger lettering for greater viewing distances.

### 2.4 STENCILS

A. Stencils for Piping:

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - a. <u>Champion America</u>.
  - b. <u>Craftmark Pipe Markers</u>.
  - c. <u>Kolbi Pipe Marker Co</u>.
- 2. Lettering Size: Size letters according to ASME A13.1 for piping.
- 3. Stencil Material: Fiberboard or metal.
- 4. Stencil Paint: Exterior, gloss, acrylic enamel in colors complying with recommendations in ASME A13.1 unless otherwise indicated. Paint may be in pressurized spray-can form.
- 5. Identification Paint: Exterior, acrylic enamel in colors according to ASME A13.1 unless otherwise indicated. Paint may be in pressurized spray-can form.

# 2.5 VALVE TAGS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. <u>Brady Corporation</u>.
  - 2. <u>Craftmark Pipe Markers</u>.
  - 3. <u>emedco</u>.
- B. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.
  - 1. Tag Material: stainless steel, 0.025-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
  - 2. Fasteners: Stainless Steel beaded chain S-hook.
- C. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.
  - 1. Valve-tag schedule shall be included in operation and maintenance data.

### PART 3 - EXECUTION

### 3.1 PREPARATION

A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

### 3.2 GENERAL INSTALLATION REQUIREMENTS

- A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.
- B. Coordinate installation of identifying devices with locations of access panels and doors.
- C. Install identifying devices before installing acoustical ceilings and similar concealment.

# 3.3 EQUIPMENT LABEL INSTALLATION

- A. Install or permanently fasten labels on each major item of mechanical equipment.
- B. Locate equipment labels where accessible and visible.

# 3.4 PIPE LABEL INSTALLATION

- A. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels, complying with ASME A13.1, with painted, color-coded bands or rectangles on each piping system.
  - 1. Identification Paint: Use for contrasting background.
  - 2. Stencil Paint: Use for pipe marking.
- B. Pipe Label Locations: Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:
  - 1. Near each valve and control device.
  - 2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
  - 3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
  - 4. At access doors, manholes, and similar access points that permit view of concealed piping.
  - 5. Near major equipment items and other points of origination and termination.
  - 6. Spaced at maximum intervals of 25 feet along each run. Reduce intervals to 5 feet in areas of congested piping and equipment.
  - 7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.
- C. Directional Flow Arrows: Arrows shall be used to indicate direction of flow in pipes, including pipes where flow is allowed in both directions.
- D. Pipe Label Color Schedule:
  - 1. Domestic Cold Water Piping
    - a. Background: Dark Blue.
    - b. Letter Colors: White.
  - 2. Sanitary Waste Piping:
    - a. Background Color: Dark Grey.
    - b. Letter Color: White.

# 3.5 VALVE-TAG INSTALLATION

- A. Install tags on valves and control devices in piping systems, except check valves, valves within factory-fabricated equipment units, shutoff valves, faucets, convenience and lawn-watering hose connections, and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.
- B. Valve-Tag Application Schedule: Tag valves according to size, shape, and color scheme and with captions similar to those indicated in the following subparagraphs:
  - 1. Valve-Tag Size and Shape:
    - a. Cold Water: 1-1/2 inches, round.
    - b. Hot Water: 1-1/2 inches, round.
    - c. Tempered Water: 1-1/2 inches, round.
  - 2. Valve-Tag Colors:
    - a. Cold Water: Dark Blue.
    - b. Hot Water: Red.
    - c. Tempered Water: Orange
  - 3. Letter Colors:
    - a. Cold Water: White.
    - b. Hot Water: White.
    - c. Tempered Water: White

#### 3.6 WARNING-TAG INSTALLATION

A. Write required message on, and attach warning tags to, equipment and other items where required.

+ + END OF SECTION + +
## SECTION 22 07 19

## PLUMBING PIPING INSULATION

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes insulating the following plumbing piping services:
  - 1. Domestic hot-water piping.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Include plans, elevations, sections, details, and attachments to other work.
  - 1. Detail application of protective shields, saddles, and inserts at hangers for each type of insulation and hanger.
  - 2. Detail attachment and covering of heat tracing inside insulation.
  - 3. Detail insulation application at pipe expansion joints for each type of insulation.
  - 4. Detail insulation application at elbows, fittings, flanges, valves, and specialties for each type of insulation.
  - 5. Detail removable insulation at piping specialties, equipment connections, and access panels.
  - 6. Detail application of field-applied jackets.
  - 7. Detail application at linkages of control devices.

### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

#### <u>1.4 QUALITY ASSURANCE</u>

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84 by a testing agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
  - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smokedeveloped index of 50 or less.
  - 2. Insulation Installed Outdoors: Flame-spread index of 75 or less, and smokedeveloped index of 150 or less.

- B. Comply with the following applicable standards and other requirements specified for miscellaneous components:
  - 1. Supply and Drain Protective Shielding Guards: ICC A117.1.

## PART 2 - PRODUCTS

### 2.1 INSULATION MATERIALS

- A. Comply with requirements in "Piping Insulation Schedule, General," "Indoor Piping Insulation Schedule," "Outdoor, Aboveground Piping Insulation Schedule," and "Outdoor, Underground Piping Insulation Schedule" articles for where insulating materials shall be applied.
- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Products that come in contact with stainless steel shall have a leachable chloride content of less than 50 ppm when tested according to ASTM C 871.
- D. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- E. Foam insulation materials shall not use CFC or HCFC blowing agents in the manufacturing process.
- F. Cellular Glass: Inorganic, incombustible, foamed or cellulated glass with annealed, rigid, hermetically sealed cells. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Pittsburgh Corning Corporation</u>.
  - 2. Special-Shaped Insulation: ASTM C 552, Type III.
  - 3. Preformed Pipe Insulation without Jacket: Comply with ASTM C 552, Type II, Class 1.
  - 4. Preformed Pipe Insulation with Factory-Applied ASJ: Comply with ASTM C 552, Type II, Class 2.
  - 5. Factory fabricate shapes according to ASTM C 450 and ASTM C 585."Flexible Elastomeric Insulation" Paragraph below is unsuitable for temperatures lower than minus 70 deg F (minus 57 deg C) and higher than 220 deg F (104 deg C).

#### 2.2 INSULATING CEMENTS

A. Mineral-Fiber, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C 449.

- 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - a. <u>Ramco Insulation, Inc</u>.

# 2.3 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated, unless otherwise indicated.
- B. Cellular-Glass Adhesive: Two-component, thermosetting urethane adhesive containing no flammable solvents, with a service temperature range of minus 100 to plus 200 deg F.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. Foster Brand; H. B. Fuller Construction Products.
  - 2. For indoor applications, adhesive shall have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
  - 3. Adhesive shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."
- C. ASJ Adhesive, and FSK Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Childers Brand; H. B. Fuller Construction Products</u>.
    - b. Foster Brand; H. B. Fuller Construction Products.
- D. PVC Jacket Adhesive: Compatible with PVC jacket.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Dow Consumer Solutions</u>.
    - b. Johns Manville; a Berkshire Hathaway company.
    - c. <u>P.I.C. Plastics, Inc</u>.

# 2.4 SEALANTS

- A. Joint Sealants for Cellular-Glass Products:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- a. <u>Childers Brand; H. B. Fuller Construction Products</u>.
- b. Foster Brand; H. B. Fuller Construction Products.
- c. <u>Pittsburgh Corning Corporation</u>.
- 2. Materials shall be compatible with insulation materials, jackets, and substrates.
- 3. Permanently flexible, elastomeric sealant.
- 4. Service Temperature Range: Minus 100 to plus 300 deg F.
- 5. Color: White or gray.
- B. ASJ Flashing Sealants, and Vinyl, PVDC, and PVC Jacket Flashing Sealants:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Childers Brand; H. B. Fuller Construction Products</u>.
  - 2. Materials shall be compatible with insulation materials, jackets, and substrates.
  - 3. Fire- and water-resistant, flexible, elastomeric sealant.
  - 4. Service Temperature Range: Minus 40 to plus 250 deg F.
  - 5. Color: White.

### 2.5 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:
  - 1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C 1136, Type I.
  - 2. ASJ-SSL: ASJ with self-sealing, pressure-sensitive, acrylic-based adhesive covered by a removable protective strip; complying with ASTM C 1136, Type I.
  - 3. FSK Jacket: Aluminum-foil, fiberglass-reinforced scrim with kraft-paper backing; complying with ASTM C 1136, Type II.

### 2.6 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.
- B. PVC Jacket: High-impact-resistant, UV-resistant PVC complying with ASTM D 1784, Class 16354-C; thickness as scheduled; roll stock ready for shop or field cutting and forming. Thickness is indicated in field-applied jacket schedules.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. Johns Manville; a Berkshire Hathaway company.
    - b. <u>P.I.C. Plastics, Inc</u>.
    - c. <u>Speedline Corporation</u>.
  - 2. Adhesive: As recommended by jacket material manufacturer.
  - 3. Color: Color-code jackets based on system.

- 4. Factory-fabricated fitting covers to match jacket if available; otherwise, field fabricate.
  - a. Shapes: 45- and 90-degree, short- and long-radius elbows, tees, valves, flanges, unions, reducers, end caps, soil-pipe hubs, traps, mechanical joints, and P-trap and supply covers for lavatories.

## 2.7 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C 1136.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>Avery Dennison Corporation, Specialty Tapes Division</u>.
    - b. Knauf Insulation.
  - 2. Width: 3 inches.
  - 3. Thickness: 11.5 mils.
  - 4. Adhesion: 90 ounces force/inch in width.
  - 5. Elongation: 2 percent.
  - 6. Tensile Strength: 40 lbf/inch in width.
  - 7. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.
- B. PVC Tape: White vapor-retarder tape matching field-applied PVC jacket with acrylic adhesive; suitable for indoor and outdoor applications.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
    - a. <u>3M Industrial Adhesives and Tapes Division</u>.
    - b. Ideal Tape Co., Inc., an American Biltrite Company.
  - 2. Width: 2 inches.
  - 3. Thickness: 6 mils.
  - 4. Adhesion: 64 ounces force/inch in width.
  - 5. Elongation: 500 percent.
  - 6. Tensile Strength: 18 lbf/inch in width.

# 2.8 SECUREMENTS

A. Staples: Outward-clinching insulation staples, nominal 3/4-inch-wide, stainless steel.

# PART 3 - EXECUTION

# 3.1 PREPARATION

A. Surface Preparation: Clean and dry surfaces to receive insulation. Remove materials that will adversely affect insulation application.

- B. Coordinate insulation installation with the trade installing heat tracing. Comply with requirements for heat tracing that apply to insulation.
- C. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless-steel surfaces, use demineralized water.

#### 3.2 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and thicknesses required for each item of pipe system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.
- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during application and finishing.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.
- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
  - 1. Install insulation continuously through hangers and around anchor attachments.
  - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
  - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
  - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.

- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- L. Install insulation with factory-applied jackets as follows:
  - 1. Draw jacket tight and smooth.
  - 2. Cover circumferential joints with 3-inch-wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
  - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 4 inches o.c.
  - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
  - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.
- M. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.
- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.
- P. For above-ambient services, do not install insulation to the following:
  - 1. Vibration-control devices.
  - 2. Testing agency labels and stamps.
  - 3. Nameplates and data plates.
  - 4. Cleanouts.

#### 3.3 GENERAL PIPE INSULATION INSTALLATION

- A. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.
- B. Insulation Installation on Fittings, Valves, Strainers, Flanges, and Unions:
  - 1. Install insulation over fittings, valves, strainers, flanges, unions, and other specialties with continuous thermal and vapor-retarder integrity unless otherwise indicated.
  - 2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints,

seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.

- 3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
- 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
- 5. Insulate strainers using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
- 6. Insulate flanges and unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.
- 7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
- 8. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.
- 9. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.
- C. Insulate instrument connections for thermometers, pressure gages, pressure temperature taps, test connections, flow meters, sensors, switches, and transmitters on insulated pipes. Shape insulation at these connections by tapering it to and around the connection with insulating cement and finish with finishing cement, mastic, and flashing sealant.
- D. Install removable insulation covers at locations indicated. Installation shall conform to the following:
  - 1. Make removable flange and union insulation from sectional pipe insulation of same thickness as that on adjoining pipe. Install same insulation jacket as adjoining pipe insulation.
  - 2. When flange and union covers are made from sectional pipe insulation, extend insulation from flanges or union long at least two times the insulation thickness

over adjacent pipe insulation on each side of flange or union. Secure flange cover in place with stainless-steel or aluminum bands. Select band material compatible with insulation and jacket.

- 3. Construct removable valve insulation covers in same manner as for flanges, except divide the two-part section on the vertical center line of valve body.
- 4. When covers are made from block insulation, make two halves, each consisting of mitered blocks wired to stainless-steel fabric. Secure this wire frame, with its attached insulation, to flanges with tie wire. Extend insulation at least 2 inches over adjacent pipe insulation on each side of valve. Fill space between flange or union cover and pipe insulation with insulating cement. Finish cover assembly with insulating cement applied in two coats. After first coat is dry, apply and trowel second coat to a smooth finish.
- 5. Unless a PVC jacket is indicated in field-applied jacket schedules, finish exposed surfaces with a metal jacket.

# 3.4 INSTALLATION OF CELLULAR-GLASS INSULATION

- A. Insulation Installation on Straight Pipes and Tubes:
  - 1. Secure each layer of insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
  - 2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
  - 3. For insulation with factory-applied jackets on above-ambient services, secure laps with outward clinched staples at 6 inches o.c.
  - 4. For insulation with factory-applied jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.
- B. Insulation Installation on Pipe Flanges:
  - 1. Install preformed pipe insulation to outer diameter of pipe flange.
  - 2. Make width of insulation section same as overall width of flange and bolts, plus twice the thickness of pipe insulation.
  - 3. Fill voids between inner circumference of flange insulation and outer circumference of adjacent straight pipe segments with cut sections of cellular-glass block insulation of same thickness as pipe insulation.
  - 4. Install jacket material with manufacturer's recommended adhesive, overlap seams at least 1 inch, and seal joints with flashing sealant.
- C. Insulation Installation on Pipe Fittings and Elbows:
  - 1. Install preformed sections of same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
  - 2. When preformed sections of insulation are not available, install mitered sections of cellular-glass insulation. Secure insulation materials with wire or bands.
- D. Insulation Installation on Valves and Pipe Specialties:

- 1. Install preformed sections of cellular-glass insulation to valve body.
- 2. Arrange insulation to permit access to packing and to allow valve operation without disturbing insulation.
- 3. Install insulation to flanges as specified for flange insulation application.

## 3.5 FIELD-APPLIED JACKET INSTALLATION

- A. Where FSK jackets are indicated, install as follows:
  - 1. Draw jacket material smooth and tight.
  - 2. Install lap or joint strips with same material as jacket.
  - 3. Secure jacket to insulation with manufacturer's recommended adhesive.
  - 4. Install jacket with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
  - 5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-barrier mastic.
- B. Where PVC jackets are indicated, install with 1-inch overlap at longitudinal seams and end joints. Seal with manufacturer's recommended adhesive.
  - 1. Apply two continuous beads of adhesive to seams and joints, one bead under lap and the finish bead along seam and joint edge.

## 3.6 FINISHES

- A. Flexible Elastomeric Thermal Insulation: After adhesive has fully cured, apply two coats of insulation manufacturer's recommended protective coating.
- B. Color: Final color as dictated by Specification 220553.

# 3.7 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. All insulation applications will be considered defective Work if sample inspection reveals noncompliance with requirements.

### 3.8 PIPING INSULATION SCHEDULE, GENERAL

- A. Acceptable preformed pipe and tubular insulation materials and thicknesses are identified for each piping system and pipe size range. If more than one material is listed for a piping system, selection from materials listed is Contractor's option.
- B. Items Not Insulated: Unless otherwise indicated, do not install insulation on the following:
  - 1. Drainage piping located in crawl spaces.
  - 2. Underground piping.
  - 3. Chrome-plated pipes and fittings unless there is a potential for personnel injury.

### 3.9 INDOOR PIPING INSULATION SCHEDULE

- A. Domestic Hot and Recirculated Hot Water: Insulation shall be[ one of] the following:
  1. Fiber Glass: 1 inch thick.
- B. Exposed Sanitary Drains, Domestic Water, Domestic Hot Water:1. Fiberglass: 1 inch thick.

### 3.10 INDOOR, FIELD-APPLIED JACKET SCHEDULE

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. If more than one material is listed, selection from materials listed is Contractor's option.
- C. Piping, Concealed:1. PVC, Color-Coded by System: 30 mils thick.
- D. Piping, Exposed:
  - 1. PVC, Color-Coded by System: 30 mils thick.

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## SECTION 22 11 16

### DOMESTIC WATER PIPING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Copper tube and fittings.
  - 2. Piping joining materials.
  - 3. Transition fittings.
  - 4. Dielectric fittings.

### 1.2 ACTION SUBMITTALS

A. Product Data: For transition fittings and dielectric fittings.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. System purging and disinfecting activities report.
- B. Field quality-control reports.

#### PART 2 - PRODUCTS

### 2.1 PIPING MATERIALS

- A. Comply with requirements in "Piping Schedule" Article for applications of pipe, tube, fitting materials, and joining methods for specific services, service locations, and pipe sizes.
- B. Potable-water piping and components shall comply with NSF 14 and NSF 61 Annex G. Plastic piping components shall be marked with "NSF-pw."
- C. Comply with NSF Standard 372 for low lead.

## 2.2 COPPER TUBE AND FITTINGS

- A. Hard Copper Tube: ASTM B 88, Type L water tube, drawn temper.
- B. Cast-Copper, Solder-Joint Fittings: ASME B16.18, pressure fittings.

- C. Wrought-Copper, Solder-Joint Fittings: ASME B16.22, wrought-copper pressure fittings.
- D. Copper Unions:
  - 1. MSS SP-123.
  - 2. Cast-copper-alloy, hexagonal-stock body.
  - 3. Ball-and-socket, metal-to-metal seating surfaces.
  - 4. Solder-joint or threaded ends.

#### 2.3 PIPING JOINING MATERIALS

- A. Pipe-Flange Gasket Materials:
  - 1. AWWA C110/A21.10, rubber, flat face, 1/8 inch thick or ASME B16.21, nonmetallic and asbestos free unless otherwise indicated.
  - 2. Full-face or ring type unless otherwise indicated.
- B. Metal, Pipe-Flange Bolts and Nuts: ASME B18.2.1, carbon steel unless otherwise indicated.
- C. Solder Filler Metals: ASTM B 32, lead-free alloys.
- D. Flux: ASTM B 813, water flushable.
- E. Brazing Filler Metals: AWS A5.8M/A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing unless otherwise indicated.

#### 2.4 TRANSITION FITTINGS

- A. General Requirements:
  - 1. Same size as pipes to be joined.
  - 2. Pressure rating at least equal to pipes to be joined.
  - 3. End connections compatible with pipes to be joined.
- B. Fitting-Type Transition Couplings: Manufactured piping coupling or specified piping system fitting.

### PART 3 - EXECUTION

#### 3.1 PIPING INSTALLATION

A. Drawing plans, schematics, and diagrams indicate general location and arrangement of domestic water piping. Indicated locations and arrangements are used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on coordination drawings.

- B. Install copper tubing under building slab according to CDA's "Copper Tube Handbook."
- C. Install shutoff valve, hose-end drain valve, strainer, pressure gage, and test tee with valve inside the building at each domestic water-service entrance. Comply with requirements for pressure gages in Section 220519 "Meters and Gages for Plumbing Piping" and with requirements for drain valves and strainers in Section 221119 "Domestic Water Piping Specialties."
- D. Install shutoff valve immediately upstream of each dielectric fitting.
- E. Install water-pressure-reducing valves downstream from shutoff valves. Comply with requirements for pressure-reducing valves in Section 221119 "Domestic Water Piping Specialties."
- F. Install domestic water piping level without pitch and plumb.
- G. Rough-in domestic water piping for water-meter installation according to utility company's requirements.
- H. Install seismic restraints on piping. Comply with requirements for seismic-restraint devices in Section 220548 "Vibration and Seismic Controls for Plumbing Piping and Equipment."
- I. Install piping concealed from view and protected from physical contact by building occupants unless otherwise indicated and except in equipment rooms and service areas.
- J. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- K. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal, and coordinate with other services occupying that space.
- L. Install piping to permit valve servicing.
- M. Install nipples, unions, special fittings, and valves with pressure ratings the same as or higher than the system pressure rating used in applications below unless otherwise indicated.
- N. Install piping free of sags and bends.
- O. Install fittings for changes in direction and branch connections.
- P. Install unions in copper tubing at final connection to each piece of equipment, machine, and specialty.

- Q. Install thermostats in hot-water circulation piping. Comply with requirements for thermostats in Section 221123 "Domestic Water Pumps."
- R. Install thermometers on inlet and outlet piping from each water heater. Comply with requirements for thermometers in Section 220519 "Meters and Gages for Plumbing Piping."

## 3.2 JOINT CONSTRUCTION

- A. Ream ends of pipes and tubes and remove burrs.
- B. Remove scale, slag, dirt, and debris from inside and outside of pipes, tubes, and fittings before assembly.
- C. Threaded Joints: Join pipe fittings and valves as follows:
  - 1. Apply appropriate tape or thread compound to external pipe threads.
  - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged.
- D. Brazed Joints for Copper Tubing: Comply with CDA's "Copper Tube Handbook," "Brazed Joints" chapter.
- E. Soldered Joints for Copper Tubing: Apply ASTM B 813, water-flushable flux to end of tube. Join copper tube and fittings according to ASTM B 828 or CDA's "Copper Tube Handbook."
- F. Joints for Dissimilar-Material Piping: Make joints using adapters compatible with materials of both piping systems.

### 3.3 TRANSITION FITTING INSTALLATION

- A. Install transition couplings at joints of dissimilar piping.
- B. Transition Fittings in Underground Domestic Water Piping:
  - 1. Fittings for NPS 1-1/2 and Smaller: Fitting-type coupling.
  - 2. Fittings for NPS 2 and Larger: Sleeve-type coupling.

### 3.4 DIELECTRIC FITTING INSTALLATION

- A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.
- B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric couplings or nipples.

### 3.5 HANGER AND SUPPORT INSTALLATION

- A. Comply with requirements for seismic-restraint devices in Section 220548 "Vibration and Seismic Controls for Plumbing Piping and Equipment."
- B. Comply with requirements for pipe hanger, support products, and installation in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
  - 1. Vertical Piping: MSS Type 8 or 42, clamps.
  - 2. Individual, Straight, Horizontal Piping Runs:
    - a. 100 Feet and Less: MSS Type 1, adjustable, steel clevis hangers.
- C. Support vertical piping and tubing at base and at each floor.
- D. Rod diameter may be reduced one size for double-rod hangers, to a minimum of 3/8 inch.
- E. Install hangers for copper tubing with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 3/4 and Smaller: 60 inches with 3/8-inch rod.
  - 2. NPS 1 and NPS 1-1/4: 72 inches with 3/8-inch rod.
  - 3. NPS 1-1/2 and NPS 2: 96 inches with 3/8-inch rod.
- F. Install supports for vertical copper tubing every 10 feet.

### 3.6 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. When installing piping adjacent to equipment and machines, allow space for service and maintenance.
- C. Connect domestic water piping to exterior water-service piping. Use transition fitting to join dissimilar piping materials.
- D. Connect domestic water piping to water-service piping with shutoff valve; extend and connect to the following:
  - 1. Water Heaters: Cold-water inlet and hot-water outlet piping in sizes indicated, but not smaller than sizes of water heater connections.
  - 2. Plumbing Fixtures: Cold- and hot-water-supply piping in sizes indicated, but not smaller than that required by plumbing code.
  - 3. Equipment: Cold- and hot-water-supply piping as indicated, but not smaller than equipment connections. Provide shutoff valve and union for each connection. Use flanges instead of unions for NPS 2-1/2 and larger.

3.7 IDENTIFICATION

- A. Identify system components. Comply with requirements for identification materials and installation in Section 220553 "Identification for Plumbing Piping and Equipment."
- B. Label pressure piping with system operating pressure.

## 3.8 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Piping Inspections:
    - a. Do not enclose, cover, or put piping into operation until it has been inspected and approved by authorities having jurisdiction.
    - b. During installation, notify authorities having jurisdiction at least one day before inspection must be made. Perform tests specified below in presence of authorities having jurisdiction:
      - 1) Roughing-in Inspection: Arrange for inspection of piping before concealing or closing in after roughing in and before setting fixtures.
      - 2) Final Inspection: Arrange for authorities having jurisdiction to observe tests specified in "Piping Tests" Subparagraph below and to ensure compliance with requirements.
    - c. Reinspection: If authorities having jurisdiction find that piping will not pass tests or inspections, make required corrections and arrange for reinspection.
    - d. Reports: Prepare inspection reports and have them signed by authorities having jurisdiction.
  - 2. Piping Tests:
    - a. Fill domestic water piping. Check components to determine that they are not air bound and that piping is full of water.
    - b. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired. If testing is performed in segments, submit a separate report for each test, complete with diagram of portion of piping tested.
    - c. Leave new, altered, extended, or replaced domestic water piping uncovered and unconcealed until it has been tested and approved. Expose work that was covered or concealed before it was tested.
    - d. Cap and subject piping to static water pressure of 50 psig above operating pressure, without exceeding pressure rating of piping system materials. Isolate test source and allow it to stand for four hours. Leaks and loss in test pressure constitute defects that must be repaired.
    - e. Repair leaks and defects with new materials, and retest piping or portion thereof until satisfactory results are obtained.
    - f. Prepare reports for tests and for corrective action required.
- B. Domestic water piping will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

#### 3.9 ADJUSTING

- A. Perform the following adjustments before operation:
  - 1. Close drain valves, hydrants, and hose bibbs.
  - 2. Open shutoff valves to fully open position.
  - 3. Open throttling valves to proper setting.
  - 4. Adjust balancing valves in hot-water-circulation return piping to provide adequate flow.
    - a. Manually adjust ball-type balancing valves in hot-water-circulation return piping to provide hot-water flow in each branch.
    - b. Adjust calibrated balancing valves to flows indicated.
  - 5. Remove plugs used during testing of piping and for temporary sealing of piping during installation.
  - 6. Remove and clean strainer screens. Close drain valves and replace drain plugs.
  - 7. Remove filter cartridges from housings and verify that cartridges are as specified for application where used and are clean and ready for use.
  - 8. Check plumbing specialties and verify proper settings, adjustments, and operation.

3.10 CLEANING

- A. Clean and disinfect potable domestic water piping as follows:
  - 1. Purge new piping and parts of existing piping that have been altered, extended, or repaired before using.
  - 2. Use purging and disinfecting procedures prescribed by authorities having jurisdiction; if methods are not prescribed, use procedures described in either AWWA C651 or AWWA C652 or follow procedures described below:
    - a. Flush piping system with clean, potable water until dirty water does not appear at outlets.
    - b. Fill and isolate system according to either of the following:
      - 1) Fill system or part thereof with water/chlorine solution with at least 50 ppm of chlorine. Isolate with valves and allow to stand for 24 hours.
      - 2) Fill system or part thereof with water/chlorine solution with at least 200 ppm of chlorine. Isolate and allow to stand for three hours.
    - c. Flush system with clean, potable water until no chlorine is in water coming from system after the standing time.
    - d. Repeat procedures if biological examination shows contamination.
    - e. Submit water samples in sterile bottles to authorities having jurisdiction.
- B. Prepare and submit reports of purging and disinfecting activities. Include copies of water-sample approvals from authorities having jurisdiction.
- C. Clean interior of domestic water piping system. Remove dirt and debris as work progresses.

### 3.11 PIPING SCHEDULE

- A. Transition and special fittings with pressure ratings at least equal to piping rating may be used in applications below unless otherwise indicated.
- B. Flanges and unions may be used for aboveground piping joints unless otherwise indicated.
- C. Fitting Option: Extruded-tee connections and brazed joints may be used on aboveground copper tubing.
- D. Aboveground domestic water piping, NPS 2 and smaller, shall be one of the following:
  - 1. Hard copper tube, ASTM B 88, Type L; cast- or wrought-copper, solder-joint fittings; and soldered joints.

+ + END OF SECTION + +

## SECTION 22 13 16

## SANITARY WASTE AND VENT PIPING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Pipe, tube, and fittings.
  - 2. Specialty pipe fittings.

#### <u>1.2 ACTION SUBMITTALS</u>

A. Product Data: For each type of product.

#### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

#### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Components and installation shall be capable of withstanding the following minimum working pressure unless otherwise indicated:
  - 1. Soil, Waste, and Vent Piping: 10-foot head of water.

### 2.2 PIPING MATERIALS

- A. Piping materials shall bear label, stamp, or other markings of specified testing agency.
- B. Comply with requirements in "Piping Schedule" Article for applications of pipe, tube, fitting materials, and joining methods for specific services, service locations, and pipe sizes.

#### 2.3 HUB-AND-SPIGOT, CAST-IRON SOIL PIPE AND FITTINGS

- A. Pipe and Fittings: ASTM A 74, Service class.
- B. Gaskets: ASTM C 564, rubber.
- C. Calking Materials: ASTM B 29, pure lead and oakum or hemp fiber.

## 2.4 COPPER TUBE AND FITTINGS

- A. Copper Type DWV Tube: ASTM B 306, drainage tube, drawn temper.
- B. Copper Drainage Fittings: ASME B16.23, cast copper or ASME B16.29, wrought copper, solder-joint fittings.
- C. Copper Pressure Fittings:
  - 1. Copper Fittings: ASME B16.18, cast-copper-alloy or ASME B16.22, wrought-copper, solder-joint fittings. Furnish wrought-copper fittings if indicated.
  - 2. Copper Unions: MSS SP-123, copper-alloy, hexagonal-stock body with ball-andsocket, metal-to-metal seating surfaces, and solder-joint or threaded ends.
- D. Copper Flanges: ASME B16.24, Class 150, cast copper with solder-joint end.
  - 1. Flange Gasket Materials: ASME B16.21, full-face, flat, nonmetallic, asbestosfree, 1/8-inch maximum thickness unless thickness or specific material is indicated.
  - 2. Flange Bolts and Nuts: ASME B18.2.1, carbon steel unless otherwise indicated.
- E. Solder: ASTM B 32, lead free with ASTM B 813, water-flushable flux.

### PART 3 - EXECUTION

#### 3.1 PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems.
  - 1. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations.
  - 2. Install piping as indicated unless deviations to layout are approved on coordination drawings.
- B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping to permit valve servicing.
- E. Install piping at indicated slopes.
- F. Install piping free of sags and bends.

- G. Install fittings for changes in direction and branch connections.
- H. Install piping to allow application of insulation.
- I. Make changes in direction for soil and waste drainage and vent piping using appropriate branches, bends, and long-sweep bends.
  - 1. Sanitary tees and short-sweep 1/4 bends may be used on vertical stacks if change in direction of flow is from horizontal to vertical.
  - 2. Use long-turn, double Y-branch and 1/8-bend fittings if two fixtures are installed back to back or side by side with common drain pipe.
    - a. Straight tees, elbows, and crosses may be used on vent lines.
  - 3. Do not change direction of flow more than 90 degrees.
  - 4. Use proper size of standard increasers and reducers if pipes of different sizes are connected.
    - a. Reducing size of waste piping in direction of flow is prohibited.
- J. Lay buried building waste piping beginning at low point of each system.
  - 1. Install true to grades and alignment indicated, with unbroken continuity of invert. Place hub ends of piping upstream.
  - 2. Install required gaskets according to manufacturer's written instructions for use of lubricants, cements, and other installation requirements.
  - 3. Maintain swab in piping and pull past each joint as completed.
- K. Install soil and waste and vent piping at the following minimum slopes unless otherwise indicated:
  - 1. Building Sanitary Waste: 2 percent downward in direction of flow for piping NPS 3 and smaller; 2 percent downward in direction of flow for piping NPS 4 and larger.
  - 2. Horizontal Sanitary Waste Piping: 2 percent downward in direction of flow.
  - 3. Vent Piping: 1 percent down toward vertical fixture vent or toward vent stack.
- L. Install cast-iron soil piping according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook," Chapter IV, "Installation of Cast Iron Soil Pipe and Fittings."
- M. Install aboveground copper tubing according to CDA's "Copper Tube Handbook."
- N. Do not enclose, cover, or put piping into operation until it is inspected and approved by authorities having jurisdiction.
- O. Install sleeves for piping penetrations of walls, ceilings, and floors.
- P. Install sleeve seals for piping penetrations of concrete walls and slabs.

### 3.2 JOINT CONSTRUCTION

A. Join hub-and-spigot, cast-iron soil piping with gasket joints according to CISPI's "Cast Iron Soil Pipe and Fittings Handbook" for compression joints.

- B. Join copper tube and fittings with soldered joints according to ASTM B 828. Use ASTM B 813, water-flushable, lead-free flux and ASTM B 32, lead-free-alloy solder.
- C. Grooved Joints: Cut groove ends of pipe according to AWWA C606. Lubricate and install gasket over ends of pipes or pipe and fitting. Install coupling housing sections, over gasket, with keys seated in piping grooves. Install and tighten housing bolts.

#### 3.3 HANGER AND SUPPORT INSTALLATION

- A. Comply with requirements for pipe hanger and support devices and installation specified in Section 220529 "Hangers and Supports for Plumbing Piping and Equipment."
  - 1. Install stainless-steel pipe hangers for horizontal piping.
  - 2. Install stainless-steel pipe support clamps for vertical piping.
  - 3. Vertical Piping: MSS Type 8 or Type 42, clamps.
  - 4. Install individual, straight, horizontal piping runs:
    - a. 100 Feet and Less: MSS Type 1, adjustable, steel clevis hangers.
  - 5. Base of Vertical Piping: MSS Type 52, spring hangers.
- B. Support horizontal piping and tubing within 12 inches of each fitting and coupling.
- C. Support vertical piping and tubing at base and at each floor.
- D. Rod diameter may be reduced one size for double-rod hangers, with 3/8-inch minimum rods.
- E. Install hangers for cast-iron soil piping with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 1-1/2 and NPS 2: 60 inches with 3/8-inch rod.
  - 2. NPS 3: 60 inches with 1/2-inch rod.
  - 3. NPS 4 and NPS 5: 60 inches with 5/8-inch rod.
- F. Install hangers for copper tubing with the following maximum horizontal spacing and minimum rod diameters:
  - 1. NPS 1-1/4: 72 inches with 3/8-inch rod.
  - 2. NPS 1-1/2 and NPS 2: 96 inches with 3/8-inch rod.
  - 3. NPS 2-1/2: 108 inches with 1/2-inch rod.
  - 4. NPS 3 and NPS 5: 10 feet with 1/2-inch rod.
- G. Install supports for vertical copper tubing every 10 feet.
- H. Support piping and tubing not listed above according to MSS SP-58 and manufacturer's written instructions.

### 3.4 CONNECTIONS

- A. Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Connect soil and waste piping to exterior sanitary sewerage piping. Use transition fitting to join dissimilar piping materials.
- C. Connect waste and vent piping to the following:
  - 1. Plumbing Fixtures: Connect waste piping in sizes indicated, but not smaller than required by plumbing code.
  - 2. Plumbing Fixtures and Equipment: Connect atmospheric vent piping in sizes indicated, but not smaller than required by authorities having jurisdiction.
  - 3. Plumbing Specialties: Connect waste and vent piping in sizes indicated, but not smaller than required by plumbing code.
  - 4. Install test tees (wall cleanouts) in conductors near floor and floor cleanouts with cover flush with floor.
  - 5. Equipment: Connect waste piping as indicated.
- D. Where installing piping adjacent to equipment, allow space for service and maintenance of equipment.
- E. Make connections according to the following unless otherwise indicated:
  - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
  - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.

### 3.5 IDENTIFICATION

- A. Identify exposed sanitary waste and vent piping.
- B. Comply with requirements for identification specified in Section 220553 "Identification for Plumbing Piping and Equipment."

### 3.6 FIELD QUALITY CONTROL

- A. During installation, notify authorities having jurisdiction at least 24 hours before inspection must be made. Perform tests specified below in presence of authorities having jurisdiction.
  - 1. Roughing-in Inspection: Arrange for inspection of piping before concealing or closing-in after roughing-in and before setting fixtures.
  - 2. Final Inspection: Arrange for final inspection by authorities having jurisdiction to observe tests specified below and to ensure compliance with requirements.

- B. Reinspection: If authorities having jurisdiction find that piping will not pass test or inspection, make required corrections and arrange for reinspection.
- C. Reports: Prepare inspection reports and have them signed by authorities having jurisdiction.
- D. Test sanitary waste and vent piping according to procedures of authorities having jurisdiction or, in absence of published procedures, as follows:
  - 1. Test for leaks and defects in new piping and parts of existing piping that have been altered, extended, or repaired.
    - a. If testing is performed in segments, submit separate report for each test, complete with diagram of portion of piping tested.
  - 2. Leave uncovered and unconcealed new, altered, extended, or replaced waste and vent piping until it has been tested and approved.
    - a. Expose work that was covered or concealed before it was tested.
  - 3. Roughing-in Plumbing Test Procedure: Test waste and vent piping except outside leaders on completion of roughing-in.
    - a. Close openings in piping system and fill with water to point of overflow, but not less than 10-foot head of water.
    - b. From 15 minutes before inspection starts to completion of inspection, water level must not drop.
    - c. Inspect joints for leaks.
  - 4. Finished Plumbing Test Procedure: After plumbing fixtures have been set and traps filled with water, test connections and prove they are gastight and watertight.
    - a. Plug vent-stack openings on roof and building drains where they leave building. Introduce air into piping system equal to pressure of 1-inch wg.
    - b. Use U-tube or manometer inserted in trap of water closet to measure this pressure.
    - c. Air pressure must remain constant without introducing additional air throughout period of inspection.
    - d. Inspect plumbing fixture connections for gas and water leaks.
  - 5. Repair leaks and defects with new materials and retest piping, or portion thereof, until satisfactory results are obtained.
  - 6. Prepare reports for tests and required corrective action.

# 3.7 CLEANING AND PROTECTION

- A. Clean interior of piping. Remove dirt and debris as work progresses.
- B. Protect sanitary waste and vent piping during remainder of construction period to avoid clogging with dirt and debris and to prevent damage from traffic and construction work.
- C. Place plugs in ends of uncompleted piping at end of day and when work stops.
- D. Repair damage to adjacent materials caused by waste and vent piping installation.

### 3.8 PIPING SCHEDULE

- A. Flanges and unions may be used on aboveground pressure piping unless otherwise indicated.
- B. Aboveground, soil and waste piping NPS 4 and smaller shall be the following:
  1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
- C. Aboveground, vent piping NPS 4 and smaller shall be the following:
  - 1. Service class, cast-iron soil pipe and fittings; gaskets; and gasketed joints.
  - 2. Copper Type DWV tube, copper drainage fittings, and soldered joints.
    - a. Option for Vent Piping, NPS 2-1/2 and NPS 3-1/2: Hard copper tube, Type M; copper pressure fittings; and soldered joints.
- D. Underground, soil, waste, and vent piping NPS 4 and smaller shall be the following:
  - 1. Service class, cast-iron soil piping; gaskets; and gasketed joints.

+ + END OF SECTION + +

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### SECTION 22 13 33

### PACKAGED SUBMERSIBLE GRINDER PUMP STATION

## PART 1 - GENERAL

## 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install packaged submersible grinder pump station complete and operational with accessories.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate the installation of items that must be installed with or before packaged submersible grinder pump units Work.
  - 2. Notify other contractors in advance of installing packaged submersible grinder pump units to provide them with sufficient time for installing items included in their contracts that must be installed with or before packaged submersible grinder pump units Work.
- C. Related Sections:
  - 1. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
  - 2. Section 40 60 05, Instrumentation and Control for Process Systems.

### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ABMA.
  - 2. ANSI/HI 3.1-3.5, Rotary Pumps for Nomenclature, Definitions, Application and Operation.
  - 3. ANSI/HI 3.6, Rotary Pump Tests.
  - 4. ASTM.
  - 5. IEEE 112, Test Procedure for Polyphase Induction Motors and Generators.
  - 6. NEMA MG-1, Motors and Generators.
  - 7. NFPA.
  - 8. UL 778, Motor-Operated Water Pumps.

### 1.3 QUALITY ASSURANCE

- A. Manufacturer's Qualifications:
  - 1. Manufacturer shall have at least five years experience producing substantially similar equipment to that required and shall be able to provide

documentation of at least five installations in satisfactory operation for at least five years.

- B. Component Supply and Compatibility:
  - 1. Obtain all equipment for each type of packaged submersible grinder pump unit specified in this Section, regardless of the component manufacturer, from a single packaged submersible grinder pump Supplier.
  - 2. Packaged submersible sewerage pump units Supplier shall review and approve or prepare all Shop Drawings and other submittals for all components provided under this Section.
  - 3. All components furnished shall be specifically constructed for the specified service and suitable for the specified service conditions, and shall be integrated into overall assembly by packaged submersible grinder pump unit Supplier.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Detailed drawings of all wiring diagrams.
    - b. Detailed installation drawing of each individual component showing: mounting requirements, location at Site, labeled and coded piping and wiring connections.
    - c. Schedule of equipment.
    - d. Equipment data sheets.
  - 2. Product Data:
    - a. Manufacturer's literature, illustrations, specifications, weight, dimensions, required clearances, materials of construction, and performance data for all equipment, and part lists for all components in sufficient detail for item-by-item comparison with the Contract Documents.
  - 3. Testing Plans, Procedures, and Testing Limitations:
    - a. Provide pump Supplier's proposed shop testing plan, including complete list of testing facility limitations.
    - b. Provide proposed field testing plan.
- B. Informational Submittals: Submit the following:
  - 1. Manufacturer's Instructions:
    - a. Setting drawings, templates, and directions for the installing anchor bolts and other anchorages.
    - b. Instructions for handling and installing equipment.
  - 2. Source Quality Control Submittals:
    - a. Results of shop tests for each complete pump system.
  - 3. Site Quality Control Submittals:
    - a. Results of field tests for each complete pump system.
  - 4. Manufacturer's Reports:

- a. Submit a written report of results of each visit to Site by pump Supplier, including purpose and time of visit, tasks performed, and results obtained.
- C. Closeout Submittals: Provide the following:
  - 1. Operation and Maintenance Data:
    - a. Submit operation and maintenance manuals including test reports, maintenance data, and schedules, description of operation, and spare parts information.
    - b. Provide operation and maintenance manuals per Section 01 78 23, Operations and Maintenance Data.
- D. Maintenance Material Submittals: Furnish the following:
  - 1. Spare Parts and Special Tools:
    - a. Any special tools required for maintenance.
    - b. Spare parts list and recommended quantities.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Packing, Shipping, Handling and Unloading:
  - 1. Prior to shipping, completely inspect products to assure that components are complete and comply with all requirements. Box or crate products as required to prevent damage during shipment. Protect machined surfaces and matching connections to prevent damage.
  - 2. Deliver products to Site to ensure uninterrupted progress of the Work. Deliver anchorage products to be embedded in concrete in ample time to prevent delaying the Work.
  - 3. Inspect all boxes, crates, and packages upon delivery to Site and notify ENGINEER in writing of loss or damage to products. Promptly remedy loss and damage to new condition per manufacturer's instructions.
  - 4. Conform to Section 01 65 00, Product Delivery Requirements.
- B. Storage and Protection:
  - 1. Keep all products off ground using pallets, platforms, or other supports. Protect steel, packaged materials, and electronics from corrosion and deterioration.
  - 2. Conform to Section 01 66 00, Product Storage and Handling Requirements.

# PART 2 - PRODUCTS

# 2.1 GENERAL DESCRIPTION

- A. System Description:
  - 1. Provide one (1) complete factory-built and tested submersible grinder pump station, consisting of a grinder pump mounted in a basin, quick disconnect pump removal system, shut-off and check valve assembled within the basin,

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level control system, control panel, lifting chain, and all necessary internal wiring and controls.

2. CONTRACTOR shall be responsible for delineating limits of packaged submersible sewerage pump station systems and coordinating remainder of piping, valves, appurtenances, and wiring required for complete installation.

# 2.2 EQUIPMENT PERFORMANCE

- A. Design Criteria:
  - 1. The pumps shall be capable of delivering 15 gallons per minute (gpm) against a rated total dynamic head of 0-feet, 12 gpm against a rated total dynamic head of 80-feet and 8 gpm against a rated total dynamic head of 200-feet. The pump shall be capable of running throughout the curve as well as at negative heads without overloading the motor.
- B. Equipment shall conform to Hydraulic Institute Standards and UL 778.

# 2.3 MANUFACTURERS

- A. Manufacturers: Provide products of one of the following packaged submersible grinder pump stations:
  - 1. Flygt, Model LPSS MF3068 24x96
  - 2. Or approved equal.

# 2.4 SUBMERSIBLE SEWAGE GRINDR PUMP (SIMPLEX)

- A. Type:
  - 1. Progressive cavity, vertically-mounted, bottom-suction, non-clog, submersible, heavy duty sewage grinder pump, with motors and operating controls.
- B. Manufacturer: Provide products of one of the following grinder pump:
  - 1. Flygt, Model MF3068.175.
  - 2. Or approved equal.
- C. Number of Pumps Required:
  - 1. Provide two (2) grinder pumps, one installed and one uninstalled spare, as specified.
- D. Material:
  - 1. Pump and Motor Housing: Grey cast iron, ASTM A-48, Class 30B.
  - 2. Grinder: Grinder shall be placed immediately below the pump station and shall consist of a stationary, shredder ring and a cutter impeller consisting of high chrome, hardened, stainless steel throughout. Grinding impeller shall be securely fastened to the pump shaft with allen screw..
  - 3. Fasteners: Type 304 stainless steel or brass.
  - 4. Shaft: Type 431 stainless steel.

- 5. Rotor: Type 304 stainless steel with a 520-720 tensile strength and minimum of 40% elongation and maximum of 200 HB hardness. A brass ring shall be tightly affixed to the pump rotor below the lower mechanical seal.
- 6. Stator: Nitrile rubber.
- 7. Discharge: 1-inch diameter connection.
- 8. Seals: Tandem mechanical shaft seals. The lower, primary seal unit, located between the pump and the lubricant chamber, shall contain one stationary and one positively driven rotating, corrosion resistant tungsten carbide ring. The upper, secondary seal unit, located between the lubricant chamber and the motor housing, shall contain one stationary ceramic seal ring and one positively driven rotating carbon seal ring. Each seal interface shall be held in contact by its own spring system.
- E. Motor:
  - 1. Motor Chamber: NEMA B, submersible, air-filled, hermetically sealed motor with Class F insulation.
  - 2. Built-in thermal overload protection.
  - 3. Horsepower: 1.7 HP
  - 4. Voltage / Phase: 208 Volt / Three Phase
  - 5. RPM: 1720.
  - 6. Bearings: Permanently-lubricated upper and lower ball bearings.
  - 7. Power/Control Cable: Cable to be wired from motor to terminal strip in control panel without any splices.
- F. Control Panel:
  - 1. Pedestal-mounted, custom-built panel complying with Section 40 60 05, Process Control Panels and Enclosures, NEMA 4X enclosure, factory-wired for use with non-mercury float switches. Panel shall have the following:
    - a. Contact relay.
    - b. Run-indicating light showing through cabinet door. Light color shall be Red.
    - c. "HAND/OFF/AUTO" selector switches.
    - d. High basin level alarm indicating light (amber).
    - e. Pump fault common alarm (high temperature and overload) indicating light (amber).
    - f. Four-inch alarm strobe for high basin level and pump fault installed on control panel.
    - g. Alarm silence pushbutton.
    - h. Dry contacts for relaying remote high basin level alarm and common fault (high temperature and overload) alarm.
    - i. Combination-type magnetic starter with overload reset and low voltage protection.
    - j. Fusible disconnect switch with handle-through the cover.
    - k. Automatic alternator separately fused.
    - 1. Wired terminal strip.
    - m. Control voltage shall be 120 volts with control circuit fuse.

- G. Non-mercury Float Switches:
  - 1. Materials:
    - a. Support Pole: One-inch diameter Type 304 stainless steel pipe threaded one end.
    - b. Support Bracket: Type 300 series stainless steel.
    - c. Float Switch: Normally open non-mercury switches. Float casing shall be polypropylene. Provide snap-action type switch activated by steel ball rolling back and forth within switching tube in plastic float housing. Provide float switches by Anchor Scientific Inc. "Eco-Float"; Model G, Zoeller non-mercury float switches; or equal.
    - d. Switch Cable: Cable within basin Type SO neoprene jacket, four No. 18 conductor, 41 strand, 300-volt insulation. Cable between control panel and basin shall conform to requirements of Division 16, Electrical. Cable to be wired directly from float switches to terminal strip in control panel without any splices.
    - e. Cable Supports: Polypropylene composition clamp with stainless steel bolts.
    - f. High Liquid Level in Basin Alarm: Two conductors cable with colorcoded cover.
  - 2. Level Control System
    - a. General:
      - 1) The control system shall provide for the automatic control of the pumps to maintain a pumped down condition of the basin.
    - b. Float Switch Description:
      - 1) Number One float switch de-energizes all pumps on drop of liquid level in basin and closes control holding circuit upon rise in liquid level in basin.
      - 2) Number Two float switch starts pump upon rise of liquid level in basin.
      - 3) Number Three float switch actuates high basin level alarm on float rise and de-energizes alarm on drop in liquid level in basin.
    - c. Float Switch Control Strategy:
      - 1) For control strategy, refer to Section 40 60 05, Instrumentation and Control for Process Systems.
    - d. Float Switch Elevations:
      - 1) Float switch elevations shall be field determined at start-up.

### 2.5 SUBMERSIBLE PUMP QUICK-REMOVAL SYSTEM

- A. Type: Provide as accessory allowing pump to be removed from the basin without disturbing piping or electrical connections. Quick-removal system shall be furnished by the packaged submersible pump station Supplier and shall be compatible with the associated pumps.
- B. System Components:
  - 1. Slide rail assembly shall include:
    - a. 1-1/4-inch brass pump quick disconnect fitting.

- b. Stationary sealing flange with two, 1-1/2-inch stainless steel guide rails.
- c. Upper guiderail V brace bracket bolted directly to the wet well inner wall.
- d. Stainless steel lifting chain assembly shall be 15-feet.
- 2. Pump removal shall include lift out ball check valve.

# 2.6 BASIN

- A. General:
  - 1. Basin shall be furnished by the packaged submersible pump station Supplier to accommodate the associated pump, quick-removal system, basin liquid level controls, power cabling, piping, valves and appurtenances.
- B. Design Criteria:
  - 1. Basin shall be designed to withstand wall collapse or buckling and cracking from environmental stresses.
  - 2. All seams created during tank construction are to be factory tested for leak tightness.
  - 3. Basin shall withstand the pressure exerted by saturated soil loading at maximum burial depth. All station components must function normally when exposed to maximum burial depth.
  - 4. The packaged submersible grinder pump station Supplier shall confirm design parameters and physical properties based upon field investigation and site conditions.
  - 5. Basin shall be constructed to withstand or exceed two times the assumed loading on any depth of the basin.
- C. Dimensions:
  - 1. Diameter: 24-inches
  - 2. Depth: 96-inches
- D. Materials:
  - 1. Basin: Fiberglass reinforced polyester conforming to ASTM D883.
  - 2. Resin: Commercial grade polyester.
  - 3. Reinforced Material: Commercial grade glass fiber (continuous strand, chopped-strand, continuous mat and non-continuous mat) having a coupling agent, which will provide a suitable bond between the glass reinforcement material and resin.
  - 4. Laminate Structure: The FRP laminate shall consist of a resin rich inner surface: chop-spray interior liner; and, a chop-hoop filament-wound structural exterior layer. The resin rich inner surface shall be free of cracks and crazing with smooth finish and with an average of not over (2) pits per square foot, providing the pits are less than 0.125 inches in diameter and 0.3125 inches in depth and are covered with sufficient resin to avoid exposure of any fiberglass reinforcement material. Some waviness shall be permissible as long as the surface is smooth. Between 0.01 to 0.02 inches of resin, rich surface shall be provided

- 5. Interior Liner: The interior liner shall be reinforced by 25 to 35% by weight of chopped strand glass fiber having lengths from 0.5 to 2.0 inches. The chop-spray interior liner protects the chop-hoop filament-wound structural exterior liner from corrosion damage caused by "wicking" of the basin liquid contents. A minimum of 0.100 inches of chop-spray interior liner shall be provided.
- 6. Exterior Layer: The structural reinforcement of the basin shall be by the chop-hoop filament-wound manufacturing method only. The axial reinforcement shall be continuous-strand glass fiber. The longitudinal reinforcement shall be chopped-strand glass fiber. The glass fiber reinforcement content of chop-hoop filament wound structural exterior layer shall be 50 to 80% by weight. The exterior surface of the basin shall be relatively smooth with no exposed reinforcement fibers or sharp projections. Hand finish work is permissible to prevent reinforcement fiber exposure. The wall thickness of the chop-hoop filament-wound structural exterior layer shall vary with the basin height to provide the aggregate strength necessary to meet the tensile and flexural physical properties requirements.
- 7. Bottom Laminate: The basin FRP bottom laminate shall have less than 0.375 inches of center elastic deflection (deformation) when in service in totally submerged conditions.
- 8. Laminate Surface Hardness: The finished FRP laminate will have a Barcol Hardness of at least 90% of the resin manufacturer's specified hardness for the fully cured resin. The Barcol Hardness shall be the same for both interior and exterior surfaces.
- 9. Cover: The basin top flange shall have an outside diameter at least 4.0 inches greater than the inside diameter of the well. A six-hole pattern shall accommodate the mounting of a cover with at least 0.375 inches in diameter 300 series stainless steel fasteners. Non-corroding stainless steel threaded inserts shall be fully encapsulated with non-continuous mat or chopped-strand glass fiber reinforcement. The inserts shall have an offset tab to prevent stripping or spinning out when removing and reinserting cover fasteners.
- 10. Anti-Floatation Flange: The steel anti-floatation flange shall be constructed from 0.1875 inches thick ASTM A36 structural steel plate, encapsulated in at least 0.125 inches of chopped-strand glass fiber reinforcement on all sides. The steel anti-floatation flange shall be square with outside dimensions of at least 4.0 inches greater than the basin inside diameter. The steel anti-floatation flange shall be attached to the basin bottom with chopped with chopped-strand glass fiber reinforcement. Contractor shall place the basin on a concrete pad or compacted pea gravel and fill with concrete grout covering the entire steel anti-floatation flange. The amount of concrete grout shall be sufficient to prevent floatation of the basin based on jobsite conditions. The steel anti-floatation flange shall not require bolt holes to secure it to the concrete pad.

# E. Fittings:
- 1. General: The basin shall have all necessary penetrations molded in and factory sealed. No field penetrations shall be acceptable, except for the inlet hub.
- 2. Inlet Hub: A 4-inch nominal pipe diameter thermoplastic pipe grommet shall be field installed by the contractor by utilizing a certified 5-inch hole saw drilled in the side of the basin. The pipe grommet shall provide a mechanical seal and shall not require any secondary sealing materials. The location of the inlet hub shall be field determined with the minimum location requirements:
  - a. Location From Top of Basin: Minimum 42-inches.
  - b. Location From Bottom of Basin: Minimum 35-inches.
- 3. Discharge Connection: The basin wall discharge connection shall be a flexible thermoplastic pipe grommet, providing a positive mechanical seal with 1-1/4-inch stainless steel male NPT discharge pipe. Discharge connection depth shall be 48-inches from top of basin.
- 4. Electrical Conduit Flange: Conduit flange shall be 1-1/2-inch NPT stainless steel bolt-on coupling with 1-1/2-inch stainless steel pipe nipple complete with O-ring water tight seal connector.
- 5. Ventilation: Basin ventilation shall comply with all applicable codes.
- F. Accessories:
  - Pump Mounting Studs: Studs shall be 300 stainless steel threaded studs of at least 0.375 inches in diameter and shall first be threaded into the 0.1875" inches thick ASTM A36 structural steel anti-floatation flange/bottom of the wet well and then welded into place. Once installed, the studs shall be sealed with at least two layers of non-continuous glass fiber mat or chopped-strand glass fiber reinforcement.
  - 2. Float Bracket: Float bracket shall be fabricated from 300 series stainless steel with three compression style cord grips to maintain and secure float level position. It shall be factory installed with at least 0.375 inches in diameter 300 series stainless steel fasteners. The wet well wall penetrations shall be sealed with silicone sealer.
  - 3. Slide Rail Assembly: Float bracket shall be fabricated from 300 series stainless steel with three compression style cord grips to maintain and secure float level position. It shall be factory installed with at least 0.375 inches in diameter 300 series stainless steel fasteners. The wet well wall penetrations shall be sealed with silicone sealer.

# 2.7 VALVES

- A. General: The pump discharge shall be equipped with a factory-installed ball check valve and full port, manual gate valve.
- B. Check Valves:
  - 1. Type: Ball, full ported when open.
  - 2. General: Ball check valve shall be capable of mounting either horizontal or vertical.
  - 3. Materials: Cast iron working parts and Buna-N ball.

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- 4. Rating: 125 psi.
- 5. End Connections: Threaded.
- C. Gate Valves:
  - 1. Type: Full port, non-rising stem, solid wedge.
  - 2. Materials: Brass
  - 3. Rating: 150 psi
  - 4. End Connections: Threaded.
  - 5. Operator: Stainless steel extension valve handle for manual operation from the top of basin. Secured with stainless steel support bracket.

# 2.8 SERVICE LATERAL KIT

- A. Service Lateral Kit
  - 1. General: Integrated service lateral kit shall consist of a combination curb stop/check valve assembly, curb box, and compression fittings. Service lateral kit shall be suitable for H-20 loading. Refer to Contract Drawings.
  - 2. Products and Manufacturers: Provide one of the following:
    - a. Messina & Associates.
    - b. Or approved equal.
  - 3. Curb Stop/Check Valve Assembly
    - a. Type:
      - Check Valve: Flapper with hinge pin. Integral with curb stop valve. Full ported 1-1/4-inch opening. Flapper hinge shall ensure seating at low back pressure.
      - 2) Curb Stop Valve: Ball valve. Integral with check valve. Position stop features at fully opened and closed positions.
    - b. Materials: Type 304 stainless steel. Two-piece cast housing.
    - c. Rating: 235 psi.
    - d. End Connections: 1-1/4-inch female NPT.
  - 4. Curb Box
    - a. General: Components shall include the curb box, operator, and lid. All components shall corrosion resistant.
    - b. Curb Box: Cast Iron. Curb box shall provide height adjustment downward from nominal height.
    - c. Extension Stem: Provided to bring operating nut to 6-inches below the box cover. Same material and size as valve stem.
    - d. Operator: Provide T-Handle operating wrenches to permit operation of curb stop valve.
    - e. Cover: Cast iron, conforming to ASTM A-48 Class 25, providing magnetic detectability. Marked with "SEWER". Painted with two coats of black asphalt varnish conforming to Federal Specification TT-C494.
  - 5. Compression Fittings
    - a. General: All pipe connections shall be made using compression fittings.
    - b. Type: Split collar locking to restrain pipe from hydraulic pressure and external loading.

- c. Materials: Polypropylene with Buna-N O-ring.
- d. Rating: 150 psi.

## 2.9 PAINTING

- A. Prior to shipment from the factory, pumps, motors, drives, frames, baseplates, appurtenances shall receive manufacturer's standard paint system for the application specified.
- B. Machined, polished, and non-ferrous surfaces shall be coated with corrosion prevention compound.

## 2.10 SOURCE QUALITY CONTROL

- A. Equipment shall be completely manufactured and pre-assembled. Prior to shipping, perform the following tests and inspections at factory:
  - 1. Test and inspect completed units for UL label.
  - 2. Factory-test equipment to ensure that each entire packaged submersible grinder pump station has been properly fabricated and assembled, that all controls function as specified, and that equipment meets specified performance requirements. Conduct tests per ANSI/HI 3.6.

## PART 3 – EXECUTION

## 3.1 INSPECTION

A. Examine conditions under which products are to be installed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

## 3.2 INSTALLATION

- A. General:
  - 1. Install all products per the Contract Documents and as recommended by manufacturer. Do not modify structures to facilitate installation of pumps, unless specifically approved by ENGINEER.
  - 2. Perform all fitting required for installation. Set products accurately in location, alignment, and elevation, plumb and true.
  - 3. Support piping and valves independent of pump. Verify that utilities and valves are tested and operational before placing equipment into operation.
  - 4. Align and adjust products and piping in presence of ENGINEER
  - 5. Provide for initial operation lubricants recommended by equipment manufacturer
  - 6. Prior to energizing motor driven equipment, rotate drive motor by an external source to demonstrate free operation of mechanical parts. Do not

energize equipment until safety devices are installed, connected, and functional.

B. Comply with Section 01 75 11, Checkout and Startup Procedures.

# 3.3 FIELD QUALITY CONTROL

- A. Field Tests:
  - 1. Prior to placing pump into service, successfully test all related piping per the Contract Documents.
  - 2. Fill all systems and test-operate all equipment and materials.
  - 3. With Supplier's representative and ENGINEER, check equipment for excessive noise and vibration while systems are operating. Verify by measuring basin liquid level drawdown versus time the capacity of each pump provided. Correct defective Work until successful test results are obtained.
- B. Manufacturer's Services: Provide qualified, factory-trained serviceman to perform the following:
  - 1. Inspect and adjust equipment after installation and ensure proper operation.
  - 2. Test-operate the products in presence of ENGINEER and verify that equipment conforms to Contract Documents.
  - 3. Instruct OWNER's personnel in operating and maintaining the products.
  - 4. Manufacturer's representative shall make a minimum of 2 visits, with a minimum of 4 hours onsite for each visit. First visit shall be for checking completed installation and start-up of system, and second visit shall be to instruct operations and maintenance personnel. Representative shall revisit the Site as often as necessary until installation is acceptable.
  - 5. Training: Furnish services of qualified factory trained specialists from manufacturer to instruct OWNER's operations and maintenance personnel in recommended operation and maintenance of products. Training requirements, duration of instruction, and other qualifications shall be per Section 01 79 23, Instruction of Operations and Maintenance Personnel.
  - 6. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for visits to Site shall be included in the Contract Price.
  - 7. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for additional visits required due to test operation failure shall be at no additional cost to the OWNER.

# 3.4 ADJUSTING AND CLEANING

- A. Adjusting:
  - 1. Adjust all controls for proper settings.
  - 2. While system is operating, balance and adjust all equipment and valves to achieve specified conditions.
- B. Cleaning:

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- 1. Thoroughly clean all equipment and accessories prior to installation and prior to Substantial Completion.
- Remove all dirt, rust, dust, scale, and corrosion from products to receive field painting.
- 3. Remove and dispose of all debris and waste from the Site resulting from installation.

+ + END OF SECTION + +

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# SECTION 22 33 00

## ELECTRIC, DOMESTIC-WATER HEATERS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section Includes: Commercial, electric, storage, domestic-water heaters.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type and size of domestic-water heater indicated.
- B. Shop Drawings:1. Wiring Diagrams: For power, signal, and control wiring.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Domestic-Water Heater Labeling: Certified and labeled by testing agency acceptable to authorities having jurisdiction.
- B. Source quality-control reports.
- C. Field quality-control reports.
- D. Warranty: Sample of special warranty.

#### 1.4 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

#### 1.5 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. ASME Compliance: Where ASME-code construction is indicated, fabricate and label commercial, domestic-water heater storage tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.

C. NSF Compliance: Fabricate and label equipment components that will be in contact with potable water to comply with NSF 61 Annex, "Drinking Water System Components - Health Effects."

#### 1.6 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of electric, domestic-water heaters that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Periods: From date of Substantial Completion.
    - a. Commercial, Electric, Storage, Domestic-Water Heaters:
      - 1) Storage Tank: Three years.
      - 2) Controls and Other Components: Three years.

# PART 2 - PRODUCTS

## 2.1 COMMERCIAL, ELECTRIC, DOMESTIC-WATER HEATERS

- A. Commercial, Electric, Storage, Domestic-Water Heaters:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. <u>A. O. Smith Corporation</u>.
    - b. <u>Bradford White Corporation</u>.
    - c. <u>Rheem Manufacturing Company</u>.
  - 2. Standard: UL 1453.
  - 3. Storage-Tank Construction: ASME-code, steel vertical arrangement.
    - a. Tappings: Factory fabricated of materials compatible with tank and piping connections. Attach tappings to tank before testing.
      - 1) NPS 2 and Smaller: Threaded ends according to ASME B1.20.1.
    - b. Pressure Rating: 150 psig.
    - c. Interior Finish: Comply with NSF 61 Annex barrier materials for potablewater tank linings, including extending lining material into tappings.
  - 4. Factory-Installed Storage-Tank Appurtenances:
    - a. Anode Rod: Replaceable magnesium.
    - b. Drain Valve: Corrosion-resistant metal complying with ASSE 1005.
    - c. Insulation: Comply with ASHRAE/IESNA 90.1.
    - d. Jacket: Steel with enameled finish.
    - e. Heating Elements: Electric, screw-in or bolt-on immersion type arranged in multiples of three.
    - f. Temperature Control: Adjustable thermostat.
    - g. Safety Controls: High-temperature-limit and low-water cutoff devices or systems.
    - h. Relief Valves: ASME rated and stamped for combination temperature-andpressure relief valves. Include one or more relief valves with total relieving

capacity at least as great as heat input, and include pressure setting less than domestic-water heater working-pressure rating. Select one relief valve with sensing element that extends into storage tank.

5. Special Requirements: NSF 5 construction.

## 2.2 SOURCE QUALITY CONTROL

- A. Factory Tests: Test and inspect domestic-water heaters specified to be ASME-code construction, according to ASME Boiler and Pressure Vessel Code.
- B. Hydrostatically test commercial domestic-water heaters to minimum of one and onehalf times pressure rating before shipment.
- C. Electric, domestic-water heaters will be considered defective if they do not pass tests and inspections. Comply with requirements in Section 014000 "Quality Requirements" for retesting and reinspecting requirements and Section 017300 "Execution" for requirements for correcting the Work.
- D. Prepare test and inspection reports.

# PART 3 - EXECUTION

## 3.1 DOMESTIC-WATER HEATER INSTALLATION

 A. Commercial, Electric, Domestic-Water Heater Mounting: Install commercial, electric, domestic-water heaters on concrete base.
Maintain manufacturer/a manufact

Maintain manufacturer's recommended clearances.

- 1. Arrange units so controls and devices that require servicing are accessible.
- 2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of concrete base.
- B. Install electric, domestic-water heaters level and plumb, according to layout drawings, original design, and referenced standards. Maintain manufacturer's recommended clearances. Arrange units so controls and devices needing service are accessible.
  - 1. Install shutoff valves on domestic-water-supply piping to domestic-water heaters and on domestic-hot-water outlet piping. Comply with requirements for shutoff valves specified in Section 220523.12 "Ball Valves for Plumbing Piping,".
- C. Install combination temperature-and-pressure relief valves in top portion of storage tanks. Use relief valves with sensing elements that extend into tanks. Extend commercial-water-heater relief-valve outlet, with drain piping same as domestic-water piping in continuous downward pitch, and discharge by positive air gap onto closest floor drain.

- D. Install water-heater drain piping as indirect waste to spill by positive air gap into open drains or over floor drains. Install hose-end drain valves at low points in water piping for electric, domestic-water heaters that do not have tank drains. Comply with requirements for hose-end drain valves specified in Section 221119 "Domestic Water Piping Specialties."
- E. Install thermometers on outlet piping of electric, domestic-water heaters.
- F. Install piping-type heat traps on inlet and outlet piping of electric, domestic-water heater storage tanks without integral or fitting-type heat traps.
- G. Fill electric, domestic-water heaters with water.
- H. Charge domestic-water compression tanks with air.

## 3.2 CONNECTIONS

- A. Comply with requirements for piping specified in Section 221116 "Domestic Water Piping." Drawings indicate general arrangement of piping, fittings, and specialties.
- B. Where installing piping adjacent to electric, domestic-water heaters, allow space for service and maintenance of water heaters. Arrange piping for easy removal of domestic-water heaters.

## 3.3 IDENTIFICATION

A. Identify system components. Comply with requirements for identification specified in Section 220553 "Identification for Plumbing Piping and Equipment."

# 3.4 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
  - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
  - 2. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
  - 3. Operational Test: After electrical circuitry has been energized, start units to confirm proper operation.
  - 4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- B. Electric, domestic-water heaters will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports.

+ + END OF SECTION + +

# SECTION 22 42 16.16

## COMMERCIAL SINKS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Utility sinks.
  - 2. Sink faucets.
  - 3. Supply fittings.
  - 4. Waste fittings.
  - 5. Supports.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for sinks.
  - 2. Include rated capacities, operating characteristics and furnished specialties and accessories.

#### 1.4 INFORMATIONAL SUBMITTALS

A. Coordination Drawings: Counter cutout templates for mounting of counter-mounted lavatories.

#### 1.5 CLOSEOUT SUBMITTALS

A. Maintenance Data: For sinks to include in maintenance manuals.

## PART 2 - PRODUCTS

## 2.1 UTILITY SINKS

- A. Utility Sinks: Stainless steel, freestanding.
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. <u>Amtekco Industries, Inc; a Wasserstrom Company</u>.
    - b. Eagle Group.
    - c. <u>Elkay Manufacturing Co</u>.
  - 2. Fixture:
    - a. Standard: ASME A112.19.3/CSA B45.4.
    - b. Type: With backsplash.
    - c. Number of Compartments: One.
    - d. Overall Dimensions: 3ft by 2.5 ft
    - e. Metal Thickness: 0.063 inch.
    - f. Compartment:
      - 1) Drain: Grid with NPS 1-1/2 tailpiece and twist drain.
      - 2) Drain Location: Near back of compartment.
  - 3. Supports: Adjustable-length steel legs.
  - 4. Faucet(s): .
    - a. Number Required: One.
    - b. Mounting: On backsplash.
  - 5. Supply Fittings:
    - a. Standard: ASME A112.18.1/CSA B125.1.
    - b. Supplies: Chrome-plated brass compression stop with inlet connection matching water-supply piping type and size.
      - 1) Operation: Wheel handle.
      - 2) Risers: NPS 1/2, chrome-plated, rigid-copper pipe.
  - 6. Waste Fittings:
    - a. Standard: ASME A112.18.2/CSA B125.2.
    - b. Trap(s):
      - 1) Size: NPS 1-1/2.
      - 2) Material: Chrome-plated, two-piece, cast-brass trap and swivel elbow with 0.032-inch-thick brass tube to wall; and chrome-plated brass or steel wall flange.
      - 3) Material: Stainless-steel, two-piece trap and swivel elbow with 0.012inch-thick stainless-steel tube to wall; and stainless-steel wall flange.
        - a) h requirements in "Waste Fittings" Article.

#### 2.2 SINK FAUCETS

- A. NSF Standard: Comply with NSF/ANSI 61 Annex G, "Drinking Water System Components Health Effects," for faucet-spout materials that will be in contact with potable water.
- B. Sink Faucets: Manual type, two-lever-handle mixing valve.
  - 1. Commercial, Solid-Brass Faucets.
    - a. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
      - 1) <u>American Standard</u>.
      - 2) <u>Bradley Corporation</u>.
      - 3) <u>Kohler Co</u>.
  - 2. Standard: ASME A112.18.1/CSA B125.1.
  - 3. General: Include hot- and cold-water indicators; coordinate faucet inlets with supplies and fixture hole punchings; coordinate outlet with spout and sink receptor.
  - 4. Body Type: Centerset.
  - 5. Body Material: Commercial, solid brass.
  - 6. Finish: Chrome plated.
  - 7. Maximum Flow Rate: 2.2 gpm.
  - 8. Handle(s): Lever.
  - 9. Mounting Type: Back/wall, exposed.
  - 10. Spout Type: Rigid, solid brass.
  - 11. Vacuum Breaker: Not required for hose outlet.
  - 12. Spout Outlet: Hose thread according to ASME B1.20.7.

## 2.3 SUPPLY FITTINGS

- A. NSF Standard: Comply with NSF/ANSI 61 Annex G, "Drinking Water System Components Health Effects," for supply-fitting materials that will be in contact with potable water.
- B. Standard: ASME A112.18.1/CSA B125.1.
- C. Supply Piping: Chrome-plated brass pipe or chrome-plated copper tube matching watersupply piping size. Include chrome-plated brass or stainless-steel wall flange.
- D. Supply Stops: Chrome-plated brass, one-quarter-turn, ball-type or compression valve with inlet connection matching supply piping.
- E. Operation: Wheel handle.
- F. Risers:
  - 1. NPS 1/2.
  - 2. Chrome-plated, rigid-copper pipe.

#### 2.4 WASTE FITTINGS

- A. Standard: ASME A112.18.2/CSA B125.2.
- B. Drain: Grid type with NPS 1-1/2 offset and straight tailpiece.
- C. Trap:
  - 1. Size: NPS 1-1/2.
  - 2. Material: Chrome-plated, two-piece, cast-brass trap and swivel elbow with 0.032inch-thick brass tube to wall; and chrome-plated brass or steel wall flange.
  - 3. Material: Stainless-steel, two-piece trap and swivel elbow with 0.012-inch-thick stainless-steel tube to wall; and stainless-steel wall flange.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

- A. Examine roughing-in of water supply and sanitary drainage and vent piping systems to verify actual locations of piping connections before sink installation.
- B. Examine walls, floors, and counters for suitable conditions where sinks will be installed.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

- A. Install sinks level and plumb according to roughing-in drawings.
- B. Install water-supply piping with stop on each supply to each sink faucet.
  - 1. Exception: Use ball or gate valves if supply stops are not specified with sink. Comply with valve requirements specified in Section 220523.12 "Ball Valves for Plumbing Piping" and Section 220523.15 "Gate Valves for Plumbing Piping."
  - 2. Install stops in locations where they can be easily reached for operation.

## 3.3 CONNECTIONS

- A. Connect sinks with water supplies, stops, and risers, and with traps, soil, waste, and vent piping. Use size fittings required to match fixtures.
- B. Comply with water piping requirements specified in Section 221116 "Domestic Water Piping."
- C. Comply with soil and waste piping requirements specified in Section 221316 "Sanitary Waste and Vent Piping."

#### 3.4 ADJUSTING

- A. Operate and adjust sinks and controls. Replace damaged and malfunctioning sinks, fittings, and controls.
- B. Adjust water pressure at faucets to produce proper flow.

## 3.5 CLEANING AND PROTECTION

- A. After completing installation of sinks, inspect and repair damaged finishes.
- B. Clean sinks, faucets, and other fittings with manufacturers' recommended cleaning methods and materials.
- C. Provide protective covering for installed sinks and fittings.
- D. Do not allow use of sinks for temporary facilities unless approved in writing by Owner.

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# SECTION 22 45 00

## EMERGENCY PLUMBING FIXTURES

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Emergency showers and Eye/face wash Combination units.
  - 2. Water-tempering equipment.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Shop Drawings: Diagram power, signal, and control wiring.

#### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control test reports.

#### 1.4 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

#### 1.5 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. ANSI Standard: Comply with ANSI Z358.1, "Emergency Eyewash and Shower Equipment."
- C. NSF Standard: Comply with NSF 61 Annex G, "Drinking Water System Components -Health Effects," for fixture materials that will be in contact with potable water. <u>Retain</u> paragraph below if fixtures include accessible emergency plumbing fixtures. There are similar Federal Government standards for accessibility; verify requirements with authorities having jurisdiction.

## PART 2 - PRODUCTS

## 2.1 COMBINATION UNITS

- A. Standard, Plumbed Emergency Shower with Eyewash Combination Units,:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. <u>Bradley Corporation</u>.
    - b. <u>Guardian Equipment Co</u>.
    - c. <u>Haws Corporation</u>.
  - 2. Piping:
    - a. Material: Galvanized steel.
    - b. Unit Supply: NPS 1-1/4 minimum.
    - c. Unit Drain: Outlet at back or side near bottom.
  - 3. Shower:
    - a. Capacity: Not less than 20 gpm for at least 15 minutes.
    - b. Supply Piping: NPS 1 with flow regulator and stay-open control valve.
    - c. Control-Valve Actuator: Pull rod.
    - d. Shower Head: 8-inch-minimum diameter, chrome-plated brass or stainless steel.
    - e. Mounting: Pedestal.
  - 4. Eyewash Unit:
    - a. Capacity: Not less than 0.4 gpm for at least 15 minutes.
    - b. Supply Piping: NPS 1/2 with flow regulator and stay-open control valve.
    - c. Control-Valve Actuator: Paddle.
    - d. Spray-Head Assembly: Two receptor-mounted spray heads.
    - e. Receptor: Chrome-plated brass or stainless-steel bowl.
    - f. Mounting: Attached shower pedestal.
    - g. Drench-Hose Option: May be provided instead of eyewash unit.
      - 1) Capacity: Not less than 0.4 gpm for at least 15 minutes.
      - 2) Drench Hose: Hand-held spray head with squeeze-handle actuator and hose.
      - 3) Mounting: Bracket on shower pedestal.

## 2.2 WATER-TEMPERING EQUIPMENT

- A. Hot- and Cold-Water, Water-Tempering Equipment:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
    - a. <u>Bradley Corporation</u>.
    - b. <u>Guardian Equipment Co</u>.
    - c. <u>Haws Corporation</u>.
  - 2. Description: Factory-fabricated equipment with thermostatic mixing valve.
    - a. Thermostatic Mixing Valve: Designed to provide 65 deg F tepid, potable water at emergency plumbing fixtures, to maintain temperature at plus or

minus 5 deg F throughout required 15-minute test period, and in case of unit failure to continue cold-water flow, with union connections, controls, metal piping, and corrosion-resistant enclosure.

b. Supply Connections: For hot and cold water.

## 2.3 SOURCE QUALITY CONTROL

A. Certify performance of emergency plumbing fixtures by independent testing organization acceptable to authorities having jurisdiction.

# PART 3 - EXECUTION

#### 3.1 EMERGENCY PLUMBING FIXTURE INSTALLATION

- A. Assemble emergency plumbing fixture piping, fittings, control valves, and other components.
- B. Install fixtures level and plumb.
- C. Fasten fixtures to substrate.
- D. Install shutoff valves in water-supply piping to fixtures. Use ball or gate valve if specific type valve is not indicated. Install valves chained or locked in open position if permitted. Install valves in locations where they can easily be reached for operation. Comply with requirements for valves specified in Section 220523.12 "Ball Valves for Plumbing Piping".
  - 1. Exception: Omit shutoff valve on supply to group of plumbing fixtures that includes emergency equipment.
  - 2. Exception: Omit shutoff valve on supply to emergency equipment if prohibited by authorities having jurisdiction.
- E. Install dielectric fitting in supply piping to emergency equipment if piping and equipment connections are made of different metals. Comply with requirements for dielectric fittings specified in Section 221116 "Domestic Water Piping."
- F. Install thermometers in supply and outlet piping connections to water-tempering equipment. Comply with requirements for thermometers specified in Section 220519 "Meters and Gages for Plumbing Piping."
- G. Install indirect waste piping on drain outlet of emergency equipment receptors that are indicated to be indirectly connected to drainage system. Comply with requirements for waste piping specified in Section 221316 "Sanitary Waste and Vent Piping."

## 3.2 CONNECTIONS

A. Connect hot- and cold-water-supply piping to hot- and cold-water, water-tempering equipment. Connect output from water-tempering equipment to emergency plumbing

fixtures. Comply with requirements for hot- and cold-water piping specified in Section 221116 "Domestic Water Piping."

- B. Indirectly connect emergency plumbing fixture receptors without trapped drain outlet to sanitary waste or storm drainage piping.
- C. Where installing piping adjacent to emergency plumbing fixtures, allow space for service and maintenance of fixtures.

## 3.3 IDENTIFICATION

A. Install equipment nameplates or equipment markers on emergency plumbing fixtures and equipment and equipment signs on water-tempering equipment. Comply with requirements for identification materials specified in Section 220553 "Identification for Plumbing Piping and Equipment."

## 3.4 FIELD QUALITY CONTROL

- A. Mechanical-Component Testing: After plumbing connections have been made, test for compliance with requirements. Verify ability to achieve indicated capacities.
- B. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection.
  - 2. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
  - 3. Operational Test: After electrical circuitry has been energized, start units to confirm proper unit operation.
  - 4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- C. Emergency plumbing fixtures and water-tempering equipment will be considered defective if they do not pass tests and inspections.
- D. Prepare test and inspection reports.

## 3.5 ADJUSTING

- A. Adjust or replace fixture flow regulators for proper flow.
- B. Adjust equipment temperature settings.

+ + END OF SECTION + +

# SECTION 23 09 23.12

## CONTROL DAMPERS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

A. Section includes the following types of control dampers and actuators for DDC systems:
1. Rectangular manual control dampers.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product, including the following:
  - 1. Construction details, material descriptions, dimensions of individual components and profiles, and finishes.
  - 2. Operating characteristics, electrical characteristics, and furnished accessories indicating process operating range, accuracy over range, control signal over range, default control signal with loss of power, calibration data specific to each unique application, electrical power requirements, and limitations of ambient operating environment, including temperature and humidity.
  - 3. Product description with complete technical data, performance curves, and product specification sheets.
  - 4. Installation instructions, including factors affecting performance.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, and mounting details.
  - 2. Include details of product assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.

#### 1.4 INFORMATIONAL SUBMITTALS

A. Coordination Drawings: Plan drawings and corresponding product installation details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

- 1. Product installation location shown in relationship to room, duct, and equipment.
- 2. Size and location of wall access panels for control dampers and actuators installed behind walls.

## 1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For control dampers to include in operation and maintenance manuals.

# PART 2 - PRODUCTS

# 2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. ASME Compliance: Fabricate and label products to comply with ASME Boiler and Pressure Vessel Code where required by authorities having jurisdiction.
- C. Selection Criteria:
  - 1. Dampers shall have stable operation throughout full range of operation, from design to minimum airflow over varying pressures and temperatures encountered.
  - 2. Two-position dampers shall be full size of duct or equipment connection unless otherwise indicated.

## 2.2 RECTANGULAR CONTROL DAMPERS

- A. General Requirements:
  - 1. Unless otherwise indicated, use parallel blade configuration for two-position control, equipment isolation service, and when mixing two airstreams. For other applications, use opposed blade configuration.
  - 2. Factory assemble multiple damper sections to provide a single damper assembly of size required by the application.
- B. Rectangular Dampers with Aluminum Airfoil Blades:
  - 1. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
    - a. <u>Arrow United Industries</u>.
    - b. <u>Ruskin Company</u>.
    - c. <u>Greenheck</u>
  - 2. Performance:

- a. Leakage: AMCA 511, Class 1A. Leakage shall not exceed 3 cfm/sq. ft. against 1-in. wg differential static pressure.
- b. Pressure Drop: 0.05-in. wg at 1500 fpm across a 24-by-24-inch damper when tested according to AMCA 500-D, figure 5.3.
- c. Velocity: Up to 6000 fpm.
- d. Temperature: Minus 40 to plus 185 deg F.
- e. Pressure Rating: Damper close-off pressure equal to fan shutoff pressure with a maximum blade deflection of 1/200 of blade length.
- f. Damper shall have AMCA seal for both air leakage and air performance.
- 3. Construction:
  - a. Frame:
    - 1) Material: ASTM B 211, Alloy 6063 T5 extruded-aluminum profiles, 0.07 inch thick.
    - 2) Hat-shaped channel with integral flange(s). Mating face shall be a minimum of 1 inch.
    - 3) Width not less than 5 inches.
  - b. Blades:
    - 1) Hollow, airfoil, extruded aluminum.
    - 2) Parallel or opposed blade configuration as required by application.
    - 3) Material: ASTM B 211, Alloy 6063 T5 aluminum, 0.07 inch thick.
    - 4) Width not to exceed 6 inches.
    - 5) Length as required by close-off pressure, not to exceed 48 inches.
  - c. Seals:
    - 1) Blades: Replaceable, mechanically attached extruded silicone, vinyl, or plastic composite.
    - 2) Jambs: Stainless steel, compression type.
  - d. Axles: 0.5-inch-diameter stainless steel, mechanically attached to blades.
  - e. Bearings:
    - 1) Molded synthetic or stainless-steel sleeve mounted in frame.
    - 2) Where blade axles are installed in vertical position, provide thrust bearings.
  - f. Linkage:
    - 1) Concealed in frame.
    - 2) Constructed of aluminum and stainless steel.
    - 3) Hardware: Stainless steel.
  - g. Transition:

Factory mount damper in a sleeve with a close transition to mate to field connection.

- 1) Damper size and sleeve shall be connection size plus 2 inches.
- 2) Sleeve length shall be not less than 12 inches for dampers without jackshafts and shall be not less than 16 inches for dampers with jackshafts.
- 3) Sleeve material shall match adjacent duct.
- h. Additional Corrosion Protection for Corrosive Environments:

- 1) Provide anodized finish for aluminum surfaces in contact with airstream. Anodized finish shall be a minimum of 0.0007 inch thick.
- 2) Axles, damper linkage, and hardware shall be constructed of Type 316L stainless steel.

# PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for dampers and instruments installed in duct systems to verify actual locations of connections before installation.
- C. Prepare written report, endorsed by Installer, listing conditions detrimental to performance.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

# 3.2 INSTALLATION, GENERAL

- A. Furnish and install products required to satisfy most stringent requirements indicated.
- B. Properly support dampers to comply with requirements indicated. Brace all products to prevent lateral movement and sway or a break.
- C. Seal penetrations made in fire-rated and acoustically rated assemblies.
- D. Fastening Hardware:
  - 1. Stillson wrenches, pliers, or other tools that will cause injury to or mar surfaces of rods, nuts, and other parts are prohibited for assembling and tightening nuts.
  - 2. Tighten bolts and nuts firmly and uniformly. Do not overstress threads by excessive force or by oversized wrenches.
  - 3. Lubricate threads of bolts, nuts, and screws with graphite and oil before assembly.
- E. Install products in locations that are accessible and that will permit calibration and maintenance from floor, equipment platforms, or catwalks. Where ladders are required for Owner's access, confirm unrestricted ladder placement is possible under occupied condition.

## 3.3 MANUAL CONTROL DAMPERS

- A. Install smooth transitions, not exceeding 15 degrees, to dampers smaller than adjacent duct. Install transitions as close to damper as possible but at distance to avoid interference and impact to performance. Consult manufacturer for recommended clearance.
- B. Clearance:
  - 1. Locate dampers for easy access and provide separate support of dampers that cannot be handled by service personnel.
  - 2. Install dampers with at least 24 inches of clear space on sides of dampers requiring service access.
- C. Install dampers straight and true, level in all planes, and square in all dimensions. Install supplementary structural steel reinforcement for large multiple-section dampers if factory support alone cannot handle loading.
- D. For duct-mounted and equipment-mounted dampers installed outside of equipment, install a visible and accessible indication of damper position from outside.

# 3.4 ADJUSTMENT, CALIBRATION, AND TESTING:

A. Stroke and adjust control dampers following manufacturer's recommended procedure, from 100 percent open to 100 percent closed back to 100 percent open.

+ + END OF SECTION + +

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# SECTION 23 34 13

## AXIAL HVAC FANS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Vaneaxial fans.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include rated capacities, furnished specialties, and accessories for each fan.
  - 2. Certified fan performance curves with system operating conditions indicated.
  - 3. Certified fan sound-power ratings.
  - 4. Motor ratings and electrical characteristics, plus motor and electrical accessories.
  - 5. Material thickness and finishes, including color charts.
  - 6. Dampers, including housings, linkages, and operators.
  - 7. Fan speed controllers.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, and attachment details.
  - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 3. Include diagrams for power wiring.

## 1.4 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Show fan room layout and relationships between components and adjacent structural and mechanical elements. Show support locations, type of support, and weight on each support. Indicate and certify field measurements.
- B. Field quality-control reports.

#### 1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For axial fans to include in emergency, operation, and maintenance manuals.

#### PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. AMCA Compliance:
  - 1. Comply with AMCA performance requirements and bear the AMCA-Certified Ratings Seal.
  - 2. Operating Limits: Classify according to AMCA 99.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Capacities and Characteristics:1. As listed on the schedules in the contract drawings.

## 2.2 VANEAXIAL FANS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. <u>Greenheck Fan Corporation</u>.
  - 2. Loren Cook Company.
  - 3. <u>PennBarry</u>.
- B. Description: Fan wheel and housing, straightening vane section, factory-mounted motor with belt drive or direct drive, an inlet cone section, and accessories.
- C. Housings: Galvanized steel.
  - 1. Inlet and Outlet Connections: Flanges.
- D. Accessories:
  - 1. Companion Flanges: Rolled flanges of same material as housing.
  - 2. Inspection Door: Bolted door allowing limited access to internal parts of fan, of same material as housing.
  - 3. Propeller Access Section Door: Short duct section bolted to fan [inlet] [and] [outlet] allowing access to internal parts of fan for inspection and cleaning, of same material as housing.
  - 4. Swingout Construction: Assembly allowing entire fan section to swing out from duct for cleaning and servicing, of same material as housing.
  - 5. Mounting Clips: Vertical mounting clips welded to fan housing, of same material as housing.
  - 6. Vertical Support: Short duct section with welded brackets bolted to fan housing, of same material as housing.
  - 7. Outlet Screen: Wire-mesh screen on fans not connected to ductwork, of same material as housing.

- 8. Stall Alarm Probe: Sensing probe capable of detecting fan operation in stall and signaling control devices. Control devices and sequence of operation are specified in Section 230923.23 "Pressure Instruments" and Section 230993.11 "Sequence of Operations for HVAC DDC."
- 9. Flow Measurement Port: Pressure measurement taps installed in the inlet of fan to detect and signal airflow readings to temperature-control systems. Control devices and sequence of operation are specified in Section 230923.14 "Flow Instruments" and Section 230993.11 "Sequence of Operations for HVAC DDC."
- 10. Shaft Seal: Elastomeric seal and Teflon wear plate, suitable for up to 300 deg F.
- 11. Motor Cover: Cover with side vents to dissipate motor heat, of same material as housing.
- 12. Outlet Cone: Round-to-round transition, of same material as housing.
- 13. Direct-Driven Units: Encase motor in housing outside of airstream, factory wired to disconnect switch located on outside of fan housing. Extend lubrication lines to outside of casing and terminate with grease fittings.
- 14. Provide with OSHA rate fan guard on inlet of fan.
- E. Factory Finishes:
  - 1. Sheet Metal Parts: Prime coat before final assembly.
  - 2. Exterior Surfaces: Baked-enamel finish coat after assembly.
  - 3. Coatings: Thermoplastic vinyl;.
    - a. Apply to finished housings.
    - b. Apply to fan wheels.

## 2.3 SOURCE QUALITY CONTROL

- A. Sound-Power Level Ratings: Comply with AMCA 301, "Methods for Calculating Fan Sound Ratings from Laboratory Test Data." Factory test fans according to AMCA 300, "Reverberant Room Method for Sound Testing of Fans." Label fans with the AMCA-Certified Ratings Seal.
- B. Fan Performance Ratings: Establish flow rate, pressure, power, air density, speed of rotation, and efficiency by factory tests and ratings according to AMCA 210/ASHRAE 51, "Laboratory Methods of Testing Fans for Certified Aerodynamic Performance Rating."

## PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Install axial fans level and plumb.
- B. Disassemble and reassemble units, as required for moving to the final location, according to manufacturer's written instructions.

- C. Lift and support units with manufacturer's designated lifting or supporting points.
- D. Install units with clearances for service and maintenance.
- E. Label fans according to requirements specified in Section 230553 "Identification for HVAC Piping and Equipment."

## 3.2 CONNECTIONS

- A. Ground equipment according to Section 260526 "Grounding and Bonding for Electrical Systems."
- B. Connect wiring according to Section 260519 "Low-Voltage Electrical Power Conductors and Cables."

# 3.3 FIELD QUALITY CONTROL

- A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- C. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
  - 1. Verify that shipping, blocking, and bracing are removed.
  - 2. Verify that unit is secure on mountings and supporting devices and that connections to ducts and electrical components are complete. Verify that proper thermal-overload protection is installed in motors, starters, and disconnect switches.
  - 3. Verify that cleaning and adjusting are complete.
  - 4. Disconnect fan drive from motor, verify proper motor rotation direction, and verify fan wheel free rotation and smooth bearing operation. Reconnect fan drive system.
  - 5. Adjust damper linkages for proper damper operation.
  - 6. Verify lubrication for bearings and other moving parts.
  - 7. Verify that manual damper is in fully open position.
  - 8. Disable automatic temperature-control operators, energize motor and confirm proper motor rotation and unit operation, adjust fan to indicated rpm, and measure and record motor voltage and amperage.
  - 9. Shut unit down and reconnect automatic temperature-control operators.
  - 10. Remove and replace malfunctioning units and retest as specified above.
- D. Test and adjust controls and safeties. Controls and equipment will be considered defective if they do not pass tests and inspections.

E. Prepare test and inspection reports.

# 3.4 ADJUSTING

- A. Adjust damper linkages for proper damper operation.
- B. Lubricate bearings.

+ + END OF SECTION + +

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# SECTION 23 37 23

## HVAC GRAVITY VENTILATORS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

A. Section Includes: 1. Roof hoods.

#### 1.3 PERFORMANCE REQUIREMENTS

A. UL listed premanufactured system.

#### 1.4 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.[ For louvered-penthouse ventilators specified to bear AMCA seal, include printed catalog pages showing specified models with appropriate AMCA Certified Ratings Seals.]
- B. Shop Drawings: For gravity ventilators. Include plans, elevations, sections, details, ventilator attachments to curbs, and curb attachments to roof structure.
  - 1. Show weep paths, gaskets, flashing, sealant, and other means of preventing water intrusion.
- C. Samples: For each exposed product and for each color and texture specified.
- D. Samples for Initial Selection: For units with factory-applied color finishes.
- E. Samples for Verification: For each type of louvered-penthouse ventilator indicated, in manufacturer's standard size.
- F. Delegated-Design Submittal: For shop-fabricated ventilators indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
  - 1. Detail fabrication and assembly of shop-fabricated ventilators.

## 1.5 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Roof framing plans and other details, drawn to scale, on which the following items are shown and coordinated with each other, based on input from installers of the items involved:
  - 1. Structural members to which roof curbs and ventilators will be attached.
  - 2. Sizes and locations of roof openings.
- B. Seismic Qualification Certificates: For ventilators, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- C. Welding certificates.

## <u>1.6 QUALITY ASSURANCE</u>

- A. Welding Qualifications: Qualify procedures and personnel according to the following:
  - 1. AWS D1.2/D1.2M, "Structural Welding Code Aluminum."
  - 2. AWS D1.3, "Structural Welding Code Sheet Steel."

## 1.7 COORDINATION

A. Coordinate sizes and locations of roof curbs, equipment supports, and roof penetrations with actual equipment provided.

## PART 2 - PRODUCTS

## 2.1 MATERIALS

- A. Aluminum Extrusions: ASTM B 221, Alloy 6063-T5 or T-52.
- B. Aluminum Sheet: ASTM B 209, Alloy 3003 or 5005 with temper as required for forming or as otherwise recommended by metal producer for required finish.
- C. Fasteners: Same basic metal and alloy as fastened metal or 300 Series stainless steel unless otherwise indicated. Do not use metals that are incompatible with joined materials.
  - 1. Use types and sizes to suit unit installation conditions.
  - 2. Use hex-head or Phillips pan-head screws for exposed fasteners unless otherwise indicated.

D. Bituminous Paint: Cold-applied asphalt emulsion complying with ASTM D 1187.

## 2.2 FABRICATION, GENERAL

- A. Factory or shop fabricate gravity ventilators to minimize field splicing and assembly. Disassemble units to the minimum extent as necessary for shipping and handling. Clearly mark units for reassembly and coordinated installation.
- B. Fabricate frames, including integral bases, to fit in openings of sizes indicated, with allowances made for fabrication and installation tolerances, adjoining material tolerances, and perimeter sealant joints.
- C. Fabricate units with closely fitted joints and exposed connections accurately located and secured.
- D. Fabricate supports, anchorages, and accessories required for complete assembly.
- E. Perform shop welding by AWS-certified procedures and personnel.

## 2.3 ROOF HOODS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:
  - 1. <u>Acme Engineering & Manufacturing Corp.</u>
  - 2. <u>Greenheck Fan Corporation</u>.
  - 3. <u>Loren Cook Company</u>.
- B. Factory or shop fabricate according to SMACNA's "HVAC Duct Construction Standards Metal and Flexible," Figures 6-6 and 6-7.
- C. Materials: Aluminum sheet, minimum 0.063-inch-thick base and 0.050-inch-thick hood; suitably reinforced.
- D. Roof Curbs: Galvanized-steel sheet; with mitered and welded corners; 1-1/2-inch-thick, rigid fiberglass insulation adhered to inside walls; and 1-1/2-inch wood nailer. Size as required to fit roof opening and ventilator base.
  - 1. Configuration: Built-in cant and mounting flange.
  - 2. Overall Height: 12 inches minimum.
- E. Bird Screening: Stainless-steel, 1/2-inch-square mesh, 0.047-inch wire.
- F. Insect Screening: Stainless-steel, 18-by-18 mesh, 0.009-inch wire.
- G. Aluminum Sheet Finish:

- 1. Surface Preparation: Clean surfaces of dirt, grease, and other contaminants. Clean welds, mechanical connections, and abraded areas and repair galvanizing according to ASTM A 780. Apply a conversion coating suited to the organic coating to be applied over it.
- 2. Baked-Enamel Finish: Immediately after cleaning and pretreating, apply manufacturer's standard finish consisting of prime coat and thermosetting topcoat, with a minimum dry film thickness of 1 mil for topcoat and an overall minimum dry film thickness of 2 mils.
- H. Capacities and Characteristics:
  - 1. As scheduled on the contract drawings.

# PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Install roof hoods level, plumb, and at indicated alignment with adjacent work.
- B. Install roof hoods with clearances for service and maintenance.
- C. Install perimeter reveals and openings of uniform width for sealants and joint fillers, as indicated.
- D. Install concealed gaskets, flashings, joint fillers, and insulation as installation progresses.
- E. Repair finishes damaged by cutting, welding, soldering, and grinding. Restore finishes so no evidence remains of corrective work. Return items that cannot be refinished in the field to the factory, make required alterations, and refinish entire unit or provide new units.

## 3.2 ADJUSTING

A. Adjust damper linkages for proper damper operation.

+ + END OF SECTION + +
# SECTION 23 82 39.19

## WALL AND CEILING UNIT HEATERS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

A. Section includes wall and ceiling heaters with propeller fans and electric-resistance heating coils.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include rated capacities, operating characteristics, furnished specialties, and accessories.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, and details.
  - 2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 3. Include details of anchorages and attachments to structure and to supported equipment.
  - 4. Include equipment schedules to indicate rated capacities, operating characteristics, furnished specialties, and accessories.
  - 5. Wiring Diagrams: Power, signal, and control wiring.
- C. Samples: For each exposed product and for each color and texture specified.

## 1.4 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For wall and ceiling unit heaters to include in emergency, operation, and maintenance manuals.

## PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. <u>INDEECO</u>.
  - 2. **QMark; Marley Engineered Products**.
  - 3. <u>Trane</u>.

#### 2.2 DESCRIPTION

- A. Assembly including chassis, electric heating coil, fan, motor, and controls. Comply with UL 2021.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

#### 2.3 CABINET

- A. Front Panel: Stamped-steel louver, with removable panels fastened with tamperproof fasteners.
- B. Finish: Baked enamel over baked-on primer with manufacturer's standard color, applied to factory-assembled and -tested wall and ceiling heaters before shipping.
- C. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.
- D. Surface-Mounted Cabinet Enclosure: Steel with finish to match cabinet.

#### <u>2.4 COIL</u>

A. Electric-Resistance Heating Coil: Nickel-chromium heating wire, free from expansion noise and 60-Hz hum, embedded in magnesium oxide refractory and sealed in corrosion-resistant metallic sheath. Terminate elements in stainless-steel, machine-staked terminals secured with stainless-steel hardware, and limit controls for high-temperature protection.

#### 2.5 FAN AND MOTOR

A. Fan: Aluminum propeller directly connected to motor.

B. Motor: Permanently lubricated.

### 2.6 CONTROLS

- A. Controls: Unit-mounted thermostat.
- B. Electrical Connection: Factory wire motors and controls for a single field connection with disconnect switch.

#### 2.7 CAPACITIES AND CHARACTERISTICS

A. As listed on the contract drawings.

### PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas to receive wall and ceiling unit heaters for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for electrical connections to verify actual locations before unitheater installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

## 3.2 INSTALLATION

- A. Install wall and ceiling unit heaters to comply with NFPA 90A.
- B. Install wall and ceiling unit heaters level and plumb.
- C. Install wall-mounted thermostats and switch controls in electrical outlet boxes at heights to match lighting controls. Verify location of thermostats and other exposed control sensors with Drawings and room details before installation.
- D. Ground equipment according to Section 26 05 26 "Grounding and Bonding for Electrical Systems."
- E. Connect wiring according to Section 26 05 19 "Low-Voltage Electrical Power Conductors and Cables."

+ + END OF SECTION + +

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### SECTION 26 05 00

### GENERAL ELECTRICAL REQUIREMENTS

### PART 1 - GENERAL

#### 1.1 GENERAL

A. Applicable provisions of the Information for Bidders, General and Special Clauses, General Requirements, govern the work of this section.

#### 1.2 WORK INCLUDED

- A. The work under this Division shall consist of all labor, materials, equipment and services necessary and required to complete all electrical as shown on the Drawings, as described in the specifications, or as inferable from the Drawings and Specifications. Where the words provide or install are used singularly or in combination, it shall mean to furnish and install complete for fully functioning and operational systems. The work shall include but not necessarily be limited to the following:
  - 1. Disconnection of existing underground secondary service.
  - 2. Secondary service including metering equipment.
  - 3. Lighting and power panelboards in accordance with drawings, all as indicated on the drawings.
  - 4. Building mains and feeders in accordance with the drawing.
  - 5. Conduit, wiring, outlet boxes, switches, convenience receptacles, etc. for lighting, branches, and relays.
  - 6. Motor disconnect switches as required by Code as shown.
  - 7. Setting controllers furnished by other trades.
  - 8. Lighting fixtures and lamps.
  - 9. Temporary light and power.
  - 10. Setting of all sleeves, hanger supports and the like.
  - 11. Trenching, excavation and backfill.
  - 12. Cutting and patching for installation of electric work.
  - 13. Testing, adjustments and instructions.
  - 14. Provide shop drawings for all work.

#### 1.3 CODES AND STANDARDS

- A. All materials furnished and all work installed shall comply, where applicable, with the requirements of the current New York State Building Code, Local Codes and the 2012 National Electrical Code. Whenever reference is made of "National Electrical Code" or "NEC," it shall mean the 2012 National Electrical Code.
- B. Material and work shall comply with other Codes and Standards as may be specified or referenced.

C. Where applicable or specified herein, all material and devices furnished shall meet requirements of Underwriters' Laboratories Inc., shall be U.L. listed and where further applicable, shall bear the U.L. listing mark.

# 1.4 POWER SHUTDOWN

- A. The Contractor will schedule and coordinate shutdowns two weeks in advance with the Engineer.
- B. The Contractor shall include the cost of performing work during other than normal work hours at overtime or premium wage rates in the bid price. The Contractor will not receive any separate or additional payment for work during other than normal working hours above lump sum bid for work included under this Contract.

# 1.5 FINAL TEST AND INSPECTION

- A. The Contractor shall be required to demonstrate to the satisfaction of the Engineer that all the electrical systems, equipment and devices operate as specified.
- B. The Contractor shall test the fire systems for proper operation to the satisfaction of the Engineer.
- C. All existing systems shall first be tested by owner to insure total system functioning. The contractor shall adapt, connect to, or modify systems as required.
- D. Provide fire underwriters certificate of inspection.

# 1.6 TEMPORARY ELECTRIC LIGHT AND POWER

A. The Electrical Contractor shall be responsible for furnishing, installing, maintaining, and upon completion removing, a system of temporary light and power, including ground fault protection for the use of all construction trades and contracts.

## 1.7 CUTTING AND PATCHING

- A. The Contractor shall provide all necessary cutting of the walls, floors, ceilings, etc. for installation of conduit, outlet boxes, etc. Cutting shall be kept to a minimum, all areas shall be spray painted for approval prior to any cutting.
- B. All finished patching and painting to be by this Contractor. The Electrical Contractor shall completely fill all openings left by the removal of conduit, equipment, etc., with regard to floor openings, plywood shall be attached to the underside of the slab to facilitate the filling of the opening completely.

## <u>1.8 FIREPROOFING</u>

A. All openings through fire proof barriers shall be fully resealed to maintain the fire rating with 3M CP25WB caulking or approved equal.

B. Fire rated barrier and non-flammable supports for floor openings to be KBS Sealbags or equal.

## 1.9 HEAT SCAN

- A. Upon completion of all work under the contract, the Contractor shall perform a heat scan survey of all his work.
- B. Scan shall be performed while the facility is under full operation, and equipment at full load.
- C. Equipment shall be capable of taking pictures of all areas, especially problem locations.
- D. Results shall be neatly assembled and labeled in a binder for the Owner after the Engineer's approval.

# 1.10 PERFORMANCE REQUIREMENTS

- A. The electrical contractor shall verify that all terminations on contract equipment is proper. Testing for phase rotation, continuity and full operation of the equipment shall be performed.
- B. The electrical contractor shall render full assistance to all trades for control wiring sequence and unit operation testing.

## 1.11 ROOF PENETRATIONS

- A. No conduit penetrations shall be made through roofs without prior permission of the Engineer and Owner.
- B. Any penetrations allowed will be performed using pitch pockets as approved by the Engineer.

## 1.12 WALL PENETRATIONS

A. All wall penetrations for conduit shall be performed using pre-manufactured wall sleeves as manufactured by OZ Gedney or equal.

## 1.13 TORQUE REQUIREMENTS

- A. All equipment and cable connections shall be tightened to the torque values determined by the manufacturer.
- B. Assemble all information after the work is complete for the owner.

# 1.14 HARMONIC ANALYSIS

- A. Upon completion of all work included in the Contract the Contractor shall perform a total harmonic distortion analysis of the voltage and current waveforms.
- B. Harmonic analysis is to be performed when facility is at full operation and all equipment provided under contract is energized.
- C. A report with the results along with the units print out is to be submitted to the Engineer for approval.

## 1.15 WORKMANSHIP

- A. The Contractor shall perform all operations necessary for the proper installation and operation of all systems.
- B. All work performed shall be first class work in every respect. The work shall be performed by mechanics skilled in their respective trades, who shall at all times be under the supervision of competent persons.
- C. Work that is slipshod, poorly laid out, not perfectly aligned, or that is not consistent with the requirements generally accepted in the trade for "first class work" will not be acceptable.
- D. In addition to the materials specified elsewhere, all other miscellaneous items be necessary for the completion of the work shall be furnished and installed by the Contractor to the extent that all systems be complete and operative.
- E. Electrical Contractor shall submit references for the foreman to run the project. Electrical Foreman shall have a minimum of five (5) years experience as a working foreman.

## 1.16 REGULATIONS AND CERTIFICATES

- A. All work required by the Drawings and Specifications shall be installed to comply with all applicable building laws, regulations and ordinances of the State of New York, and local laws and regulations as may apply, except where these requirements are exceeded by the Drawings and Specifications in quality or quantity.
- B. Any and all changes in the arrangement of the work, either before or after installation, to suit conditions in the building or the work of other trades, and any and all changes required by agencies having jurisdiction shall be made without extra charge, unless the charges are in consequence of changes made by the Owner.

## 1.17 OPENINGS

A. The admittance into the building of all equipment and materials furnished under this Contract shall be through finished openings. The Contractor shall refer to the Owner for specific requirements relative to the use of building freight elevator and other existing facilities.

## 1.18 TRENCHING, EXCAVATION, BACKFILL & CONCRETE

A. Contractor shall perform the required trench, excavation, backfill and sand backfill as indicated on the Drawings and as specified herein.

# 1.19 EXPEDITING THE WORK

A. The Contractor shall take all measurements at the job, verify all figured dimensions indicated on the construction drawings, familiarize himself to assure complete knowledge of code requirements and coordinate the work with other trades so as to cause no delay in the work and to eliminate wherever possible future cutting and patching. Any discrepancies or interference shall be reported immediately to the Owner.

## 1.20 PROTECTION OF THE WORK

- A. The Contractor shall provide temporary covering and do all work required to protect work, materials, machinery and equipment from all damage from moisture.
- B. After the work is completed, the Contractor shall clean all equipment and piping.

## 1.21 OPERATING AND MAINTENANCE INSTRUCTIONS

- A. The Contractor shall furnish to the Owner four sets of written operating, maintenance and lubrication instructions for all installed systems and equipment. Instructions shall include copies of all designated approved shop drawings, manufacturer's descriptive data, control diagrams, wiring diagrams, performance test data, test and balance reports and installation and operating instructions as specified.
  - 1)
- B. The above instructions, charts, etc. shall be submitted to the Engineer as a rough draft and after the required corrections are made, six (6) sets in looseleaf, hardback binders, CDs, suitable indexed and identified, shall be furnished to the Owner.
- C. The Owner's designated operating personnel shall be instructed in the proper operation and maintenance of the equipment as well as the operation and maintenance of the controls for the various systems by the vendor's representative. Informal or unwitnessed instructions, or instructions to non-designated personnel will not be acceptable. In addition to the instruction periods specified elsewhere, the Contractor shall furnish instruction for a minimum of two (2) working days straight time not necessarily consecutive. Prior arrangements for instruction periods shall be made with the Owner.

D. Final payment will not be granted until all manuals and training have been provided to the Owner/Owners representative.

## 1.22 RECORD DRAWINGS

- A. The Contractor shall maintain an accurate record set of reproducable as-built drawings of any deviations in work as actually installed form the work as indicated on the design drawings. The Contractor shall utilize the contract design drawings for marking up any deviations to the drawings. The record shall be kept current and available at the site for inspection.
- B. As-built drawings shall be updated at the site as work progresses.
- C. Final payment will not be granted until all final as-built drawings are delivered to the Owner/Owners Representative.
- D. Contractor shall furnish as-built drawings to Engineer at 100% of project completion. As-builts are to be submitted in AutoCAD computer format. Submit one set of discs and one set of blueprints.

## 1.23 GUARANTEE

- A. The Contractor shall guarantee clean power throughout the new systems.
- B. The Contractor shall guarantee that the capacity of all new equipment installed meets Specification requirements.
- C. The Contractor shall guarantee that all new systems will operate without excessive noise and vibration.
- D. The Contractor shall obtain from the various manufacturers or vendors standard guarantees or warranties for their particular equipment or components for a period of at least one year, and deliver them to the Owner.

## 1.24 EQUIPMENT GROUNDING

A. All equipment, panels and devices (except motors) which require electrical connections shall be furnished with a factory-welded (prior to finish painting) ground lug in a concealed and accessible location.

## 1.25 FINAL INSPECTION

- A. The Contractor shall conduct a final inspection of all work installed under each Section of the Specification after the installation has been completed; the testing hereinafter specified has been performed; and test reports have been submitted.
- B. During the conduct of the final inspection, the Contractor shall have present a representative of the various manufacturers and a representative of the manufacturers of other pertinent equipment as directed by the Owner.

- C. The Contractor shall include in his bid a testing period of two (2) working days wherein all aspects of the electrical systems specified herein will be tested in accordance with detailed test procedures which will be issued by the Owner at a later date. The Contractor shall provide sufficient technical personnel and instruments to perform the tests as directed by the Owner. Personnel for each working day shall include one mechanic, one helper, manufacturer's representative as required, plus GC and HVAC supervisory personnel. The testing period specified herein is in addition to all other testing or instruction periods included in these specifications.
- D. The Contractor shall demonstrate, to the satisfaction of the Owner, that the systems installed meet Specification requirements and that the capacities and performances of the equipment meets schedule requirements. The contractor shall make all changes, modifications and adjustments to the installed systems, as directed by the Owner, to meet Specifications requirements, at no additional cost to the Owner.

# 1.26 ALTERATION AND REMOVAL OF EXISTING WORK

- A. The contractor shall refer to the Contract Documents, for specific requirements relative to the existing facilities and the Sequence of work.
- B. All existing systems shall be maintained in operation during the construction period as directed by the Owner. Existing systems shall not be shut down nor shall connections be made thereto without prior approval of the Owner.
- C. The Contractor shall relocate all existing conduit hangers and supports, as required to accommodate the new installation at no additional costs to the Owner. This includes all work in spaces where new work is specified under this Contract.
- D. Unless otherwise specified or indicated on the Drawings, all equipment, piping, appurtenances, etc. are indicated to be removed from the site when directed by the Owner.

## <u>1.27 SHOP DRAWING</u>S

A. The Contractor shall submit copies of manufacturer's shop drawings and descriptive literature together with the manufacturer's installation, operating and maintenance instructions, for all equipment to be incorporated in the work including all required wiring diagrams and shall obtain approval before proceeding with the installation.

- B. The Contractor shall submit copies of shop drawings at 1/4 inch scale or larger showing all conduit mains, including connections to equipment, and all equipment layouts and shall obtain approval before proceeding with the work. Shop drawings shall be accurately dimensioned so that conduit clears all structural members and other work incorporated in the project. The Contractor shall take all shop drawing measurements at the building.
- C. The Contractor shall submit the following shop drawings, manufacturer's brochures, manufacturer's installation and operating instructions, etc. for approval before proceeding with the work:
  - 1. Wire
  - 2. Raceways
  - 3. Wiring Devices
  - 4. Disconnects
  - 5. Lighting
  - 6. Grounding
  - 7. Hangers and Supports
  - 8. Sleeves
  - 9. Identification
  - 10. Panelboards
  - 11. Enclosed Circuit Breakers
- D. Acceptance of shop drawings does not absolve the Contractor to provide specified materials and function in the intended manner.

# 1.28 SHOP DRAWING SUBMISSION

- A. All shop drawings submitted shall be in electronic, PDF format.
- B. The transmittal shall have all appropriate information including, project name, date, specification section, submission number, and item description. It is recommended that the attached transmittal form be used for expedient turn over.
- C. If this format is not followed, the Engineer reserves the right to reject any submission.
- D. Facsimiles will not be accepted for shop drawings.

+ + END OF SECTION + +

# SECTION 26 05 19

# LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Copper building wire rated 600 V or less.
  - 2. Connectors, splices, and terminations rated 600 V and less.
- B. Related Requirements:
  - 1. Section 260523 "Control-Voltage Electrical Power Cables" for control systems communications cables and Classes 1, 2, and 3 control cables.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Product Schedule: Indicate type, use, location, and termination locations.

#### 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

## PART 2 - PRODUCTS

#### 2.1 COPPER BUILDING WIRE

- A. Description: Flexible, insulated and uninsulated, drawn copper current-carrying conductor with an overall insulation layer or jacket, or both, rated 600 V or less.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. General Cable Technologies Corporation.
  - 2. Okonite Company (The).
  - 3. Southwire Company.
- C. Standards:

- 1. Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- 2. RoHS compliant.
- 3. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."
- D. Conductors: Copper, complying with ASTM B3 for bare annealed copper and with ASTM B8 for stranded conductors.
- E. Conductor Insulation:
  - 1. Type NM: Comply with UL 83 and UL 719.
  - 2. Type USE-2 and Type SE: Comply with UL 854.
  - 3. Type XHHW-2: Comply with UL 44.

## 2.2 CONNECTORS AND SPLICES

- A. Description: Factory-fabricated connectors, splices, and lugs of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. 3M Electrical Products.
  - 2. Ideal Industries, Inc.
  - 3. ILSCO.
- C. Jacketed Cable Connectors: For steel and aluminum jacketed cables, zinc die-cast with set screws, designed to connect conductors specified in this Section.
- D. Lugs: One piece, seamless, designed to terminate conductors specified in this Section.
  - 1. Material: Copper.
  - 2. Type: One hole with standard long barrels.
  - 3. Termination: Compression.

## PART 3 - EXECUTION

## 3.1 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Feeders: Copper for feeders smaller than No. 4 AWG; copper or aluminum for feeders No. 4 AWG and larger. Conductors shall be solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

- C. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- D. Branch Circuits: Copper. Solid for No. 12 AWG and smaller; stranded for No. 10 AWG and larger.
- E. Power-Limited Fire Alarm and Control: Solid for No. 12 AWG and smaller.

# 3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Service Entrance: Type USE, single conductor in raceway.
- B. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspaces: Type XHHW-2, single conductors in raceway.
- C. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type XHHW-2, single conductors in raceway.
- D. Exposed Branch Circuits, Including in Crawlspaces: Type XHHW-2, single conductors in raceway.
- E. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type XHHW-2, single conductors in raceway.
- F. Coordinate "Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground" Paragraph below with Section 260543 "Underground Ducts and Raceways for Electrical Systems."

## 3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Complete raceway installation between conductor and cable termination points according to Section 260533 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.

F. Support cables according to Section 260529 "Hangers and Supports for Electrical Systems."

## 3.4 INSTALLATION OF FIRE-ALARM WIRING

- A. Comply with NECA 1 and NFPA 72.
- B. Wiring Method: Install wiring in metal pathway according to Section 280528 "Pathways for Electronic Safety and Security."
  - 1. Install plenum cable in environmental airspaces, including plenum ceilings.
  - 2. Fire-alarm circuits and equipment control wiring associated with fire-alarm system shall be installed in a dedicated pathway system. This system shall not be used for any other wire or cable.
- C. Wiring Method:
  - 1. Cables and pathways used for fire-alarm circuits, and equipment control wiring associated with fire-alarm system, may not contain any other wire or cable.
  - 2. Fire-Rated Cables: Use of two-hour, fire-rated fire-alarm cables, NFPA 70, Types MI and CI, is permitted.
  - 3. Signaling Line Circuits: Power-limited fire-alarm cables may be installed in the same cable or pathway as signaling line circuits.
- D. Wiring within Enclosures: Separate power-limited and non-power-limited conductors as recommended by manufacturer. Install conductors parallel with or at right angles to sides and back of the enclosure. Bundle, lace, and train conductors to terminal points with no excess. Connect conductors that are terminated, spliced, or interrupted in any enclosure associated with fire-alarm system to terminal blocks. Mark each terminal according to system's wiring diagrams. Make all connections with approved crimp-on terminal spade lugs, pressure-type terminal blocks, or plug connectors.
- E. Cable Taps: Use numbered terminal strips in junction, pull, and outlet boxes, cabinets, or equipment enclosures where circuit connections are made.
- F. Color-Coding: Color-code fire-alarm conductors differently from the normal building power wiring. Use one color-code for alarm circuit wiring and another for supervisory circuits. Color-code audible alarm-indicating circuits differently from alarm-initiating circuits. Use different colors for visible alarm-indicating devices. Paint fire-alarm system junction boxes and covers red.
- G. Risers: Install at least two vertical cable risers to serve the fire-alarm system. Separate risers in close proximity to each other with a minimum one-hour-rated wall, so the loss of one riser does not prevent receipt or transmission of signals from other floors or zones.
- H. Wiring to Remote Alarm Transmitting Device: 1-inch conduit between the fire-alarm control panel and the transmitter. Install number of conductors and electrical supervision for connecting wiring as needed to suit monitoring function.

### 3.5 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
  - 1. Use oxide inhibitor in each splice, termination, and tap for aluminum conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 6" minimum of slack.

## 3.6 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 260553 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

## 3.7 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL <u>PENETRATIONS</u>

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

## 3.8 FIRESTOPPING

A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 078413 "Penetration Firestopping."

## + + END OF SECTION + +

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# SECTION 26 05 23

# CONTROL-VOLTAGE ELECTRICAL POWER CABLES

## PART 1 - GENERAL

#### 1.1 SUMMARY

### A. Section Includes:

- 1. Category 6 balanced twisted pair cable.
- 2. Balanced twisted pair cabling hardware.
- 3. Low-voltage control cabling.
- 4. Control-circuit conductors.

#### <u>1.2 DEFINITIONS</u>

A. Low Voltage: As defined in NFPA 70 for circuits and equipment operating at less than 50 V or for remote-control and signaling power-limited circuits.

#### 1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

#### 1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For testing agency, RCDD, layout technician, installation supervisor, and field inspector.
- B. Source quality-control reports.
- C. Field quality-control reports.

## 1.5 QUALITY ASSURANCE

A. Testing Agency Qualifications: Accredited by NETA.
1. Testing Agency's Field Supervisor: Currently certified by BICSI as an RCDD.

## PART 2 - PRODUCTS

### 2.1 PERFORMANCE REQUIREMENTS

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Flame Travel and Smoke Density in Plenums: As determined by testing identical products according to NFPA 262, by a qualified testing agency. Identify products for installation in plenums with appropriate markings of applicable testing agency.
  - 1. Flame Travel Distance: 60 inches (1520 mm) or less.
  - 2. Peak Optical Smoke Density: 0.5 or less.
  - 3. Average Optical Smoke Density: 0.15 or less.
- C. Flame Travel and Smoke Density for Riser Cables in Non-Plenum Building Spaces: As determined by testing identical products according to UL 1666.
- D. Flame Travel and Smoke Density for Cables in Non-Riser Applications and Non-Plenum Building Spaces: As determined by testing identical products according to UL 1685.
- E. RoHS compliant.

## 2.2 CATEGORY 6 BALANCED TWISTED PAIR CABLE

- A. Description: Four-pair, balanced-twisted pair cable, certified to meet transmission characteristics of Category 6 cable at frequencies up to 1000 MHz.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Belden Inc.
  - 2. Berk-Tek Leviton; a Nexans/Leviton alliance.
  - 3. CommScope, Inc.
- C. Standard: Comply with ICEA S-90-661, NEMA WC 63.1, and TIA-568-C.2 for Category 6 cables.
- D. Conductors: 100-ohm, 24 AWG solid copper.
- E. Shielding/Screening: Shielded twisted pairs (FTP).
- F. Cable Rating: Riser.
- G. Jacket: Gray thermoplastic.

## 2.3 BALANCED TWISTED PAIR CABLE HARDWARE

- A. Description: Hardware designed to connect, splice, and terminate balanced twisted pair copper communications cable.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Belden CDT Networking Division/NORDX.
  - 2. Berk-Tek Leviton; a Nexans/Leviton alliance.
  - 3. CommScope, Inc.
- C. General Requirements for Balanced Twisted Pair Cable Hardware:
  - 1. Comply with the performance requirements of Category 6.
  - 2. Comply with TIA-568-C.2, IDC type, with modules designed for punch-down caps or tools.
  - 3. Cables shall be terminated with connecting hardware of same category or higher.
- D. Source Limitations: Obtain balanced twisted pair cable hardware from same manufacturer as balanced twisted pair cable, from single source.
- E. Connecting Blocks: 110-style IDC for Category 6. Provide blocks for the number of cables terminated on the block, plus 25 percent spare, integral with connector bodies, including plugs and jacks where indicated.
- F. Cross-Connect: Modular array of connecting blocks arranged to terminate building cables and permit interconnection between cables.
  - 1. Number of Terminals per Field: One for each conductor in assigned cables.
- G. Patch Panel: Modular panels housing numbered jack units with IDC-type connectors at each jack location for permanent termination of pair groups of installed cables.
  - 1. Features:
    - a. Universal T568A and T568B wiring labels.
    - b. Labeling areas adjacent to conductors.
    - c. Replaceable connectors.
    - d. 24 or 48 ports.
  - 2. Construction: 16-gauge steel and mountable on 19-inch equipment racks.
  - 3. Number of Jacks per Field: One for each four-pair conductor group of indicated cables, plus spares and blank positions adequate to suit specified expansion criteria.
- H. Patch Cords: Factory-made, four-pair cables in **36-inch** lengths; terminated with an eight-position modular plug at each end.
  - 1. Patch cords shall have bend-relief-compliant boots and color-coded icons to ensure performance. Patch cords shall have latch guards to protect against snagging.
  - 2. Patch cords shall have color-coded boots for circuit identification.

- I. Plugs and Plug Assemblies:
  - 1. Male; eight position; color-coded modular telecommunications connector designed for termination of a single four-pair 100-ohm unshielded or shielded balanced twisted pair cable.
  - 2. Comply with IEC 60603-7-1, IEC 60603-7-2, IEC 60603-7-3, IEC 60603-7-4, and IEC 60603-7.5.
  - 3. Marked to indicate transmission performance.
- J. Jacks and Jack Assemblies:
  - 1. Female; eight position; modular; fixed telecommunications connector designed for termination of a single four-pair 100-ohm unshielded or shielded balanced twisted pair cable.
  - 2. Designed to snap-in to a patch panel or faceplate.
  - 3. Standards.
    - a. Category 6, shielded balanced twisted pair cable shall comply with IEC 60603-7.5.
  - 4. Marked to indicate transmission performance.
- K. Faceplate:
  - 1. Four port, vertical single gang faceplates designed to mount to single gang wall boxes.
  - 2. Eight port, vertical double gang faceplates designed to mount to double gang wall boxes.
  - 3. Plastic Faceplate: High-impact plastic. Coordinate color with Section 262726 "Wiring Devices."
  - 4. Metal Faceplate: Stainless steel, complying with requirements in Section 262726 "Wiring Devices."
  - 5. For use with snap-in jacks accommodating any combination of balanced twisted pair, optical fiber, and coaxial work area cords.
    - a. Flush mounting jacks, positioning the cord at a 45-degree angle.
- L. Legend:
  - 1. Machine printed, in the field, using adhesive-tape label.
  - 2. Snap-in, clear-label covers and machine-printed paper inserts.

## 2.4 LOW-VOLTAGE CONTROL CABLE

- A. Plenum-Rated, Paired Cable: NFPA 70, Type CMP.
  - 1. One pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
  - 2. PVC insulation.
  - 3. Unshielded.
  - 4. PVC jacket.
  - 5. Flame Resistance: Comply with NFPA 262.

### 2.5 CONTROL-CIRCUIT CONDUCTORS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. General Cable; General Cable Corporation.
  - 2. Service Wire Co.
  - 3. Southwire Company.
- B. Class 1 Control Circuits: Stranded copper, Type XHHW-2, complying with UL 83 in raceway.
- C. Class 2 Control Circuits: Stranded copper, Type XHHW-2, complying with UL 83 in raceway.
- D. Class 3 Remote-Control and Signal Circuits: Stranded copper, Type XHHW-2, complying with UL 83 in raceway.

## 2.6 SOURCE QUALITY CONTROL

- A. Factory test balanced twisted pair cables according to TIA-568-C.2.
- B. Cable will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.

## PART 3 - EXECUTION

## 3.1 EXAMINATION

A. Test cables on receipt at Project site.1. Test each pair of twisted pair cable for open and short circuits.

## 3.2 INSTALLATION OF RACEWAYS AND BOXES

- A. Comply with requirements in Section 260533 "Raceways and Boxes for Electrical Systems" for raceway selection and installation requirements for boxes, conduits, and wireways as supplemented or modified in this Section.
  - 1. Outlet boxes shall be no smaller than 2 inches wide, 3 high, and 2-1/2 inches deep.
  - 2. Flexible metal conduit shall not be used.

- B. Comply with TIA-569-D for pull-box sizing and length of conduit and number of bends between pull points.
- C. Install manufactured conduit sweeps and long-radius elbows if possible.

# 3.3 INSTALLATION OF CONDUCTORS AND CABLES

- A. Comply with NECA 1.
- B. General Requirements for Cabling:
  - 1. Comply with TIA-568-C Series of standards.
  - 2. Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems."
  - 3. Terminate all conductors; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
  - 4. Cables may not be spliced and shall be continuous from terminal to terminal. Do not splice cable between termination, tap, or junction points.
  - 5. Cables serving a common system may be grouped in a common raceway. Install network cabling and control wiring and cable in separate raceway from power wiring. Do not group conductors from different systems or different voltages.
  - 6. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
  - 7. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Install lacing bars and distribution spools.
  - 8. Do not install bruised, kinked, scored, deformed, or abraded cable. Remove and discard cable if damaged during installation and replace it with new cable.
  - 9. Cold-Weather Installation: Bring cable to room temperature before dereeling. Do not use heat lamps for heating.
  - 10. Pulling Cable: Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems." Monitor cable pull tensions.
  - 11. Support: Do not allow cables to lay on removable ceiling tiles.
  - 12. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.
  - 13. Provide strain relief.
  - 14. Keep runs short. Allow extra length for connecting to terminals. Do not bend cables in a radius less than 10 times the cable OD. Use sleeves or grommets to protect cables from vibration at points where they pass around sharp corners and through penetrations.
  - 15. Ground wire shall be copper, and grounding methods shall comply with IEEE C2. Demonstrate ground resistance.
- C. Balanced Twisted Pair Cable Installation:
  - 1. Comply with TIA-568-C.2.

- 2. Install termination hardware as specified in Section 271513 "Communications Copper Horizontal Cabling" unless otherwise indicated.
- 3. Do not untwist UTP cables more than 1/2 inch at the point of termination to maintain cable geometry.
- D. Installation of Control-Circuit Conductors:
  - 1. Install wiring in raceways. Comply with requirements specified in Section 260533 "Raceways and Boxes for Electrical Systems."

# 3.4 REMOVAL OF CONDUCTORS AND CABLES

A. Remove abandoned conductors and cables. Abandoned conductors and cables are those installed that are not terminated at equipment and are not identified with a tag for future use.

# 3.5 CONTROL-CIRCUIT CONDUCTORS

- A. Minimum Conductor Sizes:
  - 1. Class 1 remote-control and signal circuits; No 14 AWG.
  - 2. Class 2 low-energy, remote-control, and signal circuits; No. 16 AWG.

# 3.6 FIRESTOPPING

- A. Comply with requirements in Section 078413 "Penetration Firestopping."
- B. Comply with TIA-569-D, Annex A, "Firestopping."
- C. Comply with BICSI TDMM, "Firestopping" Chapter.

## 3.7 GROUNDING

- A. For data communication wiring, comply with TIA-607-B and with BICSI TDMM, "Bonding and Grounding (Earthing)" Chapter.
- B. For low-voltage control wiring and cabling, comply with requirements in Section 260526 "Grounding and Bonding for Electrical Systems."

## 3.8 IDENTIFICATION

A. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

- B. Identify data and communications system components, wiring, and cabling according to TIA-606-B; label printers shall use label stocks, laminating adhesives, and inks complying with UL 969.
- C. Identify each wire on each end and at each terminal with a number-coded identification tag. Each wire shall have a unique tag.

# 3.9 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. Visually inspect cable jacket materials for UL or third-party certification markings. Inspect cabling terminations to confirm color-coding for pin assignments, and inspect cabling connections to confirm compliance with TIA-568-C.1.
  - 2. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
- C. End-to-end cabling will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

+ + END OF SECTION + +

# SECTION 26 05 26

## GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes grounding and bonding systems and equipment.
- B. Section includes grounding and bonding systems and equipment, plus the following special applications:
  - 1. Underground distribution grounding.
  - 2. Ground bonding common with lightning protection system.
  - 3. Foundation steel electrodes.

### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article.
- B. Qualification Data: For testing agency and testing agency's field supervisor.
- C. Field quality-control reports.

#### 1.4 CLOSEOUT SUBMITTALS

- A. Operation and maintenance data.
  - 1. Plans showing as-built, dimensioned locations of system described in "Field Quality Control" Article, including the following:
    - a. Ground rods.
    - b. Ground rings.
    - c. Grounding arrangements and connections for separately derived systems.
  - 2. Instructions for periodic testing and inspection of grounding features at ground rings, grounding connections for separately derived systems based on NETA MTS.

- a. Tests shall determine if ground-resistance or impedance values remain within specified maximums, and instructions shall recommend corrective action if values do not.
- b. Include recommended testing intervals.

# 1.5 QUALITY ASSURANCE

A. Testing Agency Qualifications: Certified by NETA.

# PART 2 - PRODUCTS

# 2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

# 2.2 MANUFACTURERS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
  - 1. <u>Burndy; Part of Hubbell Electrical Systems</u>.
  - 2. <u>ERICO; a brand of nVent</u>.
  - 3. <u>ILSCO</u>.
  - 4. <u>O-Z/Gedney; a brand of Emerson Industrial Automation</u>.

## 2.3 CONDUCTORS

- A. Insulated Conductors: [**Copper**] [**or**] [**tinned-copper**] wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Solid Conductors: ASTM B3.
  - 2. Stranded Conductors: ASTM B8.
  - 3. Tinned Conductors: ASTM B33.
  - 4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
  - 5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
  - 6. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.

#### 2.4 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.
- C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless compression-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
- D. Bus-Bar Connectors: Compression type, copper or copper alloy, with two wire terminals.
- E. Beam Clamps: Mechanical type, terminal, ground wire access from four directions, with dual, tin-plated or silicon bronze bolts.
- F. Cable-to-Cable Connectors: Compression type, copper or copper alloy.
- G. Conduit Hubs: Mechanical type, terminal with threaded hub.
- H. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- I. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- J. Lay-in Lug Connector: Mechanical type, copper rated for direct burial terminal with set screw.
- K. Service Post Connectors: Mechanical type, bronze alloy terminal, in short- and longstud lengths, capable of single and double conductor connections.
- L. Signal Reference Grid Clamp: Mechanical type, stamped-steel terminal with hex head screw.
- M. Straps: Solid copper, cast-bronze clamp. Rated for 600 A.
- N. U-Bolt Clamps: Mechanical type, copper or copper alloy, terminal listed for direct burial.
- O. Water Pipe Clamps:
  - 1. Mechanical type, two pieces with stainless-steel bolts.
    - a. Material: Die-cast zinc alloy.
    - b. Listed for direct burial.
  - 2. U-bolt type with malleable-iron clamp and copper ground connector.

### 2.5 GROUNDING ELECTRODES

A. Ground Rods: Copper-clad steel; 5/8 by 120 inches.

## PART 3 - EXECUTION

### 3.1 APPLICATIONS

- A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.
- B. Underground Grounding Conductors: Install barecopper conductor, No. 2/0 AWG minimum.
  - 1. Bury at least 24 inches below grade.
- C. Conductor Terminations and Connections:
  - 1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
  - 2. Underground Connections: Welded connectors except and as otherwise indicated.
  - 3. Connections to Structural Steel: Welded connectors.

### 3.2 GROUNDING AT THE SERVICE

A. Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Install a main bonding jumper between the neutral and ground buses.

## 3.3 GROUNDING SEPARATELY DERIVED SYSTEMS

A. Generator: Install grounding electrode(s) at the generator location. The electrode shall be connected to the equipment grounding conductor and to the frame of the generator.

## 3.4 EQUIPMENT GROUNDING

- A. Install insulated equipment grounding conductors with all feeders and branch circuits.
- B. Install insulated equipment grounding conductors with the following items, in addition to those required by NFPA 70:
  - 1. Feeders and branch circuits.
  - 2. Lighting circuits.
  - 3. Receptacle circuits.
  - 4. Single-phase motor and appliance branch circuits.
  - 5. Three-phase motor and appliance branch circuits.
  - 6. Flexible raceway runs.

- C. Air-Duct Equipment Circuits: Install insulated equipment grounding conductor to ductmounted electrical devices operating at 120 V and more, including air cleaners, heaters, dampers, humidifiers, and other duct electrical equipment. Bond conductor to each unit and to air duct and connected metallic piping.
- D. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.
- E. Poles Supporting Outdoor Lighting Fixtures: Install grounding electrode and a separate insulated equipment grounding conductor in addition to grounding conductor installed with branch-circuit conductors.

# 3.5 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Bonding Common with Lightning Protection System: Comply with NFPA 780 and UL 96 when interconnecting with lightning protection system. Bond electrical power system ground directly to lightning protection system grounding conductor at closest point to electrical service grounding electrode. Use bonding conductor sized same as system grounding electrode conductor, and install in conduit.
- C. Ground Rods: Drive rods until tops are 2 inches below finished floor or final grade unless otherwise indicated.
  - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
- D. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
  - 1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
  - 2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
  - 3. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.
- E. Grounding and Bonding for Piping:
  - 1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main

water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.

- 2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
- 3. Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.

## 3.6 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
  - 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
  - 2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
  - 3. Test completed grounding system at each location where a maximum groundresistance level is specified, at service disconnect enclosure grounding terminal, and at individual ground rods. Make tests at ground rods before any conductors are connected.
    - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
    - b. Perform tests by fall-of-potential method according to IEEE 81.
  - 4. Prepare dimensioned Drawings locating each test well, ground rod and groundrod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.
- C. Grounding system will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.
- E. Report measured ground resistances that exceed the following values:
  - 1. Power and Lighting Equipment or System with Capacity of 500 kVA and Less: 10 ohms.
  - 2. Power and Lighting Equipment or System with Capacity of 500 to 1000 kVA: 5 ohms.
  - 3. Power and Lighting Equipment or System with Capacity More Than 1000 kVA: 3 ohms.
  - 4. Power Distribution Units or Panelboards Serving Electronic Equipment: 3 ohm(s).

- 5. Substations and Pad-Mounted Equipment: 5 ohms.
- 6. Manhole Grounds: 10 ohms.
- F. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

+ + END OF SECTION + +

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# SECTION 26 05 29

# HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

# PART 1 - GENERAL

## 1.1 SUMMARY

# A. Section Includes:

- 1. Steel slotted support systems.
- 2. Conduit and cable support devices.
- 3. Support for conductors in vertical conduit.
- 4. Structural steel for fabricated supports and restraints.
- 5. Mounting, anchoring, and attachment components, including powder-actuated fasteners, mechanical expansion anchors, concrete inserts, clamps, through bolts, toggle bolts, and hanger rods.
- 6. Fabricated metal equipment support assemblies.

# 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For fabrication and installation details for electrical hangers and support systems.
  - 1. Hangers. Include product data for components.
  - 2. Slotted support systems.
  - 3. Equipment supports.
  - 4. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.

# 1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, and coordinated with each other, using input from installers of the items involved.
- B. Welding certificates.

# 1.4 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M.

B. Welding Qualifications: Qualify procedures and personnel according to the following:
 1. AWS D1.1/D1.1M.

# PART 2 - PRODUCTS

# 2.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Preformed steel channels and angles with minimum 13/32-inch- diameter holes at a maximum of 8 inches o.c. in at least one surface.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. B-line, an Eaton business.
    - b. Thomas & Betts Corporation; A Member of the ABB Group.
    - c. Unistrut; Part of Atkore International.
  - 2. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 3. Material for Channel, Fittings, and Accessories: Stainless steel, Type 316.
  - 4. Channel Width: Selected for applicable load criteria.
  - 5. Nonmetallic Coatings: Manufacturer's standard PVC, polyurethane, or polyester coating applied according to MFMA-4.
  - 6. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
- B. Conduit and Cable Support Devices: Stainless steel, Type 316 hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.
- D. Structural Steel for Fabricated Supports and Restraints: ASTM A36/A36M steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - 1. Mechanical-Expansion Anchors: Insert-wedge-type, stainless steel, Type 316, for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) B-line, an Eaton business.
      - 2) Empire Tool and Manufacturing Co., Inc.
      - 3) Hilti, Inc.
- 2. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
- 3. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
- 4. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM F3125/F3125M, Grade A325.
- 5. Toggle Bolts: Stainless-steel, Type 316 springhead type.
- 6. Hanger Rods: Threaded stainless steel, Type 316.

# 2.2 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.
- B. Materials: Comply with requirements in Section 055000 "Metal Fabrications" for steel shapes and plates.

# PART 3 - EXECUTION

# 3.1 APPLICATION

- A. Comply with the following standards for application and installation requirements of hangers and supports, except where requirements on Drawings or in this Section are stricter:
  - 1. NECA 1.
  - 2. NECA 101
  - 3. NECA 102.
  - 4. NECA 105.
  - 5. NECA 111.
- B. Comply with requirements in Section 078413 "Penetration Firestopping" for firestopping materials and installation for penetrations through fire-rated walls, ceilings, and assemblies.
- C. Comply with requirements for raceways and boxes specified in Section 260533 "Raceways and Boxes for Electrical Systems."
- D. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT, IMC, and RMC as scheduled in NECA 1, where its Table 1 lists maximum spacings that are less than those stated in NFPA 70. Minimum rod size shall be 1/4 inch in diameter.

- E. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slottedsupport system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with single-bolt conduit clamps.
- F. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch and smaller raceways serving branch circuits and communication systems above suspended ceilings, and for fastening raceways to trapeze supports.

# 3.2 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, may be supported by openings through structure members, according to NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
  - 6. To Steel: Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69.
  - 7. To Light Steel: Sheet metal screws.
  - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate.
- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

# 3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

- A. Comply with installation requirements in Section 055000 "Metal Fabrications" for site-fabricated metal supports.
- B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.
- C. Field Welding: Comply with AWS D1.1/D1.1M.

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# SECTION 26 05 33

# RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

#### 1.1 SUMMARY

### A. Section Includes:

- 1. Nonmetallic conduits and fittings.
- 2. Nonmetal wireways and auxiliary gutters.
- 3. Boxes, enclosures, and cabinets.
- 4. Handholes and boxes for exterior underground cabling.
- B. Related Requirements:
  - 1. Section 078413 "Penetration Firestopping" for firestopping at conduit and box entrances.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For custom enclosures and cabinets. Include plans, elevations, sections, and attachment details.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Conduit routing plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of items involved:
  - 1. Structural members in paths of conduit groups with common supports.
  - 2. HVAC and plumbing items and architectural features in paths of conduit groups with common supports.

#### PART 2 - PRODUCTS

#### 2.1 NONMETALLIC CONDUITS AND FITTINGS

- A. Nonmetallic Conduit:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. CANTEX INC.
    - b. RACO; Hubbell.

- c. Thomas & Betts Corporation; A Member of the ABB Group.
- B. Listing and Labeling: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
  - 1. ENT: Comply with NEMA TC 13 and UL 1653.
  - 2. RNC: Type PVC-schedule 40, Type HDPE-schedule 40 complying with NEMA TC 2, NEMA TC 7 and UL 651 unless otherwise indicated.
  - 3. LFNC: Comply with UL 1660.
- C. Nonmetallic Fittings:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. CANTEX INC.
    - b. RACO; Hubbell.
    - c. Thomas & Betts Corporation; A Member of the ABB Group.
  - 2. Fittings, General: Listed and labeled for type of conduit, location, and use.
  - 3. Fittings for ENT and RNC: Comply with NEMA TC 3; match to conduit or tubing type and material.
  - 4. Fittings for LFNC: Comply with UL 514B.
  - 5. Solvents and Adhesives: As recommended by conduit manufacturer.

# 2.2 NONMETALLIC WIREWAYS AND AUXILIARY GUTTERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Allied Moulded Products, Inc.
  - 2. Hoffman; a brand of nVent.
  - 3. Lamson & Sessions.
- B. Listing and Labeling: Nonmetallic wireways and auxiliary gutters shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Description: PVC, extruded and fabricated to required size and shape, and having snapon cover, mechanically coupled connections, and plastic fasteners.
- D. Fittings and Accessories: Couplings, offsets, elbows, expansion joints, adapters, holddown straps, end caps, and other fittings shall match and mate with wireways as required for complete system.
- E. Solvents and Adhesives: As recommended by conduit manufacturer.

### 2.3 BOXES, ENCLOSURES, AND CABINETS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

- 1. Crouse-Hinds, an Eaton business.
- 2. Hoffman; a brand of nVent.
- 3. Hubbell Incorporated.
- B. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets installed in wet locations shall be listed for use in wet locations.
- C. Nonmetallic Outlet and Device Boxes: Comply with NEMA OS 2 and UL 514C.
- D. Luminaire Outlet Boxes: Nonadjustable, designed for attachment of luminaire weighing 50 lb. Outlet boxes designed for attachment of luminaires weighing more than 50 lb shall be listed and marked for the maximum allowable weight.
- E. Box extensions used to accommodate new building finishes shall be of same material as recessed box.
- F. Device Box Dimensions: 4 inches square by 2-1/8 inches deep.
- G. Gangable boxes are allowed.
- H. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, Type 4 or Type 12 with continuous-hinge cover with flush latch unless otherwise indicated.
  - 1. Nonmetallic Enclosures: Plastic.
  - 2. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.
- I. Cabinets:
  - 1. NEMA 250, Type 12 galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
  - 2. Hinged door in front cover with flush latch and concealed hinge.
  - 3. Key latch to match panelboards.
  - 4. Metal barriers to separate wiring of different systems and voltage.
  - 5. Accessory feet where required for freestanding equipment.
  - 6. Nonmetallic cabinets shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

### 2.4 HANDHOLES AND BOXES FOR EXTERIOR UNDERGROUND WIRING

- A. General Requirements for Handholes and Boxes:
  - 1. Boxes and handholes for use in underground systems shall be designed and identified as defined in NFPA 70, for intended location and application.
  - 2. Boxes installed in wet areas shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Polymer-Concrete Handholes and Boxes with Polymer-Concrete Cover: Molded of sand and aggregate, bound together with polymer resin, and reinforced with steel, fiberglass, or a combination of the two.

- 1. Manufacturers: Subject to compliance with requirements, provide products by the following:
  - a. Quazite: Hubbell Power Systems, Inc.
- 2. Standard: Comply with SCTE 77.
- 3. Configuration: Designed for flush burial with open bottom unless otherwise indicated.
- 4. Cover: Weatherproof, secured by tamper-resistant locking devices and having structural load rating consistent with enclosure and handhole location.
- 5. Cover Finish: Nonskid finish shall have a minimum coefficient of friction of 0.50.
- 6. Cover Legend: Molded lettering, "ELECTRIC.".
- 7. Conduit Entrance Provisions: Conduit-terminating fittings shall mate with entering ducts for secure, fixed installation in enclosure wall.

# PART 3 - EXECUTION

# 3.1 RACEWAY APPLICATION

- A. Outdoors: Apply raceway products as specified below unless otherwise indicated:
  - 1. Exposed Conduit: RNC, Type EPC-40-PVC.
  - 2. Concealed Conduit, Aboveground: RNC, Type EPC-40-PVC.
  - 3. Underground Conduit: RNC, Type HDPE-schedule 40, direct buried.
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFNC.
  - 5. Boxes and Enclosures, Aboveground: NEMA 250, Type 3R.
- B. Indoors: Apply raceway products as specified below unless otherwise indicated.
  - 1. Exposed, Not Subject to Physical Damage: RNC.
  - 2. Exposed, Not Subject to Severe Physical Damage: RNC identified for such use.
  - 3. Concealed in Ceilings and Interior Walls and Partitions: RNC, Type EPC-40-PVC.
  - 4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): except use LFNC in damp or wet locations.
  - 5. Damp or Wet Locations: RNC, Type EPC-40-PVC.
  - 6. Boxes and Enclosures: NEMA 250, Type 12, except use NEMA 250, Type 4 nonmetallic in institutional and commercial kitchens and damp or wet locations.
- C. Minimum Raceway Size: 3/4-inch trade size.
- D. Raceway Fittings: Compatible with raceways and suitable for use and location.
  - 1. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.
- E. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F (49 deg C).

#### 3.2 INSTALLATION

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
- B. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter. Comply with NECA 102 for aluminum conduits. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.
- C. Do not install raceways or electrical items on any "explosion-relief" walls or rotating equipment.
- D. Do not fasten conduits onto the bottom side of a metal deck roof.
- E. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- F. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for hangers and supports.
- G. Arrange stub-ups so curved portions of bends are not visible above finished slab.
- H. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of changes in direction.
- I. Make bends in raceway using large-radius preformed ells. Field bending shall be according to NFPA 70 minimum radii requirements. Use only equipment specifically designed for material and size involved.
- J. Conceal conduit and EMT within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.
- K. Support conduit within 12 inches of enclosures to which attached.
- L. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.
- M. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors including conductors smaller than No. 4 AWG.
- N. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat metal bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service conduits.

- O. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.
- P. Install raceway sealing fittings at accessible locations according to NFPA 70 and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces.
- Q. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:
  - 1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
  - 2. Where an underground service raceway enters a building or structure.
  - 3. Conduit extending from interior to exterior of building.
  - 4. Conduit extending into pressurized duct and equipment.
  - 5. Conduit extending into pressurized zones that are automatically controlled to maintain different pressure set points.
  - 6. Where otherwise required by NFPA 70.
- R. Expansion-Joint Fittings:
  - 1. Install in each run of aboveground RNC that is located where environmental temperature change may exceed 30 deg F (17 deg C) and that has straight-run length that exceeds 25 feet (7.6 m).
  - 2. Install type and quantity of fittings that accommodate temperature change listed for each of the following locations:
    - a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
    - b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
    - c. Indoor Spaces Connected with Outdoors without Physical Separation: 125 deg F temperature change.
  - 3. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per degree F of temperature change for PVC conduits.
  - 4. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
  - 5. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.
- S. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 36 inches (915 mm) of flexible conduit for equipment subject to vibration, noise transmission, or movement; and for transformers and motors.

- 1. Use LFNC in damp or wet locations subject to severe physical damage.
- 2. Use LFNC in damp or wet locations not subject to severe physical damage.
- T. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to top of box unless otherwise indicated.
- U. Recessed Boxes in Masonry Walls: Saw-cut opening for box in center of cell of masonry block, and install box flush with surface of wall. Prepare block surfaces to provide a flat surface for a raintight connection between the box and cover plate or the supported equipment and box.
- V. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.
- W. Locate boxes so that cover or plate will not span different building finishes.
- X. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.
- Y. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.
- Z. Set metal floor boxes level and flush with finished floor surface.
- AA. Set nonmetallic floor boxes level. Trim after installation to fit flush with finished floor surface.

### 3.3 INSTALLATION OF UNDERGROUND CONDUIT

- A. Direct-Buried Conduit:
  - 1. Excavate trench bottom to provide firm and uniform support for conduit. Prepare trench bottom as specified in Section 312000 "Earth Moving" for pipe less than 6 inches in nominal diameter.
  - 2. Install backfill as specified in Section 312000 "Earth Moving."
  - 3. After installing conduit, backfill and compact. Start at tie-in point, and work toward end of conduit run, leaving conduit at end of run free to move with expansion and contraction as temperature changes during this process. Firmly hand tamp backfill around conduit to provide maximum supporting strength. After placing controlled backfill to within 12 inches of finished grade, make final conduit connection at end of run and complete backfilling with normal compaction as specified in Section 312000 "Earth Moving."
  - 4. Install manufactured duct elbows for stub-up at poles and equipment and at building entrances through floor unless otherwise indicated. Encase elbows for stub-up ducts throughout length of elbow.
  - 5. Install manufactured rigid steel conduit elbows for stub-ups at poles and equipment and at building entrances through floor.

- a. Couple steel conduits to ducts with adapters designed for this purpose, and encase coupling with 3 inches of concrete for a minimum of 12 inches on each side of the coupling.
- b. For stub-ups at equipment mounted on outdoor concrete bases and where conduits penetrate building foundations, extend steel conduit horizontally a minimum of 60 inches from edge of foundation or equipment base. Install insulated grounding bushings on terminations at equipment.
- 6. Underground Warning Tape: Comply with requirements in Section 260553 "Identification for Electrical Systems."

# 3.4 INSTALLATION OF UNDERGROUND HANDHOLES AND BOXES

- A. Install handholes and boxes level and plumb and with orientation and depth coordinated with connecting conduits to minimize bends and deflections required for proper entrances.
- B. Unless otherwise indicated, support units on a level bed of crushed stone or gravel, graded from 1/2-inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
- C. Elevation: In paved areas, set so cover surface will be flush with finished grade. Set covers of other enclosures 1 inch above finished grade.
- D. Field-cut openings for conduits according to enclosure manufacturer's written instructions. Cut wall of enclosure with a tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.

# 3.5 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL <u>PENETRATIONS</u>

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 260544 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

# 3.6 FIRESTOPPING

A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 078413 "Penetration Firestopping."

### 3.7 PROTECTION

A. Protect coatings, finishes, and cabinets from damage and deterioration.

- 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
- 2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

+ + END OF SECTION + +

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# SECTION 26 05 44

# SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
  - 2. Sleeve-seal systems.
  - 3. Sleeve-seal fittings.
  - 4. Grout.
  - 5. Silicone sealants.
- B. Related Requirements:
  - 1. Section 078413 "Penetration Firestopping" for penetration firestopping installed in fire-resistance-rated walls, horizontal assemblies, and smoke barriers, with and without penetrating items.

#### 1.2 ACTION SUBMITTALS

A. Product Data: For each type of product.

### PART 2 - PRODUCTS

#### 2.1 SLEEVES

- A. Wall Sleeves:
  - 1. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
  - 2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.
- B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.
- C. Sleeves for Rectangular Openings:
  - 1. Material: Galvanized sheet steel.
  - 2. Minimum Metal Thickness:

- a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
- b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

# 2.2 SLEEVE-SEAL SYSTEMS

- A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Advance Products & Systems, Inc.
    - b. Pipeline Seal and Insulator, Inc.
  - 2. Sealing Elements: EPDM rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
  - 3. Pressure Plates: Stainless steel.
  - 4. Connecting Bolts and Nuts: Stainless steel of length required to secure pressure plates to sealing elements.

### 2.3 SLEEVE-SEAL FITTINGS

- A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the following:
    - a. HOLDRITE.

### 2.4 GROUT

- A. Description: Nonshrink; recommended for interior and exterior sealing openings in nonfire-rated walls or floors.
- B. Standard: ASTM C1107/C1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.
- C. Design Mix: 5000-psi, 28-day compressive strength.
- D. Packaging: Premixed and factory packaged.

### 2.5 SILICONE SEALANTS

A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.

- 1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
- B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

# PART 3 - EXECUTION

# 3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

- A. Comply with NECA 1.
- B. Comply with NEMA VE 2 for cable tray and cable penetrations.
- C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:
  - 1. Interior Penetrations of Non-Fire-Rated Walls and Floors:
    - a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Section 079200 "Joint Sealants."
    - b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.
  - 2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed.
  - 4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
  - 5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level. Install sleeves during erection of floors.
- D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:
  - 1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
  - 2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.
- E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.
- F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.

G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

### 3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

- A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.
- B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

# 3.3 SLEEVE-SEAL-FITTING INSTALLATION

- A. Install sleeve-seal fittings in new walls and slabs as they are constructed.
- B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.
- C. Secure nailing flanges to concrete forms.
- D. Using grout, seal the space around outside of sleeve-seal fittings.

+ + END OF SECTION + +

# SECTION 26 05 53

# IDENTIFICATION FOR ELECTRICAL SYSTEMS

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. Section Includes:
  - 1. Color and legend requirements for raceways, conductors, and warning labels and signs.
  - 2. Labels.
  - 3. Bands and tubes.
  - 4. Tapes and stencils.
  - 5. Tags.
  - 6. Signs.
  - 7. Cable ties.
  - 8. Paint for identification.
  - 9. Fasteners for labels and signs.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Samples: For each type of label and sign to illustrate composition, size, colors, lettering style, mounting provisions, and graphic features of identification products.
- C. Delegated-Design Submittal: For arc-flash hazard study.

# PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Comply with ASME A13.1.
- B. Comply with NFPA 70.

- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.
- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Comply with NFPA 70E requirements for arc-flash warning labels.
- F. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.
- G. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
  - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

### 2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at 600 V or Less:
  - 1. Black letters on an orange field.
  - 2. Legend: Indicate voltage and system or service type.
- B. Color-Coding for Phase- Identification, 600 V or Less: Use colors listed below for ungrounded service feeder and branch-circuit conductors.
  - 1. Color shall be factory applied or field applied for sizes larger than No. 8 AWG if authorities having jurisdiction permit.
  - 2. Colors for 208/120-V Circuits:
    - a. Phase A: Black.
    - b. Phase B: Red.
    - c. Phase C: Blue.
  - 3. Color for Neutral: White.
  - 4. Color for Equipment Grounds: Green.
  - 5. Colors for Isolated Grounds: Green two or more yellow stripes.
- C. Warning Label Colors:
  - 1. Identify system voltage with black letters on an orange background.
- D. Warning labels and signs shall include, but are not limited to, the following legends:
  - 1. Multiple Power Source Warning: "DANGER ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
  - 2. Workspace Clearance Warning: "WARNING OSHA REGULATION AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES"
- E. Equipment Identification Labels:
  - 1. Black letters on a white field.

# 2.3 LABELS

- A. Vinyl Wraparound Labels: Preprinted, flexible labels laminated with a clear, weatherand chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by the following:
    - a. Brady Corporation.
    - b. HellermannTyton.
    - c. Panduit Corp.
- B. Self-Adhesive Wraparound Labels: Preprinted, 3-mil thick, polyester flexible label with acrylic pressure-sensitive adhesive.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Brady Corporation.
    - b. Ideal Industries, Inc.
    - c. Panduit Corp.
  - 2. Self-Lamination: Clear; UV-, weather- and chemical-resistant; self-laminating, protective shield over the legend. Labels sized such that the clear shield overlaps the entire printed legend.
  - 3. Marker for Labels: Permanent, waterproof, black ink marker recommended by tag manufacturer.
  - 4. Marker for Labels: Machine-printed, permanent, waterproof, black ink recommended by printer manufacturer.
- C. Self-Adhesive Labels: Polyester, thermal, transfer-printed, 3-mil thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for intended use and location.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Brady Corporation.
    - b. Ideal Industries, Inc.
    - c. Panduit Corp.
  - 2. Minimum Nominal Size:
    - a. 1-1/2 by 6 inches for raceway and conductors.
    - b. 3-1/2 by 5 inches for equipment.
    - c. As required by authorities having jurisdiction.

### 2.4 TAPES AND STENCILS

- A. Underground-Line Warning Tape:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Brady Corporation.
    - b. Ideal Industries, Inc.

- c. LEM Products Inc.
- 2. Tape:
  - a. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical and communications utility lines.
  - b. Printing on tape shall be permanent and shall not be damaged by burial operations.
  - c. Tape material and ink shall be chemically inert and not subject to degradation when exposed to acids, alkalis, and other destructive substances commonly found in soils.
- 3. Color and Printing:
  - a. Comply with ANSI Z535.1, ANSI Z535.2, ANSI Z535.3, ANSI Z535.4, and ANSI Z535.5.
  - b. Inscriptions for Red-Colored Tapes: "ELECTRIC LINE, HIGH VOLTAGE".
  - c. Inscriptions for Orange-Colored Tapes: "TELEPHONE CABLE, CATV CABLE, COMMUNICATIONS CABLE, OPTICAL FIBER CABLE".

# 2.5 CABLE TIES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ideal Industries, Inc.
  - 2. Marking Services, Inc.
  - 3. Panduit Corp.
- B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 Deg F according to ASTM D638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black, except where used for color-coding.
- C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 Deg F according to ASTM D638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black.
- D. Plenum-Rated Cable Ties: Self-extinguishing, UV stabilized, one piece, and self-locking.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 Deg F according to ASTM D638: 7000 psi.
  - 3. UL 94 Flame Rating: 94V-0.
  - 4. Temperature Range: Minus 50 to plus 284 deg F.
  - 5. Color: Black.

#### 2.6 MISCELLANEOUS IDENTIFICATION PRODUCTS

A. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

# PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- B. Install identifying devices before installing acoustical ceilings and similar concealment.
- C. Verify identity of each item before installing identification products.
- D. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.
- E. Apply identification devices to surfaces that require finish after completing finish work.
- F. Install signs with approved legend to facilitate proper identification, operation, and maintenance of electrical systems and connected items.
- G. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.
- H. System Identification for Raceways and Cables under 600 V: Identification shall completely encircle cable or conduit. Place identification of two-color markings in contact, side by side.
  - 1. Secure tight to surface of conductor, cable, or raceway.
- I. System Identification for Raceways and Cables over 600 V: Identification shall completely encircle cable or conduit. Place adjacent identification of two-color markings in contact, side by side.
  - 1. Secure tight to surface of conductor, cable, or raceway.
- J. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
- K. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch- high letters for emergency instructions at equipment used for power transfer.

- L. Elevated Components: Increase sizes of labels, signs, and letters to those appropriate for viewing from the floor.
- M. Accessible Fittings for Raceways: Identify the covers of each junction and pull box of the following systems with the wiring system legend and system voltage. System legends shall be as follows:
  - 1. "EMERGENCY POWER."
  - 2. "POWER."
  - 3. "UPS."
- N. Vinyl Wraparound Labels:
  - 1. Secure tight to surface at a location with high visibility and accessibility.
  - 2. Attach labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to the location and substrate.
- O. Snap-around Labels: Secure tight to surface at a location with high visibility and accessibility.
- P. Self-Adhesive Wraparound Labels: Secure tight to surface of raceway or cable at a location with high visibility and accessibility.
- Q. Self-Adhesive Labels:
  - 1. On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual.
  - 2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on 1-1/2-inch- high label; where two lines of text are required, use labels 2 inches high.
- R. Snap-around Color-Coding Bands: Secure tight to surface at a location with high visibility and accessibility.
- S. Heat-Shrink, Preprinted Tubes: Secure tight to surface at a location with high visibility and accessibility.
- T. Marker Tapes: Secure tight to surface at a location with high visibility and accessibility.
- U. Self-Adhesive Vinyl Tape: Secure tight to surface at a location with high visibility and accessibility.
  - 1. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding.
- V. Underground Line Warning Tape:
  - 1. During backfilling of trenches, install continuous underground-line warning tape directly above cable or raceway at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench exceeds 16 inches overall.
  - 2. Limit use of underground-line warning tape to direct-buried cables.

- 3. Install underground-line warning tape for direct-buried cables and cables in raceways.
- W. Baked-Enamel Signs:
  - 1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
  - 2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on minimum 1-1/2-inch- high sign; where two lines of text are required, use signs minimum 2 inches high.
- X. Laminated Acrylic or Melamine Plastic Signs:
  - 1. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
  - 2. Unless otherwise indicated, provide a single line of text with 1/2-inch- high letters on minimum 1-1/2-inch- high sign; where two lines of text are required, use signs minimum 2 inches high.
- Y. Cable Ties: General purpose, for attaching tags, except as listed below:
  - 1. Outdoors: UV-stabilized nylon.
  - 2. In Spaces Handling Environmental Air: Plenum rated.

# 3.2 IDENTIFICATION SCHEDULE

- A. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- B. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, pull points, and locations of high visibility. Identify by system and circuit designation.
- C. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits, More Than 30 A and 120 V to Ground: Identify with self-adhesive raceway labels.
- D. Accessible Fittings for Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive labels containing the wiring system legend and system voltage. System legends shall be as follows:

   "POWER."
- E. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use self-adhesive wraparound labels to identify the phase.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive wraparound labels with the conductor or cable designation, origin, and destination.

- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide heat-shrink preprinted tubes with the conductor designation.
- H. Conductors to Be Extended in the Future: Attach write-on tags to conductors and list source.
- I. Auxiliary Electrical Systems Conductor Identification: Marker tape that is uniform and consistent with system used by manufacturer for factory-installed connections.
  - 1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
- J. Locations of Underground Lines: Underground-line warning tape for power, lighting, communication, and control wiring and optical-fiber cable.
- K. Instructional Signs: Self-adhesive labels, including the color code for grounded and ungrounded conductors.
- L. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Self-adhesive equipment labels.
  - 1. Apply to exterior of door, cover, or other access.
  - 2. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:
  - 3. Controls with external control power connections.
- M. Arc Flash Warning Labeling: Self-adhesive labels.
- N. Operating Instruction Signs: Self-adhesive labels.
- O. Emergency Operating Instruction Signs: Self-adhesive labels with white legend on a red background with minimum 3/8-inch- high letters for emergency instructions at equipment used for.
- P. Equipment Identification Labels:
  - 1. Indoor Equipment: Self-adhesive label.
  - 2. Outdoor Equipment: Laminated acrylic or melamine sign.

+ + END OF SECTION + +

# SECTION 26 24 10

# ELECTRICAL UTILITY SERVICES

### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section includes arrangement with Utility Company for permanent electric service; payment of Utility Company charges for service; service provisions; and utility metering equipment.

#### 1.2 REFERENCES

### 1.3 SYSTEM DESCRIPTION

- A. Utility Company: Consolidated Edison Company of New York. Contact: Mr. XXX
- B. System Characteristics: 120/208 volts, three phase, four- wire, 60 Hertz.
- C. Service Entrance: underground from utility pole.

#### <u>1.4 SUBMITTALS</u>

- A. Submit Utility Company-prepared drawings. See Division of Responsibility and Service Layout at end of this section.
- B. Submit Engineer approved shop drawings to Utility Company for their approval as required.

#### 1.5 QUALITY ASSURANCE

- A. Perform Work in accordance with Utility Company written requirements.
- B. Maintain one (1) copy of each document on site.

#### 1.6 FIELD MEASUREMENTS

A. Verify field measurements are as indicated on Drawings Utility Company drawings.

#### 1.7 COORDINATION

- A. Coordinate with utility company, relocation of overhead or underground lines interfering with construction. Where power lines are to be temporarily relocated, bill utility costs, directly to Owner.
- B. Contact utility company regarding charges related to service installation. Include utility charges in this contract.

# 1.8 REGULATORY REQUIREMENTS

- A. Confirm to requirements NFPA 70.
- B. Products: Listed and classified by Underwriters Laboratories, Inc., testing from acceptable to the authority having jurisdiction as suitable for the purpose specified and indicated.

## 1.9 PRE-INSTALLATION MEETING

A. Convene at least one (1) week prior to commencing work of this section. Review service entrance requirements and details with Utility Company representative.

# PART 2 - PRODUCTS

# 2.1 UTILITY METERS

A. Furnished by Utility Company.

# 2.2 METERING CABINET

- A. Manufacturers: Must be a Utility approved manufacturer.
- B. Size: As required by Utility.
- C. Description: Sheet metal cabinet with hinged door conforming to Utility Company requirements, with provisions for locking and sealing.

# PART 3 - EXECUTION

### 3.1 EXAMINATION

A. Verify service equipment is ready to be connected and energized.

### 3.2 EXISTING WORK

- A. Remove exposed abandoned service entrance raceway and conductors, including abandoned components above accessible ceiling finishes. Cut raceway flush with walls and floors, and patch surfaces.
- B. Disconnect abandoned service equipment and remove.
- C. Maintain access to existing service equipment, boxes, metering equipment, and other installations remaining active and requiring access. Modify installation or provide access panel.

- D. Extend existing service installations using materials and methods compatible with existing electrical installations, or as specified.
- E. Clean and repair existing service equipment to remain or to be reinstalled.

# 3.3 INSTALLATION

A. Install metering cabinets, at height in accordance with Utility Company requirements. Install drip loop in service conductors.

+ + END OF SECTION + +

+ + NO TEXT THIS PAGE + +

# SECTION 26 24 16

### PANELBOARDS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Section Includes:1. Lighting and appliance branch-circuit panelboards.

#### 1.2 DEFINITIONS

- A. MCCB: Molded-case circuit breaker.
- B. SPD: Surge protective device.

#### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of panelboard.
- B. Shop Drawings: For each panelboard and related equipment.
  - 1. Include dimensioned plans, elevations, sections, and details.
  - 2. Detail enclosure types including mounting and anchorage, environmental protection, knockouts, corner treatments, covers and doors, gaskets, hinges, and locks.
  - 3. Detail bus configuration, current, and voltage ratings.
  - 4. Short-circuit current rating of panelboards and overcurrent protective devices.
  - 5. Include evidence of NRTL listing for series rating of installed devices.
  - 6. Include evidence of NRTL listing for SPD as installed in panelboard.
  - 7. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
  - 8. Include wiring diagrams for power, signal, and control wiring.
  - 9. Key interlock scheme drawing and sequence of operations.
  - 10. Include time-current coordination curves for each type and rating of overcurrent protective device included in panelboards.

#### 1.4 INFORMATIONAL SUBMITTALS

A. Panelboard schedules for installation in panelboards.

# 1.5 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

# 1.6 FIELD CONDITIONS

- A. Service Conditions: NEMA PB 1, usual service conditions, as follows:
  - 1. Ambient temperatures within limits specified.
  - 2. Altitude not exceeding 6600 feet.

### 1.7 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace panelboards that fail in materials or workmanship within specified warranty period.
  - 1. Panelboard Warranty Period: 18 months from date of Substantial Completion.

# PART 2 - PRODUCTS

### 2.1 PANELBOARDS COMMON REQUIREMENTS

- A. Fabricate and test panelboards according to IEEE 344 to withstand seismic forces defined in Section 260548.16 "Seismic Controls for Electrical Systems."
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with NEMA PB 1.
- D. Comply with NFPA 70.
- E. Enclosures: [Flush] [and] [Surface]-mounted, dead-front cabinets.
  - 1. Rated for environmental conditions at installed location.
    - a. Indoor Locations: NEMA 250, Type 12.
  - 2. Height: 60 inches maximum.
  - 3. Front: Secured to box with concealed trim clamps. For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box. Trims shall cover all live parts and shall have no exposed hardware.
  - 4. Hinged Front Cover: Entire front trim hinged to box and with standard door within hinged trim cover. Trims shall cover all live parts and shall have no exposed hardware.
- F. Incoming Mains Location: Top.

- G. Phase, Neutral, and Ground Buses: Hard-drawn copper, 98 percent conductivity.
- H. Conductor Connectors: Suitable for use with conductor material and sizes.
  - 1. Material: Hard-drawn copper, 98 percent conductivity.
  - 2. Main and Neutral Lugs: Mechanical type, with a lug on the neutral bar for each pole in the panelboard.
  - 3. Ground Lugs and Bus-Configured Terminators: Mechanical type, with a lug on the bar for each pole in the panelboard.
- I. NRTL Label: Panelboards shall be labeled by an NRTL acceptable to authority having jurisdiction for use as service equipment with one or more main service disconnecting and overcurrent protective devices. Panelboards shall have meter enclosures, wiring, connections, and other provisions for utility metering. Coordinate with utility company for exact requirements.
- J. Future Devices: Panelboards shall have mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices.
- K. Panelboard Short-Circuit Current Rating: Rated for series-connected system with integral or remote upstream overcurrent protective devices and labeled by an NRTL. Include label or manual with size and type of allowable upstream and branch devices listed and labeled by an NRTL for series-connected short-circuit rating.
- L. Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical shortcircuit current available at terminals. Assembly listed by an NRTL for 100 percent interrupting capacity.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Panelboards shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified."
- B. Surge Suppression: Factory installed as an integral part of indicated panelboards, complying with UL 1449 SPD Type 1.

### 2.3 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Eaton.
  - 2. General Electric Company; GE Energy Management Electrical Distribution.
  - 3. Square D; by Schneider Electric.

- B. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.
- C. Mains: Circuit breaker.
- D. Branch Overcurrent Protective Devices: Bolt-on circuit breakers, replaceable without disturbing adjacent units.
- E. Doors: Concealed hinges, door-within-door; secured with flush latch with tumbler lock; keyed alike.
- F. Column-Type Panelboards: Single row of overcurrent devices with narrow gutter extension and overhead junction box equipped with ground and neutral terminal buses.

### 2.4 IDENTIFICATION

- A. Panelboard Label: Manufacturer's name and trademark, voltage, amperage, number of phases, and number of poles shall be located on the interior of the panelboard door.
- B. Breaker Labels: Faceplate shall list current rating, UL and IEC certification standards, and AIC rating.
- C. Circuit Directory: Directory card inside panelboard door, mounted in transparent card holder.

### 2.5 ACCESSORY COMPONENTS AND FEATURES

A. Portable Test Set: For testing functions of solid-state trip devices without removing from panelboard. Include relay and meter test plugs suitable for testing panelboard meters and switchboard class relays.

### PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Install panelboards and accessories according to NEMA PB 1.1.
- C. Mount top of trim 72" above finished floor unless otherwise indicated.
- D. Mount panelboard cabinet plumb and rigid without distortion of box.
- E. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.

- F. Install overcurrent protective devices and controllers not already factory installed.
  - 1. Set field-adjustable, circuit-breaker trip ranges.
- G. Make grounding connections and bond neutral for services and separately derived systems to ground. Make connections to grounding electrodes, separate grounds for isolated ground bars, and connections to separate ground bars.
- H. Install filler plates in unused spaces.
- I. Arrange conductors in gutters into groups and bundle and wrap with wire ties.

# 3.2 IDENTIFICATION

- A. Identify field-installed conductors, interconnecting wiring, and components; install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems."
- B. Create a directory to indicate installed circuit loads; incorporate Owner's final room designations. Obtain approval before installing. Handwritten directories are not acceptable. Install directory inside panelboard door.
- C. Panelboard Nameplates: Label each panelboard with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- D. Device Nameplates: Label each branch circuit device in power panelboards with a nameplate complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems."
- E. Install warning signs complying with requirements in Section 260553 "Identification for Electrical Systems" identifying source of remote circuit.

# 3.3 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Acceptance Testing Preparation:
  - 1. Test insulation resistance for each panelboard bus, component, connecting supply, feeder, and control circuit.
  - 2. Test continuity of each circuit.
- C. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection and electrical test for low-voltage air circuit breakers stated in NETA ATS. Certify compliance with test parameters.
  - 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.

- D. Panelboards will be considered defective if they do not pass tests and inspections.
- E. Prepare test and inspection reports, including a certified report that identifies panelboards included and that describes scanning results, with comparisons of the two scans. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

+ + END OF SECTION + +
# SECTION 26 27 26

## WIRING DEVICES

#### PART 1 - GENERAL

#### 1.1 SUMMARY

#### A. Section Includes:

- 1. Standard-grade receptacles, 125 V, 15 A.
- 2. GFCI receptacles, 125 V, 15 A.
- 3. Toggle switches, 120/277 V, 20 A.
- 4. Wall plates.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: List of legends and description of materials and process used for premarking wall plates.
- C. Samples: One for each type of device and wall plate specified, in each color specified.

## 1.3 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

## PART 2 - PRODUCTS

#### 2.1 GENERAL WIRING-DEVICE REQUIREMENTS

- A. Wiring Devices, Components, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- B. Comply with NFPA 70.
- C. RoHS compliant.
- D. Comply with NEMA WD 1.
- E. Device Color:

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- 1. Wiring Devices Connected to Normal Power System: Brown unless otherwise indicated or required by NFPA 70 or device listing.
- 2. Wiring Devices Connected to Essential Electrical System: Red.
- 3. SPD Devices: Blue.
- 4. Isolated-Ground Receptacles: Orange.
- F. Wall Plate Color: For plastic covers, match device color.
- G. Source Limitations: Obtain each type of wiring device and associated wall plate from single source from single manufacturer.

# 2.2 STANDARD-GRADE RECEPTACLES, 125 V, 15 A

- A. Weather-Resistant Duplex Receptacle, 125 V, 15 A:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Hubbell Incorporated; Wiring Device-Kellems.
    - b. Leviton Manufacturing Co., Inc.
    - c. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle. Square face.
  - 3. Configuration: NEMA WD 6, Configuration 5-15R.
  - 4. Standards: Comply with UL 498.
  - 5. Marking: Listed and labeled as complying with NFPA 70, "Receptacles in Damp or Wet Locations" Article.

## 2.3 GFCI RECEPTACLES, 125 V, 15 A

- A. Weather-Resistant, GFCI Duplex Receptacles, 125 V, 15 A:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Hubbell Incorporated; Wiring Device-Kellems.
    - b. Leviton Manufacturing Co., Inc.
    - c. Pass & Seymour/Legrand (Pass & Seymour).
  - 2. Description: Integral GFCI with "Test" and "Reset" buttons and LED indicator light. Two pole, three wire, and self-grounding. Integral shutters that operate only when a plug is inserted in the receptacle. Square face.
  - 3. Configuration: NEMA WD 6, Configuration 5-15R.
  - 4. Type: Feed through.
  - 5. Standards: Comply with UL 498 and UL 943 Class A.
  - 6. Marking: Listed and labeled as complying with NFPA 70, "Receptacles in Damp or Wet Locations" articles.

# 2.4 TOGGLE SWITCHES, 120/277 V, 15 A

- A. Single-Pole Switches, 120/277 V, 15 A:
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Eaton (Arrow Hart).
    - b. Hubbell Incorporated; Wiring Device-Kellems.
    - c. Leviton Manufacturing Co., Inc.
  - 2. Standards: Comply with UL 20 and FS W-S-896.

# 2.5 WALL PLATES

- A. Single Source: Obtain wall plates from same manufacturer of wiring devices.
- B. Single and combination types shall match corresponding wiring devices.
  - 1. Plate-Securing Screws: Metal with head color to match plate finish.
  - 2. Material for Finished Spaces: Smooth, high-impact thermoplastic.
  - 3. Material for Unfinished Spaces: Smooth, high-impact thermoplastic.
  - 4. Material for Damp Locations: Thermoplastic with spring-loaded lift cover, and listed and labeled for use in wet and damp locations.
- C. Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with Type 3R, weather-resistant, die-cast aluminum with lockable cover.

# PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Comply with NECA 1, including mounting heights listed in that standard, unless otherwise indicated.
- B. Coordination with Other Trades:
  - 1. Keep outlet boxes free of plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other material that may contaminate the raceway system, conductors, and cables.
  - 2. Install device boxes in brick or block walls so that the cover plate does not cross a joint unless the joint is troweled flush with the face of the wall.
  - 3. Install wiring devices after all wall preparation, including painting, is complete.
- C. Device Installation:
  - 1. Connect devices to branch circuits using pigtails that are not less than 6 inches (152 mm) in length.
  - 2. When mounting into metal boxes, remove the fiber or plastic washers used to hold device-mounting screws in yokes, allowing metal-to-metal contact.

- D. Receptacle Orientation:
  - 1. Install ground pin of vertically mounted receptacles down, and on horizontally mounted receptacles to the right.
  - 2. Install hospital-grade receptacles in patient-care areas with the ground pin or neutral blade at the top.
- E. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.
- F. Dimmers:
  - 1. Install dimmers within terms of their listing.
  - 2. Verify that dimmers used for fan-speed control are listed for that application.
  - 3. Install unshared neutral conductors on line and load side of dimmers according to manufacturers' device, listing conditions in the written instructions.
- G. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.
- H. Adjust locations of floor service outlets and service poles to suit arrangement of partitions and furnishings.

# 3.2 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. In healthcare facilities, prepare reports that comply with NFPA 99.
  - 2. Test Instruments: Use instruments that comply with UL 1436.
  - 3. Test Instrument for Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.
- B. Tests for Receptacles:
  - 1. Line Voltage: Acceptable range is 105 to 132 V.
  - 2. Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is unacceptable.
  - 3. Ground Impedance: Values of up to 2 ohms are acceptable.
  - 4. GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.
  - 5. Using the test plug, verify that the device and its outlet box are securely mounted.
- C. Test straight-blade for the retention force of the grounding blade according to NFPA 99. Retention force shall be not less than 4 oz.
- D. Wiring device will be considered defective if it does not pass tests and inspections.

Prepare test and inspection reports.

## + + END OF SECTION + +

## SECTION 26 28 16

#### ENCLOSED SWITCHES AND CIRCUIT BREAKERS

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section Includes:
  - 1. Nonfusible switches.
  - 2. Receptacle switches.
  - 3. Molded-case circuit breakers (MCCBs).
  - 4. Enclosures.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include nameplate ratings, dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
  - 1. Include time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device. Provide in PDF electronic format.
- B. Shop Drawings: For enclosed switches and circuit breakers.
  - 1. Include plans, elevations, sections, details, and attachments to other work.
  - 2. Include wiring diagrams for power, signal, and control wiring.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For qualified testing agency.
- B. Seismic Qualification Certificates: For enclosed switches and circuit breakers, accessories, and components, from manufacturer.
- C. Field quality-control reports.

#### <u>1.4 CLOSEOUT SUBMITTALS</u>

A. Operation and maintenance data.

#### 1.5 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Accredited by NETA.
  - 1. Testing Agency's Field Supervisor: Currently certified by NETA to supervise onsite testing.

#### 1.6 WARRANTY

- A. Manufacturer's Warranty: Manufacturer and Installer agree to repair or replace components that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: One year(s) from date of Substantial Completion.

## PART 2 - PRODUCTS

## 2.1 GENERAL REQUIREMENTS

- A. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single manufacturer.
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
- D. Comply with NFPA 70.

## 2.2 NONFUSIBLE SWITCHES

- A. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
  - 1. <u>Eaton</u>.
  - 2. <u>Siemens Industry, Inc., Energy Management Division</u>.
  - 3. <u>Square D; by Schneider Electric</u>.
- B. Type HD, Heavy Duty, Three Pole, Single Throw, 240-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.
- C. Accessories:
  - 1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.

- 2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
- 3. Isolated Ground Kit: Internally mounted; insulated, labeled for copper and aluminum neutral conductors.
- 4. Service-Rated Switches: Labeled for use as service equipment.

## 2.3 MOLDED-CASE CIRCUIT BREAKERS

- A. <u>Manufacturers:</u> Subject to compliance with requirements, provide products by one of the following:
  - 1. <u>Eaton</u>.
  - 2. <u>Siemens Industry, Inc., Energy Management Division</u>.
  - 3. <u>Square D; by Schneider Electric</u>.
- B. Circuit breakers shall be constructed using glass-reinforced insulating material. Current carrying components shall be completely isolated from the handle and the accessory mounting area.
- C. Circuit breakers shall have a toggle operating mechanism with common tripping of all poles, which provides quick-make, quick-break contact action. The circuit-breaker handle shall be over center, be trip free, and reside in a tripped position between on and off to provide local trip indication. Circuit-breaker escutcheon shall be clearly marked on and off in addition to providing international I/O markings. Equip circuit breaker with a push-to-trip button, located on the face of the circuit breaker to mechanically operate the circuit-breaker tripping mechanism for maintenance and testing purposes.
- D. The maximum ampere rating and UL, IEC, or other certification standards with applicable voltage systems and corresponding interrupting ratings shall be clearly marked on face of circuit breaker. Circuit breakers shall be 100 percent rated.
- E. MCCBs shall be equipped with a device for locking in the isolated position.
- F. Lugs shall be suitable for 75 deg C rated wire.
- G. Standards: Comply with UL 489 with interrupting capacity to comply with available fault currents.
- H. Thermal-Magnetic Circuit Breakers: Inverse time-current thermal element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250 A and larger.
- I. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with frontmounted, field-adjustable trip setting.
- J. Electronic Trip Circuit Breakers: Field-replaceable rating plug, rms sensing, with the following field-adjustable settings:
  - 1. Long- and short-time pickup levels.

- 2. Long- and short-time time adjustments.
- 3. Ground-fault pickup level, time delay, and I-squared t response.
- K. Current-Limiting Circuit Breakers: Frame sizes 400 A and smaller, and let-through ratings less than NEMA FU 1, RK-5.
- L. Features and Accessories:
  - 1. Standard frame sizes, trip ratings, and number of poles.
  - 2. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge lighting circuits.
  - 3. Shunt Trip: Trip coil energized from separate circuit, with coil-clearing contact.
  - 4. Auxiliary Contacts: One SPDT switch with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts, "b" contacts operate in reverse of circuit-breaker contacts.
  - 5. Alarm Switch: One NO contact that operates only when circuit breaker has tripped.

## 2.4 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: UL 489, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
- B. Enclosure Finish: The enclosure shall be gray baked enamel paint, electrodeposited on cleaned, phosphatized galvannealed steel (NEMA 250 Types 3R, 12).
- C. Conduit Entry: NEMA 250 Types 4, 4X, and 12 enclosures shall contain no knockouts. NEMA 250 Types 7 and 9 enclosures shall be provided with threaded conduit openings in both endwalls.
- D. Operating Mechanism: The circuit-breaker operating handle shall be externally operable with the operating mechanism being an integral part of the box, not the cover. The cover interlock mechanism shall have an externally operated override. The override shall not permanently disable the interlock mechanism, which shall return to the locked position once the override is released. The tool used to override the cover interlock mechanism shall not be required to enter the enclosure in order to override the interlock.
- E. Enclosures designated as NEMA 250 Type 4, 4X stainless steel, 12, or 12K shall have a dual cover interlock mechanism to prevent unintentional opening of the enclosure cover when the circuit breaker is ON and to prevent turning the circuit breaker ON when the enclosure cover is open.

# PART 3 - EXECUTION

#### 3.1 ENCLOSURE ENVIRONMENTAL RATING APPLICATIONS

- A. Enclosed Switches and Circuit Breakers: Provide enclosures at installed locations with the following environmental ratings.
  - 1. Indoor Locations: NEMA 250, Type 12.
  - 2. Outdoor Locations: NEMA 250, Type 3R.

## 3.2 INSTALLATION

- A. Interruption of Existing Electric Service: Do not interrupt electric service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electric service according to requirements indicated:
  - 1. Notify Construction Manager and Owner no fewer than fourteen days in advance of proposed interruption of electric service.
  - 2. Indicate method of providing temporary electric service.
  - 3. Do not proceed with interruption of electric service without Owner's written permission.
  - 4. Comply with NFPA 70E.
- B. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.
- C. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- D. Temporary Lifting Provisions: Remove temporary lifting of eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.
- E. Install fuses in fusible devices.
- F. Comply with NFPA 70 and NECA 1.
- G. Set field-adjustable circuit-breaker trip ranges.

## 3.3 IDENTIFICATION

- A. Comply with requirements in Section 260553 "Identification for Electrical Systems."
  - 1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
  - 2. Label each enclosure with engraved metal or laminated-plastic nameplate.

#### 3.4 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified testing agency to perform tests and inspections.
- B. Testing Agency: Engage a qualified testing agency to perform tests and inspections.
- C. Perform tests and inspections.

1.

- D. Tests and Inspections for Switches:
  - Visual and Mechanical Inspection:
    - a. Inspect physical and mechanical condition.
    - b. Inspect anchorage, alignment, grounding, and clearances.
    - c. Verify that the unit is clean.
    - d. Verify blade alignment, blade penetration, travel stops, and mechanical operation.
    - e. Verify that fuse sizes and types match the Specifications and Drawings.
    - f. Verify that each fuse has adequate mechanical support and contact integrity.
    - g. Inspect bolted electrical connections for high resistance using one of the two following methods:
      - 1) Use a low-resistance ohmmeter.
        - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
      - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
        - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
    - h. Verify that operation and sequencing of interlocking systems is as described in the Specifications and shown on the Drawings.
    - i. Verify correct phase barrier installation.
    - j. Verify lubrication of moving current-carrying parts and moving and sliding surfaces.
  - 2. Electrical Tests:
    - a. Perform resistance measurements through bolted connections with a lowresistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
    - b. Measure contact resistance across each switchblade fuseholder. Drop values shall not exceed the high level of the manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.

- c. Perform insulation-resistance tests for one minute on each pole, phase-tophase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.
- d. Measure fuse resistance. Investigate fuse-resistance values that deviate from each other by more than 15 percent.
- e. Perform ground fault test according to NETA ATS 7.14 "Ground Fault Protection Systems, Low-Voltage."
- E. Tests and Inspections for Molded Case Circuit Breakers:
  - 1. Visual and Mechanical Inspection:
    - a. Verify that equipment nameplate data are as described in the Specifications and shown on the Drawings.
    - b. Inspect physical and mechanical condition.
    - c. Inspect anchorage, alignment, grounding, and clearances.
    - d. Verify that the unit is clean.
    - e. Operate the circuit breaker to ensure smooth operation.
    - f. Inspect bolted electrical connections for high resistance using one of the two following methods:
      - 1) Use a low-resistance ohmmeter.
        - a) Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from those of similar bolted connections by more than 50 percent of the lowest value.
      - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data or NETA ATS Table 100.12.
        - a) Bolt-torque levels shall be in accordance with manufacturer's published data. In the absence of manufacturer's published data, use NETA ATS Table 100.12.
    - g. Inspect operating mechanism, contacts, and chutes in unsealed units.
    - h. Perform adjustments for final protective device settings in accordance with the coordination study.
  - 2. Electrical Tests:
    - a. Perform resistance measurements through bolted connections with a lowresistance ohmmeter. Compare bolted connection resistance values to values of similar connections. Investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
    - b. Perform insulation-resistance tests for one minute on each pole, phase-tophase and phase-to-ground with circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's published data, use Table 100.1 from the NETA ATS. Investigate values of insulation resistance less than those published in Table 100.1 or as recommended in manufacturer's published data.

- c. Perform a contact/pole resistance test. Drop values shall not exceed the high level of the manufacturer's published data. If manufacturer's published data are not available, investigate values that deviate from adjacent poles or similar switches by more than 50 percent of the lowest value.
- d. Perform insulation resistance tests on all control wiring with respect to ground. Applied potential shall be 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable. Test duration shall be one minute. For units with solid state components, follow manufacturer's recommendation. Insulation resistance values shall be no less than two megohms.
- e. Determine the following by primary current injection:
  - 1) Long-time pickup and delay. Pickup values shall be as specified. Trip characteristics shall not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
  - 2) Short-time pickup and delay. Short-time pickup values shall be as specified. Trip characteristics shall not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
  - 3) Ground-fault pickup and time delay. Ground-fault pickup values shall be as specified. Trip characteristics shall not exceed manufacturer's published time-current characteristic tolerance band, including adjustment factors.
  - 4) Instantaneous pickup. Instantaneous pickup values shall be as specified and within manufacturer's published tolerances.
- f. Test functionality of the trip unit by means of primary current injection. Pickup values and trip characteristics shall be as specified and within manufacturer's published tolerances.
- g. Perform minimum pickup voltage tests on shunt trip and close coils in accordance with manufacturer's published data. Minimum pickup voltage of the shunt trip and close coils shall be as indicated by manufacturer.
- h. Verify correct operation of auxiliary features such as trip and pickup indicators; zone interlocking; electrical close and trip operation; trip-free, anti-pump function; and trip unit battery condition. Reset all trip logs and indicators. Investigate units that do not function as designed.
- i. Verify operation of charging mechanism. Investigate units that do not function as designed.
- 3. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- 4. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.
- F. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.
- G. Prepare test and inspection reports.
  - 1. Test procedures used.
  - 2. Include identification of each enclosed switch and circuit breaker tested and describe test results.

3. List deficiencies detected, remedial action taken, and observations after remedial action.

+ + END OF SECTION + +

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#### SECTION 26 36 00

#### TRANSFER SWITCHES

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. Provide and install open transition manual transfer switches.

#### 1.2 REFERENCES

- A. NFPA 70 National Electrical Code.
- B. NEMA ICS 1 General Standards for Industrial Control and Systems.
- C. NEMA ICS 2 Standards for Industrial Control Devices, Controllers, and Assemblies.
- D. NEMA ICS 6 Enclosures for Industrial Controls and Systems.

#### 1.3 QUALIFICATIONS

- A. Manufacturer: Company specializing in manufacturing the Products specified in this section with minimum twenty (20) years documented experience and with service facilities within fifty (50) miles of Project.
- B. Supplier: Authorized distributor of specified manufacturer with minimum ten (10) years documented experience.

#### 1.4 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, protect and handle products to site.
- B. Store in a clean, dry space. Maintain factory wrapping or provide an additional heavy canvas or heavy plastic cover to protect units from dirt, water, construction debris, and traffic.
- C. Handle in accordance with manufacturer's written instructions. Lift only with lugs provided for the purpose. Handle carefully to avoid damage to internal components, enclosure and finish.

#### 1.5 FIELD MEASUREMENTS

A. Verify that field measurements are as indicated on shop drawings.

#### 1.6 MAINTENANCE SERVICE

A. Furnish service and maintenance of transfer switch for one (1) year from Date of Substantial Completion.

#### 1.7 MAINTENANCE MATERIALS

A. Provide two (2) of each special tool required for maintenance.

## PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

A. ESL Power Systems StormSwitch 3040 series.

#### 2.2 MANUAL TRANSFER SWITCH

- A. ESL Power System's StormSwitch 3040 series, rated for 200A, 208V, 3 phase, 4 wire use; having:
  - 1. Manual transfer switch shall consist of (2) two mechanically-interlocked molded case circuit breakers, cam-style male connectors, power distribution block and grounding terminals, all housed within a padlockable enclosure.
  - 2. Manual transfer switch enclosure shall be Type 3R, galvanized steel, continuous seam-welded with factory gray powdercoat finish. The main access shall be through an interlocked, hinged door that extends the full height of the enclosure. Access for portable generator cables with female cam-style plugs shall be via cable entry openings in the bottom of enclosure. A hinged flap door shall be provided to cover the cable openings when cables are not connected; the hinged flap door shall allow cable entry only after the main access door has been opened.
  - 3. Cam-style male connectors (inlets) shall be UL Listed single-pole separable type and rated 400 amps at 600VAC. Cam-style male connectors shall be color coded, industry standard series 16. Cam-style male connectors shall be provided for each phase, neutral and for ground, one (1) each. Each of the phase cam-style male connectors within the enclosure shall be factory-wired to a molded case circuit breaker. The ground camstyle male connectors shall be bonded to the enclosure, and a ground lug shall be provided for connection of the facility ground conductor. The neutral cam-style male connectors, if required, shall be factory wired to a power distribution block. None of the cam-style male connectors shall be accessible unless both molded case circuit breakers are in the "OFF" position and the main access door is open.

- 4. A power distribution block shall be provided for load-side field wiring. The power distribution block shall be factory wired to the molded case circuit breakers.
- 5. Molded case circuit breakers shall be UL Listed and the short circuit interrupt rating shall be a minimum of 65kAIC at 240VAC. Trip rating of the molded case circuit breakers shall be as shown on the drawings. One molded case circuit breaker shall be fed from utility power; the other molded case circuit breaker shall be fed from the cam-style male connectors to supply power from a portable generator. Both molded case circuit breakers shall include UL Listed door-mounted operating mechanisms, preventing the opening of the main access door unless both breakers are in the "OFF" position. Both molded case circuit breakers shall be mounted behind a deadfront panel. The load-side of the molded case circuit breakers shall not be energizable unless the main access door is closed and one of the molded case circuit breakers is in the "ON" position. The (2) molded case circuit breakers shall be safety interlocked by mechanical means to ensure that only one breaker can be closed at any given time.
- 6. Manual transfer switch shall be suitable for use as service equipment in the USA.

# 2.3 SERVICE CONDITIONS

- A. Service Conditions: NEMA ICS.
- B. Temperature: 104 degrees F
- C. Altitude: 3,300 feet

## PART 3 - EXECUTION

## 3.1 EXAMINATION

A. Verify that surface is suitable for transfer switch installation.

## 3.2 INSTALLATION

- A. Install transfer switches in accordance with manufacturer's instructions.
- B. Provide engraved plastic nameplates under the provisions of Section 260553.

## 3.3 DEMONSTRATION

A. Demonstrate operation of transfer switch normal and emergency modes.

#### 3.4 TESTS AND CERTIFICATION

- A. The manufacturer shall provide a notarized letter certifying compliance with all of the requirements of this specification including compliance with the above codes and standards, and withstand and closing ratings. The certification shall identify, by serial number(s), the equipment involved. No exceptions to the specifications, other than those stipulated at the time of the submittal, shall be included in the certification.
- B. The manufacturer shall be certified to the ISO 9001 International Quality Standard and the manufacturer shall have third party certification verifying quality assurance in design/development, production, installation and servicing in accordance with ISO 9001.

+ + END OF SECTION + +

## SECTION 26 51 19

## LED LIGHTING

#### PART 1 - GENERAL

#### 1.1 SUMMARY

- A. Section includes the following types of LED luminaires:
  - 1. Surface mount, linear.

#### 1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Manufacturers' Certified Data: Photometric data certified by manufacturer's laboratory with a current accreditation under the National Voluntary Laboratory Accreditation Program for Energy Efficient Lighting Products.
  - 2. Testing Agency Certified Data: For indicated luminaires, photometric data certified by a qualified independent testing agency. Photometric data for remaining luminaires shall be certified by manufacturer.
- B. Shop Drawings: For nonstandard or custom luminaires.
  - 1. Include plans, elevations, sections, and mounting and attachment details.
  - 2. Include details of luminaire assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 3. Include diagrams for power, signal, and control wiring.
- C. Samples: For each luminaire and for each color and texture with standard factoryapplied finish.

#### 1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale and coordinated with each other, using input from installers of the items involved.
- B. Seismic Qualification Data: For luminaires, accessories, and components, from manufacturer.
- C. Product Certificates: For each type of luminaire.
- D. Product test reports.
- E. Sample warranty.

## 1.4 CLOSEOUT SUBMITTALS

A. Operation and maintenance data.

#### 1.5 QUALITY ASSURANCE

- A. Luminaire Photometric Data Testing Laboratory Qualifications: Luminaire manufacturer's laboratory that is accredited under the NVLAP for Energy Efficient Lighting Products.
- B. Luminaire Photometric Data Testing Laboratory Qualifications: Provided by an independent agency, with the experience and capability to conduct the testing indicated, that is an NRTL as defined by OSHA in 29 CFR 1910.7, accredited under the NVLAP for Energy Efficient Lighting Products, and complying with the applicable IES testing standards.
- C. Provide luminaires from a single manufacturer for each luminaire type.
- D. Each luminaire type shall be binned within a three-step MacAdam Ellipse to ensure color consistency among luminaires.

#### 1.6 WARRANTY

- A. Warranty: Manufacturer and Installer agree to repair or replace components of luminaires that fail in materials or workmanship within specified warranty period.
- B. Warranty Period: Five year(s) from date of Substantial Completion.

## PART 2 - PRODUCTS

#### 2.1 PERFORMANCE REQUIREMENTS

- A. Ambient Temperature: 5 to 104 deg F.1. Relative Humidity: Zero to 95 percent.
- B. Altitude: Sea level to 1000 feet.

#### 2.2 LUMINAIRE REQUIREMENTS

A. Provide luminaires; make, model and product options as scheduled and shown on the drawings.

- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Factory-Applied Labels: Comply with UL 1598. Include recommended lamps. Locate labels where they will be readily visible to service personnel, but not seen from normal viewing angles when lamps are in place.
  - 1. Label shall include the following lamp characteristics:
    - a. "USE ONLY" and include specific lamp type.
    - b. Lamp diameter, shape, size, wattage, and coating.
    - c. CCT and CRI.
- D. Recessed luminaires shall comply with NEMA LE 4.
- E. NRTL Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by an NRTL.
- F. FM Global Compliance: Luminaires for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM Global.

# 2.3 MATERIALS

- A. Metal Parts:
  - 1. Free of burrs and sharp corners and edges.
  - 2. Sheet metal components shall be steel unless otherwise indicated.
  - 3. Form and support to prevent warping and sagging.
- B. Steel:
  - 1. ASTM A36/A36M for carbon structural steel.
  - 2. ASTM A568/A568M for sheet steel.
- C. Stainless Steel:
  - 1. Manufacturer's standard grade.
  - 2. Manufacturer's standard type, ASTM A240/240M.
- D. Galvanized Steel: ASTM A653/A653M.
- E. Aluminum: ASTM B209.

## 2.4 METAL FINISHES

A. Variations in finishes are unacceptable in the same piece. Variations in finishes of adjoining components are acceptable if they are within the range of approved Samples and if they can be and are assembled or installed to minimize contrast.

#### 2.5 LUMINAIRE SUPPORT

- A. Comply with requirements in Section 260529 "Hangers and Supports for Electrical Systems" for channel and angle iron supports and nonmetallic channel and angle supports.
- B. Rod Hangers: 3/16-inch minimum diameter, stainless steel, type 316, threaded steel rod.

## PART 3 - EXECUTION

## 3.1 INSTALLATION

- A. Comply with NECA 1.
- B. Install luminaires level, plumb, and square with ceilings and walls unless otherwise indicated.
- C. Install lamps in each luminaire.

## D. Supports:

- 1. Sized and rated for luminaire weight.
- 2. Able to maintain luminaire position after cleaning and relamping.
- 3. Provide support for luminaire without causing deflection of ceiling or wall.
- 4. Luminaire-mounting devices shall be capable of supporting a horizontal force of 100 percent of luminaire weight and a vertical force of 400 percent of luminaire weight.
- E. Comply with requirements in Section 260519 "Low-Voltage Electrical Power Conductors and Cables" for wiring connections.

## 3.2 IDENTIFICATION

A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 260553 "Identification for Electrical Systems."

## 3.3 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Operational Test: After installing luminaires, switches, and accessories, and after electrical circuitry has been energized, test units to confirm proper operation.
  - 2. Test for Emergency Lighting: Interrupt power supply to demonstrate proper operation. Verify transfer from normal power to battery power and retransfer to normal.

- B. Luminaire will be considered defective if it does not pass operation tests and inspections.
- C. Prepare test and inspection reports.

+ + END OF SECTION + +

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# SECTION 31 23 16.13

# TRENCHING

# <u> PART 1 – GENERAL</u>

# 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals required to perform all excavating, filling, and grading, and disposing of earth materials as shown, specified, and required for construction of Underground Facilities and related construction required to complete the Work.
  - 2. Preparation of subgrade is included under this Section.
  - 3. No classification of excavated materials will be made. Excavation includes all materials regardless of type, character, composition, moisture, or condition thereof.
- B. Related Sections:
  - 1. Section 03 00 05, Concrete.
  - 2. Section 33 05 05, Buried Piping Installation.

## 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ANSI/AISC 360, Specification for Structural Steel for Buildings.
  - 2. ASTM C33/C33M, Specification for Concrete Aggregates.
  - 3. ASTM C94/C94M, Specification for Ready-Mixed Concrete.
  - 4. ASTM C150/C150M, Specification for Portland Cement.
  - 5. ASTM C618, Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete.
  - 6. ASTM D422, Test Method for Particle-Size Analysis of Soils.
  - 7. ASTM D698, Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12 400 ft-lbf/ft<sup>3</sup> (600 kN-m/m<sup>3</sup>)).
  - 8. ASTM D1556, Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method.
  - 9. ASTM D1557, Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft<sup>3</sup> (2,700 kN-m/m<sup>3</sup>)).
  - 10. ASTM D2216, Test Methods for Laboratory Determination of Water (Moisture) Content of Soil and Rock by Mass.
  - 11. ASTM D4253, Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
  - 12. ASTM D4254, Test Methods for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.
  - 13. ASTM D4318, Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils.

- 14. ASTM D4832, Test Method for Preparation and Testing of Controlled Low Strength Material (CLSM) Test Cylinders.
- 15. ASTM D6023, Test Method for Density (Unit Weight), Yield, Cement Content, and Air Content (Gravimetric) of Controlled Low-Strength Material (CLSM).
- 16. ASTM D6103, Test Method for Flow Consistency of Controlled Low Strength Material (CLSM).
- 17. ASTM D6938, Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth).
- 18. ASTM E329, Specification for Agencies Engaged in Construction Inspection and/or Testing.

# 1.3 TERMINOLOGY

- A. The following words or terms are not defined but, when used in this Section, have the following meaning:
  - 1. "Subgrade" is the uppermost surface of native soil material unmoved from cuts; the bottom of excavation.

# 1.4 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Professional Engineer:
    - a. Engage a registered professional engineer legally qualified to practice in the same jurisdiction as the Site and experienced in providing engineering services of the kind indicated.
    - b. Responsibilities include but are not necessarily limited to:
      - 1) Reviewing system performance and design criteria stated in the Contract Documents.
      - 2) Preparing written requests for clarifications or interpretations of performance or design criteria for submittal to ENGINEER by CONTRACTOR.
      - 3) Preparing or supervising the preparation of design calculations and related submittals verifying compliance of the system with the requirements of the Contract Documents.
      - 4) Signing and sealing all calculations, drawings, and submittals prepared by professional engineer.
      - 5) Certifying that:
        - a) it has performed the design of the system in accordance with the performance requirements stated in the Contract Documents, and
        - b) the said design conforms to Laws and Regulations, and to the prevailing standards of practice.
  - 2. CONTRACTOR's Testing Laboratory:
    - a. Retain the services of independent testing laboratory to perform testing and determine compliance with the Contract Documents of the materials specified in this Section.

- b. Testing laboratory shall comply with ASTM E329 and requirements of Section 01 45 29.13, Testing Laboratory Services Furnished by Contractor.
- c. Testing laboratory shall be experienced in the types of testing required.
- d. Selection of testing laboratory is subject to ENGINEER's acceptance.
- B. Quality Assurance Testing:
  - 1. Quality assurance testing is in addition to field quality control testing required under Part 3 of this Section.
  - 2. Materials used in the Work may require testing and retesting, as directed by ENGINEER, during the Project. Allow free access to material stockpiles and facilities at all times. Tests not specifically indicated to be performed at OWNER's expense, including retesting of rejected materials and installed Work, shall be performed at CONTRACTOR's expense.
  - 3. CONTRACTOR's Testing Laboratory Scope:
    - a. Collect samples and perform testing of proposed fill materials in the laboratory and in the field to demonstrate compliance of the Work with the Contract Documents.
    - b. Testing laboratory shall perform testing required to obtain data for selecting moisture content for placing and compacting fill materials.
    - c. Submit to ENGINEER and CONTRACTOR written report results of each test.
  - 4. Required Quality Assurance Material Testing by CONTRACTOR's Testing Laboratory:
    - a. Gradation in accordance with ASTM D422. Perform one test of each of the following types of material incorporated into the Work: select fill, general fill, subbase material, drainage fill, and pipe bedding material.
    - b. Atterberg limits in accordance with ASTM D4318. Perform one test of the following types of materials incorporated into the Work: general fill, and pipe bedding material.
    - c. Moisture/density relations in accordance with ASTM D698, ASTM D1557, ASTM D4253, or ASTM D4254, as applicable. Perform one test of the following types of materials incorporated into the Work: select fill, general fill, subbase material, drainage fill, and pipe bedding material.
    - d. Moisture content of stockpiled or borrow material in accordance with ASTM D2216. Perform one test of the following types of material incorporated into the Work: select fill, general fill, subbase material, drainage fill, and pipe bedding material.
- C. Regulatory Requirements:
  - 1. Perform excavation work in compliance with requirements of authorities having jurisdiction and Laws and Regulations, including:
    - a. OSHA, 29 CFR Part 1926, Section .650 (Subpart P Excavations).
  - 2. Obtain required permits and approvals for excavation and fill Work, including work permits from right-of-way owners and permits from

environmental authorities having jurisdiction over discharge of water from excavations.

# 1.5 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Modifications to the Work proposed due to design of sheeting, shoring, bracing, cofferdams, and similar excavation supports.

# B. Informational Submittals: Submit the following:

- 1. Procedure Submittals:
  - a. Excavation Plan: Prior to starting excavation operations, submit written plan to demonstrate compliance with OSHA 29 CFR Part 1926.650. As a minimum, excavation plan shall include:
    - 1) Name of CONTRACTOR's "competent person" in responsible charge of excavation and fill Work.
    - 2) Excavation method(s) and additional items to be included in the Work, as listed in Paragraph 1.5.B.2.a of this Section.
    - 3) Copies of "manufacturer's data" or other tabulated data if protective system(s) are designed on the basis of such data.
    - 4) Copies of required permits and approvals, from authorities having jurisdiction and affected utility owners, for excavation methods proposed.
  - b. Proposed compaction procedure and compaction equipment proposed for use. Where different procedures or equipment will be used for compacting different types of material or at different locations at the Site, indicate where each procedure and equipment item will be used.
- 2. Excavation Support Plan and Related Information Prepared by CONTRACTOR's Professional Engineer:
  - a. CONTRACTOR and CONTRACTOR's professional engineer shall prepare the following for submittal:
    1) Sheeting and bracing, or other protective system(s) required.
    - 2) Dewatering system.
  - b. Drawings shall be prepared by professional engineer qualified in the specialty involved. Do not submit calculations. ENGINEER's review and acceptance of submittal does not imply approval by ENGINEER of the associated Work. CONTRACTOR shall be solely responsible for designing, installing, operating and maintaining the system(s) necessary to satisfactorily perform all sheeting, bracing, protection, underpinning, and dewatering.
- 3. Delivery Tickets:
  - a. Copy of delivery ticket for each load of aggregate and borrow material delivered to the Site. Each delivery ticket shall indicate project and contract by name and number, date, material type, department of transportation item number when applicable, and quantity delivered.
- 4. Quality Assurance Test Results Submittals:

- a. Submit results of quality assurance testing performed by in accordance with Paragraph 1.4.B of this Section, unless included as part of another submittal under this Section. Submit results for the following quality assurance testing:
  - 1) Tests on borrow fill material.
  - 2) Optimum moisture maximum dry density curve for each type of fill material.
- 5. Field Quality Control Submittals:
  - a. Submit results of testing and inspection performed in accordance with the field quality control Article in Part 3 of this Section, including:
    1) Field density testing.
- 6. Qualifications Statements:
  - a. Professional engineer.
  - b. Quality Assurance Testing laboratory. Submit name and qualifications of testing laboratory to be employed, and qualifications of testing laboratory's personnel that will perform quality assurance testing required in this Section.
  - c. Field Quality Control Testing Laboratory: Names and qualifications of testing laboratory employed, and qualifications of testing laboratory's personnel that will perform field quality control testing as required under this Section.

## 1.6 SITE CONDITIONS

- A. Soil borings and other exploratory operations may be made by CONTRACTOR, at no additional cost to OWNER. Coordinate CONTRACTOR-performed test borings and other exploratory operations with OWNER and utility owners as appropriate. Perform such explorations without disrupting or otherwise adversely affecting operations of OWNER or utility owners. Comply with Laws and Regulations relative to required notifications.
- B. Existing Structures:
  - 1. The Contract Documents show or indicate certain structures and Underground Facilities adjacent to the Work. Such information was obtained from existing records and is not guaranteed to be correct or CONTRACTOR shall explore ahead of the excavation to complete. determine the exact location of all existing structures and Underground Facilities. Contractor shall comply with 16 NYCRR Part 753 by calling Dig Safely New York at 1-800-962-7962. Existing structures and Underground be supported and protected from damage Facilities shall bv CONTRACTOR. Immediately repair and restore existing structures and Underground Facilities damaged by CONTRACTOR without additional cost to OWNER.
  - 2. Movement or operation of construction equipment over Underground Facilities shall be at CONTRACTOR's sole risk and only after CONTRACTOR has prepared and submitted to ENGINEER and utility owners (as applicable), and received acceptance therefrom, a plan describing CONTRACTOR's analysis of the loads to be imparted and

CONTRACTOR's proposed measures to protect structures and Underground Facilities during the Project.

- 3. Coordinate with utility owners for shut-off of services in active piping and conduits. Completely remove buried piping and conduits indicated for removal and not otherwise indicated as being abandoned or to remain in place.
- 4. In general, service lines and laterals to individual houses and businesses are not shown; however, CONTRACTOR shall assume that a service exists for each utility owner to each house, business, and property.
- 5. Do not interrupt existing utilities serving facilities occupied and used by OWNER or others, except when such interruption is indicated in the Contract Documents or when allowed in writing by ENGINEER after acceptable temporary utility services are provided by CONTRACTOR for the affected structure or property.

## PART 2 – PRODUCTS

#### 2.1 MATERIALS

- A. Select Fill:
  - 1. Material shall be well-graded, crushed aggregate, free of organic material, complying with the following:

Sieve Sizes (Square Openings)	Percentage by Weight Passing Sieve
1.25-inch	100
No. 4	38 to 65
No. 8	25 to 60
No. 30	10 to 40
No. 200	3 to 12

#### B. General Fill:

- 1. Material shall be free of: rock and gravel larger than three inches in any dimension, debris, waste, frozen materials, organic material, and other deleterious matter.
- 2. Fill shall have a liquid limit not greater than 45, and plasticity index not greater than 25.
- 3. Previously-excavated materials complying with the Contract Documents requirements for general fill may be used for general fill.
- 4. When on-Site materials are found unsuitable for use as general fill, provide select fill or approved off-Site general fill materials. Prior to using off-Site material as general fill, furnish submittal for and obtain ENGINEER's approval of the material proposed for use.

- C. Subbase Material:
  - 1. Material shall be naturally- or artificially-graded mixture of natural or crushed gravel, crushed stone, or natural or crushed sand, complying with the gradation requirements below. Crushed slag is unacceptable.

Sieve Sizes (Square Openings)	Percentage by Weight Passing Sieve
2-inch	100
1-inch	70 to 100
3/4-inch	50 to 90
No. 4	30 to 60
No. 30	9 to 33
No. 200	0 to 15

- D. Drainage Fill:
  - 1. Material shall be washed, uniformly-graded mixture of crushed stone, or crushed or uncrushed gravel, with 100 percent passing 1.5-inch sieve and not more than five percent passing a No. 4 sieve.
- E. Pipe Bedding Material:
  - 1. Aggregate material shall be crushed stone and gravel, free of: rock or gravel larger than one-inch in any dimension, debris, waste, frozen materials, organic material and other deleterious matter. Material shall comply with gradation requirements below:

Sieve Sizes (Square Openings)	Percentage by Weight Passing Sieve
1-inch	100
3/8-inch	30 to 65
No. 4	25 to 55
No. 10	15 to 40
No. 40	8 to 20
No. 200	2 to 8

2. Sand material, where required, shall consist of natural or manufactured granular material and shall contain no organic material. Sand shall be non-plastic, when tested in accordance with ASTM D4318, 100 percent shall pass a 1/2-inch screen and not more than five percent shall pass a No. 200 screen.

# 2.2 SOURCE QUALITY CONTROL

A. Perform quality assurance testing, and submit results to ENGINEER, in accordance with the 'Quality Assurance' Article in Part 1 of this Section.

## PART 3 – EXECUTION

#### 3.1 INSPECTION

A. Provide ENGINEER with sufficient notice and with means to examine areas and conditions under which excavating, filling, and grading will be performed. ENGINEER will advise CONTRACTOR in writing when ENGINEER is aware of conditions that may be detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

#### 3.2 TEST PITS

- A. General:
  - 1. In advance of the construction, as necessary, excavate, make observations and measurements, and fill test pits to determine conditions or location of the existing Underground Facilities and structures. Perform all work required in connection with excavating, stockpiling, maintaining, sheeting, shoring, filling, and replacing pavement for test pits. CONTRACTOR shall be responsible for the definite location of each existing Underground Facility involved within the area of excavation for the Work. Exercise care during such location work to avoid damaging and disrupting the affected Underground Facility or structure. CONTRACTOR shall be responsible for repairing, at his expense, damage to Underground Facility or structure caused during the Work.
- B. Payment for Test Pits:
  - 1. Separate payment will not be made for test pits made by CONTRACTOR for CONTRACTOR's own use.

## 3.3 PREPARATION

- A. Site Preparation:
  - 1. Clear areas to be occupied by permanent construction of all trees, brush, roots, stumps, logs, wood and other materials and debris. Clean and strip vegetation, sod, topsoil, and organic matter from subgrades where fills will be placed, and from areas where structures will be constructed. Remove from the Site and properly dispose of all waste materials.
  - 2. Burning is not allowed at the Site.
- B. Use of Explosives:
  - 1. Use of explosives is not allowed.
- C. Dust Control:
  - 1. Control objectionable dust caused by CONTRACTOR's operation of vehicles and equipment, clearing, and other actions. To minimize airborne dust, apply water or use other methods subject to ENGINEER's acceptance and approval of authorities having jurisdiction.

- D. Maintenance and Protection of Traffic:
  - 1. Keep all streets and traffic ways open for passage of traffic and pedestrians during the Project, unless otherwise approved by owner of the street, traffic way, or right-of-way, as applicable.
  - 2. When required to cross, obstruct, or temporarily close a street or traffic way, provide and maintain suitable bridges, detours, and other acceptable temporary expedients to accommodate traffic. Closings of street or traffic way shall be for shortest time practical, and passage shall be restored immediately after completion of fill and temporary paving or bridging.
  - 3. Give required advance notice to fire department, police department, and other emergency services as applicable of proposed construction operations.
  - 4. Give reasonable notice to owners or tenants of private property who may be affected by construction operations. Give such notice not less than 14 days prior to construction that will affect the property.
  - 5. Hydrants, valves, fire alarm boxes, postal boxes and delivery service boxes, and other facilities that may require access during construction shall be kept accessible for use.
  - 6. Provide temporary signage, signals, barricades, flares, lights and other equipment, service, and personnel required to regulate and protect traffic and warn of hazards. Such Work shall comply with requirements of owner of right-of-way and authorities having jurisdiction at the Site. Remove temporary equipment and facilities when no longer required, and restore grounds to original or to specified conditions, as applicable.

# 3.4 DEWATERING

- A. Dewatering General:
  - 1. Provide and maintain adequate drainage and dewatering equipment to remove and dispose of all surface water and ground water entering excavations, or other parts of the Work and work areas. CONTRACTOR shall design, provide, and operate dewatering system. Keep each excavation dry during excavation, subgrade preparation, and continually thereafter until the Underground Facilities to be built therein area acceptable to ENGINEER and backfilling operations are completed and acceptable to ENGINEER.
  - 2. Keep all working areas at the Site free of surface water at all times. Provide temporary drainage ditches and temporary dikes, and provide required temporary pumping and other work necessary for diverting or removing rainfall and all other accumulations of surface water from excavations and fill areas. Perform diversion and removal of surface water in manner that prevents accumulation of water behind permanent or temporary structures and at any other locations in the construction area where such accumulations may be detrimental.
  - 3. Water used for working or processing, resulting from dewatering operations, or containing oils or sediments that will reduce the quality of the surface water or groundwater downstream of the point of discharge, shall not be directly discharged. Divert such waters through temporary settling basin or filter before discharging to surface water, groundwater, or drainage routes.

- 4. CONTRACTOR shall be responsible for condition of piping, conduits, and channels used for drainage and such piping, conduits, and channels shall be clean and free of sediment.
- 5. Remove water from excavations as fast as water collects.
- B. Disposal of Water Removed by Dewatering System:
  - 1. CONTRACTOR's dewatering system shall discharge to suitable location acceptable to OWNER and owners of other properties potentially affected by water discharge, including owners adjacent to and downstream of dewatering system discharge. Operation dewatering system and disposal of water shall be in accordance with Laws and Regulations.
  - 2. Convey water from excavations in closed conduits. Do not use trench excavations as temporary drainage ditches.
  - 3. Dispose of water removed from excavations in a manner that does not endanger health and safety, property, the Work, and other portions of the Project.
  - 4. Dispose of water in manner that causes no inconvenience to OWNER, others involved in the Project, and adjacent and downstream properties.

# 3.5 EXCAVATION

- A. Perform all excavation required to complete the Work as shown, specified, and required. Excavations shall include removing and handling of earth, sand, clay, gravel, hardpan, soft, weathered or decomposed rock, pavements, rubbish, and other materials within the excavation limits.
- B. Excavation Protection:
  - 1. Provide excavation protection system(s) in accordance with Laws and Regulations to prevent injury to persons and property, including Underground Facilities.
  - 2. Excavation Less Than Five Feet Deep: Excavations in stable rock or in soil conditions where there is no potential for a cave-in may be made with vertical sides. Under all other conditions, excavations shall be sloped and benched, shielded, or shored and braced.
  - 3. Excavations Greater Than Five Feet Deep: Excavations in stable rock may be made with vertical sides. Under all other conditions, excavations shall be sloped and benched, shielded, or shored and braced.
  - 4. Provide and maintain excavation protection system(s) in accordance with submittals accepted by ENGINEER and required under Paragraph 1.5.B of this Section.
- C. Maintain excavations in dry condition in accordance with "Dewatering" Article in Part 3 of this Section.
- D. Elevation of bottom of footings shown is approximate. ENGINEER may direct such minor changes in dimensions and elevations as may be required to secure a

satisfactory footing. Elevations of piping, conduit, and similar other Underground Facilities shall be as shown or indicated on the Contract Documents.

- E. When excavations are made below required grades without written order of ENGINEER, fill such excavations with compacted select fill material, as directed by ENGINEER, at CONTRACTOR's expense.
- F. Extend excavations sufficiently on each side of structures, footings, and similar construction to allow setting of forms, installation of shoring and bracing, and the safe sloping of banks, as necessary.
- G. Subgrades General:
  - 1. Subgrades shall be firm, dense, and thoroughly compacted and consolidated; shall be free from mud, muck, and other soft or unsuitable materials; and shall remain firm and intact under all construction operations. Subgrades that are otherwise solid but become soft or mucky on top due to construction operations shall be reinforced with general fill material. Finished elevation of stabilized subgrades shall not be above subgrade elevations shown.
  - 2. If, in ENGINEER's opinion, subgrade becomes softened or mucky because of construction delays, failure to dewater properly, or other cause within CONTRACTOR's control, subgrade shall be excavated to firm material, trimmed, and backfilled with select fill material at CONTRACTOR's expense.
- H. Pipe Trench Preparation:
  - 1. Not more than 150 feet of trench may be opened in advance of installing pipe in trench.
  - 2. Trench width shall be minimized to greatest extent practical, and shall comply with the following:
    - a. Trench width shall be sufficient to provide space for installing, jointing and inspecting piping. Refer to Drawings for trench requirements. In no case should trench be wider at top of pipe than pipe barrel outside diameter plus two feet, unless otherwise shown or indicated.
    - b. Enlargement of trench width at pipe joints may be made when required and approved by ENGINEER.
    - c. Trench width shall be sufficient for shoring and bracing, or shielding and dewatering.
    - d. Trench width shall be sufficient to allow thorough compaction of fill adjacent to bottom half of pipe.
    - e. Do not use excavating equipment that requires the trench to be excavated to excessive width.
  - 3. Depth of trench shall be as shown or indicated. If required and approved by ENGINEER in writing, depths may be revised.
  - 4. Where ENGINEER considers existing material beneath bedding material unsuitable, remove and replace such unsuitable material with select fill material.

- I. Excavated Materials to be Used as Fill:
  - 1. Stockpile excavated materials that are acceptable for use as fill.
  - 2. As excavation proceeds, keep stockpiles of excavated materials suitable for use as fill separate from unsuitable materials and waste materials.
  - 3. Place, grade, and shape stockpiles for proper drainage.
  - 4. Locate and retain soil materials away from edge of excavations.
  - 5. Dispose of excess soil material and waste materials as specified in this Section.
  - 6. Stockpiled excavated soils for use as select fill or general fill shall be tested and classified by laboratory as on-Site select fill or on-Site general fill. Perform required quality assurance testing for material verification on stockpiled materials as soon as possible to demonstrate compliance of excavated materials with the Contract Documents.
  - 7. When all excavated material cannot be stored in the right-of-way or other lands provided by OWNER in such manner as to maintain traffic conditions as specified, remove surplus material from the Site and store such material appropriately. After laying pipe or completing the Underground Facility or structure being built in the trench, bring back to trench sufficient quantity of suitable excavated material required for backfilling the trench.

# 3.6 UNAUTHORIZED EXCAVATION

A. All excavations outside lines and grades shown or indicated and that are not approved by ENGINEER, together with removing and disposing of the associated material, shall be at CONTRACTOR's expense. Fill unauthorized excavations with properly-compacted select fill material at CONTRACTOR's expense.

## 3.7 EROSION AND SEDIMENT CONTROLS

A. Provide temporary erosion and sediment controls in accordance with Section 01 57 05, Temporary Controls. When applicable, also comply with requirements of the erosion and sediment control plan approved by authorities having jurisdiction.

## 3.8 SHEETING, SHORING, AND BRACING

- A. General:
  - 1. Design and provide sheeting, shoring, bracing, cofferdams, and similar excavation supports as shown, specified, and required for the Work.
  - 2. Clearances and types of temporary sheeting, shoring, bracing, and similar excavation supports, insofar as they may affect the finished character of the Work and the design of sheeting to be left in place, will be subject to the ENGINEER's approval; but CONTRACTOR is responsible for adequacy of all sheeting, shoring, bracing, cofferdams, and similar excavation supports.
  - 3. Materials:
    - a. Previously-used materials shall be in good condition, and shall not be damaged or excessively pitted. All steel or wood sheeting designated
to remain in place shall be new. New or used sheeting may be used for temporary sheeting, shoring, and bracing.

- b. All steel work for sheeting, shoring, bracing, cofferdams and other excavation supports, shall be in accordance with ANSI/AISC 360, except that field welding will be allowed.
- 4. As excavation progresses, carry down shoring, bracing, cofferdams, and similar excavation supports to required elevation at bottom of excavation.
- 5. Comply with Laws and Regulations regarding sheeting, shoring, bracing, cofferdams, and similar excavation supports.
- 6. Maintain sheeting, shoring, bracing, bracing, and other excavation supports in excavations regardless of time period excavations will be open.
- 7. Unless otherwise shown, specified, or directed, remove materials used for temporary construction when the Work is completed. Perform such removal in manner not injurious to the structures and Underground Facilities, their appearance, and adjacent construction.
- B. Removal of Sheeting and Bracing:
  - 1. Remove sheeting and bracing from excavations, unless otherwise directed by ENGINEER in writing. Perform removal to avoid damaging the Work and adjacent construction. Removal shall be equal on both sides of excavation to ensure no unequal loads on structures and Underground Facilities.
  - 2. Defer removal of sheeting and bracing, where removal may cause soil to come into contact with concrete, until concrete has cured for not less than seven days.

## 3.9 TRENCH SHIELDS

- A. Excavation of earth material below bottom of trench shield shall not exceed the limits established in Laws and Regulations.
- B. When using a shield for installing piping:
  - 1. Portions of trench shield extending below the mid-diameter of an installed, rigid pipe, such as prestressed concrete pipe and other types of rigid pipe, shall be raised above the pipe's mid-diameter elevation prior to moving the shield along the trench for further construction.
  - 2. Bottom of shield shall not at any time extend below mid-diameter of installed pipe that is flexible or has flexing capability, such as steel, ductile iron, PVC, CPVC, polyethylene, and other pipe that has flexing capability.
- C. When using a shield for installing structures, including structures that are Underground Facilities, bottom of the shield shall not extend below the top of the bedding for the structures.
- D. When removing the shield or moving the shield ahead, exercise extreme care to prevent moving piping, structures, and other Underground Facilities, and prevent disturbance of bedding material for piping, structures, and other Underground Facilities. When piping, structures, or other Underground Facilities are disturbed,

remove and reinstall the disturbed items in accordance with the Contract Documents.

#### 3.10 FILL AND COMPACTION – GENERAL PROVISIONS

- A. Provide and compact all fill required for the finished grades as shown and as specified in this Section.
- B. Place fill in excavations as promptly as progress of the Work allows, but not until completing the following:
  - 1. ENGINEER's authorization after observation of construction below finish grade.
  - 2. Inspection, testing, approval, and recording of locations of Underground Facilities.
  - 3. Removal of formwork.
  - 4. Removal of shoring and bracing, and filling of voids with satisfactory materials.
  - 5. Removal of trash and debris.
  - 6. Field testing of Underground Facilities including piping and conduits, in accordance with Section 33 05 05, Buried Piping Installation, when nature of the test requires observation of pipe exterior during testing.
- C. Fill that includes organic materials or other unacceptable material shall be removed and replaced with approved fill material in accordance with the Contract Documents.
- D. Placement General:
  - 1. Place fill to the grades shown or indicated. Bring up evenly on all sides fill around structures and Underground Facilities.
  - 2. Place fill materials at moisture content and density as specified in this Article's requirements on compaction density. Furnish and use equipment capable of adding measured amounts of water to the fill materials to bring fill materials to a condition within required moisture content range. Furnish and use equipment capable of discing, aerating, and mixing the fill materials to ensure reasonable uniformity of moisture content throughout the fill materials, and to reduce moisture content of borrow materials by air drying, when necessary. When subgrade or lift of fill materials requires moisture-conditioning before compaction, fill material shall be sufficiently mixed or worked on the subgrade to ensure uniform moisture content throughout the lift of material to be compacted. Materials at moisture content in excess of specified limit shall be dried by aeration or stockpiled for drying.
  - 3. Perform compaction with equipment suitable for the type of fill material placed. Select and use equipment capable of providing the minimum density required in the Contract Documents. Use light compaction equipment, with equipment gross weight not exceeding 7,000 pounds within horizontal distance of ten feet from the wall of completed, below-grade structures. Furnish and use equipment capable of compacting in restricted areas next to structures and around piping and other Underground Facilities.

Effectiveness of the equipment selected by CONTRACTOR shall be tested at start of compacted fill Work by constructing a small section of fill within the area where fill will be placed. If tests on the test section of fill indicate that required compaction is not obtained, do one or more of the following: increase the amount of coverages, decrease the lift thicknesses, or use different compactor equipment.

- 4. Place fill materials in horizontal, loose lifts, not exceeding specified uncompacted thickness. Place fill in a manner ensuring uniform lift thickness after placing. Mechanically compact each lift, by not less than two complete coverages of the compactor. One coverage is defined as the conditions reached when all portions of the fill lift have been subjected to the direct contact of compactor's compacting surface. Compaction of fill materials by inundation with water is unacceptable.
- 5. Do not place fill materials when standing water is present on surface of the area where fill will be placed. Do not compact fill when standing water is present on the fill to be compacted. Do not place or compact fill in a frozen condition or on top of frozen material. Fill containing organic materials or other unacceptable material previously described shall be removed and replaced prior to compaction.
- 6. If required densities are not obtained because of improper control of placement or compaction procedures, or because of inadequate or improperly-functioning compaction equipment, CONTRACTOR shall perform all work required to provide the required densities. Such work shall include, at no additional cost to OWNER, complete removal of unacceptable fill areas and replacement and re-compaction until acceptable fill is provided.
- 7. Repair, at CONTRACTOR's expense, observed or measured settlement. Make repairs and replacements as required within 30 days after being so advised by ENGINEER.
- E. Fill Against Concrete:
  - 1. Placing fill against concrete below finished grade is not allowed until the concrete has attained its specified strength, as determined by duration of concrete curing and testing of field-cured concrete cylinders. Requirements for strength and curing time are in Section 03 00 05, Concrete.
  - 2. Elevation of fill placed against concrete walls shall not differ by more than two feet on each side of walls.
  - 3. Backfill structural foundation units as soon as practicable, in accordance with this Section, after concrete has gained sufficient strength to avoid damage, to avoid ponding of surface water and accumulation of debris.
  - 4. Where fill is placed against waterproofed surface, exercise care that waterproofing material is not damaged.
- F. Fill in Pipe Trenches:
  - 1. Piping Installed in Fills Above Pre-construction Grade:
    - a. Prior to installing piping, place the fill in accordance with the Contract Documents until the fill reaches a minimum elevation two feet higher than the top of piping to be installed. Excavate the trench; install the

piping, and backfill. Subsequently provide the remainder of the fill required for the Work.

- 2. Piping trenches may be backfilled prior to testing of piping, unless nature of the test requires observation of pipe during testing.
- 3. Pipe Bedding: Pipe bettering material shall be as follows:
  - a. Install PVC, CPVC, HDPE, and FRP piping on a layer of sand. Sand shall extend to six inches above top of pipe and to the trenchwalls on each side of the pipe.
  - b. Unless otherwise shown, install other types of piping on not less than six-inch layer of aggregate pipe bedding material. Aggregate pipe bedding material shall extend six inches above top of the pipe.
- 4. Placing and Compacting Pipe Trench Fill: Unless otherwise shown, placement and compaction of pipe trench fill materials shall comply with the following:
  - a. Pipe bedding material shall be spread and the surface graded to provide a uniform and continuous support beneath piping at all points between bell holes or pipe joints. Slight disturbance of installed pipe bedding material surface during withdrawal of pipe slings or other lifting tackle is acceptable.
  - b. After each pipe's bedding material has been graded, and the piping has been aligned, joined in accordance with the Contract Documents, and placed in final position on bedding material, provide and compact sufficient pipe trench fill material under and around each side of the pipe and back of the bell or end thereof to hold piping in proper position and maintain alignment during subsequent pipe jointing and embedment operations. Deposit and compact pipe trench fill material uniformly and simultaneously on each side of piping to prevent lateral displacement of piping. Place and compact pipe trench fill material to an elevation six inches above top of pipe, unless otherwise shown or specified.
  - c. Each layer of pipe trench fill material shall be compacted by at least two complete coverages of all portions of surface of each lift using appropriate compaction equipment.
  - d. Method of compaction and compaction equipment used shall be appropriate for material to be compacted and shall not transmit damaging shocks to the piping.
- G. Temporary Pavement:
  - 1. Place 3 inches of temporary asphalt concrete pavement immediately after filling excavations in paved roadways and other paved areas that will remain for permanent use.
  - 2. Maintain surface of paved area over the fill in good and safe condition during progress of the Work, and promptly fill depressions over and adjacent to the fill area caused by settlement of fill.
  - 3. Permanent replacement pavement shall be equal to that of the existing roadways, unless otherwise shown or specified.
- H. Subbase Placement:

- 1. Provide subbase material where shown to the limits shown or indicated.
- 2. Place subbase material in compacted lifts not exceeding depth of six inches each.
- I. Drainage Fill Placement:
  - 1. Provide drainage fill material where shown to the limits shown or indicated.
  - 2. Place drainage fill material in compacted layers of uniform thickness not exceeding depth of six inches each. Compact lifts of drainage fill using suitable compaction equipment.
- J. Compaction Density Requirements:
  - 1. Minimum density for fill materials shall be 100 percent of maximum density obtained in the laboratory in accordance with ASTM D698. Compaction of fill materials less than five feet below final grade, behind concrete walls, and pipe bedding materials when not located below structures or pavement shall be 95 percent of maximum density.
  - 2. Place fill in trenches below piping, foundations, or paved areas in horizontal uncompacted layers not greater than eight inches deep, and thoroughly compact each layer before next layer is placed. In other pipe trenches, horizontal uncompacted layers shall be not greater than six inches deep.
  - Fill shall be wetted and thoroughly mixed to achieve optimum moisture content plus-or-minus three percent, with the following exceptions:
    a. On-site clayey soils: Optimum to plus three percent.
  - 4. Replace natural, undisturbed soils or compacted soil subsequently disturbed or removed by construction operations with materials compacted as indicated.
  - 5. Field quality control testing for density; to verify that specified density was obtained, will be performed during each day of compaction Work. Responsibility for field quality control testing is specified in the "Field Quality Control" Article in Part 3 of this Section.
  - 6. When field quality control testing indicates unsatisfactory compaction, provide additional compaction necessary to obtain the specified compaction. Perform additional compaction Work at no additional cost to OWNER until specified compaction is obtained. Such work includes complete removal of unacceptable (as determined by ENGINEER) fill areas and replacement and re-compaction until acceptable fill is provided in accordance with the Contract Documents.
- K. Replacement of Unacceptable Excavated Materials: In cases where overexcavation to replace unacceptable soil materials is required, backfill the excavation to required subgrade with select fill material and thoroughly compact in accordance with "Compaction Density Requirements" of this Article and the associated "Compaction Density Requirements" in this Article. Slope the sides of excavation in accordance with the maximum inclinations specified for each structure location.

#### 3.11 GRADING

- A. General:
  - 1. Uniformly grade areas within limits of grading under this Section, including adjacent transition areas.
  - 2. Smooth subgrade surfaces within specified tolerances, compact with uniform levels or slopes between points where elevations are shown, or between such points and existing grades.
  - 3. Blend grading over trench to elevations shown or indicated; where elevations are not shown or indicated, blend finished grade with existing grade on each side of trench.
- B. Finish surfaces free of irregular surface changes, and shall comply with the following:
  - 1. Grassed Areas or Areas Covered with Gravel, Stone, Wood Chips, or Other Special Cover: Finish areas to receive topsoil or special cover to within not more than one inch above or below the required subgrade elevations.
  - 2. Sidewalks: Shape surface of areas under sidewalks to line, grade, and cross section, with finish surface not more than one inch above or below the required subgrade elevation.
  - 3. Pavements: Shape surface of areas under pavement to line, grade, and cross section, with finish surface not more than 1/2-inch above or below the required subgrade elevation.
- C. Compaction:
  - 1. After grading, compact subgrade surfaces to the depth and percentage of maximum density for each area classification.

## 3.13 PAVEMENT SUBBASE COURSE

- A. General:
  - 1. Place subbase material, in layers of specified thickness, over ground surface to support pavement base course.
  - 2. After completing filling and grading, shape and compact pavement subbase to an even, firm foundation in accordance with this Section.
  - 3. Fill trenches over which pavement will be placed with select fill and process stone, as shown on the Contract Drawings.
- B. Grade Control:
  - 1. During construction, maintain lines and grades including crown and crossslope of subbase course.
- C. Placing of Pavement Subbase Course:
  - 1. Place subbase course material on prepared subgrade in layers of uniform thickness, in accordance with indicated cross-section and thickness. Maintain optimum moisture content for compacting subbase material during placing operations.

2. After completing compaction, other than that necessary for bringing material for the next course, do not haul or drive over the compacted subbase.

### 3.14 DISPOSAL OF EXCAVATED MATERIALS

- A. General:
  - 1. CONTRACTOR shall haul away material removed from excavations that does not comply with requirements for fill, or is in excess of the quantity required for fill.
  - 2. Disposal of materials shall be in compliance with Laws and Regulations, at no additional cost to OWNER.

## 3.15 TEMPORARY BARRIERS

- A. General:
  - 1. Provide temporary barrier surrounding excavations and excavation work areas for protection of persons and property. Temporary barriers supplement the requirements of Section 01 55 26, Maintenance and Protection of Traffic.
  - 2. Provide temporary barriers where shown or indicated, and where necessary to protect persons and property. At minimum, provide temporary barriers for all excavations that remain open overnight or longer.
- B. Temporary Snow Fence-type Barriers:
  - 1. Unless shown or indicated otherwise, temporary barrier shall be not less snow fence-type fencing, four feet high.
  - 2. During non-working hours, completely enclose all sides of excavation with temporary barrier.
  - 3. Fencing shall be constructed of vertical hardwood slats measuring not less than 1.5 inches by 1/4-inch interwoven with strands of horizontal wire, or shall be of equivalent plastic construction.
  - 4. Supports: Adequately support barrier to protect persons and property. Supports shall engage a substantial number of fence line wire in the proper position.
  - 5. Maintenance: Maintain temporary snow fence-type barriers as required. Repair or replace when damaged. Reinstall barriers where barrier installation has degraded over original temporary barrier installation.
  - 6. Removal: Remove the barriers from the Site when excavation is properly filled, or when directed.

#### 3.16 FIELD QUALITY CONTROL

- A. Site Tests: Employ a testing laboratory to perform field quality control testing.
  - 1. Testing Laboratory Scope:
    - a. Perform field moisture content and density tests to ensure that the specified compaction of fill materials has been obtained.
    - b. Report results of each test to ENGINEER and CONTRACTOR.

- 2. Required Material Tests:
  - a. Compaction: Comply with ASTM D1556 and ASTM D6938, as applicable.
- 3. Authority and Duties of Testing Laboratory:
  - a. Technicians representing the testing laboratory shall inspect the materials in the field, perform testing, and report findings to ENGINEER and CONTRACTOR. When materials furnished or the Work performed does not comply with the Contract Documents, technician will direct attention of ENGINEER and CONTRACTOR to such failure.
  - b. Technician will not act as foreman or perform other duties for CONTRACTOR. Work will be checked as it progresses, but failure to detect defective Work or non-complying materials shall not in any way prevent later rejection when defect is discovered, nor shall it obligate ENGINEER for Substantial Completion or final acceptance. Technicians are not authorized to revoke, alter, relax, enlarge, or release requirements of the Contract Documents, or to approve or accept any portion of the Work.
- 4. Responsibilities and Duties of CONTRACTOR:
  - a. Use of testing laboratory shall in no way relieve CONTRACTOR of the responsibility to provide materials and Work in full compliance with the Contract Documents.
  - b. To facilitate testing laboratory, CONTRACTOR shall advise testing laboratory at least two days in advance of filling operations to allow for completion of field quality control testing and for assignment of personnel.
  - c. It shall be CONTRACTOR's responsibility to accomplish the specified compaction for fill and other earthwork. CONTRACTOR shall control construction operations by confirmation tests to verify and confirm that CONTRACTOR has complied, and is complying at all times, with the Contract Documents relative to compaction, control.
  - d. CONTRACTOR shall demonstrate adequacy of compaction equipment and procedures before exceeding one or more of the following quantities of earthwork. Each test location shall include tests for each layer, type, or class of fill to finish grade.
    - 1) 200 linear feet of fill in trenches, except subbase material.
    - 2) 10 cubic yards of select fill other than that placed in trenches.
    - 3) 100 cubic yards of general fill other than that placed in trenches.
    - 4) 50 cubic yards of subbase material.
- 5. Testing laboratory will inspect and indicate acceptable subgrades and fill layers before construction work is performed thereon. Testing of subgrades and fill layers shall be taken as follows:
  - a. Trenches for Underground Facilities:
    - 1) In Open Fields: Two locations every 1,000 linear feet.
    - 2 Along Dirt or Gravel Roads or Off Traveled Right-of-Way: Two locations every 500 linear feet.
    - 3) Crossing Paved Roads: Two locations along each crossing.

- 4) Under Pavement Cuts or Within Two Feet of Pavement Edges: One location every 400 linear feet.
- b. Subbase Material: One per 1,000 square feet on every compacted lift.
- 6. Periodic compliance tests will be made by ENGINEER to verify that compaction is complying with the requirements specified, at no cost to CONTRACTOR. CONTRACTOR shall remove the overburden above the level at which ENGINEER wishes to test and shall fill and re-compact the excavation after testing is complete.
- 7. If testing laboratory reports or inspections indicate subgrade, fills, or bedding compaction below specified density, CONTRACTOR shall remove unacceptable materials as necessary and replace with specified materials and provide additional compaction at CONTRACTOR's expense until subgrades, bedding, and fill are acceptable. Costs for retesting of subgrade, fills, or bedding materials that did not originally comply with specified density shall be paid by CONTRACTOR.

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## SECTION 32 12 00

#### FLEXIBLE PAVING

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish and install flexible, hot-mix, hot-laid, asphalt concrete pavement.
  - 2. The Work includes:
    - a. Preparation such as sawcutting, milling where necessary, cleaning, and other preparation for installing flexible pavements.
    - b. Providing asphalt concrete paving materials.
    - c. Providing tack coat material.
    - d. Providing pavement markings where shown or indicated.
    - e. Providing quality controls and testing.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before flexible paving Work.
  - 2. Notify other contractors in advance of installing flexible paving to provide other contractors with sufficient time for installing items included in their contracts to be installed with or before flexible paving Work.
- C. Related Sections:
  - 1. Section 31 23 16.13, Trenching.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. AASHTO M320, Specification for Performance-Graded Asphalt Binder.
  - 2. AASHTO MP1a, Specification for Performance-Graded Asphalt Binder.
  - 3. AI MS-2, Mix Design Methods for Asphalt Concrete and Other Hot-Mix Types.
  - 4. ASTM C1371, Test Method for Determination of Emittance of Materials Near Room Temperature Using Portable Emissometers.
  - 5. ASTM C1549, Test Method for Determination of Solar Reflectance Near Ambient Temperature Using a Portable Solar Reflectometer.
  - 6. ASTM D242/D242M, Specification for Mineral Filler For Bituminous Paving Mixtures.
  - 7. ASTM D692/D692M, Standard Specification for Coarse Aggregate for Bituminous Paving Mixtures.
  - 8. ASTM D946/D946M, Specification for Penetration-Graded Asphalt Cement for Use in Pavement Construction.

- 9. ASTM D977, Specification for Emulsified Asphalt.
- 10. ASTM D1073, Specification for Fine Aggregate for Bituminous Paving Mixtures.
- 11. ASTM D1188, Test Method for Bulk Specific Gravity and Density of Compacted Bituminous Mixtures Using Coated Samples.
- 12. ASTM D2726, Test Method for Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous Mixtures.
- 13. ASTM D2950, Test Method for Density of Bituminous Concrete in Place by Nuclear Methods.
- 14. ASTM D3549, Test Method for Thickness or Height of Compacted Bituminous Paving Mixture Specimens.
- 15. ASTM D6690, Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements.
- 16. ASTM E329, Specification for Agencies Engaged in Construction Inspection and/or Testing.
- 17. ASTM E408, Test Methods for Total Normal Emittance of Surfaces Using Inspection-Meter Techniques.
- 18. ASTM E1918, Test Method for Measuring Solar Reflectance of Horizontal and Low-Sloped Surfaces in the Field.
- 19. ASTM E1980, Practice for Calculating Solar Reflectance Index of Horizontal and Low-Sloped Opaque Surfaces
- 20. FS TT-P-115, Paint, Traffic, Highway, White and Yellow.
- 21. USGBC LEED-NC, Reference Guide, For New Construction and Major Renovation.

## 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Asphalt Concrete Production Facility:
    - a. Production facility for asphalt concrete, tack coat materials, and other bitumastic materials shall be certified by the New York State Department of Transportation for furnishing such materials for New York State highways.
  - 2. CONTRACTOR's Testing Laboratory:
    - a. Retain the services of independent testing laboratory to perform testing and determine compliance with the Contract Documents of the materials provided under this Section.
    - b. Testing laboratory shall comply with ASTM E329 and requirements of Section 01 45 29.13, Testing Laboratory Services Furnished by Contractor.
    - c. Testing laboratory shall be experienced in the types of testing required.
    - d. Selection of testing laboratory is subject to ENGINEER's acceptance.
- B. Regulatory Requirements:
  - 1. Reference Specifications and Details:
    - a. Comply with applicable requirements of New York State Department of Transportation Standard Specifications and Standard Details.
  - 2. Obtain required highway and street rights-of-way work permits.

- 3. Jurisdiction:
  - a. Paved areas to be constructed are jurisdiction of the Town of Yorktown, New York.
- C. Quality Assurance Testing:
  - 1. Quality assurance testing is in addition to source quality control testing, when required, and field quality control testing required under Article 3.4 of this Section.
  - 2. Materials used in the Work may require testing and retesting, as directed by ENGINEER, during the Project. Allow free access to material stockpiles and facilities at all times. Tests not specifically indicated to be performed at OWNER's expense, including retesting of rejected materials and installed Work, shall be performed at CONTRACTOR's expense.
  - 3. CONTRACTOR's Quality Assurance Testing Laboratory Scope:
    - a. Use of testing laboratory shall not relieve CONTRACTOR of responsibility for providing materials and the Work in compliance with the Contract Documents.
    - b. Quality assurance testing laboratory shall perform the following, unless evidence of material compliance with reference specifications indicated in Paragraph 1.3.B of this Section, is submitted to ENGINEER by CONTRACTOR and asphalt concrete production facility:
      - 1) Test in accordance with reference specifications indicated in Article 1.3 of this Section. In lieu of quality assurance testing, submit evidence and certification of material compliance with reference specifications. When evidence of conformance submitted is not acceptable to ENGINEER, perform quality assurance testing.
    - c. To facilitate testing services, CONTRACTOR shall:
      - 1) Secure and deliver to testing laboratory and ENGINEER (when requested by ENGINEER) representative Samples of materials that CONTRACTOR proposes to furnish and that are required to be tested.
      - 2) Furnish such labor as is necessary to obtain and handle Samples at the Site or at asphalt concrete production facility and other material sources.
      - 3) Advise testing laboratory and ENGINEER sufficiently in advance of operations to allow for completion of quality assurance tests and for the assignment of personnel.

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Submit the proposed asphalt concrete mix design for each asphalt concrete material, and other bituminous materials, required under this Section, providing complete data on materials, including location in the Work, source, material content and percentages, temperatures and all other pertinent data. Indicate proportion of bituminous material from reclaimed asphalt pavement.

- b. Proposed gradation for each aggregate to be used in flexible paving. Submit gradation test results for the same material furnished on a previous project. Indicate the proportion of reclaimed asphalt pavement.
- c. In lieu of the information required under Paragraphs 1.4.A.1.a and 1.4.A.1.b, above, submit certificates of compliance with the reference specifications indicated in Article 1.3 of this Section, for each for the following:
  - 1) Each mix design required.
  - 2) Bituminous materials required.
  - 3) Aggregates to be used in flexible paving, from each material source and each required gradation.
  - 4) Density of uncompacted asphalt concrete material.
  - 5) Density of previously-compacted, previously-tested asphalt concrete material.
  - 6) Density and voids analysis for each asphalt concrete material test specimen.
  - 7) Evidence of asphalt concrete plant inspection and compliance with the reference specifications indicated in Article 1.3 of this Section.
  - 8) Proportion of reclaimed asphalt pavement in bituminous materials and aggregate.
- 2. Product Data:
  - a. Manufacturer's complete product data on all pavement marking materials proposed for use, including product literature, specifications, and recommended application techniques and other installation data.
- B. Informational Submittals: Submit the following:
  - 1. Quality Assurance Test Data Submittals and Source Quality Control Submittals:
    - a. Submit for quality assurance tests and source quality control tests required.
  - 2. Delivery Tickets:
    - a. Submit copy of delivery ticket for each load of asphalt concrete, tack coat materials, and other materials obtained from asphalt concrete production facility, signed by CONTRACTOR
  - 3. Field Quality Control Submittals:
    - a. Submit results of required field quality control testing.
  - 4. Qualifications:
    - a. Asphalt concrete production facility, when required by ENGINEER.
    - b. CONTRACTOR's testing laboratory, when required by ENGINEER.

## 1.5 SITE CONDITIONS

- A. Weather Limitations:
  - 1. Temperature:
    - a. For base course and binder course paving lifts equal to or greater than two inches thickness, atmospheric temperature shall be 40 degrees F and rising.

- b. For surface course paving or other pavement courses in lifts less than two inches thick, temperature of surface on which pavement is to be placed shall be 50 degrees F or greater.
- 2. Prohibitions:
  - a. Do not place flexible paving materials when weather is foggy or during precipitation.
  - b. Do not place flexible paving materials when the base on which the material will be placed contains moisture in excess of optimum.
  - c. Place flexible paving materials only when ENGINEER concurs that weather conditions are suitable.

## PART 2 – PRODUCTS

#### 2.1 SYSTEM PERFORMANCE

- A. System Description:
  - 1. Provide subbase course of the thickness shown or indicated, in accordance with Section 31 23 16.13, Trenching.

#### 2.2 ASPHALT CONCRETE MIXES

- A. Asphalt Concrete Mixtures: Provide the following materials designed and manufactured in accordance with reference specifications indicated in Article 1.3 of this Section:
  - 1. Binder Course: NYSDOT Item No. 403.138902, Asphalt Concrete Type 3 Binder Course.
  - 2. Surface Course (Wearing Course, Top Course): NYSDOT Item No. 403.198202, Asphalt Concrete Type 7 F2 Top Course.

#### 2.3 BITUMINOUS MATERIALS

- A. Bituminous Materials for Asphalt Concrete:
  - 1. Bituminous materials for asphalt concrete shall comply with the reference specifications indicated in Article 1.3 of this Section, for the asphalt concrete mixes specified.
  - 2. Bituminous Materials from Reclaimed Asphalt Pavement (RAP): When use of RAP in bituminous materials is acceptable, comply with requirements for RAP in Article 2.4 of this Section.
- B. Tack Coat:
  - 1. Tack coat shall be emulsified asphalt.
  - 2. Provide Item NYSDOT Item. No. 407.0101, Tack Coat, in accordance with reference specifications indicated in Article 1.3 of this Section.
- C. Crack Sealant:
  - 1. Provide ASTM D6690, Types II, in accordance with reference specifications indicated in Article 1.3 of this Section.

### 2.4 AGGREGATES IN FLEXIBLE PAVEMENTS

- A. Aggregates for Asphalt Concrete General:
  - 1. Aggregate materials used in flexible pavement shall be in accordance with the reference specifications indicated in Article 1.3 of this Section, for the asphalt concrete mix designs indicated.
- B. Reclaimed Asphalt Pavement (RAP):
  - 1. Processed material obtained by milling or full depth removal of existing asphalt concrete pavement may be used as aggregate in asphalt concrete base course and binder course.
  - 2. Maximum proportion of RAP in the asphalt concrete provided shall comply with requirements of the reference specifications indicated in Article 1.3 of this Section.
  - 3. When RAP is used, comply with Contract Documents requirements for the applicable asphalt concrete course mix design, bituminous materials, and aggregates.

## 2.5 PAVEMENT MARKING MATERIALS

- A. Material:
  - 1. Pavement marking paint shall have chlorinated rubber base.
  - 2. Factory-mixed, quick-drying and non-bleeding, complying with FS TT-P-115, Type III.
- B. Colors:
  - 1. Roadway Center Markings Between Opposing Traffic Lanes: Yellow.
  - 2. Roadway Side Striping: White, unless otherwise shown or specified. On roads with divided median, right-side striping of each direction shall be white, and left-side striping shall be yellow.
  - 3. Roadway Miscellaneous Lane Markings (turn lane arrows and text): White.
  - 4. No-Parking Areas: Yellow.

## PART 3 – EXECUTION

## 3.1 INSPECTION

- A. Examine the subbase and base on which flexible paving will be installed. Notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.
- B. Do not place materials on subgrades, or subbase that is muddy or has water thereon.

## 3.2 PREPARATION

A. Preparation: Before starting installation of flexible paving, perform the following:

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- 1 Grade Control: Establish and maintain throughout flexible paving installation the required lines and grades, including crown and cross-slope for each asphalt concrete course during construction operations.
- 2. Prepare subgrade and provide subbase for flexible pavement in accordance with Section 31 23 16.13, Trenching. Before installing flexible pavement, obtain ENGINEER's concurrence that subgrade and subbase are suitable for installing flexible pavement.
- 3. Coordinate placement of flexible pavement with the Work including drainage structures, manholes, valve boxes, and similar items.
- 4. Provide appropriate maintenance and protection of traffic measures during placement of pavement.
- B. Milling:
  - 1 Perform milling of existing pavement where as required.
  - 2. "Milling" consists of the milling, shaping, and removing portions of existing surfaces by cold milling process and subsequent cleaning.
  - 3. Milling Equipment:
    - a. Milling machines shall be power-operated, self-propelled machines capable of removing the desired thickness of existing surfaces. Machines shall have sufficient power, traction, and stability to accurately maintain depth of cut and slope. Machines shall produce a finished profile and cross slope to within 1/4 inch of that required and shall produce uniform surface texture free of gouges and ridges greater than 3/8-inch deep.
    - b. Machines shall be equipped with a means to control dust and other particulate matter created by the cutting action.
    - c. Provide equipment that removes milled material as quickly as the rate of milling.
    - d. Use vacuum trucks, street sweepers or power brooms to clean milled surfaces.
  - 4. Milling Operations:
    - a. Perform milling to so that, when final course of pavement is placed, required elevations and grades are provided. Where required, establish a taut reference string line to control line and grade of milling.
    - b. Minimize the time between milling and placement of pavement over milled surface.
    - c. Areas not accessible to the milling machine, such as around or adjacent to drainage structures, manholes, curbs, and transverse joints on structures, may be removed by a small milling machine, handwork or other method acceptable to ENGINEER.
    - d. Remove milled material as soon as it is milled. Remove fines and other material prior to opening milled area to traffic. Control objectionable dust emissions. When traffic has been allowed into milled area or when more than 48 hours have elapsed since milling, clean the milled area again prior to applying tack coat.
    - e. Maintain drainage to drainage inlets and other drainage structures in a manner acceptable to ENGINEER.
    - f. Properly dispose of milled material at a location away from the Site.

- C. Surface Preparation:
  - 1. Repair surface defects in existing pavement to provide uniform surface to receive new pavement.
  - 2. Provide crack sealant to completely fill cracks more than 1/16-inch wide in areas shown or indicated on the Drawings.
  - 3. Clean existing surfaces over which asphalt concrete pavement will be installed, by removing from the surface foreign material, excess asphalt concrete, excess joint sealant, and crack filler, and other undesirable matter.
  - 4. Provide tack coat as indicated in Article 3.3 of this Section.

#### 3.3 INSTALLATION OF FLEXIBLE PAVING

- A. General:
  - 1. Provide final pavement surfaces of uniform texture, at required grades and cross-sections.
  - 2. Construct roadways to the lines, grades, and typical sections shown or indicated.
  - 3. Coordinate with ENGINEER and OWNER the duration of temporary pavement prior to installation permanent pavement. Maintain and repair temporary bituminous material as required until placement of permanent pavement. Remove temporary bituminous material before installing permanent pavement.
- B. Installation of Asphalt Concrete:
  - 1. Asphalt concrete mixture shall be transported to the site of paving and placed as soon as possible after mixing.
  - 2. Placement of each asphalt concrete course shall be completed over the full width of the section under construction during each day's paving operations.
  - 3. Spread and finish asphalt concrete courses by means of self-propelled mechanical spreading and finishing equipment. Compacted thickness of layers placed shall not exceed 150 percent of specified thickness unless approved in writing by ENGINEER.
  - 4. Compaction:
    - a. Rollers:
      - 1) Use sufficient rolling equipment to satisfactorily compact and finish the quantity of asphalt concrete placed. There shall be not less than two rollers on the Project at all times. When acceptable to ENGINEER, one of the rollers may be a pneumatic-tire roller.
      - 2) During rolling operations, roller speed shall not exceed three miles per hour. When sufficient number of rollers is not available, reduce the quantity of asphalt concrete placed to accommodate the available rollers' speed.
      - 3) Required rollers shall be at the Site, in acceptable operating condition, prior to placing of asphalt concrete.
      - 4) Use of vibratory rollers in lieu of steel-wheeled rollers is acceptable, however when thickness of asphalt concrete is one-inch or less, rolling shall be in the static mode.

- b. Rolling of initially-placed asphalt concrete material, or breakdown rolling, shall begin as soon as the asphalt concrete mixture will bear the roller without undue displacement.
- c. Rolling shall be longitudinal, overlapping on successive trips by not less than one-half roller rear wheel width, and not more than three-quarters of roller rear wheel width. Alternate trips of the roller shall be of slightly different lengths.
- d. At all times, roller motion shall be slow enough to avoid displacing the asphalt concrete.
- e. Operate rollers continuously from breakdown of laid asphalt concrete through finish rolling.
- f. Perform finish rolling using a steel-wheeled roller or a vibratory steelwheel roller operating in the static mode.
- g. Perform rolling with consecutive passes to achieve even and smooth finish without roller marks.
- h. At each location not accessible to roller, thoroughly compact asphalt concrete with tampers and finish, where necessary, with a hot smoothing iron to provide uniform, smooth layer over the entire area so compacted.
- 5. Each compacted asphalt concrete course shall be within plus or minus 1/4-inch of the indicated thickness.
- 6. Placement of Adjacent Strips of New Asphalt Concrete:
  - a. When more than one width of asphalt concrete material will be placed, a six-inch wide strip of asphalt concrete adjacent to the area on which the future material is to be placed shall not be rolled until such future material is placed.
  - b. Do not leave the unrolled strip unrolled for more than two hours after placement, unless the six-inch unrolled strip is first heated with a joint heater.
  - c. After the first strip or width of asphalt concrete is compacted, place, finish, and compact the second width or strip as required for the first width, except that rolling shall be extended to include the six-inch strip of the first width not previously compacted.
- C. Construction Joints:
  - 1. Construction joints shall be made in such a manner as to ensure a neat junction, thorough compaction, and bond throughout.
  - 2. Provide a transverse joint extending over the full width of the strip being laid and at right angles to its centerline at end of each workday and at other times when the placement of hot-mix asphalt concrete will be suspended for a period of time that will allow asphalt concrete mixture to chill.
  - 3. Thoroughly compact by rolling the forward end of a freshly laid strip of asphalt concrete before the asphalt concrete mixture becomes chilled. When the Work is resumed, the end shall be cut vertically for the full depth of the layer.
- D. Joining of Pavements:
  - 1. When pavement is to join existing or previously-laid pavement, the existing or previously-laid pavement shall be neatly and carefully edged to allow for

overlapping and feathering of the subsequent course of asphalt concrete material.

- 2. Where new pavement is to meet existing pavement, the existing pavement shall be sawcut and notched.
- 3. Where new pavement will meet existing asphalt pavement, remove existing pavement 12 inches onto undisturbed existing pavement course at edges where new pavement will meet existing pavement.
- 4. Tack Coat:
  - a. Provide tack coat material at the following locations:
    - 1) At edges where new pavement will connect to existing or previouslyinstalled pavement.
    - 2) On surface of existing or previously-installed pavement course over which new pavement will be installed, prior to placement of the subsequent pavement course. Tack coat may be deleted when a succeeding layer of asphalt pavement is being applied over a freshlyplaced asphalt pavement course that has been subjected to very little or no traffic, with approval of ENGINEER
    - 3) Where new pavement will abut curbing, concrete gutters, drainage structures and frames, manhole cover frames, valve boxes, and similar items.
  - b. Tack Coat Installation: Install tack coat immediately prior to installing pavement. Place pavement while tack coat is wet. Apply tack coat in accordance with reference specification indicated in Article 1.3 of this Section.
- E. Curing:
  - 1. Do not allow traffic onto pavement until directed by ENGINEER. Traffic will not be allowed on new asphalt concrete pavement until surface temperature is less than 140 degrees F.
  - 2. Hold construction traffic on new pavement to a minimum as acceptable to ENGINEER.
- F. Asphalt Concrete Curbs: Provide extruded asphalt curbs of the height and profile indicated on the Drawings.
- G. Defective Pavement Work:
  - 1. When directed by ENGINEER, remove and replace defective flexible paving Work. Cut out such areas of defective pavement and fill with fresh asphalt concrete materials, compacted to required density.

## 3.4 FIELD QUALITY CONTROL

- A. Site Tests:
  - 1. Responsibility:
    - a. Field quality control testing laboratory will:
      - 1) Perform field density tests to verify that required compaction of asphalt materials has been obtained.

- 2) Test the proposed materials for compliance with the Contract Documents, as directed by ENGINEER.
- 3) Submit reports of all test results to ENGINEER and CONTRACTOR.
- b. Authority Field Quality Control Testing Laboratory:
  - 1) Technicians representing the testing laboratory will inspect materials at the Site and perform required testing. When the materials furnished or Work performed do not comply with the Contract Documents, field quality control testing laboratory technician shall direct the attention of ENGINEER and CONTRACTOR to such non-compliance.
  - 2) Testing laboratory personnel shall not act as foreman or perform other duties for CONTRACTOR. The Work will be checked as it progresses, but failure to detect defective Work shall not in any way prevent the later rejection of such defective Work when defect is discovered. Failure to detect defective Work as it occurs does not obligate ENGINEER to final acceptance. Testing laboratory personnel are not authorized to revoke, alter, relax, enlarge, or release requirements of the Contract Documents, nor to approve or accept any portion of the Work.
- 2. Asphalt Concrete Mix Temperature: Measure temperature at time of placement, record, and submit to ENGINEER.
- 3. Surface Smoothness:
  - a. Test finished surface of each flexible paving course for smoothness, using a ten-foot straightedge applied parallel to and at right angles to centerline of paved areas.
  - b. Check surfaced areas at intervals as directed by ENGINEER.
  - c. Surfaces will be acceptable relative to smoothness when measurements are equal to or less than the following:
    - 1) Base Course: 3/8-inch vertical in ten feet horizontal.
    - 2) Binder Course: 3/8-inch vertical in ten feet horizontal.
    - 3) Surface Course (Wearing Course): 1/4-inch vertical in ten feet horizontal.
    - 4) Crowned Surfaces:
      - a) Test crowned surfaces with a crown template, centered and at right angles to the crown.
      - b) Surfaces will be acceptable when variance is equal to or less than 1/4-inch from the template.
  - d. Elevation: Finished surface of pavement shall be within plus or minus 1/2-inch of elevations shown or indicated.
- 4. Density:
  - a. Test Method: ASTM D2950 nuclear method; test one sample every 1,000 square yards of pavement. Test for each asphalt concrete course installed.
  - b. In addition, when directed by ENGINEER, compare density of in-place flexible paving materials against laboratory specimen or certificates on same asphalt pavement mixture, using nuclear density device.
  - c. Criteria for Acceptance: Density of in-place asphalt pavement material shall be not less than 90 percent of the recorded laboratory specimen or certificate density. Density shall be not greater than 98 percent.

5. Repair holes from test specimens in accordance with this Section's requirements for repairing defective Work.

### 3.5 ADJUSTING

- A. Frames and Covers:
  - 1. Set frames of drainage structures, manholes, valve boxes, and similar items to final grade. Adjust frames of existing structures and frames furnished under other Sections. Frames shall be substantially similar elevation to finished surface course of pavement.
  - 2. Replace covers and gratings of existing structures immediately following adjusting associated frames. Install covers and gratings of structures provided under the Project as quickly as possible.
  - 3. Where there is a delay between adjusting of frames and installation of surface course, provide temporary bituminous material around perimeter of each frame to smooth vehicle access over the frame. Maintain and repair temporary bituminous material as required until placement of surface course. Remove temporary bituminous material before installing surface course.
- B. Pavement Adjustment:
  - 1. Repair or replace in manner acceptable to ENGINEER areas of pavement that are observed to pond or collect water.

#### 3.6 CLEANING

A. Cleaning: After completing the paving operations, clean surfaces of excess or spilled bituminous materials, excess asphalt concrete, and foreign matter.

#### 3.7 PROTECTION

- A. Protect finished pavement until pavement has become properly hardened and cool.
- B. Cover openings of drainage structures, manholes, valve boxes, and similar items in the paved area until permanent coverings are provided.

#### 3.8 PAVEMENT MARKINGS

- A. Pavement Markings: Provide pavement markings where shown or indicated.
  - 1. Preparation:
    - a. Sweep surface with power broom supplemented by hand brooms to remove loose material and dirt.
    - b. Do not begin marking bituminous concrete pavement until approved by ENGINEER.
    - c. When reflective glass beads are required, mix with paint prior to paint application.
  - 2. Application:

a. Using mechanical equipment, provide uniform, straight edges in two separate coats. Apply in accordance with paint manufacturer's recommendations.

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#### SECTION 33 05 05

#### BURIED PIPING INSTALLATION

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to install and test all buried piping, fittings, and specials. The Work includes the following:
    - a. All types and sizes of buried piping, except where buried piping installations are specified under other Sections or other contracts.
    - b. Unless otherwise shown or specified, this Section includes all buried piping Work required, beginning at the outside face of structures or structure foundations, including piping beneath structures, and extending away from structures.
    - c. Work on or affecting existing buried piping.
    - d. Installation of all jointing and gasket materials, specials, flexible couplings, mechanical couplings, harnessed and flanged adapters, sleeves, tie rods, cathodic protection, and other Work required for a complete, buried piping installation.
    - e. Supports, restraints, and thrust blocks.
    - f. Pipe encasements, with the exception of piping embedded in concrete within a structure or foundation specified under Section 40 05 05, Exposed Piping Installation.
    - g. Field quality control, including testing.
    - h. Cleaning and disinfecting.
    - i. Incorporation of valves, meters, and special items shown or specified into piping systems in accordance with the Contract Documents and as required.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before buried piping Work.
  - 2. Coordinate with appropriate piping Sections of Division 40, Process Integration.
  - 3. Notify other contractors in advance of installing buried piping to provide them with sufficient time for installing items included in their contracts to be installed with or before buried piping installation Work.
- C. Related Sections:
  - 1. Section 31 23 16.13, Trenching.
  - 2. Section 03 00 05, Concrete.
  - 3. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Systems

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ASME B31.3, Process Piping.
  - 2. American Society for Non-Destructive Testing (ASNT), ASNT-TC-1A, Recommended Practice, Personnel Qualification, and Certification in Non-destructive Testing.
  - 3. ASTM D2321, Practice for Underground Installation of Thermoplastic Pipe for Sewers and other Gravity-Flow Applications.
  - 4. ASTM D2774, Practice for Underground Installation of Thermoplastic Pressure Piping.
  - 5. ASTM F1417, Test Method for Installation Acceptance of Plastic Gravity Sewer Lines using Low-Pressure Air.
  - 6. ASTM F2164, Standard Practice for Field Leak Testing of Polyethylene (PE) Pressure Piping Systems Using Hydrostatic Pressure.
  - 7. ANSI/AWWA C105, Polyethylene Encasement for Ductile-Iron Pipe Systems.
  - 8. ANSI/AWWA C111, Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings.
  - 9. ANSI/AWWA C600, Installation of Ductile-Iron Water Mains and Their Appurtenances.
  - 10. ANSI/AWWA C605, Underground Installation of Polyvinyl Chloride (PVC) Pressure Pipe and Fittings for Water.
  - 11. ANSI/AWWA C651, Disinfecting Water Mains.
  - 12. AWWA M23, PVC Pipe Design and Installation.
  - 13. AWWA M41, Ductile-Iron Pipe and Fittings.
  - 14. AWWA M55, PE Pipe Design and Installation.
  - 15. ASCE 37, Design and Construction of Sanitary and Storm Sewers.

#### 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements:
  - 1. Comply with requirements and recommendations of authorities having jurisdiction over the Work, including.
    - a. Town of Yorktown, New York.
  - 2. Obtain required permits for Work in roads, rights-of-way, railroads, and other areas of the Work.

## 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Laying schedules for concrete pipe and piping with restrained joints.b. Details of piping, specials, joints, harnessing and thrust blocks, and connections to piping, structures, equipment, and appurtenances.
  - 2. Product Data:
    - a. Manufacturer's literature and specifications, as applicable, for products specified in this Section.

- 3. Testing Procedures:
  - a. Submit proposed testing procedures, methods, apparatus, and sequencing. Obtain ENGINEER's approval prior to commencing testing.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Certificate signed by manufacturer of each product certifying that product conforms to applicable referenced standards.
  - 2. Field Quality Control Submittals:
    - a. Results of each specified field quality control test.
- C. Closeout Submittals: Submit the following:
  - 1. Record Documentation:
    - a. Maintain accurate and up-to-date record documents showing modifications made in the field, in accordance with approved submittals, and other Contract modifications relative to buried piping Work. Submittal shall show actual location of all piping Work and appurtenances at same scale as the Drawings.
    - b. Show piping with elevations referenced to Project datum and dimensions from permanent structures. For each horizontal bend in piping, include dimensions to at least three permanent structures, when possible. For straight runs of piping provide offset dimensions as required to document piping location.
    - c. Include profile drawings with buried piping record documents when the Contract Documents include piping profile drawings.
    - d. Conform to Section 01 78 39, Project Record Documents.

# 1.5 DELIVERY, STORAGE, AND HANDLING

- A. Delivery:
  - 1. Deliver materials to the Site to ensure uninterrupted progress of the Work.
  - 2. Upon delivery inspect pipe and appurtenances for cracking, gouging, chipping, denting, and other damage and immediately remove from Site and replace with acceptable material.
- B. Storage:
  - 1. Store materials to allow convenient access for inspection and identification. Store material off ground using pallets, platforms, or other supports. Protect packaged materials from corrosion and deterioration.
  - 2. Pipe and fittings other than PVC and CPVC may be stored outdoors without cover. Cover PVC and CPVC pipe and fittings stored outdoors.
- C. Handling:
  - 1. Handle pipe, fittings, specials, and accessories carefully in accordance with pipe manufacturer's recommendations. Do not drop or roll material off trucks. Do not drop, roll or skid piping.
  - 2. Avoid unnecessary handling of pipe.
  - 3. Keep pipe interiors free from dirt and foreign matter.

4. Protect interior linings and exterior coatings of pipe and fittings from damage. Replace pipe and fittings with damaged lining regardless of cause of damage.

# PART 2 - PRODUCTS

### 2.1 MATERIALS

A. Piping materials are specified in the Buried Piping Schedule at end of this Section. Piping materials shall conform to Specifications for each type of pipe and piping appurtenances in applicable Sections of Division 40, Process Integration.

## B. General:

- 1. Pipe Markings:
  - a. Manufacturer shall cast or paint on each length of pipe and each fitting pipe material, diameter, and pressure or thickness class.

## 2.2 BURIED PIPING IDENTIFICATION

- A. Polyethylene Underground Warning Tape for Metallic Pipelines:
  - 1. Tracer tape shall be of inert, acid- and alkali-resistant, polyethylene, four mils thick, six inches wide, suitable for direct burial. Tape shall be capable of stretching to twice its original length.
  - 2. Message shall read, "CAUTION [insert customized name of pipe service, i.e., "POTABLE WATER", "SANITARY SEWER", "CHLORINE GAS", or other service as appropriate, as indicated in the Buried Pipe Schedule at the end of this Section] PIPE BURIED BELOW", with bold letters approximately two inches high. Messages shall be printed at maximum intervals of two feet. Tape shall be custom colored the same as pipeline colors:
    - a. Drain Pipe (Drain): Green
    - b. Fluoride Injection Conduit Pipe (Fluoride): Yellow
    - c. Sampling Conduit Pipe (Potable Water): Blue
    - d. Electrical Conduit Pipe (Electric): Red
  - 3. Manufacturer: Provide products of one of the following:
    - a. Brady Corporation
    - b. Seton Identification Products
    - c. Marking Services, Inc.
    - d. Or equal.
- B. Detectable Underground Warning Tape for Non-Metallic Pipelines:
  - 1. Tape shall be of inert, acid- and alkali-resistant, polyethylene, five mils thick, six inches wide, with aluminum backing, and have 15,000 psi tensile strength and 80 percent elongation capability. Tape shall be suitable for direct burial.
  - 2. Message shall read, "CAUTION [insert customized name of pipe service, i.e., "POTABLE WATER", "SANITARY SEWER", "CHLORINE GAS", or other appropriate service, as indicated in the Buried Pipe Schedule at the end of this

Section] PIPE BURIED BELOW" with bold letters approximately two inches high. Messages shall be printed at maximum intervals of two feet. Tape shall be custom colored the same as the pipeline colors:

- a. Drain Pipe (Drain): Green
- b. Fluoride Injection Conduit Pipe (Fluoride): Yellow
- c. Sampling Conduit Pipe (Potable Water): Blue
- d. Electrical Conduit Pipe (Electric): Red
- 3. Manufacturer: Provide products of one of the following:
  - a. Brady Corporation
  - b. Seton Identification Products
  - c. Marking Services, Inc.
  - d. Or equal.

#### 2.3 PIPE COUPLINGS

- A. For connection between new polyvinyl chloride (PVC) pipe and existing ductile iron and/or cast iron pipe, and new ductile iron pipe (tubing conduit) and existing ductile and/or cast iron pipe (tubing conduit):
  - 1. Type: Flexible PVC coupling with stainless steel shear ring.
  - 2. Construction: Flexible adapter coupling consisting of a neoprene gasket and stainless steel shear ring, with ½-inch stainless steel band clamps. Shear ring shall be stainless steel with a minimum thickness of 0.012". Tightening bands shall be stainless steel with stainless steel nut and bolt tightening clamps. Couplings shall be specifically sized for the outside diameters of the pipes being coupled. For connecting two lateral pipes of differing diameter, provide eccentric couplings.
    - a. Coupling sizes up through 12" shall have a width of 6".
    - b. Coupling sizes 15" and larger shall have a width of 10".
  - 3. Manufacturer:
    - a. Fernco Inc.
    - b. Mission Rubber Company (except for eccentric couplings)
    - c. Or equal.

#### PART 3 - EXECUTION

#### 3.1 INSTALLATION

- A. General:
  - 1. Install piping as shown, specified, and as recommended by pipe and fittings manufacturer.
  - 2. In event of conflict between manufacturer's recommendations and the Contract Documents, request interpretation from ENGINEER before proceeding.
  - 3. ENGINEER will observe excavations and bedding prior to laying pipe by CONTRACTOR. Notify ENGINEER in advance of excavating, bedding, pipe laying, and backfilling operations.

- 4. Minimum cover over buried piping shall be four feet, unless otherwise shown or approved by ENGINEER.
- 5. Earthwork is specified in Section 31 23 16.13, Trenching.
- 6. Excavation in excess of that required or shown, and that is not authorized by ENGINEER shall be filled at CONTRACTOR's expense with granular material furnished, placed, and compacted in accordance with Section 31 23 16.13, Trenching.
- 7. Comply with NFPA 24 for "Outside Protection", where applicable to water piping systems used for fire protection.
- B. Separation of Sewers and Potable Water Piping:
  - 1. Horizontal Separation:
    - a. Where possible, existing and proposed potable water mains and service lines, and sanitary, combined, and storm sewers shall be separated horizontally by clear distance of at least ten feet.
    - b. If local conditions preclude the specified clear horizontal separation, installation will be allowed if potable water main is in separate trench or on undistributed earth shelf on one side of sewer and with bottom of potable water main at least 18 inches above top of sewer.
    - c. Exception:
      - Where it is not possible to provide minimum horizontal separation described above, construct potable water main of cement-lined ductile iron pipe with restrained push-on joint or restrained mechanical joint pipe complying with public water supply design standards of authority having jurisdiction. Hydrostatically test water main and sewer as specified in this Section prior to backfilling. Hydrostatic test pressure at crossing shall be at least 150 psi.
  - 2. Vertical Separation:
    - a. Provide minimum vertical distance of 18 inches between outside of potable water main and outside of sewer when sewer crosses over potable water main.
    - b. Center a section of potable water main pipe at least 17.5 feet long over sewer so that sewer joints are equidistant from potable water main joints.
    - c. Provide adequate structural support where potable water main crosses under sewer. At minimum, provide compacted select backfill for ten feet on each side of crossing.
    - d. Exceptions:
      - 1) Where it is not possible to provide minimum vertical separation described above, construct potable water main of cement-lined ductile iron pipe with restrained push-on joint or restrained mechanical joint pipe. Hydrostatically test water main and sewer as specified in this Section, prior to backfilling. Hydrostatic test pressure at crossing shall be at least 150 psi.
      - 2) Encase either potable water main or sewer in watertight carrier pipe extending ten feet on each side of crossing, measured perpendicular to potable water main.
- C. Plugs:

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- 1. Temporarily plug installed pipe at end of each day of work or other interruption of pipe installation to prevent entry of animals, liquids, and persons into pipe, and entrance or insertion of deleterious materials into pipe.
- 2. Install standard plugs in bells at dead ends, tees, and crosses. Cap spigot and plain ends.
- 3. Fully secure and block plugs, caps, and bulkheads installed for testing to withstand specified test pressure.
- 4. Where plugging is required for phasing of the Work or subsequent connection of piping, install watertight, permanent type plugs, caps, or bulkhead acceptable to ENGINEER.
- D. Bedding Pipe: Bed pipe as specified and in accordance with details on the Drawings.
  - 1. Trench excavation and backfill, and bedding materials shall conform to Section 31 23 16.13, Trenching, as applicable.
  - 2. Where ENGINEER deems existing bedding material unsuitable, remove and replace existing bedding with approved granular material furnished, placed, and compacted in accordance with Section 31 23 16.13, Trenching.
  - 3. Where pipe is installed in rock excavation, provide minimum of three inches of granular bedding material underneath pipe smaller than four-inch nominal diameter, and minimum of six inches of granular bedding material underneath pipes four-inch nominal diameter and larger.
  - 4. Excavate trenches below bottom of pipe by amount shown and indicated in the Contract Documents. Remove loose and unsuitable material from bottom of trench.
  - 5. Carefully and thoroughly compact pipe bedding with hand held pneumatic compactors.
  - 6. Do not lay pipe until ENGINEER approves bedding condition.
  - 7. Do not bring pipe into position until preceding length of pipe has been bedded and secured in its final position.
- E. Laying Pipe:
  - 1. Conform to manufacturer's instructions and requirements of standards and manuals listed below, as applicable:
    - a. Ductile Iron Pipe: ANSI/AWWA C600, ANSI/AWWA C105, AWWA M41.
    - High Density Polyethylene Pipe: AWWA C901, ASTM D 3035, ASTM D 2774
    - c. Thermoplastic Pipe: ASTM D2321, ASTM D2774, ANSI/AWWA C605, AWWA M23, AWWA M45, AWWA, M55.
    - d. Sanitary and Storm Sewers: ASCE 37.
  - 2. CONTRACTOR shall be responsible for determining existing pipe and manhole elevations. Install pipe accurately to line and grade, unless otherwise approved by ENGINEER. Remove and reinstall pipes that are not installed correctly.
  - 3. Slope piping uniformly between elevations.
  - 4. Keep groundwater level in trench at least 24-inches below bottom of pipe before laying pipe. Do not lay pipe in water. Maintain dry trench conditions

until jointing and backfilling are complete. Keep clean and protect interiors of pipe, fittings, valves, and appurtenances.

- 5. Start laying pipe at lowest point and proceed towards higher elevations, unless otherwise approved by ENGINEER.
- 6. Place bell and spigot-type pipe so that bells face the direction of laying, unless otherwise approved by ENGINEER.
- 7. Place concrete pipe containing elliptical reinforcement with minor axis of reinforcement in vertical position.
- 8. Excavate around joints in bedding and lay pipe so that pipe barrel bears uniformly on trench bottom.
- 9. Deflections at joints shall not exceed 75 percent of amount allowed by pipe manufacturer, unless otherwise approved by ENGINEER.
- 10. For PVC and CPVC piping with solvent welded joints, 2.5-inch diameter and smaller, and copper tubing, snake piping in trench to compensate for thermal expansion and contraction.
- 11. Carefully examine pipe, fittings, valves, and specials for cracks, damage, and other defects while suspended above trench before installation. Immediately remove defective materials from the Site and replace with acceptable products.
- 12. Inspect interior of all pipe, fittings, valves, and specials and completely remove all dirt, gravel, sand, debris, and other foreign material from pipe interior and joint recesses before pipe and appurtenances are moved into excavation. Bell and spigot-type mating surfaces shall be thoroughly wire brushed, and wiped clean and dry immediately before pipe is laid.
- 13. Field cut pipe, where required, with machine specially designed for cutting the type of pipe being installed. Make cuts carefully, without damage to pipe, coating or lining, and with smooth end at right angles to axis of pipe. Cut ends on push-on joint type pipe shall be tapered and sharp edges filed off smooth. Do not flame-cut pipe.
- 14. Do not place blocking under pipe, unless specifically approved by ENGINEER for special conditions.
- 15. Touch up protective coatings in manner satisfactory to ENGINEER prior to backfilling.
- 16. Notify ENGINEER in advance of backfilling operations.
- 17. On steep slopes, take measures acceptable to ENGINEER to prevent movement of pipe during installation.
- 18. Thrust Restraint: Where required, provide thrust restraint conforming to Article 3.3 of this Section.
- 19. Exercise care to avoid flotation when installing pipe in cast-in-place concrete, and in locations with high groundwater.
- F. Jointing Pipe:
  - 1. Ductile Iron Mechanical Joint Pipe:
    - a. Immediately before making joint, wipe clean the socket, plain end, and adjacent areas. Taper cut ends and file off sharp edges to provide smooth surface.
    - b. Lubricate plain ends and gasket with soapy water or manufacturer's recommended pipe lubricant, in accordance with ANSI/AWWA C111, just prior to slipping gasket onto plain end of the joint assembly.

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- c. Place gland on plain end with lip extension toward the plain end, followed by gasket with narrow edge of gasket toward plain end.
- d. Insert plain end of pipe into socket and press gasket firmly and evenly into gasket recess. Keep joint straight during assembly.
- e. Push gland toward socket and center gland around pipe with gland lip against gasket.
- f. Insert bolts and hand-tighten nuts.
- g. If deflection is required, make deflection after joint assembly and prior to tightening bolts. Alternately tighten bolts approximately 180 degrees apart to seat gasket evenly. Bolt torque shall be as follows:

Pipe Diameter	Bolt Diameter	Range of Torque
(inches)	(inches)	(ft-lbs)
4 to 24	3/4	75 to 90

- h. Bolts and nuts, except those of stainless steel, shall be coated with two coats, minimum dry film thickness of eight mils each, of high build solids epoxy or bituminous coating manufactured by Tnemec, or equal.
- i. Restrained mechanical joints shall be in accordance with Section 40 05 19, Ductile Iron Process Pipe.
- 2. Ductile Iron Push-On Joint Pipe:
  - a. Prior to assembling joints, thoroughly clean with wire brush the last eight inches of exterior surface of spigot and interior surface of bell, except where joints are lined or coated with a protective lining or coating.
  - b. Wipe clean rubber gaskets and flex gaskets until resilient. Conform to manufacturer's instructions for procedures to ensure gasket resiliency when assembling joints in cold weather.
  - c. Insert gasket into joint recess and smooth out entire circumference of gasket to remove bulges and to prevent interference with proper entry of spigot of entering pipe.
  - d. Immediately prior to joint assembly, apply thin film of pipe manufacturer's recommended lubricant to surface of gasket that will come in contact with entering spigot end of pipe, or apply a thin film of lubricant to outside of spigot of entering pipe.
  - e. For assembly, center spigot in pipe bell and push pipe forward until spigot just makes contact with rubber gasket. After gasket is compressed and before pipe is pushed or pulled in the rest of the way, carefully check gasket for proper position around the full circumference of joint. Final assembly shall be made by forcing spigot end of entering pipe past gasket until spigot makes contact with base of the bell. When more than a reasonable amount of force is required to assemble the joint, remove spigot end of pipe to verify proper positioning of gasket. Do not use gaskets that have been scored or otherwise damaged.
  - f. Maintain an adequate supply of gaskets and joint lubricant at the Site when pipe jointing operations are in progress.
- 3. Thermoplastic Pipe Joints:
  - a. Bell and Spigot Joints:
    - 1) Bevel pipe ends, remove all burrs, and provide a reference mark at

correct distance from pipe end before making joints.

- 2) Clean spigot end and bell thoroughly before making the joint. Insert O-ring gasket while ensuring that gasket is properly oriented. Lubricate spigot with manufacturer's recommended lubricant. Do not lubricate bell and O-ring. Insert spigot end of pipe carefully into bell until reference mark on spigot is flush with bell.
- 4. Mechanical Coupling Joints:
  - a. Mechanical couplings include: sleeve-type flexible couplings, split flexible couplings, ANSI/AWWA C606 grooved or shouldered end couplings, plasticized PVC couplings, and other mechanical couplings specified in Section 40 05 06, Couplers, Adapters, and Specials for Process Piping.
  - b. Prior to installing and assembling mechanical couplings, thoroughly clean joint ends with wire brush to remove foreign matter.
  - c. For plasticized PVC couplings, loosen the stainless steel clamping bands and remove clamps from coupling. Slide coupling over plain ends of pipes to be joined without using lubricants. Place clamps over each end of coupling at grooved section and tighten with torque wrench to torque recommended by manufacturer.
- 5. HDPE Pipe Joints:
  - b. Butt Fusion Welded Joints:
    - 1) Install joints in accordance with manufacturer's instructions using hydraulic butt fusion machine or manual machine equipped with torque wrench. Equipment shall be able to achieve and maintain heating tool temperature range of 400 to 450 degrees F and an interface pressure of 60 to 90 psi.
    - 2) Clean interior and exterior of pipe and fitting ends with clean, dry, lint-free cloth.
    - 3) Align ends to be joined in the fusion machine without forcing ends into alignment. Adjust alignment as necessary and tighten clamps to prevent slippage.
    - 4) Place facing tool between ends to be joined and face them to provide clean, smooth, parallel mating surface. If stops are present, face ends down to the stops. Remove all shavings after facing without touching ends.
    - 5) Re-check alignment of ends and check for slippage against fusion pressure. There shall be no detectable gaps between ends. Align outside diameters.
    - 6) Heating tool shall maintain pipe manufacture's recommended temperature range. Place the tool between ends to be joined. Move ends against heating tool to achieve full contact. Hold ends against heating tool without force until the following melt bead size is formed:

Pipe Diameter (inches)	<b>Required Melt Bead Size (inches)</b>
2 to 4	1/8 to 3/16
4 to 12	3/16 to 1/4
12 to 24	1/4 to 7/16
24 to 54	7/16 to 9/16

- 7) Upon forming proper melt bead size, quickly separate ends and remove heating tool. Quickly inspect melted ends and bring ends together applying joining force recommended by manufacturer, using 60 to 90 psi interfacial pressure to form double bead rolled over surface of pipe on both ends.
- 8) Hold joining force against ends until joint is cool to the touch. Cooling period shall be 30 to 90 seconds per inch of pipe diameter. Heavier wall thicknesses may require longer cooling times as recommended by pipe manufacturer.
- 9) Upon completing joint, inspect to verify double bead has been formed on both sides, uniformly rounded and consistent in size all around joint. Remove faulty joints and re-joint.
- G. Backfilling:
  - 1. Conform to applicable requirements of Section 31 23 16.13, Trenching.
  - 2. Place backfill as Work progresses. Backfill by hand and use power tampers until pipe is covered by at least one foot of backfill.
- H. Transitions from One Type of Pipe to Another:
  - 1. Provide necessary adapters, specials, and connection pieces required when connecting different types and sizes of pipe or connecting pipe made by different manufacturers.
- I. Closures:
  - 1. Provide closure pieces shown or required to complete the Work.

## 3.2 TRACER TAPE INSTALLATION

- A. Polyethylene Underground Warning Tape for Metallic Pipelines:
  - 1. Provide polyethylene tracer tape for buried metallic piping, which includes pipe that is steel, ductile iron, cast iron, concrete, copper, and corrugated metal.
  - 2. Provide tracer tape 12 to 18 inches below finished grade, above and parallel to buried pipe.
  - 3. For pipelines buried eight feet or greater below finished grade, provide second line of magnetic tracer tape 2.5 feet above crown of buried pipe, aligned along pipe centerline.
  - 4. Tape shall be spread flat with message side up before backfilling.
- B. Detectable Underground Warning Tape for Non-Metallic Pipelines:
  - 1. Provide polyethylene tracer tape with aluminum backing for buried, nonmetallic piping, which includes pipe that is PVC, CPVC, polyethylene, HDPE, FRP, ABS, and vitrified clay.
  - 2. Provide magnetic tracer tape 12 to 18 inches below finished grade, above and parallel to buried pipe.
  - 3. For pipelines buried eight feet or greater below finished grade, provide second line of magnetic tracer tape 2.5 feet above crown of buried pipe, aligned along the pipe centerline.

4. Tape shall be spread flat with message side up before backfilling.

# 3.3 THRUST RESTRAINT

- A. Provide thrust restraint on pressure piping systems where shown or indicated in the Contract Documents.
- B. Thrust restraint may be accomplished by using restrained pipe joints, concrete thrust blocks, or harnessing buried pipe. Thrust restraints shall be designed for axial thrust exerted by test pressure specified in the Buried Piping Schedule at the end of this Section.
- C. Restrained Pipe Joints:
  - 1. Pipe joints shall be restrained by means suitable for the type of pipe being installed.
    - a. Ductile Iron, Push-on Joints and Mechanical Joints: Restrain with proprietary restrained joint system as specified in Section 40 05 19, Ductile Iron Process Pipe; lugs and tie rods; or other joint restraint systems approved by ENGINEER.

## 3.3 WORK AFFECTING EXISTING PIPING

- A. Location of Existing Underground Facilities:
  - 1. Locations of existing Underground Facilities shown on the Drawings should be considered approximate.
  - 2. Determine the true location of existing Underground Facilities to which connections are to be made, crossed, and that could be disturbed, and determine location of Underground Facilities that could be disturbed during excavation and backfilling operations, or that may be affected by the Work.
- B. Taking Existing Pipelines and Underground Facilities Out of Service:
  - 1. Conform to Section 01 14 16, Coordination with Owner's Operations.
  - 2. Do not take pipelines or Underground Facilities out of service unless specifically listed in Section 01 14 16, Coordination with Owner's Operations, or approved by ENGINEER.
  - 3. Notify ENGINEER in writing prior to taking pipeline or Underground Facilities out of service. Shutdown notification shall be provided in advance of the shutdown in accordance with the General Conditions and Section 01 14 16, Coordination with Owner's Operations.
- C. Work on Existing Pipelines or Underground Facilities:
  - 1. Cut or tap piping or Underground Facilities as shown or required with machines specifically designed for cutting or tapping pipelines or Underground Facilities, as applicable.
  - 2. Install temporary plugs to prevent entry of mud, dirt, water, and debris into pipe.
  - 3. Provide necessary adapters, sleeves, fittings, pipe, and appurtenances required to complete the Work.
4. Conform to applicable requirements of Section 01 14 16, Coordination with Owner's Operations, and Section 01 73 24, Connections to Existing Facilities.

# 3.4 FIELD QUALITY CONTROL

- A. General:
  - 1. Test all piping, except as exempted in the Buried Piping Schedule in this Section.
  - 2. When authorities having jurisdiction are to witness tests, notify ENGINEER and authorities having jurisdiction in writing at least 48 hours in advance of testing.
  - 3. Conduct all tests in presence of ENGINEER.
  - 4. Remove or protect pipeline-mounted devices that could be damaged by testing.
  - 5. Provide all apparatus and services required for testing, including:
    - a. Test pumps, compressors, hoses, calibrated gages, meters, test containers, valves, fittings, and temporary pumping systems required to maintain OWNER's operations.
    - b. Temporary bulkheads, bracing, blocking, and thrust restraints.
  - 6. Provide air if an air test is required, power if pumping is required, and gases if gases are required.
  - 7. Unless otherwise specified, OWNER will provide fluid required for hydrostatic testing. CONTRACTOR shall provide means to convey fluid for hydrostatic testing into piping being tested. CONTRACTOR shall provide fluid for other types of testing required.
  - 8. Repair observed leaks and repair pipe that fails to meet acceptance criteria. Retest after repair.
  - 9. Unless otherwise specified, testing shall include existing piping systems that connect with new piping system. Test existing pipe to nearest valve. Piping not installed by CONTRACTOR and that fails the test shall be repaired upon authorization of OWNER. Unless otherwise included in the Work, repair of existing piping or Underground Facilities will be paid as extra Work.
- B. Test Schedule:
  - 1. Refer to the Buried Piping Schedule in this Section for type of test required and required test pressure.
  - 2. Unless otherwise specified, required test pressures are at lowest elevation of pipeline segment being tested.
  - 3. For piping not listed in Buried Piping Schedule in this Section:
    - a. Hydrostatically test pipe that will convey liquid at a pressure greater than five psig. Provide process air pipe test for pipe that will convey air or gas under pressure or vacuum, except chlorine gas, which requires separate test.
    - b. Use exfiltration testing, low-pressure air testing, or vacuum testing for other piping.
    - c. Disinfect for bacteriological testing piping that conveys potable water.
  - 4. Test Pressure:
    - a. Use test pressures listed in Buried Piping Schedule in this Section.

- b. If test pressure is not listed in Buried Piping Schedule, or if test is required for piping not listed in the Buried Piping Schedule, test pressure will be determined by ENGINEER based on maximum anticipated sustained operating pressure and methods described in applicable ANSI/AWWA manual or standard that applies to the piping system.
- C. Hydrostatic Testing:
  - 1. Preparation for Testing:
    - a. For thermoplastic pipe and fiberglass pipe, follow procedures described in Section 7 of ANSI/AWWA Standard C605.
    - b. For HDPE pipe, follow procedures described in ASTM F2164. Test duration, including time to pressurize, time for initial expansion, time at test pressure, and time to depressurize, shall not exceed eight hours. If re-testing of a test section or pipeline is required, at least eight hours shall elapse between tests.
    - c. For steel pipe, follow procedures described in ANSI/AWWA Manual M11. Wetting period is not required for pipe that is not cement-lined.
    - d. For other piping follow procedures described in ANSI/AWWA Manual M9, except that minimum wetting period required immediately prior to testing for asbestos cement pipe shall be 24 hours rather than the 48 hours prescribed for concrete pipe. Wetting period is not required for pipe that is not cement mortar-lined.
    - e. Prior to testing, ensure that adequate thrust protection is in place and joints are properly installed.
  - 2. Test Procedure:
    - a. Fill pipeline slowly to minimize air entrapment and surge pressures. Fill rate shall not exceed one foot of pipe length per second in pipe being tested.
    - b. Expel air from pipe as required. Obtain approval of ENGINEER prior to tapping pipe for expelling air.
    - c. Examine exposed joints and valves, and make repairs to eliminate visible leakage.
    - d. After specified wetting period, add fluid as required to pressurize line to required test pressure. Maintain test pressure for a stabilization period of ten minutes before beginning test.
    - e. HDPE Pipe: After filling pipeline, gradually pressurize pipe to test pressure and maintain required test pressure for three hours for pipe to expand. During expansion, add fluid to maintain required test pressure. Begin timed test period after expansion period and other requirements are met.
    - f. Timed test period shall not begin until after pipe has been filled, exposed to required wetting period, air has been expelled, and pressure stabilized.
    - g. Timed Test Period: After stabilization period, maintain test pressure for at least two hours. During timed testing period, add fluid as required to maintain pressure within five psig of required test pressure. For HDPE pipe, after three hour expansion phase, reduce test pressure by ten psig and do not add liquid. Test pressure shall then remain steady for one hour, indicating no leakage.

- h. Pump from test container to maintain test pressure. Measure volume of fluid pumped from test container and record on test report. Record pressure at test pump at 15 minute intervals for duration of test.
- 3. Allowable Leakage Rates: Leakage is defined as the quantity of fluid supplied to pipe segment being tested to maintain pressure within five psi of test pressure during timed test period. Allowable leakage rates for piping are:
  - a. No Leakage: Pipe with flanged, welded, fused, threaded, soldered, or brazed joints.
  - b. Rates based on formula or table in ANSI/AWWA Manual M41:
    - 1) Metal and fiberglass pipe joined with rubber gaskets as sealing members, including the following joint types:
      - a) Bell and spigot and push-on joints.
      - b) Mechanical joints.
      - c) Bolted sleeve type couplings.
      - d) Grooved and shouldered couplings.
  - c. Rates based on make-up allowance in ANSI/AWWA Manual M9:
    - 1) Prestressed concrete cylinder pipe and other types of concrete pipe joined with O-ring rubber gasket sealing members.
  - d. Rates based on formula or table in ANSI/AWWA C605:
    1) Plastic pipe joined with O-ring gasket sealing members.
  - e. Rates based on formula or table in ANSI/AWWA C603:
    1) Asbestos-cement pipe.
- D. Vacuum Testing (Manholes):
  - 1. Plug and bulkhead ends and lateral connections of pipe segment or manhole to be tested.
  - 2. Following set-up of test apparatus, draw vacuum of ten inches of mercury on pipe segment or manhole being tested.
  - 3. Start test upon reaching specified test vacuum. Test duration shall be 15 minutes.
  - 4. Record vacuum drop at end of test. If vacuum drop is greater than one inch of mercury, pipe segment or manhole fails the test and shall be repaired and retested. If vacuum drop is less than one inch of mercury, pipe segment or manhole passes the test.

# 3.5 CLEANING AND DISINFECTION

- A. Cleaning, General: Clean pipe systems as follows:
  - 1. Thoroughly clean all piping, including flushing with water, dry air, or inert gas as required, in manner approved by ENGINEER, prior to placing in service.
  - 2. Piping 24-inch diameter and larger shall be inspected from inside and debris, dirt and foreign matter removed.
  - 3. For piping that requires disinfection and has not been kept clean during storage or installation, swab each section individually before installation with five percent sodium hypochlorite solution.
- B. Disinfection:

- 1. Disinfect all potable and finished water piping, as indicated in Buried Piping Schedule.
- 2. Suggested procedure for accomplishing complete and satisfactory disinfection is specified below. Other procedures may be considered for acceptance by ENGINEER.
  - a. Prior to disinfection, clean piping as specified and flush thoroughly.
  - b. Conform to procedures described in ANSI/AWWA C651. Use continuous feed method of disinfecting, unless alternative method is acceptable to ENGINEER.
- 3. Water for initial flushing, testing, and disinfection will be furnished by OWNER. CONTRACTOR shall provide all temporary piping, hose, valves, appurtenances, and services required. Cost of water required for redisinfection will be paid by CONTRACTOR to OWNER at water utility's standard rates.
- 4. Chlorine shall be provided by CONTRACTOR.
- 5. Bacteriologic tests will be performed by CONTRACTOR. Certified test laboratory report will be provided to OWNER and ENGINEER.
- 6. Chlorine concentration in water entering the piping shall be between 50 and 100 ppm, such that minimum residual concentration of 25 mg/L remains after 24-hour retention period. Disinfect piping and all related components. Repeat as necessary to provide complete disinfection.
- 7. After required retention period, flush chlorinated water to closed drain line, unless otherwise acceptable to ENGINEER. Properly dispose of chlorinated water in accordance with Laws and Regulations. Do not discharge chlorinated water to storm sewers, ditches, or overland.

# 3.6 SCHEDULES

- A. Schedules listed below, following the "End of Section" designation, are part of this Specification section.
  - 1. Table 33 05 05-A, Buried Piping Schedule.

+ + END OF SECTION + +

Service	Diameter (inch)	Material	Interior Lining	Exterior Coating	Pressure Class/ Thickness	Joint	Test	Remarks
Grinder Pump Inlet	4	PVC	-	-	SDR 35	BS	NR	-
Grinder Pump Discharge	1-1/4	HDPE	-	-	SDR 11	BFW	HYD (150)	-
Injection Tubing Conduit	12	PVC	-	-	SDR 35	BS	NR	Fluoride injection tubing conduit pipe repair section located within chamber.
Injection Tubing Conduit	12	DI	CL	AC	350	POJ, MJ	NR	Fluoride injection tubing conduit pipe connected to doghouse manhole.
Sampling Tubing Conduit	10	DI	CL	AC	350	POJ, MJ	NR	Fluoride sampling tubing conduit pipe connected to doghouse manhole.
Transmission Main	24	DI	CL	AC	STCL 56	RMJ	HYD (220), DBT	-

# TABLE 33 05 05-A, BURIED PIPING SCHEDULE

The following abbreviations are used in the Buried Piping Schedule.

# A. Material Abbreviations

Material	Abbrev.	Material	Abbrev.
Ductile Iron	DI	Polyvinyl Chloride	PVC
High Density Polyethylene	HDPE		

#### B. Lining/Coating Abbreviations

Lining	Abbrev.	Coating	Abbrev.
Cement Mortar Lined	CL	Asphaltic Coated	AC

# C. Joint Abbreviations

Joint Type	Abbrev.	Joint Type	Abbrev.
Bell and Spigot	BS	Mechanical Joint	MJ
Push-on Joint	POJ	Butt Fusion Weld	BFW
Restrained Mechanical. Joint	RMJ		

# D. Test Abbreviations

Test	Abbrev	Test	Abbrev.
Hydrostatic Test	HYD()	No Test Required	NR
(test pressure in psig)			
Disinfection and	DBT		
Bacteriological Testing			

#### SECTION 33 05 13

#### MANHOLES AND STRUCTURES

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment and incidentals as shown, specified and required to furnish and install all precast, cast-in-place and masonry manholes and structures.
- B. General:
  - 1. Manholes and structures shall conform in shape, size, dimensions, material, and other respects to the details shown or as directed by ENGINEER.
  - 2. Cast-iron frames, grates and covers shall be the standard frame and grate or cover unless otherwise shown.
  - 3. Concrete for cast-in-place manholes and structures and for inverts in precast and masonry manholes and structures shall be Class "A" and shall conform to the requirements specified under Section 03 00 05, Concrete.
  - 4. All manholes and structures shall be precast construction, unless otherwise shown.
- C. Related Sections:
  - 1. Section 03 00 05, Concrete.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are listed below:
  - 1. American Society for Testing and Materials, (ASTM).
    - a. ASTM C 32, Specification for Sewer and Manhole Brick (made from Clay or Shale).
    - b. ASTM C 139, Specification for Concrete Masonry Units for Construction of Catch Basins and Manholes.
    - c. ASTM C 140, Test Methods for Sampling and Testing Concrete Masonry Units and Related Units.
    - d. ASTM C 207, Specification for Hydrated Lime for Masonry Purposes.
    - e. ASTM C 478, Specification for Precast Reinforced Concrete Manhole Sections.
  - 2. American Water Works Association, (AWWA).
    - a. AWWA C302, Reinforced Concrete Pressure Pipe, Non-cylinder Type, for Water and Other Liquids.

#### 1.3 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Submit drawings showing design and construction details of all precast concrete and cast-in-place manholes and structures, including details of joints between the manhole bases and riser sections and stubs or openings for the connections.

#### PART 2 - PRODUCTS

#### 2.1 PRECAST CONCRETE MANHOLES AND STRUCTURES

- A. Precast manholes and structures shall conform to the details shown. Provide cast-inplace concrete bases where shown.
- B. Except where otherwise specified precast manhole components shall consist of reinforced concrete pipe sections especially designed for manhole construction and manufactured in accordance with ASTM C 478, except as modified herein.
- C. Precast, reinforced concrete manhole and structure bases, riser sections, flat slabs and other components shall be manufactured by wet cast methods only, using forms which will provide smooth surfaces free from irregularities, honeycombing or other imperfections.
- D. Joints between manhole components shall be the tongue and groove type employing a single, continuous rubber O-ring gasket and shall conform to AWWA C302. The circumferential and longitudinal steel reinforcement shall extend into the bell and spigot ends of the joint without breaking the continuity of the steel. Joints between the base sections, riser sections and top slabs of manholes 72-inches in diameter and less shall be rubber and concrete joints. Joints for manhole components greater than 72-inches in diameter shall be provided with steel bell and spigot rings.
- E. All precast manhole components shall be of approved design and of sufficient strength to withstand the loads imposed upon them. They shall be designed for a minimum earth cover loading of 130 pounds per cubic foot, an H-20 wheel loading, and an allowance of 30 percent in roadways and 15 percent in rights-of-way for impact. Manhole bases shall have two cages of reinforcing steel in their walls, each of the area equal to that required in the riser sections. Wall thickness shall not be less than 5-inches. Concrete top slabs shall not be less than 8-inches thick.
- F. Lifting holes, if used in manhole components, shall be tapered, and no more than two shall be cast in each section. Tapered, solid rubber plugs shall be furnished to seal the lifting holes. The lifting holes shall be made to be sealed by plugs driven from the outside face of the section only.

- G. The point of intersection (P.I.) of the pipe centerlines shall be marked with 1/4-inch diameter steel pin firmly enclosed in the floor of each manhole base and protruding approximately 1-inch above the finished floor of the base.
- H. Mark date of manufacture and name or trademark of manufacturer on inside of barrel.
- I. The barrel of the manhole shall be constructed of various lengths of riser pipe manufactured in increments of one foot to provide the correct height with the fewest joints. Openings in the barrel of the manholes for pipes or drop connections will not be permitted closer than one foot from the nearest joint. Special manhole base or riser sections shall be furnished as necessary to meet this requirement.
- J. A precast or cast-in-place slab or precast eccentric cone, as shown or approved, shall be provided at the top of the manhole barrel to receive the cast iron frame and cover.

# 2.2 MASONRY

- A. Masonry, where shown or otherwise approved by ENGINEER, shall conform to the following:
  - 1. Brick: Brick shall conform to the requirements of ASTM C 32, Grade SS for sewer brick and Grade MS for manhole brick.
  - 2. Concrete Blocks: Concrete blocks shall be machine-made, solid segmental blocks not less than 8-inches wide and shaped so that the completed structure in which they are used will conform to the details shown or otherwise approved. Blocks shall be of compact texture and like blocks shall be uniform in shape and size.
  - 3. Concrete blocks shall conform to ASTM C 139. Testing of blocks shall be done in accordance with the requirements of ASTM C 140.
  - 4. Mortar: The mortar shall be composed of portland cement, hydrated lime, and sand, in which the volume of sand shall not exceed three times the sum of the volumes of cement and lime.
  - 5. Cement shall be Type II portland cement as specified for concrete masonry.
  - 6. Hydrated lime shall be Type S conforming to ASTM C 207.
  - 7. The sand shall comply with the Specifications for "Fine Aggregate" for concrete, except that all of the sand shall pass a No. 8 sieve.

# 2.3 PIPE CONNECTIONS TO MANHOLES AND STRUCTURES

- A. Connect pipe to manhole and structures in the following ways:
  - 1. Grout in place Precast sections shall have a formed, tapered circular opening larger than the pipe outside diameter. Grout shall be non-shrink and waterproof as specified in Section 03 00 05, Concrete. Pipe shall have a waterstop gasket secured to pipe with a stainless steel clamp.
  - Flexible sleeve Integrally cast sleeve in precast sections or install sleeve in a formed or cored opening. Fasten pipe in sleeve with stainless steel clamp(s). Coat stainless steel clamp(s) with bituminous material to protect from

corrosion. Flexible sleeve shall be Lock Joint Flexible Manhole Sleeve; Kor-N-Seal connector; PSX Press-Seal Gasket or equal.

3. Compression gasket – Integrally cast compression gasket in precast manhole section. Insert pipe into compression gasket. Compression gasket shall be A-Lok, or equal.

# 2.4 STEPS

- A. Manhole steps shall be constructed of copolymer polypropylene with a <sup>1</sup>/<sub>2</sub>" grade 60 steel reinforcement in accordance with the Details.
- B. Leg end of step shall be tapered.
- C. Product and Manufacturer:
  - 1. PSI-DI, MA Industries.
  - 2. Or equal.

# 2.5 FRAMES AND COVERS

- A. Manhole frames and covers shall consist of a frame, cover, and installed gasket. Tolerance shall be indicated as +/- 1/16 inches.
- B. All covers shall be made from gray cast iron, tough, even-grained and free from all flaws and injurious or unsightly defects, and shall be in accordance with ASTM A 48, Class 35B. Provide covers with flush letters. Covers shall be labeled with the designation "WATER".
- C. Frames and covers shall be watertight.
- D. Do not coat castings with paint or any other material. Manhole sets shall have interchangeable frames and covers.
- E. Inspect all manhole frames and covers at the jobsite. No frame or cover may be installed unless it has been marked "Approved by ENGINEER."
- F. Frames and Covers: Designed to withstand an AASHTO H20 traffic loading.
- G. Frames and covers shall be self-sealing with a machined groove and O-ring.
- H. Manhole frame and cover dimensions shall be coordinated with final dimensions of the approved precast manhole Shop Drawing.
- I. Manufacturers (Doghouse Manhole):
  - 1. Neenah, Model R-1755-E1.
  - 2. Campbell Foundry, Model 6544
  - 3. Or approved equal.
- J. Manufacturers (Vault):

- 1. Neenah, Model R-1741-E; off-set access cover.
- 3. Or approved equal.

## 2.6 DAMPPROOFING

A. Dampproofing shall be Hydrocide 648 by Sonneborn Building Products; Dehydratine 4 by A.C. Horn Inc; RIW Marine Liquid by Toch Brothers, or equal.

# PART 3 - EXECUTION

#### 3.1 LAYING MASONRY

- A. Brick shall be satisfactorily wet when being laid and each brick shall be laid in mortar so as to form full bed, end and side joints in one operation. The joints shall not be wider than 3/8-inch, except when the bricks are laid radially, in which case the narrowest part of the joint shall not exceed 1/4-inch. Masonry work shall be kept moist for a period of three days after completion, and precautions shall be taken to prevent freezing during cold weather.
- B. For concrete block, the vertical keyways shall be completely filled with mortar.
- C. Each grading ring shall be laid in a full bed of mortar and shall be thoroughly bonded.

#### 3.2 PLASTERING

A. The outside of brick manholes and structures, brick stacks and grading rings shall be neatly plastered with 1/2-inch of cement mortar as the Work progresses.

#### 3.3 MANHOLE BASES

- A. Cast-in-place bases shall be placed on suitable foundations after the pipes are laid. They shall be cast monolithically to an elevation as indicated on the Contract Drawings. Base, walls and bottom shall be at least of the thickness shown and reinforced to withstand the loads to be expected. Connections for pipes shall conform to the details shown.
- B. Precast bases shall be set on a crushed stone or crushed gravel foundation as shown. Precast bases shall be set at the proper grade and carefully leveled and aligned.

#### 3.4 PRECAST MANHOLE SECTIONS

A. Set sections vertical with steps and sections in true alignment. The base of the bell or groove end at joints between components shall be buttered with 1:2 cement-sand mortar to provide a uniform bearing between components. All joints shall be sealed with cement mortar inside and out and troweled smooth to the contour of the wall surface. Raised or rough joint finishes will not be accepted.

- B. Install sections, joints and gaskets in accordance with manufacturers recommendations.
- C. Lifting holes shall be sealed tight with a solid rubber plug driven into the hole from the outside of the barrel and the remaining void filled with 1 to 2 cement-sand mortar.

# 3.5 MANHOLE CHANNELS

A. All invert channels through manholes and structures shall be constructed of Class "A" concrete. Channels shall be properly formed to the sizes, cross sections, grades and shapes shown or as ordered. Benches shall be built up to the heights shown or as directed by the ENGINEER and given a uniform wood float finish. Care shall be taken to slope all benches for proper drainage to the invert channel.

# 3.6 GRADING RINGS

- A. Grading rings or brick stacks shall be used for all precast and masonry manholes and structures, where required. Stacks or grade rings shall be a maximum of 12-inches in height, constructed on the roof slab or cone section on which the manhole frame and cover shall be placed. The height of the stack or grade rings shall be such as required to bring the manhole frame to the proper grade.
- B. Each grade ring shall be laid in a full bed of mortar and shall be thoroughly bonded.
- C. Brick work shall be as specified in Article 2.2 and Article 3.1, above.

# 3.7 STUBS FOR FUTURE CONNECTIONS

A. As shown or required for connections, cast iron sleeves, bell end tile, ductile iron or reinforced concrete pipe stubs with approved watertight plugs shall be installed in manholes and structures. Where pipe stubs, sleeves or couplings for future connections are shown or directed by the ENGINEER, CONTRACTOR shall provide all materials and labor in order to complete the Work.

#### 3.8 GRADING AT MANHOLES AND STRUCTURES

- A. All manholes and structures in unpaved areas shall be built, as shown or directed by the ENGINEER, to an elevation higher than the original ground. The ground surface shall be graded to drain away from the manhole. Fill shall be placed around manholes to the level of the upper rim of the manhole frame, and the surface evenly graded on a 1 to 5 slope to the existing surrounding ground, unless otherwise shown or directed by the ENGINEER. The slope shall be covered with 4-inches of topsoil, seeded and maintained until a satisfactory growth of grass is obtained.
- B. Manholes and structures in paved areas shall be constructed to meet the final surface grade. In paved areas on State Highways, all manholes and structures shall be 1/2-

inch below final wearing surfaces. Manholes and structures shall not project above finished roadway pavements to prevent damage from snowplows.

C. CONTRACTOR shall be solely responsible for the proper height of all manholes and structures necessary to reach the final grade at all locations. CONTRACTOR is cautioned that ENGINEER'S review of Shop Drawings for manhole components will be general in nature and CONTRACTOR shall provide an adequate supply of random length precast manhole riser sections to adjust any manhole to meet field conditions for final grading.

#### 3.9 MANHOLE WATERTIGHTNESS

A. All manholes and structures shall be free of visible leakage. Each manhole shall be tested for leaks and inspected, and all leaks shall be repaired in a manner subject to ENGINEER'S approval. Manhole testing shall conform to the requirements of Section 33 05 05, Buried Piping Installation.

#### 3.10 FLEXIBLE PIPE JOINT AT MANHOLE BASE

A. An approved flexible joint shall be provided between each pipe entering and exiting the manhole. The joint into the manhole base shall be completely watertight.

#### 3.11 CLEANING

A. Thoroughly clean all new manholes of all silt, debris and foreign matter of any kind, prior to final inspections.

++ END OF SECTION ++

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#### SECTION 40 05 05

## EXPOSED PIPING INSTALLATION

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment and incidentals as shown, specified, and required to install and test all exposed piping, fittings, and specials. The Work includes the following:
    - a. All types and sizes of exposed piping, except where exposed piping installations are specified under other Sections or other contracts.
    - b. Unless otherwise shown or specified, this Section includes all piping beginning at the outside face of structures or structure foundations and extending into the structure. Piping embedded in concrete within a structure or foundation shall be considered as exposed and is included herein. Piping that is permanently or intermittently submerged, or installed in sub-aqueous environments, is considered as exposed and is included in this Section.
    - c. Work on or affecting existing exposed piping.
    - d. Installation of all jointing and gasket materials, specials, flexible couplings, mechanical couplings, harnessed and flanged adapters, sleeves, tie rods, and all Work required for a complete exposed piping installation.
    - e. Supports, restraints, and other anchors.
    - f. Field quality control, including testing.
    - g. Cleaning and disinfecting.
    - h. Incorporation of valves, meters, and special items shown or specified into the piping systems per the Contract Documents and as required
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items that must be installed with or before exposed piping Work.
  - 2. Coordinate with appropriate piping Sections of Division 40, Mechanical.
  - 3. Notify other contractors in advance of installation of exposed piping to provide them with sufficient time for installation of items included in their contracts that must be installed with or before exposed piping Work.
- C. Related Sections:
  - 1. Section 10 14 00, Signage.
  - 2. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Systems.

#### 1.2 REFERENCES

A. Standards referenced in this Section are:

- 1. ANSI B16.1, Cast Iron Pipe Flanges and Flanged Fittings
- 2. ASME Boiler and Pressure Vessel Code.
- 3. ASME B31.3, Process Piping.
- 4. American Society for Non-Destructive Testing (ASNT), ASNT-TC-1A, Recommended Practice, Personnel Qualification, and Certification in Non-destructive Testing.
- 5. ASTM F2164, Standard Practice for Field Leak Testing of Polyethylene (PE) Pressure Piping Systems Using Hydrostatic Pressure
- 6. ANSI/AWWA C651, Disinfecting Water Mains.
- 7. AWWA M23, PVC Piping Design and Installation.
- 8. AWWA M41, Ductile-Iron Pipe and Fittings.
- 9. AWWA M55, PE Pipe Design and Installation.

#### 1.3 QUALITY ASSURANCE

- A. Regulatory Requirements:
  - 1. Comply with requirements and recommendations of authorities having jurisdiction over the Work, including:
    - a. Town of Yorktown, New York.

#### 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Detailed drawings in plan and, as applicable, section.
    - b. Details of piping, valves, supports, accessories, specials, joints, harnessing, and main anchor supports, and connections to existing piping, structures, equipment, and appurtenances.
  - 2. Testing Plans, Procedures, and Testing Limitations
    - a. Submit description of proposed testing methods, procedures, and apparatus, and obtain ENGINEER's approval prior to testing.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Submit a certificate, signed by manufacturer of each product, certifying that product complies with applicable referenced standards.
  - 2. Source Quality Control Submittals:
    - a. Submit copies of testing report for each test.
  - 3. Site Quality Control Reports:
    - a. Submit copies of testing report for each test.
- C. Closeout Submittals: Submit the following:
  - 1. Record Documentation:
    - a. Maintain accurate and up-to-date record documents showing field and Shop Drawing modifications. Record documents for exposed piping Work shall show actual location of all piping and appurtenances on a copy of the Drawings, unless otherwise approved by ENGINEER.

- b. Record documents shall show piping with elevations referenced to the project datum and dimensions from permanent structures. For straight runs of pipe provide offset dimensions as required to document pipe location.
- c. Include section drawings with exposed piping record documents when the Contract Documents include section Drawings.
- d. Conform to Section 01 78 39, Project Record Documents.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Delivery:
  - 1. Deliver products to Site to ensure uninterrupted progress of the Work.
  - 2. Upon delivery, inspect pipe and appurtenances for cracked, gouged, chipped, dented, and other damage and immediately remove damaged products from Site.
  - 3. Conform to requirements of Section 01 65 00, Product Delivery Requirements.
- B. Storage:
  - 1. Store products for convenient access for inspection and identification. Store products off the ground using pallets, platforms, or other supports. Protect packaged products from corrosion and deterioration.
  - 2. Pipe and fittings other than thermoplastic materials may be stored outdoors without cover. Thermoplastic pipe and fittings stored outdoors shall be covered.
  - 3. Conform to requirements of Section 01 66 00, Product Storage and Handling Requirements.
- C. Handling:
  - 1. Handle pipe, fittings, specials, and accessories carefully with approved handling devices. Do not drop or roll material of delivery vehicles. Do not otherwise drop, roll, or skid piping.
  - 2. Avoid unnecessary handling of pipe.
  - 3. Keep pipe interiors free of dirt and foreign matter.
  - 4. Protect interior linings and exterior coatings of pipe and fittings from damage. Replace pipe and fittings with damaged lining regardless of cause of damage. Repair damaged coatings.
  - 5. Conform to requirements of Section 01 65 00, Product Delivery Requirements.

# PART 2 - PRODUCTS

# 2.1 MATERIALS

A. Piping materials are specified in the Exposed Piping Schedule at the end of this Section. Piping materials shall conform to Specification for each type of pipe and piping appurtenances in applicable sections of Division 40, Process Integration.

- B. Markings and Identification:
  - 1. Pipe Markings:
    - a. Clearly mark each piece of pipe or fitting with a designation conforming to that shown on the approved Shop Drawings.
    - b. Manufacturer shall cast or paint on each length of pipe and each fitting the pipe material, diameter, and pressure or thickness class.
  - 2. Pipe Identification Markers and Arrows: Refer to Section 10 14 00, Signage.
- C. Appurtenances: Provide products that comply with:
  - 1. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Systems.

# PART 3 - EXECUTION

# 3.1 INSPECTION

A. Examine conditions under which the Work is to be installed and notify ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.

# 3.2 INSTALLATION

- A. General:
  - 1. Install piping as shown, specified and as recommended by the pipe and fittings manufacturer.
  - 2. If there is a conflict between manufacturer's recommendations and the Contract Documents, request in writing instructions from ENGINEER before proceeding.
  - 3. Provide pipe manufacturer's installation specialist at Site as specified on this Section.
- B. Temporary Blind Flanges, Plugs, Caps, and Bulkheads:
  - 1. Temporarily plug installed pipe at the end of each day of work or other interruption of pipe installation to prevent entry of animals, liquids, and persons into pipe, and entrance or insertion of deleterious materials into pipe.
  - 2. Install standard plugs in all bells at dead ends, tees, and crosses. Cap all spigot and plain ends.
  - 3. Fully secure and block blind flanges, plugs, caps, and bulkheads installed for testing, designed to withstand specified test pressure.
  - 4. Where plugging is required for phasing of Work or subsequent connection of piping, install watertight, permanent type blind flanges, plugs, caps, or bulkhead acceptable to ENGINEER.
- C. Piping Installation:
  - 1. Conform to manufacturer's instructions and requirements of standards and manuals listed in this Section, as applicable:
    - a. Ductile Iron Pipe: ANSI/AWWA C600, AWWA M41.
    - b. Thermoplastic Pipe: AWWA M23

- c. Polyethylene Pipe: AWWA M55
- 2. Install straight runs true to line and elevation.
- 3. Install vertical pipe truly plumb in all directions.
- 4. Install piping parallel or perpendicular to walls of structures. Piping at angles and 45 degree runs across corners of structures will not be accepted unless specifically shown on the Contract Documents or approved by the ENGINEER.
- 5. Install small diameter piping generally as shown when specific locations and elevations are not indicated. Locate such piping as required to avoid ducts, equipment, beams, and other obstructions.
- 6. Install piping to leave all corridors, walkways, work areas, and similar spaces unobstructed. Unless otherwise approved by ENGINEER provide a minimum headroom clearance under piping and pipe supports of 7.5 feet. Clearances beneath piping shall be measured from the outermost edge of piping, flanges or other type of joint that extends beyond the nominal outside diameter of piping.
- 7. Protect and keep clean interiors, fittings, and valves of pipe that will convey potable water, chemicals, and other pipe designated by ENGINEER.
- 8. Cutting: Cut pipe from measurements verified at Site. Field cut pipe, where required, with a machine specially designed for cutting type of pipe being installed. Make cuts carefully without damage to pipe, coating, or lining, and with a smooth end at right angles to axis of pipe. Cut ends of push-on joint type pipe shall be tapered and sharp edges filed off smooth. Do not flame-cut pipe.
- 9. Deflections at joints shall not exceed 75 percent of amount allowed by pipe manufacturer, unless otherwise approved by the Engineer.
- 10. Additional General Requirements for Thermoplastic Piping:
  - a. Utilize wide band supports as recommended by pipe manufacturer and approved by ENGINEER to minimize localized stresses.
  - b. Provide piping passing through walls with a sleeve of wearing material to prevent abrasion damage to piping.
  - c. Provide anchored supports at elbows, valves, bends in piping, and at connections to equipment and tanks.
  - d. Spacing of supports shall be in accordance with the manufacturer's published recommendations at maximum design operating temperature of pipe.
  - e. Provide U-clamps with wide band circumferential contact.
  - f. Provide guides on long runs of piping to maintain alignment and reduce chance of elastic failure of pipe. Space guides as recommended by pipe manufacturer.
  - g. Provide anchored supports to restrain joints that allow expansion. Minimize use of bellows style joints. Where required and approved by the ENGINEER provide bellows style joints with low axial force to take up pipe expansion. Flexible connectors may be used to absorb thermal movement when approved in writing by ENGINEER.
  - i. Provide devices that will reduce hydraulic pulsation in piping, together with shut-off valve on all discharge lines of positive displacement pumps to reduce hydraulic hammer, and provide flexible connectors to absorb vibration. Submit details for ENGINEER to review.

- D. Jointing Pipe:
  - 1. General:
    - a. Make joints in accordance with pipe manufacturer's recommendations and Contract Documents.
    - b. Cut piping accurately and squarely and install without forcing or springing.
    - c. Ream out pipes and tubing to full inside diameter after cutting. Remove all sharp edges on end cuts.
    - d. Remove all cuttings and foreign matter from inside of pipe and tubing before installation. Thoroughly clean all pipe, fittings, valves, specials, and accessories before installing.
  - 2. Ductile Iron and Steel Flanged Joints:
    - a. Assemble flanged joints using ring-type gaskets, with thickness as recommended by pipe manufacturer but not less than 1/8-inch thick, for raised-face flanges. Use full-face gaskets for flat-face flanges, unless otherwise approved by ENGINEER or recommended by pipe manufacturer. Gaskets shall be suitable for the service intended in accordance with the manufacturer's ratings and instructions. Gaskets shall be properly centered.
    - b. Tighten bolts in a sequence that provides equal distribution of bolt loads.
    - c. Length of bolts shall be uniform. Bolts shall not project beyond the nut more than 1/4-inch or fall short of the nut when fully taken up. Machinecut ends of bolts to be neatly rounded. Do not use washers.
    - d. Prior to assembly of flanged joints, lubricate bolt threads and gasket faces.
    - e. Alternately tighten bolts 180 degrees apart to compress the gasket evenly.
    - f. After assembly, coat all bolts and nuts, except stainless steel bolts and nuts, with same coating specified in Section 09 91 00, Painting, for material of pipe and fittings being joined.
  - 3. Thermoplastic Pipe Joints:
    - a. Solvent Cement Welded Joints:
      - 1) Bevel pipe ends and remove all burrs before making joint. Clean pipe and fittings thoroughly. Do not make solvent cement joints if temperature is below 40 degrees F. Do not make solvent cement welded joints in wet conditions.
      - 2) Use solvent cement supplied or recommended by pipe manufacturer.
      - 3) Apply joint primer and solvent cement and assemble joints in accordance with recommendations and instructions of manufacturer of joint materials and pipe manufacturer.
      - 4) Implement appropriate safety precautions when using joint primers and solvent cements. Allow air to circulate freely through pipelines to allow solvent vapors to escape. Slowly admit fluid when flushing or filling pipelines to prevent compression of gases within pipes.
    - b. Threaded Joints:
      - 1) Cut pipe square and smooth and remove burrs or raised edges with a knife or file.
      - 2) Hold pipe firmly in a pipe vise. Protect pipe at the point of grip by

inserting a rubber sheet or other material between pipe and vise.

- 3) Thread pipe in accordance with pipe manufacturer's recommendations. Brush threads clean of chips and ribbons.
- 4) After threading pipe, starting with second full thread, and continuing over thread length, wrap 100-percent virgin TFE (Teflon) thread tape in direction of threads. Overlap each wrap by one-half width of tape.
- 5) After application of the TFE thread tape, screw fitting or coupling onto the pipe end to be joined and tighten by hand. Using a strap wrench only, further tighten connection an additional one to two threads past hand tightness.
- E. Installing Valves and Accessories:
  - 1. Provide supports for large valves, flow meters, and other heavy items as shown or required to prevent strain on adjoining piping.
  - 2. Position flow measuring devices in pipe lines so that they have the amount of straight upstream and downstream runs recommended by the flow measuring device manufacturer, unless specific location dimensions are shown.
  - 3. Position swing check valves and butterfly valves so that they do not conflict with upstream and downstream elements of the piping system.
- F. Unions:
  - 1. Provide a union downstream of each valve with screwed connections.
  - 2. Provide screwed or flanged unions at each piece of equipment, and where necessary to install or dismantle piping.
- G. Transitions from One Type of Pipe to Another:
  - 1. Provide all necessary adapters, specials, and connection pieces required when connecting different types and sizes of pipe or connecting pipe made by different manufacturers.
- H. Closures:
  - 1. Provide closure pieces, such as blind flanges and caps, shown or required to complete the Work.

#### 3.3 WORK AFFECTING EXISTING PIPING

- A. Location of Existing Piping:
  - 1. Locations of existing piping shown on Drawings is approximate.
  - 2. Determine the true location of existing piping to which connections are to be made, crossed, and that could be disturbed, and determine location of other facilities that could be affected by the Work.
- B. Taking Existing Pipelines Out of Service:
  - 1. Conform to Section 01 14 16, Coordination with Owner's Operations.
- C. Work on Existing Pipelines:
  - 1. Cut or tap pipes as shown or required with machines and tools specifically designed for cutting or tapping pipelines.

- 2. Install temporary plugs to prevent entry of mud, dirt, water, and debris into pipe.
- 3. Provide necessary adapters, sleeves, fittings, pipe, and appurtenances required to complete the Work.
- 4. Conform to applicable requirements of Section 01 14 16, Coordination with Owner's Operations and Section 01 73 24, Connections to Existing Facilities.

# 3.4 FIELD QUALITY CONTROL

- A. Testing, General:
  - 1. Test all piping, except as exempted in the Exposed Piping Schedule.
  - 2. Notification:
    - a. Notify ENGINEER at least 48 hours prior to testing.
    - b. When authorities having jurisdiction are to witness tests, notify ENGINEER and authorities having jurisdiction in writing at least 48 hours in advance of testing.
  - 3. Conduct all tests in presence of ENGINEER.
  - 4. Remove or protect pipeline-mounted devices that could be damaged by testing.
  - 5. Provide all apparatus and services required for testing, including:
    - a. Test pumps, compressors, hoses, calibrated gages, meters, test containers, valves, fittings, and temporary pumping systems required to maintain OWNER's operations.
    - b. Temporary bulkheads, bracing, blocking, and thrust restraints.
  - 6. Provide air if an air test is required, power if pumping is required, and gases if gases are required.
  - 7. Unless otherwise specified, OWNER will provide fluid required for hydrostatic testing. CONTRACTOR shall provide means to convey fluid for hydrostatic testing into the pipe being tested. CONTRACTOR shall provide fluid for other types of testing required.
  - 8. Repair observed leaks and repair pipe that fails to meet acceptance criteria. Retest after repair.
  - 9. Unless otherwise specified, testing shall include existing piping systems that connect with new piping system. Test existing pipe to nearest valve. Piping not installed by CONTRACTOR and that fails the test shall be repaired upon authorization of ENGINEER or OWNER. Repair of existing piping will be paid as extra work unless otherwise specified.
- B. Test Schedule:
  - 1. Refer to the Exposed Piping Schedule for type of test required and required test pressure.
  - 2. Unless otherwise specified, the required test pressures are at lowest elevation of pipeline segment being tested.
  - 3. For piping not listed in Exposed Piping Schedule:
    - a. Hydrostatically test pipe that will convey liquid at a pressure greater than five psig. Provide process air pipe test for pipe that will convey air or gas under pressure or vacuum, except chlorine gas, which requires a separate test.
    - b. Disinfect for bacteriological testing piping that conveys potable water.

- 4. Test Pressure:
  - a. Use test pressures listed in Exposed Piping Schedule.
  - b. If test pressure is not listed in Exposed Piping Schedule, or if a test is required for piping not listed in the Exposed Piping Schedule, test pressure will be determined by the ENGINEER based on the maximum anticipated sustained operating pressure and the methods described in the applicable ANSI/AWWA manual or standard that applies to the piping system.
- C. Hydrostatic Testing:
  - 1. Preparation for Testing:
    - a. For thermoplastic pipe and FRP pipe, follow procedures described in Section 7 of ANSI/AWWA Standard C605.
    - b. For HDPE pipe, follow procedures described in ASTM F2164. Test duration, including time to pressurize, time for initial expansion, time at test pressure, and time to depressurize, shall not exceed eight hours. If re-testing of a test section or pipeline is required, at least eight hours shall elapse between tests.
    - c. For steel pipe, follow procedures described in AWWA Manual M11. Wetting period is not required for pipe that is not cement-lined.
    - d. For other piping follow procedures described in AWWA Manual M9. A wetting period is not required for pipe that is not cement mortar-lined.
    - e. Prior to testing, ensure that adequate thrust protection is in place and all joints are properly installed.
  - 2. Test Procedure:
    - a. Fill pipeline slowly to minimize air entrapment and surge pressures. Fill rate shall not exceed one foot of pipe length per second in the pipe being tested.
    - b. Expel air from pipe as required. Obtain approval of ENGINEER prior to tapping pipe for expelling air.
    - c. Examine joints and valves, and make repairs to eliminate visible leakage.
    - d. After specified wetting period, add fluid as required to pressurize line to required test pressure. Maintain test pressure for a stabilization period of ten minutes before beginning test.
    - e. HDPE Pipe: After filling pipeline, gradually pressurize pipe to test pressure and maintain required test pressure for three hours for pipe to expand. During expansion, add fluid to maintain required test pressure. Begin timed test period after expansion period and other requirements are met.
    - f. Timed test period shall not begin until after the pipe has been filled, exposed to the required wetting period, air has been expelled, and pressure stabilized.
    - g. Timed Test Period: After the stabilization period, maintain test pressure for at least two hours. During timed testing period, add fluid as required to maintain pressure within five psig of required test pressure. For HDPE pipe, after three hour expansion phase, reduce test pressure by ten psig and do not add liquid. The test pressure shall then remain steady for one hour, indicating no leakage.

- h. Pump from a test container to maintain test pressure. Measure volume of fluid pumped from test container and record on test report. Record pressure at test pump at fifteen minute intervals for duration of test.
- 3. Allowable Leakage Rates: Leakage is defined as the quantity of fluid supplied to pipe segment being tested to maintain pressure within five psi of the test pressure during timed test period. Allowable leakage rates for piping are:
  - a. No Leakage: Pipe with flanged, welded, fused, threaded, soldered, or brazed joints.

# 3.5 CLEANING AND DISINFECTION

- A. Cleaning, General: Clean pipe systems as follows:
  - 1. Thoroughly clean all piping, including flushing with water, dry air, or inert gas as required, in a manner approved by ENGINEER, prior to placing in service. Flush chlorine solution and sodium hypochlorite piping with water.
  - 2. Piping 24-inch diameter and larger shall be inspected from inside and debris, dirt and foreign matter removed.
  - 3. For piping that requires disinfection and has not been kept clean during storage or installation, swab each section individually before installation with a five percent hypochlorite solution.
- B. Disinfection:
  - 1. Disinfect all potable and finished water piping, as indicated in the Exposed Piping Schedule.
  - 2. A suggested procedure for accomplishing complete and satisfactory disinfection is specified below. Other procedures may be considered for acceptance by ENGINEER.
    - a. Prior to disinfection, clean piping as specified and flush thoroughly.
    - b. Conform to procedures described in ANSI/AWWA C651. Continuous feed method of disinfecting shall be used, unless alternative method is acceptable to ENGINEER.
  - 3. Water for initial flushing, testing, and disinfection will be furnished by OWNER. CONTRACTOR shall provide all temporary piping, hose, valves, appurtenances, and services required. Cost of water required for redisinfection will be paid by CONTRACTOR to OWNER at the water utility's standard rates.
  - 4. Chlorine shall be provided by CONTRACTOR.
  - 5. Bacteriologic tests will be performed by CONTRACTOR. Certified test laboratory report will be provided to OWNER and ENGINEER.
  - 6. Chlorine concentration in the water entering the piping shall be between 50 and 100 ppm, such that a minimum residual concentration of 25 mg/l remains after a 24-hour retention period. Disinfect the piping and all related components. Repeat as necessary to provide complete disinfection.
  - 7. After required retention period, the chlorinated water shall be flushed, as directed by ENGINEER. Piping shall be thoroughly flushed of residual chlorine. Properly dispose of chlorinated water in accordance with applicable regulations. Do not discharge chlorinated water to storm sewers, ditches, or overland.

## 3.6 EXPOSED PIPING SCHEDULE

- A. The schedules listed below, following the "End of Section" designation, are a part of this Specification section.
  - 1. Table 40 05 05-A, Exposed Piping Schedule.

+ + END OF SECTION + +

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		_	,		Pressure	_		
	Diameter		Interior	Exterior	Class/			
Service	(inch)	Material	Lining	Coating	Thickness	Joint	Test	Remarks
Transfer Pump Suction Tubing	2	LDPE, HPDE, or PVC	-	-	75 psi	-	HYD (30)	From storage tote. Refer to Storage Tote Hose Connection Detail on Contract Drawings for tubing to piping transition.
Transfer Pump Suction Piping	1	PVC	-	-	Sch. 80	SW, Thd, Flg	HYD (30)	From storage tote tubing to transfer pump . Refer to Storage Tote Hose Connection Detail on Contract Drawings for tubing to piping transition.
Transfer Pump Discharge Piping	1/2	PVC	-	-	Sch. 80	SW, Thd, Flg	HYD (30)	From transfer pump discharge to day tank.
Metering Pump Suction Piping	1/2	PVC	-	-	Sch. 80	SW, Thd, Flg	HYD (30)	From day tank to metering pump.
Metering Pump Discharge Piping	1/2	PVC	-	-	Sch. 80	SW, Thd, Flg	HYD (250)	Located on skid.
Metering Pump Injection Tubing	1/4	LDPE, HPDE, or PVC	-	-	250 psi	-	HYD (250), DSF	From metering pump skid to injection quill.
Metering Pump Pressure Relief Tubing	1/4	LDPE, HPDE, or PVC	-	-	250 psi	-	HYD (250)	Fluoride pump pressure relief tubing.
Sample Tubing	1/2	LDPE, HPDE, or PVC	-	-	175 psi	-	HYD (175)	From sampling probe to interior of building. Refer to Contract Drawings for tubing to piping transition.

# TABLE40 05 05-A, EXPOSED PIPING SCHEDULE

Service	Diameter (inch)	Material	Interior Lining	Exterior Coating	Pressure Class/ Thickness	Joint	Test	Remarks
Sample Piping	1/2	PVC	-	-	Sch. 80	SW, Thd, Flg	HYD (235)	Interior of building. Refer to Contract Drawings for piping to tubing transition.
Sample Tubing	1/4	LDPE, HPDE, or PVC	-	-	250 psi	-	HYD (30)	Fluoride analyzer sample tubing. Refer to Contract Drawings for transition from PVC pipe to tubing.
Fluoride Analyzer Drain Tubing	1/2	LDPE, HPDE, or PVC	-	-	175 psi	-	HYD (30)	Fluoride analyzer drain tubing to sink.
Chemical Containment Piping	3	PVC	-	-	Sch. 80	SW	HYD (30)	Fluoride injection tubing chemical containment piping.
Storage Tote Vent Tubing	2	LDPE, HPDE, or PVC	-	-	75 psi	-	NR	-
Storage Tote Vent Piping	2	PVC	-	-	Sch. 80	SW, Thd, Flg	NR	-
Day Tank Vent	2	PVC	-	-	Sch. 80	SW, Thd, Flg	NR	-
Day Tank Sight Glass and Drain	3/4	PVC	-	-	Sch. 80	SW, Thd, Flg	NR	-
Day Tank Overflow	1	PVC	-	-	Sch 80	SW, Thd, Flg	NR	-
Transmission Main	24	DI	CL	AC	STCL 56	Flg	HYD (220), DBT	-

The following abbreviations are used in the Exposed Piping Schedule.

# A. Material Abbreviations

Material	Abbrev.		Material	Abbrev.	
High Density Polyethylene	HDPE		Polyvinyl Chloride	PVC	
Low Density Polyethylene	LDPE		Ductile Iron	DI	

# B. Joint Abbreviations

Joint Type Abbrev.		Joint Type	Abbrev.		
Threaded	Thd	Solvent Weld	SW		
Flanged	Flg	-	-		

# C. Test Abbreviations

Test	Abbrev.	Test	Abbrev.
Hydrostatic Test (test pressure in psig)	HYD()	No Test Required	NR
Disinfection	DSF	Disinfection and Bacteriological Testing	DBT

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## SECTION 40 05 19

#### DUCTILE IRON PROCESS PIPE

#### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish ductile iron pipe and fittings.
  - 2. Extent of piping is shown on the Drawings. Piping schedules in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation, specify pipe service, diameter, material, lining, coating, pressure rating, joint type, and testing required.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items to be installed with or before ductile iron pipe Work.
  - 2. Notify other contractors in advance of installation of ductile iron pipe to provide other contractors with sufficient time to install items included in their contracts that will be installed with or before ductile iron pipe Work.
- C. Related Sections:
  - 1. Section 31 23 16.13, "Trenching.
  - 2. Section 33 05 05, Buried Piping Installation.
  - 3. Section 40 05 05, Exposed Piping Installation.

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ANSI B18.2.1, Square and Hex Bolts and Screws Inch Series.
  - 2. ANSI B18.2.2, Square and Hex Nuts. (Inch Series).
  - 3. ASTM A193, Alloy Steel and Stainless Steel Bolting Materials for High-Temperature Service.
  - 4. ASTM A194, Specification for Carbon Steel and Alloy Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both.
  - 5. ASTM A307, Specification for Carbon Steel Bolts and Studs, 60,000 psi Tensile Strength.
  - 6. ASTM A354, Specification for Quenched and Tempered Alloy Steel Bolts, Studs and Other Externally Threaded Fasteners.
  - 7. ASTM A563, Specification for Carbon and Alloy Steel Nuts.
  - 8. ASTM B117, Practice for Operating Salt Spray (Fog) Apparatus.
  - 9. ASTM C283, Test Methods for Resistance of Porcelain Enameled Utensils to Boiling Acid.
  - 10. ASTM D714, Test Method for Evaluating Degree of Blistering of Paints.
  - 11. ASTM D792, Test Methods for Density and Specific Gravity (Relative

Density) of Plastics by Displacement.

- 12. ASTM D5162, Discontinuity (Holiday) Testing of Non-Conductive Protective Coating on Metallic Substrates.
- 13. ASTM E96, Test Methods for Water Vapor Transmission of Materials.
- 14. ASTM G14, Test Method for Impact Resistance of Pipeline Coatings (Falling Weight Test).
- 15. ASTM G62, Test Methods for Holiday Detection in Pipeline Coatings.
- 16. ASTM G95, Test Methods for Cathodic Disbondment Test of Pipeline Coatings (Attached Cell Method).
- 17. ANSI/AWWA C104, Cement-Mortar Lining for Ductile Iron Pipe and Fittings for Water.
- 18. ANSI/AWWA C110, Ductile Iron and Gray Iron Fittings for Water.
- 19. ANSI/AWWA C111, Rubber-Gasket Joints for Ductile Iron Pressure Pipe and Fittings.
- 20. ANSI/AWWA C115, Flanged Ductile Iron Pipe with Ductile Iron or Gray Iron Threaded Flanges.
- 21. ANSI/AWWA C116, Protective Fusion-Bonded Epoxy Coatings for the Interior and Exterior Surfaces of Ductile Iron and Gray Iron Fittings for Water Service.
- 22. ANSI/AWWA C151, Ductile Iron Pipe, Centrifugally Cast, for Water.
- 23. ANSI/AWWA C153, Ductile Iron Compact Fittings, 3 inch through 24 inch and 54 inch through 64 inch for Water Service.
- 24. ANSI/AWWA C606, Grooved and Shouldered Type Joints.
- 25. European Standard (EN), EN 598: Ductile Iron Pipe, Fittings, Accessories and Their Joints for Sewerage Applications.
- 26. MSS-SP 60, Connecting Flange Joint Between Tapping Sleeves and Tapping Valves.
- 27. NACE RP0188, Discontinuity (Holiday) Testing of New Protective Coatings on Conductive Substrates.
- 28. NAPF 500-03, Surface Preparation Standard for Ductile Iron Pipe and Fittings Receiving Special External Coatings and/or Special Internal Linings.
- 29. NSF/ANSI 61, Drinking Water System Components Health Effects.
- 30. SSPC PA 2, Measurement of Dry Coating Thickness with Magnetic Gages.
- 31. SSPC Painting Manual, Volume 1, Para. XIV.

#### 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Manufacturer:
    - a. Manufacturer shall have a minimum of five years successful experience producing ductile iron pipe and fittings and shall be able to show evidence of at least five installations in satisfactory operation in the United States that are similar applications to the specified service.
    - b. Lining and coating products shall be manufactured by a firm with a minimum of five years successful experience in protecting pipelines exposed to the specified service conditions, and shall be able to show evidence of at least five installations in satisfactory operation in the United States that are similar applications to the specified service.

- c. When not applied by the manufacturer, lining and coating Subcontractor shall have a minimum of five years successful experience in the application of the specified linings and coatings for similar applications for the specified service, and shall be able to show evidence of at least five installations in satisfactory operation in the United States.
- B. Supply and Compatibility:
  - 1. Unless otherwise approved, obtain all pipe, fittings, and appurtenances included in this Section from a single ductile iron pipe manufacturer.
  - 2. Ductile iron pipe manufacturer shall review and approve or prepare all Shop Drawings and other submittals for pipe, fittings, and appurtenances furnished under this Section.
  - 3. Pipe, fittings, and appurtenances shall be suitable for the specified service and shall be integrated into overall piping system by ductile iron pipe manufacturer.
  - 4. Ductile iron pipe manufacturer shall be responsible for all products and all factory-applied linings and coatings, whether installed at pipe manufacturer's facility or at manufacturer's Supplier's facility.
- C. Regulatory Requirements:
  - 1. Pipe and fittings, including linings and coatings, that will convey potable water or water that will be treated to become potable, shall be certified by an accredited organization in accordance with NSF/ANSI 61 as being suitable for contact with potable water, and shall comply with requirements of authorities having jurisdiction at Site.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following with Shop Drawings required under Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation:
  - 1. Shop Drawings:
    - a. Detailed drawings and data for pipe, fittings, gaskets, appurtenances, linings, and coatings.
  - 2. Product Data:
    - a. Surface preparation and application reports and procedures as required for lining and coating of pipe and fittings. Ductile iron pipe and fitting manufacturer and manufacturer and applicator of lining and coating, as specified, shall mutually determine recommended surface preparation and application methods, and provide written verification of mutually selected method in the submittals.
  - 3. Test Procedures: For linings and coatings in pipe and fittings.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Submit certificate signed by manufacturer of each product that product conforms to applicable referenced standards and the Contract Documents.
  - 2. Source Quality Control Submittals:

- a. Submit results of specified shop tests for pipe, fittings, linings, and coatings.
- b. Lining and coating test coupons.

# 1.5 DELIVERY, STORAGE, AND HANDLING

A. Refer to Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation.

# PART 2 – PRODUCTS

# 2.1 MATERIALS

- A. General:
  - 1. Piping systems shall be suitable for their intended use.
  - 2. Joints shall be as specified in Section 33 05 05, Buried Piping Installation and Section 40 05 05, Exposed Piping Installation. If not specified, provide flanged joints for exposed piping and push-on or mechanical joints for buried piping. Provide couplings on pipe with plain or grooved ends where shown or where approved by ENGINEER.
- B. Ductile Iron Pipe, Joints, and Fittings:
  - 1. Flanged Pipe: Fabricate in accordance with ANSI/AWWA C115.
    - a. Pressure Rating: As specified in piping schedule in Section 40 05 05, Exposed Piping Installation. If not otherwise specified, use Special Thickness Class 53 for three-inch to 54-inch diameter pipe and Pressure Class 350 for 60-inch and 64-inch diameter pipe.
  - 2. Non-Flanged Pipe: Conform to ANSI/AWWA C151 for material, pressure, dimensions, tolerances, tests, markings, and other requirements.
    - a. Pressure Class: As specified in piping schedules in Section 33 05 05, Buried Piping Installation and Section 40 05 05, Exposed Piping Installation.
    - b. Special Thickness Class: As specified in piping schedules in Section 33 05 05, Buried Piping Installation and Section 40 05 05, Exposed Piping Installation.
  - 3. Pipe Joints:
    - a. Flanged Joints: Conform to ANSI/AWWA C110 and ANSI/AWWA C111 capable of meeting the pressure rating or special thickness class, and test pressure specified in piping schedule in Section 40 05 05, Exposed Piping Installation.
      - Gaskets: Unless otherwise specified, gaskets shall be at least 1/8-inch thick, ring or full-face as required for the pipe, of synthetic rubber compound containing not less than 50 percent by volume nitrile or neoprene, and shall be free from factice, reclaimed rubber, and other deleterious substances. Gaskets shall be suitable for the service conditions specified, specifically designed for use with ductile iron pipe and fittings.

- 2) Bolts: Comply with ANSI B18.2.1.
  - a) Exposed: ASTM A307, Grade B.
  - b) Buried or Submerged: ASTM A193, Grade B8M, Class 2, Heavy hex, Type 316 stainless steel.
- 3) Nuts: Comply with ANSI B18.2.2.
  - a) Exposed: ASTM A563, Grade A, Heavy hex.
  - b) Buried or Submerged: ASTM A194, Grade B8M, Heavy hex, Type 316 stainless steel.
- Mechanical Joints: Comply with ANSI/AWWA C111 and ANSI/AWWA b. C151, capable of meeting pressure rating or special thickness class, and test pressure specified in piping schedules in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation. 1) Glands: Ductile iron.
  - 2) Gaskets: Plain tip.
  - 3) Bolts and Nuts: High strength, low alloy steel.
  - 4) Manufacturers: Provide products of one of the following:
    - a) Clow Water Systems Company
    - b) Atlantic States Cast Iron Pipe Company
    - c) Canada Pipe Company, Ltd.
    - d) McWane Cast Iron Pipe Company
    - e) Pacific States Cast Iron Pipe Company
    - f) Griffin Pipe Products Co.
    - g) American Cast Iron Pipe Co.
    - h) U.S. Pipe and Foundry Co.
    - i) Or equal.
- Push-On Joints: Comply with ANSI/AWWA C111 and ANSI/AWWA c. C151, capable of meeting pressure class or special thickness class, and test pressure specified in piping schedules in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation.
  - 1) Gaskets: Vulcanized SBR, unless otherwise specified.
  - 2) Stripes: Each plain end shall be painted with a circular stripe to provide a guide for visual check that joint is properly assembled.
  - 3) Products and Manufacturers: Provide one of the following:
    - a) Tyton or Fastite Joint by Clow Water Systems, Atlantic States Cast Iron Pipe Company, Canada Pipe Company, Ltd., McWane Cast Iron Pipe Company, Pacific States Cast Iron Pipe Company, and Griffin Pipe Products Company.
    - b) Fastite Joint by American Cast Iron Pipe Company.
    - c) Tyton Joint by U.S. Pipe and Foundry Company.
    - d) Or equal.
- Grooved End Joints: Comply with ANSI/AWWA C606. d.
  - 1) Gaskets: Flush seal type designed for ductile iron that complies with or exceeds requirements of ASTM D2000
  - 2) Bolts and nuts: As specified for flanged joints.
  - 3) Unless otherwise specified, grooved end couplings shall be rigid joint for exposed service and flexible joint for buried service.
  - 4) Products and Manufacturers: Provide one of the following: a) Victaulic, Style 31.

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b) Or equal.

- e. Restrained Joints: Restrained push-on joints shall be capable of being deflected after full assembly. Field cuts of restrained pipe are not allowed without approval of ENGINEER.
  - 1) Products and Manufacturers: Provide restrained joints for mechanical joint piping by one of the following:
    - a) Megalug, Series 1100, by EBBA Iron Sales, Inc.
    - b) MJ Coupled Joint, by American Cast Iron Pipe Co.
    - c) MJ Field Lok, by U.S. Pipe and Foundry Co.
    - d) Or equal.
  - 2) Products and Manufacturers: Provide restrained joints for push-on joint piping by one of the following:
    - a) Super-Lock Joint Pipe, by Clow Water Systems, a division of McWane, Inc.
    - b) Lok-Ring Joint, or Flex-Ring Joint, by American Cast-Iron Pipe Company.
    - c) TR Flex Joint, by U.S. Pipe and Foundry Company.
    - d) Snap-Lok, by Griffin Pipe Products Company.
    - e) Or equal.
- 4. Flanged and Push-On Joint Fittings: Comply with ANSI/AWWA C110 and ANSI/AWWA C111.
  - a. Material: Ductile iron.
  - b. Pressure rating, gaskets, bolts, and nuts shall be as specified for flanged joints. Pressure rating of fittings shall meet, but not exceed, specified pressure rating or special thickness class of the connected pipe.
- 5. Mechanical Joint Fittings: Comply with ANSI/AWWA C110 and ANSI/AWWA C111.
  - a. Material: Ductile iron.
  - b. Glands: Ductile iron.
  - c. Pressure rating, gaskets, bolts, and nuts shall be as specified for mechanical joints. Pressure rating of fittings shall meet, but not exceed, specified pressure rating or special thickness class of connected pipe.
- C. Lining, General:
  - 1. Typical Service Conditions: Potable Drinking Water.
  - 2. Surface Preparation:
    - a. Surface Preparation: Prepare surface in accordance with recommended method.
- D. Cement-mortar Lining:
  - 1. Where specified in piping schedules included with Section 33 05 05, Buried Piping Installation and Section 40 05 05, Exposed Piping Installation, pipe and fittings shall be lined with bituminous seal coated cement-mortar lining in accordance with ANSI/AWWA C104.
- H. Couplings:
  - 1. Harness couplings to restrain pressure piping. For buried or submerged applications, provide external bolting and other hardware of Type 316 stainless
steel, including tie bolts, bolt plates, lugs, nuts, and washers.

- I. Specials:
  - 1. Transition Pieces:
    - a. Provide suitable transition pieces (adapters) for connecting to existing piping.
    - b. Unless otherwise shown or indicated, expose existing piping to determine material, dimensions, and other data required for transition pieces.
  - 2. Taps:
    - a. Provide taps where shown or required for small-diameter piping or instrumentation connections.
    - b. Provide corporation stops where shown or required.
    - c. Where pipe wall thickness or tap diameter will not allow engagement of required full threads, provide tapping saddle with outlet joints conforming to requirements of Paragraph 2.1.B.3.a of this Section for four-inch through 12-inch diameter pipe, and Paragraph 2.1.B.3.b. for 14-inch through 54-inch diameter pipe.
    - d. For flanged connections on tapping saddle outlet branch, counterbore flange in accordance with MSS SP-60 dimensions. Inside diameter of outlet shall be 1/4-inch greater than nominal diameter.
  - 3. Tangential Outlets:
    - a. Provide tangential outlet fittings where shown or indicated.

## 2.2 MARKING FOR IDENTIFICATION

- A. In addition to identification markings specified in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation, also stamp, mark, and identify push-on joint and mechanical joint pipe with:
  - 1. Name or trademark of manufacturer.
  - 2. Weight, class or nominal thickness, and casting period.
  - 3. Country where cast.
  - 4. Year the pipe was produced.
  - 5. Letters "DI" or "Ductile" shall be cast or metal stamped
- B. In addition to identification markings specified in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation, also stamp, mark, and identify flanged pipe with:
  - 1. Flange manufacturer's mark, size, and letters "DI" cast or stamped on the flanges.
  - 2. Fabricator's mark if other than flange manufacturer.
  - 3. Length and weight.
- C. In addition to identification markings specified in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation, also stamp, mark, and identify fittings with:
  - 1. Manufacturer's identification.
  - 2. Pressure rating.
  - 3. Nominal diameters of openings.

- 4. Country where cast.
- 5. Number of degrees or fraction of the circle on bends.
- 6. Letters "DI" or "Ductile" cast on them.

#### 2.3 EXTERIOR SURFACE PREPARATION AND COATINGS

- A. General Coating Requirements:
  - 1. Coating types are specified in piping schedules in Section 33 05 05, Buried Piping Installation, and Section 40 05 05, Exposed Piping Installation.
- B. Exposed Pipe and Fittings:
  - 1. Surface Preparation:
    - a. Initial Surface Inspection: Pipe and fitting manufacturer and coating applicator shall inspect surface to be coated and mutually determine recommended NAPF 500-03 surface preparation method.
    - b. Surface Preparation: Prepare surface in accordance with recommended NAPF 500-03 method.
    - c. Finished Surface Inspection: Prepared surfaces shall be inspected by coating applicator prior to application to determine acceptability of finished surface. If surface is unacceptable, repeat surface preparation and re-application as necessary.
  - 2. After recommended surface preparation, prime coat exterior ferrous metal surfaces of pipe and fittings in the shop.
- C. Buried Pipe and Fittings:
  - 1. Asphaltic Coating: Where specified in piping schedule in Section 33 05 05, Buried Piping Installation, coat pipe and fittings with an asphaltic coating approximately one-mil thick, in accordance with ANSI/AWWA C151, ANSI/AWWA C115, ANSI/AWWA C110, and ANSI/AWWA C153, as applicable.

#### PART 3 – EXECUTION

#### 3.1 INSPECTION

A. Inspect piping to assure that piping is free from defects in material and workmanship. Verify compatibility of pipe, fittings, gaskets, linings, and coatings.

#### 3.2 INSTALLATION AND FIELD QUALITY CONTROL

- A. For buried piping installation and testing, refer to Section 33 05 05, Buried Piping Installation.
- B. For exposed piping installation and testing, refer to Section 40 05 05, Exposed Piping Installation.

+ + END OF SECTION + +

#### SECTION 40 23 26

### PIPING, VALVES AND APPURTENANCES FOR CHEMICAL FEED SYSTEMS

#### PART 1 - GENERAL

### 1.1 DESCRIPTION

### A. Scope:

- 1. CONTRACTOR shall furnish all labor, tools, materials, equipment and incidentals required to supply, install, test and place in satisfactory operation all piping, valves and appurtenances as shown on the Drawings and specified herein.
- 2. It is the intention of the Drawings and Specifications to provide complete and workable piping systems. Miscellaneous fittings and appurtenances required for proper completion of the Work shall be considered as having been included under this Section.
- B. General:
  - 1. All piping, fittings, valves and appurtenances shall be new, clean and in accordance with material specifications. In no case will used or damaged material be acceptable.
  - 2. Provisions shall be made to permit bleeding air at high points. All piping shall be of the sizes and materials shown on the Drawings or specified herein.
- C. Related Work Specified Elsewhere:
  - 1. Section 46 33 44, Hydrofluorosilicic Acid Feed Equipment.
  - 2. Section 43 41 00, Polyethylene Tanks.

## 1.2 QUALITY ASSURANCE

- A. Manufacturer Qualifications: Piping and valves shall be the standard product in regular production by manufacturers whose products have proven reliable in similar service. All valves and piping of the same type shall each be the product of one manufacturer.
- B. Source Quality Control: All pipe, specials and valves shall have the working pressure stenciled thereon. Pipe that has been designed for abnormal load conditions or thrust restraint shall have special markings thereon which can be readily identified.
- C. Reference Standards: Comply with applicable provisions and recommendations of the following:
  - 1. Standards of American Water Works Association, AWWA.

- 2. Standards of American Society for Testing and Materials, ASTM.
- 3. Standards of American National Standards Institute, ANSI.
- 4. Standards of American Iron and Steel Institute, AISI.
- D. Manufacturer's Field Services and Reports:
  - 1. Retain factory trained manufacturer's representative with demonstrated ability and experience in the installation and operation of electric actuators, ball valves, back pressure valves, check valves and miscellaneous valves to perform the services listed below:
    - a. Supervise the installation.
    - b. Test, calibrate and adjust all components for optimum performance.
    - c. Assist in initial start-up and field testing.
    - d. Inspect the completed installation and prepare an inspection and performance test report.
    - e. Instruct OWNER'S personnel in the operation and user maintenance of all components.
    - f. Supervise the correction of any defective or faulty Work before and after acceptance by OWNER certify in written report.

## 1.3 SUBMITTALS

- A. Shop Drawings: Shop Drawing submittals shall include the following:
  - 1. Complete description of materials and equipment in sufficient detail to allow comparison with requirements of this Section.
  - 2. Illustrations, specifications and engineering data including: dimensions, materials, size, and weight for all piping, valves, and appurtenances including pipe supports, pipe restraints, coatings, etc.
  - 3. Manufacturer's instructions and recommendations for installation of each type of pipe joint, valve and special items.
  - 4. Corrosion resistance information to confirm suitability of piping, valve, and appurtenances for the application. Furnish information on chemical resistance of material and elastomers from manufacturer.
- B. Closeout Submittals: Provide the following:
  - 1. Operation and Maintenance Data:
    - a. Submit operation and maintenance manuals including test reports, maintenance data, and schedules, description of operation, and spare parts information.
    - b. Provide operation and maintenance manuals per Section 01 78 23, Operations and Maintenance Data.

#### 1.4 PRODUCTION DELIVERY, STORAGE, AND HANDLING

A. Delivery, Storage and Handling of Materials: All materials shall be delivered to the site, stored, and handled in accordance with the manufacturer's instructions. CONTRACTOR shall inspect shipments for damage and content well in advance of the date scheduled for incorporation in the Work.

- B. Prior to Shipment:
  - 1. Each piece of pipe and each fitting shall be plainly marked at the manufacturer with schedule number and pressure class. All piping shall be readily identifiable.
- C. To establish minimum criteria for proper installation and handling, measures to be taken by the CONTRACTOR shall include the following:
  - 1. All piping and valves shall be thoroughly cleaned of sand, scale, rust or other foreign substances. Open ends of piping and valves shall be suitably closed to prevent the entrance of foreign matter after cleaning and during shipment and storage.
- D. Handle all pipe, fittings and accessories carefully with approved handling devices. Do not drop or roll pipe off trucks. Do not otherwise drop, roll, or skid pipe. Materials that are cracked, chipped, gouged, dented or otherwise damaged will not be approved for installation.
- E. Store pipe and fittings on heavy wood blocking or platforms. Do not store pipe in contact with ground.

## 1.5 JOB CONDITIONS

- A. Protection:
  - 1. Take all measures to ensure that all materials are protected from damage.
- B. Work Affecting Existing Piping
  - 1. Location of Existing Piping:
    - a. Locations of existing piping shown should be considered approximate.
    - b. Determine exact location of existing piping to which connections are required, or which may be affected by the Work.
  - 2. Work on Existing Piping:
    - a. Cut pipes as shown or required with machines specifically designed for this work.
    - b. Install temporary plugs to keep out all mud, dirt, water and debris.
    - c. Provide all necessary adapters, fittings, taps, outlets, pipe and appurtenances required.
    - d. Verify dimensions of all existing piping to which connections are required and provide all necessary adapters, specials and section pieces required to make the connections.
    - e. OWNER does not guarantee watertight closing of isolation valves. CONTRACTOR shall provide, at no additional expense to the OWNER, all temporary caps, plugs, dewatering, pumping and other measures required to ensure proper installation of new piping.

### PART 2 - PRODUCTS

### 2.1 VALVES AND PIPING

- A. Valves, General:
  - 1. Provide valves to turn clockwise to close, unless otherwise specified.
  - 2. Provide valves with permanent markings for direction to open.
  - 3. Valve materials shall be suitable for the associated valve's service or application, as shown.
  - 4. Wetted components and wetted surfaces of valves used with potable water or water that will be treated to become potable shall conform to ANSI/NSF 61.
- B. Valve Types:
  - 1. Ball Valves (for hydrofluorosilicic acid):
    - a. General:
      - 1) Ball valves shall be full-port with adjustable seats.
      - 2) Size: 2-inches and smaller.
      - 3) Connections: End entry, true union design with solvent-weld socket or socket and threaded ends.
      - 4) Rated: 250 psi at 70 degree F.
    - b. Material:
      - 1) Body, Ball and Stem: PVC, ASTM D1784 (Type 1, Grade 1).
      - 2) Seat: PTFE.
      - 3) Seals: EPDM or FPM as required by fluid service.
    - c. Manufacturer:
      - 1) Hayward.
      - 2) Or approved equal.
  - 2. Ball Valves (other than hydrofluorosilicic acid):
    - a. General:
      - 1) Ball valves shall be full-port with adjustable seats.
      - 2) Size: 1/2-inch.
      - 3) Connections: End entry, true union design with solvent-weld socket ends, or socket and threaded ends.
      - 4) Rated: 250 psi at 70 degree F
    - b. Material:
      - 1) Body, Ball and Stem: PVC, ASTM D1784 (Type 1, Grade 1).
      - 2) Seat: PTFE.
      - 3) Seals: EPDM or FPM.
    - c. Manufacturer:
      - 1) Hayward.
      - 2) Or approved equal.
  - 3. Ball Check Valve (for hydrofluorosilicic acid):

- a. General:
  - 1) Check ball shall be solid and completely spherical.
  - 2) Ball check valve shall be capable of mounting either in the horizontal or vertical.
  - 3) Size: 2-inch and smaller.
  - 4) Connections: End entry, true union design with solvent-weld socket or socket and threaded ends.
  - 5) Rated: 235 psi at 70 degree F
- b. Material:
  - 1) Body and Ball: PVC, ASTM D1784 (Type 1, Grade 1).
  - 2) Seat: PTFE.
  - 3) Seals: EPDM or FPM.
- c. Manufacturer:
  - 1) Hayward.
  - 2) Or approved equal.
- 4. Ball Check Valve (other than hydrofluorosilicic acid):
  - a. General:
    - 1) Check ball shall be solid and completely spherical.
    - 2) Ball check valve shall be capable of mounting either in the horizontal or vertical.
    - 3) Size: 1/2-inch.
    - 4) Connections: End entry, true union design with solvent-weld socket or socket and threaded ends.
    - 5) Rated: 235 psi at 70 degree F
  - b. Material:
    - 1) Body and Ball: PVC, ASTM D1784 (Type 1, Grade 1).
    - 2) Seat: PTFE.
    - 3) Seals: EPDM or FPM.
  - c. Manufacturer:
    - 1) Hayward.
    - 2) Or approved equal.
- 5. Pressure Self-Regulating Valves (other than hydrofluorosilicic acid):
  - a. General:
    - 1) Type: Constant downstream pressure regardless of upstream pressure or flowrate.
    - 2) Size: 1/2-inch.
    - 3) Connections: Double union with threaded end.
    - 4) Rated: 300 psi
    - 5) Set Pressure: As shown on Contract Drawings.
  - b. Material:
    - 1) Body: Copper silicon alloy.
    - 2) Diaphragm: EPDM with PTFE wetted surface.
    - 3) Valve Disc: EPDM.
    - 4) Strainer: Integral with the valve, Type 316 stainless steel.
  - c. Manufacturer:
    - 1) Watts, Model 25AUB-HP (high pressure) and 25AUB-LP (low pressure).

- 2) Or approved equal.
- 6. Back Pressure Self-Regulating Valves (other than hydrofluorosilicic acid):

a. General:

- 1) Type: Maintain upstream pressure. regardless of upstream pressure or flowrate. Anti-siphon function.
- 2) Size: 1/2-inch.
- 3) Connections: End entry, true union design with solvent-weld socket ends.
- 4) Rated: 250 psi at 70 degrees F
- 5) Set Pressure: 10 psi.
- b. Material:
  - 1) Body: PVC, ASTM D1784 (Type 1, Grade 1).
  - 2) Diaphragm: PTFE.
- c. Manufacturer:
  - 1) Hayward.
  - 2) Or approved equal.
- 7. Resilient Seated Gate Valves
  - a. Manufacturers: Provide products of one of the following:
    - 1) M&H Valve Company
    - 2) US Pipe and Foundry.
    - 3) Or equal.
  - b. General:
    - 1) Provide valves conforming to AWWA C509.
    - 2) Type: Provide non-rising stem (NRS) valves for buried service.
    - 3) Minimum Rated Working Pressure: 200 psig.
    - 4) Provide valves with fully encapsulated resilient wedges.
  - c. Materials of Construction: Shall conform to AWWA C509 and shall be as follows:
    - 1) Valve Body, Bonnet, and Stuffing Box: Cast-iron.
    - 2) Wedge: Cast-iron, symmetrically and fully encapsulated with molded rubber having minimum 1/8-inch thickness.
    - 3) Stem: Manganese bronze.
    - 4) Rubber Items: Buna-N or other synthetic rubber suitable for the application.
    - 5) Internal and external bolting and other hardware including pins, set screws, plug, studs, bolts, nuts, and washers shall be Type 316 stainless steel.
  - d. Interior Coating:
    - 1) Valves shall be coated inside. Steel, cast-iron and ductile iron surfaces, except machined surfaces, shall be epoxy coated in accordance with AWWA C550.
  - e. Testing:
    - 1) Test valves in valve manufacturer's shop in accordance with AWWA C509.
  - f. Gear Actuators for Manually-operated Valves:
    - 1) Provide valves with gear actuators conforming to AWWA C500.

- 2) Size gear actuators for the following maximum differential pressures:
  - a) Maximum Differential Pressure Across Closed Valve: 200 psi.
- g. Wrench Nuts:
  - 1) Provide wrench nuts on buried valves of nominal two-inch size, in accordance with AWWA C500.
  - 2) Arrow indicating direction of opening the valve shall be cast on the nut along with the word "OPEN".
  - 3) Material: Ductile iron or cast-iron.
  - 4) Secure nut to stem by mechanical means.
- h. Extension Stems for Non-Rising Stem Gate Valves and Quarter-turn Buried Valves:
  - 1) Provide extension stems to bring operating nut to six inches below valve box cover.
  - 2) Materials of Stems and Stem Couplings: Type 316 stainless steel.
  - 3) Maximum Slenderness Ratio (L/R): 100
  - 4) Provide top nut and bottom coupling of ductile iron or cast-iron with pins and set screws of Type 316 stainless steel.
- i. Valve Boxes:
  - 1) Valve boxes shall be provided.
  - 2) Type: Heavy-duty, suitable for highway loading, two-piece telescopic, and adjustable. Lower section shall enclose valve operating nut and stuffing box and rest on valve bonnet.
  - 3) Material: Cast-iron or ductile iron.
  - 4) Coating: Two coats of asphalt var
  - 5) Marking: As required for service.
- B. Pipe (Exposed)
  - 1. General:
    - a. Pipe materials shall be suitable for services intended.
    - b. Pipe shall be homogeneous throughout and free of visible cracks, holes, foreign inclusions, and other defects. Unless otherwise shown or indicated, pipe shall be uniform in color, opacity, density, and other physical properties.
  - 2. Polyvinyl Chloride (PVC) Pipe: Unless otherwise shown or specified, PVC pipe shall conform to the following:
    - a. Manufacturers: Provide products of one of the following:
      - 1) Ipex, Inc.
      - 2) Spears Manufacturing Company.
      - 3) Or equal.
    - b. Material: Unless otherwise specified, conform to the following:
      - 1) Type and Grade: Type 1, Grade 1.

- 2) Wall Thickness: Schedule 80 conforming to ASTM D1784 and ASTM D1785, and US Product Service PS 21-70 as having same outside diameter dimension as cast-iron pipe.
- 3) Temperature Rating: Rated for temperature to 140 degrees F.
- 4) Color: Gray. Clear PVC shall conform to ASTM D1784 as Cell Classification of 12454-B. (Clear PVC shall only be used where specifically called out on Drawings.)
- d. Fittings: Type, grade, schedule, and color of fitting shall match the associated pipe.
  - 1) Solvent Weld: Conform to ASTM D2467.
  - 2) Threaded: Threaded fittings shall conform to ASTM D2464.
  - 3) Flanged: Provide flanged fittings with Viton gaskets.
- e. Joints:
  - 1) Solvent Weld: Use primer and solvent cement recommended by PVC pipe manufacturer for the application. Primer shall be in accordance with ASTM F656, and solvent cement shall be in accordance with ASTM D2564.
  - 2) Threaded: Use 100 percent virgin polytetrafluoroethylene (Teflon or PTFE) tape for threaded fittings. Pipe shall not be threaded.
  - 3) Flanged: Provide with backup flange minimum 1/8-inch thick. Backup flanges and connecting bolts shall be Type 316 stainless steel.
- f. Unions:
  - 1) Unions shall be installed for easy disassembly of pipes.
- 3. Flexible Tubing: Unless otherwise shown or specified, flexible tubing shall be as follows:
  - a. Material:
    - 1) Material shall be PVC with nylon braid reinforcement embedded in the wall of the tubing, low density polyethylene (LDPE), or high density polyethylene (HDPE) and shall be compatible with the service fluid and pressure intended.
    - 2) Conform to NSF 61.
    - 3) Maximum Working Pressure Rating:
      - a) 250 psi for 1/4-inch diameter tubing.
      - b) 175 psi for 1/2-inch diameter tubing.
      - c) 75 psi for 2-inch diameter tubing.
    - 4) Temperature Rating: 150 degrees F.
    - 5) PVC Tubing: Unless otherwise shown or specified, tubing shall be:
      - a) Food or utility (chemical) grade.
      - b) Color: Clear or natural.
      - c) Manufacturers: Provide products of one of the following:
        - i. Kuriyama "Kuri-Tech Clearbraid K3130 Series BF Heavy Wall PVC Food and Beverage Hose".
        - ii. Ryan-Herco "Herco-Braid Heavy Duty Food Grade Clear PVC Tubing".

- iii. Or equal.
- 6) LDPE Tubing: Unless otherwise shown or specified, tubing shall be:
  - a) Lab and industrial grade.
  - b) Color: Clear or natural.
  - c) Manufacturers: Provide products of one of the following:i. Nalgene 489.
    - ii. Parkson Parflex.
    - iii. Eastman.
    - iv. Or equal.
- 7) HDPE Tubing: Unless otherwise shown or specified, tubing shall be:
  - a) Utility (chemical) grade.
  - b) Color: Clear or natural.
  - c) Manufacturers: Provide products of one of the following:
    - i. Hudson Extrusions.
    - ii. Or equal.
- b. Joints and Fittings: Flexible tubing shall be of single length from point of connection with piping from Chemical Room to dosing/injection point. No joints of any kind shall be allowed. Terminations and/or joining tubing to pipe, valves, or appurtenances with adapter fitting as recommended by tubing manufacturer.
- 4. Containment Piping: Unless otherwise shown or specified, containment piping shall be as follows:
  - a. General:
    - 1) The hydrofluorosilicic acid piping located outside of the containment area shall consist of tubing inside a containment pipe, as shown on the Contract Drawings. The hydrofluorosilicic acid shall be transferred using the tubing, while the containment pipe will act as a conduit for the tubing.
    - 2) Containment pipe shall have an installed pitch of 1/12-inch per linear foot from the high points to the drainage ports to allow for complete drainage of annular space.
    - Chemical containment piping system shall be provided in accordance with the manufacturer's instructions especially regarding linear expansion due to temperature differentials. CONTRACTOR shall consider the linear expansion of the pipe when installing containment piping and provisions shall be made to compensate for such changes in length.
  - b. Containment Pipe Manufacturers:
    - 1) Ipex, Inc.
    - 2) Spears Manufacturing Company.
    - 3) Or equal.
  - c. Containment Pipe Size: 3-inches.
  - d. Containment Pipe Material: Unless otherwise specified, conform to the following:
    - 1) Type and Grade: Type 1, Grade 1.

- 2) Wall Thickness: Schedule 80 conforming to ASTM D1784 and ASTM D1785, and US Product Service PS 21-70 as having same outside diameter dimension as cast-iron pipe.
- 3) Temperature Rating: Rated for temperature to 140 degrees F.
- 4) Color: Gray. Clear PVC shall conform to ASTM D1784 as Cell Classification of 12454-B. (Clear PVC shall only be used where specifically called out on Drawings.)
- e. Containment Pipe Fittings: Type, grade, schedule, and color of fitting shall match the associated pipe.
  - 1) Solvent Weld: Conform to ASTM D2467.
  - 2) Long radius fittings shall be used with containment piping.
- f. Containment Pipe Joints:
  - 1) Solvent Weld: Use primer and solvent cement recommended by PVC pipe manufacturer for the application. Primer shall be in accordance with ASTM F656, and solvent cement shall be in accordance with ASTM D2564.
- g. Leak Indicator:
  - 1) Visual leak indicator/drain ports shall be installed at all low points of the containment piping.
  - 2) Refer to Leak Indicator Detail on Contract Drawings.
- 5. Ductile Iron Refer to Section 40 05 19, Ductile Iron Pipe.
- 6. Pipeline Identification: Provide pipe identification every five feet for each pipeline provided under the Contract:
  - a. Potable Water: Dark Blue.
  - b. Fluoride: Light Blue with Red Banding.
- C. Pipe (Buried)
  - 1. General:
    - a. Pipe materials shall be suitable for services intended.
    - b. Pipe shall be homogeneous throughout and free of visible cracks, holes, foreign inclusions, and other defects. Unless otherwise shown or indicated, pipe shall be uniform in color, opacity, density, and other physical properties.
    - c. Thermoplastic piping shall comply with NSF 14.
    - d. Buried pipe located in roadways shall be capable of withstanding external live load, including impact, equal to AASHTO H-20 loading, with cover.
  - 2. Polyvinyl Chloride (PVC) Pipe Unless otherwise shown or specified, PVC pipe shall conform to the following:
    - a. Manufacturers: Provide products of one of the following:
      - 1) Ipex, Inc.
      - 2) Diamond Plastics Corp.
      - 3) Or equal.
    - b. Material:
      - 1) Pipe shall comply with ASTM D3034.
      - 2) Wall Thickness and Pipe Stiffness: Pipe stiffness shall be determined in accordance with test methods in ASTM D3034.

- a) Main Line: SDR 35, with minimum ring stiffness of 46 psi.
- c. Fittings:
  - 1) Injection-molded, gasketed fittings shall comply with ASTM F1336, and ASTM D3034 or ASTM F679 (as applicable).
  - 2) Fabricated fittings shall comply with ASTM F1336.
  - 3) Unless otherwise shown or indicated, saddle wyes are unacceptable.
- d. Joints:
  - 1) Provide bell and spigot joints. Bell shall consist of an integral wall section to hold securely in place (and prevent displacement during assembly of joint) elastomeric O-ring gasket.
  - 2) Jointing lubricant shall be as recommended by pipe manufacturer.
  - 3) Provide elastomeric gaskets complying with ASTM F477, and ASTM D3139 or ASTM D3212.
- 3. High-Density Polyethylene (HDPE) Pipe Unless otherwise shown or specified, HDPE pipe shall conform to the following:
  - a. General:
  - b. Manufacturers: Provide products of one of the following:
  - c. Material:
    - 1) HDPE pipe shall be a minimum of SDR 11 and conform to ASTM D3035 and be capable of meeting pressure class or special thickness class, and test pressure specified in piping schedules in Section 33 05 05, Buried Piping Installation.
    - 2) Materials used for the manufacture of HDPE pipe and fittings shall meet the following physical property requirements:

Property		Unit Test Procedure		Value
1.	Material Designation	-	PPI/ASTM	-
2.	PPI Material Listing	-	PPI TR-4	PE 3408
3.	Material Classification	-	ASTM D 1248	III C 5 P34
4.	Cell Classification	-	ASTM D 3350	345434C or
				355434C
5.	Density	g/cm3	ASTM D 1505	>0.941
6.	Melt Index (E)	g/10 min	ASTM D 1238	< 0.15
7.	Flexural Modulus	psi	ASTM D 790>110,000	
8.	Tensile Strength	psi	ASTM D 638<160,000	
9.	ESCR (C)	hours	ASTM D 1693	3,000 to 3,500
10.	HDB psi	ASTM D 28	337 1,600 @ 23°C	
11.	UV Stabilizer (C)	percent carbon		
		black	ASTM D 1603	2 to 3
12.	Elastic Modulus	psi	ASTM D 638110,000	
13.	Brittleness Temperature F	-	ASTM D 746<-180	
14.	Vicat Softening Temp F		ASTM D 1525	255
15.	Thermal Expansion in/in/ l	F	ASTM D 6968 x 10E-5	
16.	Hardness	Shore D	ASTM D 2240	64
17.	Molecular Weight Categor	у -	-	Extra-High

- d. Pipe and Fittings:
  - 1) Dimensions:
    - a) Pipe Dimensions: The nominal inside diameter of the pipe shall be true to the specified pipe size in accordance with AWWA C901 and AWWA C906. Standard laying lengths shall be 50 feet  $\pm$  2-inches.
    - b) Fitting Dimensions: Fittings such as couplings, wyes, tees, adapters, etc. for use in laying pipe shall have standard dimensions that conform to ASTM D 3261.
  - 2) Pipe and fittings shall be produced from identical materials, meeting the requirements of this Section, by the same manufacturer. Special or custom fittings may be exempted from this requirement.
  - 3) Pipe and fittings shall be pressure rated to meet the service pressure requirements specified by ENGINEER. Whether molded or fabricated, fittings shall be fully pressure rated to at least the same service pressure rating as the pipe to which joining is intended.
  - 4) Molded fittings shall meet the requirements of ASTM D 3261 and this Section. At the point of fusion, the outside diameter and minimum wall thickness of fitting butt fusion outlets shall meet the diameter and wall thickness specifications of the mating system pipe. Fitting markings shall include a production code from which the location and date of manufacture can be determined. The manufacturer shall provide an explanation of the production codes used.
- e. Joints:
  - 1) Heat Fusion: HDPE pipe and fittings joints shall be heat fused in accordance with manufacturer's recommendations.
- 4. Ductile Iron Refer to Section 40 05 19, Ductile Iron Pipe.
- 5. Specials Transition Pieces:
  - a. Provide suitable transition pieces (adapters) for connecting to existing piping.
- D. Miscellaneous Items:
  - 1. Pipe Supports:
    - a. General:
      - 1) Standard and fabricated hangers and supports shall be furnished complete with necessary inserts, bolts, nuts, rods, washers, and other accessories.
      - 2) Provide minimum clearance of 1-inch between pipe and other work.
      - 3) Install hangers or supports at all locations where pipe changes direction.
      - 4) All hangers and supports shall be capable of adjustment after placement of piping.

- 5) Different types of hangers or supports shall be kept to a minimum.
- 6) Support vertical piping at each floor and between floors by stays or braces to prevent rattling and vibration.
- 7) Hanger rods shall be straight and vertical. Chain, wire, strap or perforated bar hangers shall not be used. Hangers shall not be suspended from piping.
- b. Material:
  - 1) Provide fiberglass/plastic hangers and supports in accordance with MSS SP 58.
  - 2) Pipes adjacent to or on walls shall be supported from molded fiberglass or polypropylene clamp halves complete with cover plate, hex bolts and base weld plate attached to wall with expansion anchors.
  - 3) Supports, hangers, and appurtenances shall be of a material that is compatible with the fluid being conveyed in such pipe being supported.
- c. Support Spacing:
  - 1) Pipe 2-inch and larger shall be supported at 6 foot maximum spacing. Pipe smaller than 2-inch shall be supported at 4 foot maximum spacing.
- d. Manufacturer:
  - a) Unistrut.
  - b) Or equal.
- 2. Expansion Joints:
  - a. General:
    - 1) Expansion joints shall be three convolutions type.
    - 2) Expansion joint shall be in accordance with tank manufacturer's recommendations.
  - b. Extent: As shown on drawings.
  - c. Material: Teflon, EPDM, or Viton.
  - d. Flanges: Shall be ductile iron construction. Flanges shall be full faced with 150 lb. ANSI standard drilling. Retainer rings shall be stainless steel.
  - e. Manufacturer:
    - 1) Proco.
    - 2) Or equal.
  - f. Alternative: Instead of expansion joints, flexible connections approved by the selected tank manufacturer for the tank size, material, pressure range, and chemical service may be used.

## 2.2 STATIC WAFER MIXER

A. The static mixer shall be of a compact ring body design for mounting between two ASME/ANSI B 16.5 Class 150 pipe flanges. The ring body shall be a minimum thickness of 0.875 inches and shall be fabricated from Type 316 stainless steel.

B. Ring type EPDM gaskets shall be furnished and adhered to both sides of the mixer body. The average variation in the process stream from the injection fluid shall be within  $\pm$  1% of the mean 10 pipe diameters downstream from the mixer. The mixing plate shall be no less than 0.125 inches thick and shall be Type 316 Stainless Steel with Beta values as outlined in the table below. The mixer plate shall be mounted in a machined cavity on the upstream side of the ring body.

Location	Transmission Main	
Chemical Service	Hydrofluorosilicic Acid	
	(23 – 25%)	
Line Size (inches)	24	
Line Operating Pressure	175	
(psi)		
Water Flow Range (MGD)	1 to 4	
Beta Value	0.7	
Maximum Headloss at	0.97	
Maximum Flow (psi)		

Static Mixer Design Criteria

- C. The static mixer shall be a wafer type as manufactured by:
  - 1. Westfall Manufacturing, Model No. 2800.
  - 2. Or approved equal.

### 2.3 CHEMICAL INJECTION QUILL

- A. General:
  - 1. For application of hydrofluorosilicic acid in the 24-inch transmission main as shown on the Contract Drawings.
  - 2. An integrated ball check valve shall be included to prevent backpressure from the transmission main from entering chemical feed system.
  - 3. Dual safety chains shall be included to prevent withdrawal of solution tube past corporation stop or ball valve. Safety chain length shall be preset by manufacturer for closure of the corporation stop or ball valve before withdrawal of solution tube.
  - 4. The solution tube shall be retractable and insertable into the transmission main pipe while under pressure and without having to shut down the pipe.
  - 5. The injection quill shall be installed in the 3 or 9 o'clock position in the sidewall of the transmission main, and allow for full retraction within the vault.
- B. Type and Size:
  - 1. Retractable injection quill with check valve.
  - 2. Process connection shall be 1-inch.
  - 3. Solution tube shall be 1/2-inch diameter and extend into pipe 1/2 the diameter of the transmission main.
  - 4. Inlet connection shall be 1/2-inch diameter.

- C. Materials:
  - 1. Entirely suitable for the chemical solution and capable of withstanding maximum pressure conditions.
- D. Manufacturer:
  - 1. Saf-T-Flo, Model EB-155.
  - 2 Or approved equal.

### 2.3 SAMPLING PROBE

#### A. General:

- 1. For sampling of the 24-inch transmission main as shown on the Contract Drawings.
- 2. A safety chain shall be included to prevent withdrawal of solution tube past corporation stop or ball valve. Safety chain length shall be preset by manufacturer for closure of the corporation stop or ball valve before withdrawal of solution tube.
- 3. The solution tube shall be retractable and insertable into the transmission main pipe while under pressure and without having to shut down the pipe.
- 4. The sampling probe shall be installed in the 3 or 9 o'clock position in the sidewall of the transmission main, and allow for full retraction within the manhole and/or 10-inch pipe.
- B. Type and Size:
  - 1. Retractable.
  - 2. Process connection shall be 1-inch.
  - 3. Sampling probe shall be 1/2-inch diameter and extend into pipe 1/2 the diameter of the transmission main.
  - 4. Inlet connection shall be 1/2-inch diameter.
  - 3. Tip configuration shall be beveled facing towards the flow.
- C. Materials:
  - 1. Entirely suitable for potable water and capable of withstanding maximum pressure conditions.
- D. Manufacturer:
  - 1. Saf-T-Flo, Model HS-100.
  - 2 Or approved equal.

#### 2.5 PORTABLE GANRTY AND HOIST

- A. Portable Gantry:
  - 1. General:
    - a. Provide 2 Ton, Portable, Steel Gantry Crane, 15-foot Span, Adjustable Height 7'-10" max.
    - b. Gantry shall be provided with non-marking polyurethane wheels on swivel casters with four-position rotational swivel locks.

- B. Manually Operated Chain Hoist and Trolley:
  - 1. Provide a 2 Ton manually operated chain hoist, trolley and rigging suitable to lift 275 gallon Tote Storage from floor slab onto pad within the chemical containment area.
  - 2. Manufacturer. Provide one of the following:
    - a. Columbus McKinnon.
    - b. Reliable, by Stanspec, a division of American Crane & Hoist Corp.
  - 3. Comply with ASME B30.16 and ASME HST-2. Welding shall comply with AWS D14.1.
  - 4. Design Stresses: Provide load bearing components so that stresses at rated load shall not exceed 20 percent of average ultimate strength of material.
  - 5. Hooks: Provide latch-type hooks, free to rotate through 360 degrees under all loading conditions. Hooks shall be heat-treated drop forged steel.
  - 6. Hoisting Chain: Hardened alloy steel.
  - 7. Lift Wheel: Machined and heat treated alloy steel with hardened steel chain guides.
  - 8. Gearing: Machined and heat treated spur gear system. Provide means for adequate lubrication of gearing.
  - 9. Bearings: Bearings shall be permanently lubricated type.
  - 10. Load Brake: Hoist shall include Weston-type load brake, providing instant brake and release action for positive control of load.
  - 11. Provide overload protection device that prevents lifting of loads beyond rated capacity.
  - 12. Housing and Covers: Cast aluminum.
  - 13. Provide supports, fasteners, brackets and all accessories required.
  - 14. Manual Geared Trolleys:
    - a. Trolley shall be constructed to accept specified hoist using lugmounted suspension, and shall be of sufficient size and strength to transport rated load of associated hoist.
    - b. Trolley frame shall have steel side plates that wrap around trolley to provide protective lug to prevent trolley from falling off monorail in event of wheel axle failure.
    - c. Wheels: Wheels shall be fabricated of hardened steel or cast iron and shall be constructed to run on specified beam. Wheels shall have uniform surface hardness and be capable of carrying maximum applied load.
    - d. Trolley shall be geared to provide hand chain driven locomotion.
    - e. Gearing: Machined and heat-treated spur gear system. Provide means of adequate lubrication of gearing.
    - f. Bearings: Bearings shall be ball or roller type, permanently lubricated.
    - g. Coordinate geared trolley with gantry crane provided.

#### 2.6 SOURCE QUALITY CONTROL

- A. Shop Tests:
  - 1. General:
    - a. Pipe manufacturer shall maintain continuous quality control program.
    - b. Where applicable and when requested by ENGINEER, submit results of source quality control tests specified in reference standards.
  - 2. HDPE Pipe:
    - a. At a minimum, incoming polyethylene materials shall be inspected for density in accordance with ASTM D 1505 and melt flow rate in accordance with ASTM D 1238. All incoming polyethylene materials shall be certified by the supplier. Certification shall be verified by CONTRACTOR and ENGINEER. Incoming materials shall be approved by Manufacturer's Quality Assurance Program before processing into finished goods.
    - b. Representative samples of polyethylene materials shall be tested against the physical property requirements required herein. Each extrusion line and molding machine shall be qualified to produce pressure rated products by taking representative production samples and performing sustained pressure tests in accordance with ASTM D 1598.
    - c. Quality Assurance test for representative pipe and fitting samples shall include:

Test	Standard	Pipe	Fittings
Ring ESCR	ASTM F 1248	Yes	Not Applicable
Sustained pre	ssure at 176°F/725	psi hoop st	tress:
(f <sub>0</sub> >100 h)	ASTM D 1598	Yes	Yes
Sustained pre	ssure at 73°F/1,600	psi hoop s	stress:
$(f_0 > 1000 h)$	ASTM D 1598	Yes	Yes

#### PART 3 - EXECUTION

#### 3.1 INSPECTION

A. The CONTRACTOR shall inspect piping, valves, and appurtenances to ensure that they are free of defects in material and workmanship. The compatibility of pipe, fittings, and coatings shall be verified.

### 3.2 INSTALLATION

- A. Piping, valves, and appurtenances shall be installed in complete accordance with the manufacturer's instructions and recommendations.
- B. For buried piping installation, refer to Section 33 05 05, Buried Piping Installation.
- C. For exposed piping installation, refer to Section 40 05 05, Exposed Piping Installation.

+ + END OF SECTION + +

### SECTION 40 60 05

### INSTRUMENTATION AND CONTROL FOR PROCESS SYSTEMS

### PART 1 – GENERAL

### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals as shown, specified, and required to furnish, install, calibrate, test, start-up, and place in satisfactory operation a complete and operating instrumentation and control system.
  - 2. The Work includes, but is not limited to, the following:
    - a. Panels and panel mounted instruments at Catherine Street Fluoride Facility.
    - b. Field mounted instruments.
    - c. Programmable logic controllers (PLC) and software.
    - d. Operator Interface Terminal (OIT) and software.
    - e. Training, checkout, Testing and startup of each of the elements outlined above.
- B. Coordination:
  - 1. Although several entities are involved to provide sub-systems as specified and shown, CONTRACTOR shall be ultimately responsible for all the Work specified in this project. CONTRACTOR shall be responsible for coordination of submittals, work and testing between the following entities as listed below and described herein:
    - a. Systems Suppliers:
    - 1) Instrumentation and Control (I&C) Systems Supplier.
    - b. OWNER preferred Engineering Services:
      - 1) Control System Integrator (CSI).
      - 2) Network Application Engineer (NAE)
  - 2. CONTRACTOR shall be responsible to provide the field instruments, panels and associated services as specified herein.
  - 3. I&C System Supplier (System Supplier): The CONTRACTOR shall retain the services of a System Supplier who shall have responsibility for furnishing all Plant Monitoring System (PMS) components specified in this Section, and shall coordinate with CSI who shall be responsible for all programming and configuration. The CONTRACTOR shall be responsible for providing a complete and integrated system in accordance with the Contract Documents. The following are the System Supplier minimum responsibilities:
    - a. Preparing all instrumentation and control equipment submittals in accordance with the Contract Documents.
    - b. Proper interfacing of instrumentation and control equipment with field equipment, instruments, devices, and panels, including required

interfacing with packaged control systems furnished by other equipment Suppliers, and required interfacing with the Site's electrical system.

- c. Review and coordination with manufacturers, Suppliers, CSI, NAE, and other contracts of Shop Drawings and other CONTRACTOR submittals for equipment, valves, piping, and appurtenances for ensuring proper interfacing of hardware, and locations and installation requirements of inline devices and instrument taps.
- d. Direct, detailed oversight of installation of instruments, panels, consoles, cabinets, wiring and other components, and related wiring and piping connections.
- e. Calibrating, source quality control, field quality control, and start-up of the system.
- f. Responsibility for correction period obligations for instrumentation and control system.
- g. Training of operations and maintenance personnel in operation and maintenance (including calibration and troubleshooting) of the instrumentation and control system.
- 4. CSI: CONTRACTOR shall retain the services of Optimum Controls Corporation (OCC), 1044 MacArthur Road, Reading PA, Tel: (610) 375-0990, no exceptions. The following are the CSI minimum responsibilities:
  - a. Programming of the control system provided under this contract and any other configuration required in any of the OWNER's existing control systems, configuration of the required communication and SCADA system at Catskill Treatment Plant.
  - b. Factory Acceptance Test: Certification of the control panel at the manufacturer facility. Development of the Functional Description Factory Acceptance Test Procedure.
  - c. Field Test: Field loop checks and functional operation test to ensure satisfactory operation of the control system. The Field Test Procedure shall be prepared by CSI and the I&C System Supplier.
  - d. After final test has occurred, a 30-day test period shall commence. During this time, all signals and alarms will be enabled. Any issues related to the programing will be responsibility of CSI.
- 5. NAE: CONTRACTOR shall retain the services of Sullivan Data Management, 1520B Front Street Yorktown Heights, NY, Tel: (914) 962-1573. No exceptions. The following are the NAE minimum responsibilities:
  - a. Provide and configure network equipment required to securely connect the new Facility to the existing Town's SCADA network.
  - b. Configuration of the hardware shall follow existing security policies.
  - c. Coordinate with broadband service provider and OWNER for connection and type of service required at new facility. Coordinate broadband connection to the facility, testing and start up.
  - d. Coordinate network hardware location in the control panel during shop drawing submittal review. Provide the following information to I&C System Supplier related to the network equipment: power requirements, space, supports, connectivity, etc.
  - e. Install network equipment in control panel, testing and startup.

- 6. The CONTRACTOR shall retain the services of a System Supplier who shall have responsibility for furnishing all Plant Monitoring System (PMS) components specified in this Section, and shall coordinate with a Systems Integrator who shall be responsible for all programming and configuration. The CONTRACTOR shall be responsible for providing a complete and integrated system in accordance with the Contract Documents.
- 7. With CONTRACTOR, System Supplier shall assume the responsibility for adequacy and performance of materials and equipment provided under this Section.
- 8. To the greatest extent possible, provide materials and equipment from a single manufacturer.
- C. Related Sections:
  - 1. Division 26, Electrical.
  - 2. Division 40, Process Mechanical.
  - 3. Division 46, Equipment.

## 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. ANSI/ASQ Z1.4, Sampling Procedures and Tables For Inspection By Attributes.
  - 2. ASTM A269, Specification for Seamless and Welded Austenitic Stainless Steel Tubing for General Service.
  - 3. ASTM A312, Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes.
  - 4. ASTM A403, Specification for Wrought Austenitic Stainless Steel Piping Fittings.
  - 5. ASTM B88, Specification for Seamless Copper Water Tube.
  - 6. IEEE 802.1 LAN/MAN Bridging & Management
  - 7. IEEE 802.1X, Port Based Network Access Control.
  - 8. IEEE 802.3, Standards Defining Physical Layer and Data Link Layer Media Access Control (MAC) Sublayer of Wired Ethernet
  - 9. ISA 5.1, Instrumentation Symbols and Identification.
  - 10. ISA 5.4, Instrument Loop Diagrams.
  - 11. ISA 20, Specification Forms for Process Measurement & Control Instruments, Primary Elements & Control Valves.
  - ISO 8802-3, Information Technology Telecommunications and Information Exchange Between Systems - Local and Metropolitan Area Networks - Specific Requirements - Part 3: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications.
  - 13. NEMA 250, Enclosures for Electrical Equipment (1000 Volts Maximum).
  - 14. NFPA 70 (NEC), Article 770, Optical Fiber Cables and Raceways.
  - 15. NFPA 79, Electrical Standard for Industrial Machinery.
  - 16. UL 50, Safety Enclosures for Electrical Equipment, Non-Environmental Considerations.

- 17. UL 508A, Industrial Control Panels.
- 18. UL 698A, Standard for Industrial Control Panels Relating to Hazardous (Classified) Locations.
- 19. UL 2062, Enclosures for Use in Hazardous (Classified) Locations.

## 1.3 QUALITY ASSURANCE

- A. Qualifications:
  - 1. Supplier:
    - a. Shall be financially sound with at least five years continuous experience in designing, implementing, supplying, and supporting instrumentation and control systems for municipal water treatment facilities comparable to the instrumentation and control systems required for the Project, relative to hardware, software, cost, and complexity.
    - b. Shall have record of successful instrumentation and control system equipment installations. Upon ENGINEER's request, submit record of experience listing for each project: project name, owner name and contact information, name and contact information for contractor, name and contact information for engineer or architect, approximate contract value of instrumentation and controls Work for which Supplier was responsible,
    - c. Shall have at time of Bid experienced engineering and technical staff capable of designing, supplying, implementing, and supporting the instrument and control system and complying with submittal and training requirements of the Contract Documents.
    - d. Shall be capable of training operations and maintenance personnel in instrumentation and control applications, and in operating, programming, and maintaining the control system and equipment.
    - e. Shall have UL-approved panel shop.
  - 2. Manufacturer: Manufacturers of instrumentation and control equipment furnished under this Section shall be experienced producing similar equipment and shall have the following qualifications:
    - a. Shall manufacture instrumentation and control system components that are fully-developed, field-proven, and of standardized designs.
    - b. Shall have system of traceability of manufactured unit through production and testing in accordance with ANSI/ASQ Z1.4.
    - c. Shall have guaranteed availability clause (99.99 percent, minimum for one year) for microprocessor-based components and appurtenances.
    - d. Shall have documented product safety policy relevant to products proposed for the Work.
- B. Pre-submittal Conference
  - 1. Schedule and conduct pre-submittal conference for instrumentation and control system within 60 days after acceptance of I&C Subcontractor by OWNER.
  - 2. Required attendance for pre-submittal conference: CONTRACTOR, I&C Subcontractor, ENGINEER, and OWNER. Pre-submittal conference will be

4 hours. Conference will be held near the project site. CONTRACTOR shall arrange a conference room location for this purpose.

- 3. Purpose of pre-submittal conference is to review manner in which CONTRACTOR intends to comply with requirements of the Contract Documents before submittals are prepared.
- 4. Prepare items listed below for presentation at pre-submittal conference. Submit information to ENGINEER two weeks prior to pre-submittal conference.
  - a. List of materials and equipment required for instrumentation and control system, and brand and model proposed for each item.
  - b. List of proposed exceptions to the Contract Documents along with brief explanation of each.
  - c. Sample of each type of submittal specified in this Section. These may be submittals prepared for other projects.
  - d. Flow chart showing steps to be taken in preparing and coordinating instrumentation and control system submittals.
  - e. General outline of types of tests to be performed to verify that all sensors and transducers, instruments, and digital processing equipment are functioning properly.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Field Instruments:
      - 1) Manufacturer's product name and complete model number of devices proposed for use, including manufacturer's name and address.
      - 2) Instrument tag number in accordance with the Contract Documents.
      - 3) Data sheets and manufacturer's catalog literature. Provide data sheets in accordance with ISA 20 and annotated for features proposed for use. For instruments not included in ISA 20, submit data sheets using a format similar to ISA 20.
      - 4) Description of construction features.
      - 5) Performance and operation data.
      - 6) Installation, mounting, and calibration details; instructions and recommendations.
      - 7) Service requirements.
      - 8) Dimensions of instruments and details of mating flanges and locations of closed tanks, pipe sizes for insertion instruments, and upstream/downstream straight run pipe lengths required.
      - 9) Range of each device and calibration information.
      - 10) Descriptions of materials of construction and listing of NEMA ratings for equipment.
    - b. Panels, Consoles, and Cabinets:
      - 1) Layout drawings that include:
        - a) Front, rear, and internal panel views to scale.

- b) Tag number and functional name of components mounted in and on panel, console, or cabinet, as applicable.
- c) Product information on panel components.
- d) Nameplate location and legend including text, letter size and colors to be used.
- e) Location of anchorage connections.
- f) Location of external wiring and piping connections.
- g) Mounting and installation details, coordinated with actual application.
- h) Proposed layouts and sizes of operator interface graphic display panels and alarm annunciator panels.
- i) Calculations for heating and cooling of panels.
- j) Subpanel layouts and mounting details for items located inside control panels.
- 2) Product information on panel components including:
  - a) Manufacturer's product name and complete model number of devices being provided, including manufacturer's name and address.
  - b) Instrument tag number in accordance with the Contract Documents.
  - c) Data sheets and catalog literature. Submit data sheets as shown in ISA 20 and annotated for features proposed for use. For instruments not included in ISA 20, submit data sheets with format similar to ISA 20.
  - d) Description of construction features.
  - e) Performance and operation data.
  - f) Installation, mounting, and calibration details; instructions and recommendations.
  - g) Service requirements.
- 3) Wiring and piping diagrams, including the following:
  - a) Name of each panel, console, or cabinet.
  - b) Wire sizes and types.
  - c) Pipe sizes and types.
  - d) Terminal strip and terminal numbers.
  - e) Wire color coding.
  - f) Functional name and manufacturer's designation for components to which wiring and piping are connected.
  - g) Lightning and surge protection grounding.
- 4) Electrical control schematics in accordance with NFPA 79. Drawings shall be in accordance with convention indicated in Annex D of the NFPA 79. Typical wiring diagrams that do not accurately reflect actual wiring to be furnished are unacceptable. Tables or charts for describing wire numbers are unacceptable.
- 5) Stock list or bill of materials for each panel including tag number, functional name, manufacturer's name, model number and quantity for components mounted in or on the panel or enclosure.
- 6) Detail showing anchorage plan of wire bundles between subpanels and front panel mounted devices.

- c. Field wiring and piping diagrams, include the following:
  - 1) Wire and pipe sizes and types.
  - 2) Terminal numbers at field devices and in panels.
  - 3) Fiber optic termination designations in the field and in panels.
  - 4) Color coding.
  - 5) Conduit numbers in which wiring will be located.
  - 6) Locations, functional names, and manufacturer's designations of items to which wiring or piping are connected.
- d. Proposed operator interface graphics layouts. Each graphic display and process report layout will be subject to modification from CONTRACTOR's submitted format within limits of software package used for development. Implement such modifications in accordance with ENGINEER's comments.
- e. Plant Monitoring and Control System:
  - 1) Submit the following general information:
    - a) Detailed block diagram showing system hardware configuration and identifying model numbers of system components.
    - b) Software listings for operating system, applications, and Operator Interface Terminal (OIT).
    - c) Software language and organization.
    - d) OIT interfacing details, licensing structure, and included functions.
    - e) Control and failure modes.
    - f) Online and offline capabilities for programming, system utilities, and diagnostics.
    - g) Input/Output Information:
      - i. Input/output (I/O) point listing with I/O module cross-reference identification.
      - ii. I/O module cross-reference identification based on I/O address list developed by I&C Subcontractor .
    - h) Database listing, including all I/O points.
    - i) Suggested detailed format and configuration of log reports, alarm summaries, printer outputs, displays, and graphics.
  - 2) Hardware:
    - a) Layout drawings showing front, rear, end and plan views to scale of equipment, I/O components, power supplies, and peripheral devices.
    - b) Equipment ventilation requirements.
    - c) Interconnection diagrams, including termination details, cable identification list, and cable length.
    - d) Drawings showing equipment layout.
    - e) Installation requirements, instructions, and recommendations.
  - 3) Software:
    - a) Licensing agreement with name of licensee, renewal requirements, release and versions, expiration dates (if any) and upcoming releases scheduled before Project completion. When upcoming releases are expected, provide descriptions, when available, of features that differ from the proposed release.

- b) Standard technical and instructional documentation covering software for utility, system support, system documentation, display, communications, data logging and storage and diagnostic functions. Submit this information on electronic media.
- c) Standard technical documentation covering all aspects of the computer system software functions and capabilities, including instruction set description and programming procedures related to monitoring, display, logging, reporting and alarming functions.
- d) Detailed functional descriptions of application programs explaining control, display, logging and alarming features to be provided and functions to be performed.
- 4) Documentation describing memory type, size and structure and listing size of system memory, I/O and Data Table memory and size of memory available for control programs.
- 5) System I/O Loop Wiring Diagrams: (a) Prepare Shop Drawings on module-by-module basis and include the following information:
  - a) Rack numbers, module type and slot number, and module terminal point numbers. Include location and identification of intermediate panel and field terminal blocks and terminal numbers to which I/O wiring and power supply wiring is connected. Identify power supply circuits with designation numbers and ratings.
  - b) Wiring types, wire numbers, and color coding.
  - c) Designation of conduits in which field I/O wiring will be installed.
  - d) Location, functional name, tag numbers and manufacturer's module numbers of panel and field devices and instruments to which I/O wiring will be connected.
  - e) Prepare loop wiring diagrams in accordance with ISA 5.4.
- f. Complete point-to-point interconnection wiring diagrams of field wiring associated with the system. Diagrams shall include the following:
  - 1) Field wiring between each equipment item, panel, instruments, and other devices, and wiring to control stations, panelboards, and motor starters. Some of this equipment may be specified in other Divisions, CONTRACTOR is responsible for providing complete point-to-point interconnection wiring diagrams for control and monitoring of that equipment.
  - 2) Numbered terminal block and terminal identification for each wire termination.
  - 3) Identification of assigned wire numbers for interconnections. Assign each wire a unique number.
  - 4) Schedule showing the wiring numbers and the conduit number in which the numbered wire is installed.
  - 5) Junction and pull boxes through which wiring will be routed.
  - 6) Identification of equipment in accordance with the Contract Documents.

- 2. Product Data:
  - a. Product data for field instruments in accordance with requirements for Shop Drawings in this Section.
  - b. Product data for panels, consoles, and cabinets in accordance with requirements for Shop Drawings in this Section.
  - c. Product data for field wiring and piping provided for instrumentation and control service and not included under other Sections or contracts.
  - d. Product data for Plant Monitoring Control System, including software and hardware. Requirements for software product data are included in requirements for Shop Drawings under this Section.
- 3. Samples:
  - a. Color charts for finish paint for panels. Provide full range of paint manufacturer's standard and custom colors. Color selection will be by ENGINEER.
  - b. Color charts for FRP panels. Provide full range of panel manufacturer's standard and custom colors. Color selection will be by ENGINEER.
- 4. Factory Acceptance Test Procedure: Submit factory testing procedures that will be performed to fulfill requirements of the Contract Documents. Test procedure shall include the following:
  - a. Visual inspection of components and assembly.
  - b. Description of hardware operational testing.
  - c. Description of software demonstration.
  - d. Description of testing equipment to be used.
  - e. Sign-off sheets to be used at time of testing.
- B. Informational Submittals: Submit the following:
  - 1. Documents to be submitted prior to pre-submittal conference, in accordance with Article 1.3 of this specification.
  - 2. System Software Documentation: Submit preliminary software documentation not later than four weeks prior to scheduled start of factory testing. Software documentation shall include the following:
    - a. Complete printed copies of all programming.
    - b. Complete listing of external and internal I/O address assignments, register assignments and preset constant values with function point descriptions. List unused/undefined I/O and data table registers available.
    - c. Copies of all configured HMI screens.
  - 3. Manufacturer's Instructions:
    - a. Shipping, handling, storage, installation, and start-up instructions.
  - 4. Source Quality Control Submittals:
    - a. Factory test reports and results.
  - 5. Special Procedure Submittals:
    - a. Submit notification to OWNER and ENGINEER at least 14 days before readiness to begin system checkout. Schedule system checkout on dates agreed to by OWNER and ENGINEER.
    - b. Submit written procedure for system checkout to ENGINEER three months prior to starting system checkout. Three months prior to starting system checkout submit written procedure for start-up to ENGINEER.

- 6. Field Quality Control Submittals:
  - a. Submit the following prior to commencing system checkout and startup.
    - 1) Completed calibration sheets for each installed instrument showing five-point calibration (0, 25, 50, 75, 100 percent of span), signed by factory-authorized serviceman.
  - b. Field calibration reports
  - c. Field testing reports.
- 7. Supplier's Reports:
  - a. Installation inspection and check-out report.
  - b. Submit written report of results of each visit to Site by Supplier's service technician, including purpose and time of visit, tasks performed, and results obtained. Submit within two days of completion of visit to the Site.
- 8. Qualifications Statements:
  - a. Supplier.
  - b. Manufacturer, when required by ENGINEER.
- C. Closeout Submittals: Submit the following:
  - 1. Operations and Maintenance Data:
    - a. Submit in accordance with Section 01 78 23, Operation and Maintenance Data.
    - b. Include complete up-to-date system software documentation. Provide hardcopy and electronic copies.
    - c. Include acceptable test reports, maintenance data and schedules, description of operation, wiring diagrams, and list of spare parts recommended for one year of operation with current price list.
  - 2. Record Documentation:
    - a. Prepare and submit record documents in accordance with Section 01 78 39, Project Record Documents.
    - b. Revise all system Shop Drawing submittals to reflect as-built conditions in accordance with the following.
      - 1) Two copies of each revised Shop Drawings and documentation to replace outdated drawings and documentation contained in operation and maintenance manuals. Submit half-size black line drawings for each drawing larger than 11 inches by 17 inches. Include specific instructions for outdated drawing removal and replacement with record documents submittal.
      - 2) Half-size black line prints of wiring diagrams applicable to each control panel shall be placed in clear plastic envelopes and stored in a suitable print pocket or container inside each control panel.
      - 3) Submit CADD drawings of the point-to-point interconnection wiring diagrams updated to reflect final as-built equipment information and as-installed field installation information.
- D. Maintenance Materials Submittals: Submit the following:
  - 1. Spare Parts and Test Equipment
    - a. General

- 1) Furnish the spare parts and test equipment as indicated below, identical to and interchangeable with similar equipment provided under this Section.
- 2) Provide source quality control for spare parts as part of factory testing prior to shipment of instrumentation and control equipment.
- 3) For process sensors and other analog instruments, Supplier shall submit a separate quotation for recommended list of spare parts and test equipment. Separately list and price each item recommended. Spare parts quotation shall include a statement that prices quoted are valid for a period of one year from date of equipment installation and that Supplier understands that OWNER reserves the right to purchase none, any, or all parts quoted. Upon request, Supplier shall submit documentation that stock of spare parts and test equipment is obtainable within 48 hours of receipt of OWNER's order.
- b. Furnish the following spare parts:
  - 1) Five of each type of input/output relay for each quantity of forty or fraction thereof provided under the Contract.
  - 2) One of each type of PLC input/output module or card used.
  - 3) One replacement power supply for each type and size provided under the Contract.
  - 4) One-year supply of all expendable or consumable materials.
  - 5) One per quantity of five or fraction thereof of gauges, indicators, and switches provided, complete with diaphragm seals, filled and ready to use.
  - 6) One per quantity of ten of fraction thereof provided, per range of field instruments including insertion type instruments.
  - 7) Twelve of each type and size of fuse used in instruments.
- 2. Software:
  - a. Provide all the PLC and OIT in the native electronic file prior to system checkout and after startup.
  - b. Submit copies of programming and configuration files developed specifically for the Project in accordance with Section 01 78 23, Operations and Maintenance Data.

## 1.5 STORAGE AND HANDLING

- A. Prior to packaging, each manufacturer or Supplier shall securely attach tag number and instructions for proper field handling and installation to each instrument.
- B. Comply with Section 01 65 00, Product Delivery Requirements, and Section 01 66 00, Product Storage and Handling Requirements.

## PART 2 – PRODUCTS

## 2.1 SYSTEM REQUIREMENTS

A. Power Supplies:

- 1. Electrically powered equipment and devices shall be suitable for operation on 115-volt plus-or-minus 10 percent, single-phase, 60 Hertz plus-or-minus two Hertz, power supply. If different voltage or closer regulation is required, provide suitable regulator or transformer at no additional cost to OWNER.
- 2. Provide appropriate power supplies for field instruments requiring power source less than 115 volts. Power supplies shall be mounted in control panels or enclosures installed near associated instrument or in field panels.
- 3. Power supplies shall be capable of minimum of 130 percent of maximum simultaneous current draw.
- 4. Provide power on-off switch or air circuit breaker for each item provided under this Section that requires electric power.
- B. Signal Requirements:
  - 1. Control system shall use 4 to 20 mA DC analog signals, unless otherwise shown or indicated.
  - 2. Provide signal converters and repeaters where required. Adequately size power supplies for signal converters and repeater loads.
  - 3. Isolate signals from ground.
  - 4. Signals transient DC voltage shall not exceed 300 volts over one millisecond, and shall not have a DC component over 300 volts.
  - 5. Discrete signals shall use 24 VDC.
- C. Surge Protection Requirements:
  - 1. Provide surge protection to protect electronic instrumentation and control systems from surges propagating along signal and power supply cabling. Protection systems shall be such that the protection level shall not interfere with normal operation, but shall be lower than instrument surge withstand level, and be maintenance-free and self-restoring.
  - 2. Provide instruments in suitable metallic cases, properly grounded. Ground wires for surge protectors shall be connected to good earth ground and, where practical, run each ground wire individually and insulated from other wires. Mount protectors within instrument enclosure or in separate junction box compatible with the area designation coupled to the enclosure.
- D. Miscellaneous:
  - 1. General:
    - a. Instrumentation components shall be heavy-duty types, constructed for continuous service.
    - b. System shall consist of equipment models currently in production.
    - c. Materials and equipment, including cabling and interconnections, shall be in accordance with Division 26, Electrical, and manufacturer's recommendations, unless indicated otherwise in the Contract Documents.
    - d. Materials and equipment shall, where applicable, be in accordance with UL standards and be so marked and labeled.
  - 2. Logic and control loops shall be fail-safe. Instrumentation components shall return automatically to accurate measurement within 15 seconds upon

restoration of power after power failure and when transferred to standby power supply.

- 3. Provide surge protection for instruments and other control system components that could be damaged by electrical surges. Provide lightning arresters on both ends of communication lines, except for fiber optic cabling, external to buildings or structures, including leased telephone lines and similar communication lines.
- 4. Field-mounted instruments and system components shall be constructed for use in humid and corrosive service conditions. Field-mounted instrument enclosures, junction boxes and appurtenances shall have NEMA rating appropriate for hazardous rating requirements shown or indicated on Electrical Drawings, and elsewhere in the Contract Documents.
- 5. Miscellaneous hardware such as fittings, fasteners, and screws, be Type 316 stainless steel or other appropriate material to prevent galvanic reactions, and shall be suitable for service intended. Piping stands shall be provided for fastening instruments as required. Provide threaded pipe stands with flange bolted to slab.
- 6. Data processing equipment and relays with interconnections to field devices shall be wired through field wiring terminal blocks in the panel. Terminals as part of relay base are unacceptable.
- 7. Arrange panel-mounted instruments, switches, and other devices ergonomically for functional use and ease of maintenance. Similar types of panel-mounted devices shall be by one same manufacturer and of the same model line.
- 8. Equipment furnished shall be of modular construction and be capable of field expansion through installation of plug-in circuit cards and additional cabinets as necessary.
- 9. Field- and panel-mounted instruments shall be tagged with equipment number and nomenclature indicated in the Contract Documents; if not so indicated, tag in accordance with approved Shop Drawings.
- 10. Coordinate ranges and scales specified in the Contract Documents with manufacturer of the equipment actually furnished for operability over the intended range. Complete the coordination prior to submitting Shop Drawings to ENGINEER.
- 11. Treat field-mounted devices with anti-fungus spray.
- 12. Protect field-mounted devices from exposure to high and freezing temperatures to provide complete operability under the environmental conditions indicated in the Contract Documents.
- E. Environmental Conditions:
  - 1. Provide control system suitable for continuous operation under the following conditions:
    - a. Indoor Instruments:
      - 1) Ambient Temperature: Zero degrees F to 120 degrees F.
      - 2) Relative Humidity: 100 percent, maximum.
    - b. Outdoor Instruments
      - 1) Ambient Temperature: -15 degrees F to 120 degrees F.
      - 2) Relative Humidity: 100 percent, maximum.

2. Protect outdoor-mounted field instruments from direct sunlight by providing sunshade for instruments. Construct sunshade out of non-corrosive material. Sunshade shall withstand wind velocity of 100 miles per hour.

## 2.2 PROCESS TAPS, SENSING LINES, AND ACCESSORIES

- A. Pressure Tap Sensing Lines and Accessories for Pressure Gauges and Pressure Switches:
  - 1. For Process Sensing Taps in Ductile Iron, Steel and Stainless Steel Piping Systems:
    - a. Material and Fittings: Type 304 stainless steel pipe, ASTM A312; and threaded fittings and adapters, ASTM A403.
    - b. Sizes: 3/4-inch diameter minimum for main sensing piping and 3/4-inch diameter gauge and switch connections.
    - c. Pressure Rating: Equal to or greater than the applicable system test pressure as specified in the Contract Documents.
    - d. Accessories:
      - 1) For applications not requiring diaphragm seals, provide separate 1/2inch diameter Type 316 stainless steel threaded ball valve for each gauge and switch.
      - 2) For applications requiring diaphragm seals, provide separate 1/2-inch diameter threaded Type 316 stainless steel ball valve for seal process side shutoff.
  - 2. For Process Sensing Taps in Copper and Thermoplastic Piping Systems:
    - a. Pipe Material and Fittings: Use same type of pipe material and fittings as that used in the process piping system. Provide PVC and CPVC piping in accordance with Section 40 05 31, Thermoplastic Process Pipe.
    - b. Sizes: 3/4-inch diameter minimum for main process sensing piping and 3/4-inch diameter for gauge and switch connections.
    - c. Pressure Rating: Equal to or greater than the applicable system test pressure as specified in the Contract Documents.
    - d. Accessories:
      - 1) For copper piping system taps with or without seals, provide separate 1/2-inch diameter minimum threaded brass or bronze ball valve for each gauge and switch.
      - 2) For PVC and CPVC piping systems with or without diaphragm seals, provide separate 1/2-inch diameter threaded ball valve for process sensing line shutoff.

## 2.3 PANELS

- A. General Provisions:
  - 1. Provide electrical components and devices, support hardware, fasteners, and interconnecting wiring and piping required to provide control panels complete and operational.
  - 2. Locate and provide hardware so that connections can be easily made and there is ample room for servicing each item.

- 3. Prevent movement by adequately supporting and restraining devices and components mounted on or within panel.
- 4. Provide panels with sub-panels for installation of all internally mounted hardware.
- 5. Provide numbered terminal strips for terminating field wiring and wiring from other panels, unless otherwise shown or indicated.
- 6. Provide copper grounding studs for hardware requiring grounding.
- 7. Provide the following convenience accessories inside each panel:
  - a. One 120 vac, 20-amp duplex, grounding type receptacle.
  - b. One 120 vac fluorescent service light fixture with 20-watt lamp and protective plastic shield or appropriate wattage incandescent bulb for panels two feet by two feet and smaller.
  - c. One 120 vac snap switch, to turn on service light, mounted in outlet box with cover and located so that switch is easily accessible from access door.
  - d. Service light with switch and duplex receptacle shall have a dedicated circuit breaker.
- 8. Control of Environment (Except NEMA 7 Panels):
  - a. Provide 120 vac thermostatically-controlled fan-driven heater units to maintain stable temperature within enclosure to protect equipment from harmful effects of condensation, corrosion, and low temperatures inside panels.
  - b. Provide automatically controlled closed-loop heat exchangers or closedloop air conditioners to maintain temperature inside each enclosure at optimum operating temperature rating of components inside the enclosure.
  - c. Each heat exchanger or air conditioner shall have a dedicated, properlysized and -rated circuit breaker.
  - d. Submit supporting calculations as part of panel Shop Drawing submittal if panel equipment to comply with specified environmental requirements is proposed to be deleted as unnecessary.
- 9. Panels to be located in non-hazardous (non-classified) environments shall comply with UL 50 and UL 508A.
- 10. Panels to be located in hazardous (classified) environments shall comply with UL 698A and UL 2062.
- 11. Provide panels under this Section with 20% percent additional space requirements for future use. Install nothing in space reserved for future use.
- 12. CONTRACTOR is responsible for detailed layout and design of panels, in accordance with the Contract Documents. Base cutouts and design on instrument manufacturers' requirements.
- 13. Lower 12 inches of free standing panels shall be free of devices, including panduits and terminal strips, for ease of installation and maintenance.
- 14. For front-opening panels, install no device less than three feet above operating floor level, unless otherwise shown or indicated. For rear-opening panels, install no devices on the door.
- 15. Wire bundles between subpanels and front panel-mounted devices shall be anchored and protected from damage by opening and closing of panel door.

- 16. Do not locate front panel-mounted devices requiring manipulation by operating personnel, such as pushbuttons, hand switches, controllers, and similar devices, higher than 5.5 feet above finished floor.
- 17. Panduits located on either side of terminal strips shall have minimum clearance of 1.5 inches between panduit and terminal strip.
- 18. Provide three-inch high channel base assembly, drilled to mate panel to floor pad.
- 19. Provide easily-accessible pocket built into panel door to enclose "as built" panel wiring diagrams.
- 20. Panels shall be UL-listed.
- B. Identification:
  - 1. Provide laminated plastic nameplate for identification of panels. Use selftapping stainless steel screws for fastening nameplates to panels. When selftapping screws may degrade panel's NEMA rating, retain NEMA rating intact by using gaskets on each side of panel surface and use retaining plate on the panel back that is same size as nameplate. When gaskets and retaining plate are used, use full-penetration screws with nuts.
  - 2. Panel identification nameplates shall have 1/2-inch high engraved letters.
  - 3. Identify front panel-mounted devices with nameplates engraved with functional description of the device.
  - 4. Tag electric components and devices mounted within panels with high adhesive labels.
  - 5. Identify terminal strips with nameplate engraved as "TB-XX" where "XX' is the numerical identification of terminal strip.
  - 6. Identify terminals within each terminal strip with sequential numbers and wire numbers.
  - 7. Internal panel wiring shall be color-coded and numerically identified with unique wire numbers affixed at each end of each wire. Color coding shall be in accordance with panel wiring color code table, below:

Description	Color
110 vac panel power before fuses or breakers	Black
Controlled 110 vac power (e.g., after relay contacts, selector switch contacts, and similar	Red
equipment.)	
110 vac power source from devices external to panel	Yellow
110 vac neutral	White
24 vdc positive power from power supplies	Brown
24 vdc negative power from power supplies	
Controlled 24 vdc power (e.g., after PLC output contacts, relay contacts, and similar)	Blue
24 vdc positive power from devices external to panel	Orange
24 vdc negative power from devices external to panel	
24 vdc four to 20 mA DC signal cable	Grey with red
	positive, clear
	negative
Grounding wire	Green

#### Panel Wiring Color Code Table

#### C. Panel Construction Features:
- 1. Panels located inside control or electric room areas shall be rated NEMA 12 with the following features:
  - a. Fabricate enclosures using minimum 14-gage steel for wall- or framemounted enclosures and minimum 12-gage for free standing enclosures. Steel shall be free of pitting and surface blemishes.
  - b. Continuously weld exterior seams and grind smooth. Surface grind panel to completely remove corrosion, burrs, sharp edges, and mill scale.
  - c. Reinforce sheet steel with steel angles where required to adequately support devices and equipment and ensure rigidity and to preclude resonant vibrations.
  - d. Panel shall be flat within tolerance of 1/16-inch over two-foot by twofoot area, or flat within tolerance of 1/8-inch for larger surface area. Acceptable out-of-flatness shall be gradual, in one direction only, and shall not consist of obvious depressions or a series of wavy sections.
  - e. Use pan type construction for doors. Door widths shall not exceed three feet.
  - f. Mount doors with full-length heavy-duty piano hinge with stainless steel hinge pins.
  - g. Provide oil resistant gasket completely around each door or opening.
  - h. Provide handle-operated, oil-tight, key-lockable three-point stainless steel latching system with rollers on latch-rods for easy door closing.
  - i. Use stainless steel fasteners throughout.
  - j. Provide interior mounting panels and shelves constructed of minimum 12-gage steel with white enamel finish.
  - k. For prints, provide steel pocket with white enamel finish.
  - 1. Provide enclosure mounting supports as required for floor, frame, or wall mounting as required.
  - m. Completely clean interior and exterior surfaces so surfaces are free of corrosive residue, oil, grease, and dirt. Zinc phosphatize for corrosion protection.
  - n. Provide one coat of primer paint to interior and exterior surfaces immediately after applying corrosion protection, in accordance with coating manufacturers' instructions. Provide surface preparation in accordance with coating manufacturer's requirements.
  - o. Paint interior surfaces with two coats of semi-gloss white polyurethane enamel.
  - p. Paint exterior surfaces with minimum of three finish coats of polyurethane enamel to produce a finish that is smooth and free of imperfections. Color shall be selected by ENGINEER from complete selection of standard and custom color charts furnished by manufacturer.
  - q. Primer and finish paint shall be compatible and shall be low-VOC, high-solids polyurethane enamel.
- 2. Control panels located in non-environmentally controlled areas and outdoor areas shall be rated NEMA 4X and with the following features:
  - a. Panels shall be Type 316L stainless steel construction with minimum thickness of 12-gage for all surfaces, except areas requiring reinforcing, with a smooth-brushed finish.
  - b. Stainless steel screw clamp assemblies on three sides of each door.

- c. Rolled lip around three sides of door and along top of enclosure opening.
- d. Hasp and staple for padlocking.
- e. Provide clear-plastic, gasketed lockable hinged door to encompass non-NEMA 4X front-of-panel devices.
- 3. Control Panels Located in Hazardous Rated Areas (NEMA 7):
  - a. General: Provide explosion-proof enclosures, suitable for use in NEC Class 1, Groups C and D or Class II, Groups E, F and G applications and comply with UL 2062.
  - b. Required Features:
    - 1) Lightweight and corrosion-resistant copper-free aluminum.
    - 2) Integral, cast-on mounting lugs.
    - 3) Left side door hinges.
    - 4) Viewing windows sized to suit internally-mounted components.
    - 5) Stainless steel cover bolts.
    - 6) Cadmium-plated steel mounting pans.
    - 7) Enclosed heat-generating devices shall not cause external surfaces to reach temperatures capable of igniting explosive gas-air mixtures in surrounding atmosphere.
    - 8) Mark panels with appropriate class and group(s) for which panel is qualified. Panels shall comply with features and test criteria of NEMA 250.
- 5. Wall-Mounted Panels:
  - a. General: Wall-mounted panels shall comply with applicable features and standards specified in this Section for the associated NEMA-rated panel.
  - b. Unless otherwise indicated or approved by ENGINEER, depth of wallmounted panels shall not exceed 18 inches.
  - c. Panels may be all stainless steel, fiberglass, polycarbonate, or acrylonitrile butadiene and styrene (ABS).
  - d. Provide appropriate size and number of external mounting feet.
  - e. Drilled holes or knockouts in back of wall-mounted panels are not allowed.
  - f. Provide corrosion-resistant polyester quick release latches (for nonstainless steel panels) or stainless steel screw clamp assemblies (for stainless steel panels).
- D. Electrical Systems:
  - 1. Power Source and Internal Power Distribution:
    - a. Provide in the panel, near where incoming power is terminated, nameplate with panel power supply source, type, voltage, and circuit number.
    - b. Protect incoming 120 vac power feeds to power the panel by providing lightning and surge arrestors, properly connected to grounds.
    - c. Provide panels with internal 120 vac power distribution system with properly-sized and -rated circuit breakers to distribute power. Power not more than six devices from a single breaker. When power supplies are included in the panel, not more than two power supplies shall be powered from a single breaker. Convenience receptacles and interior panel lights shall have their own breakers. When one or more field instruments require 120 vac power from the panel for instrument power, power not more than three instruments from a given breaker.

- d. Provide space for a minimum of two spare breakers in each panel.
- 2. Electrical Systems:
  - a. Internal wiring shall be Type MTW and THW stranded copper wire with thermoplastic insulation rated for 600 volts at 85 degrees C for single conductors, color-coded and labeled with wire identification.
  - b. For DC signal wiring, use shielded cable with 18-gage conductors. DC field signal wiring terminal strips shall be capable of handling wires up and including No. 12 size.
  - c. For AC power wiring, use No. 12 minimum AWG. For AC signal and control wiring, use No. 16 minimum AWG. For wiring carrying more than 15 amps, use sizes required by the NEC (NFPA 70).
  - d. Inside of panels, route DC signal wiring separately from power wiring with minimum separation distance of six inches.
  - e. Use covered panduits to route internal panel cables and wiring. Panduits in each section of panel shall be appropriately sized to accommodate the quantity of wires to be routed with a spare capacity of 40 percent.
  - f. Install wire troughs inside panels along horizontal or vertical routes to present a neat appearance. Angled runs are unacceptable.
  - g. Wiring that is routed without panduits shall be adequately supported and restrained to prevent sagging or other movement. Use of adhesive anchors to support or restrain wiring is unacceptable.
  - h. Terminate internal panel wiring using tube, insulated, crimp-on connectors; soldered connectors are unacceptable. use screw type terminal blocks 600-volt rated, mounted on DIN rails. Fused terminal blocks shall have LED blown fuse indication. Terminal blocks for 4-20 mA signals shall be fused and knife disconnect terminal blocks. Use of Two-Level terminal blocks are not acceptable. Terminal strips shall be identified as specified in this section. Identifiers shall be self-stick, plastic tape strips with permanent type, machine printed numbers. Hand-written labels are not acceptable.
  - i. Wiring in panels shall be installed such that, if wires are removed from any one device, power will not be disrupted to other devices.
  - j. Provide spare terminals equal in number to 20 percent of terminals used for each type of wiring (e.g., DC signal and AC power).
  - k. Provide ground terminals to terminate the shield wire of shielded cables. Termination of more than two shielded wires on a single ground terminal is unacceptable.
  - 1. Provide a single copper bus bar with 5/16-inch diameter copper grounding stud to connect the panel to external ground. Panel's internal grounds shall be terminated to the bus bar.
  - m. Where wires pass through panel walls, provide suitable bushings to prevent cutting or abrading of insulation.
  - n. When DC power or low voltage AC power is required, furnish and install in the panel required power supplies and transformers.
  - o. Provide complete wiring diagram of "as-built" circuitry enclosed in transparent plastic.

## 2.4 MAIN AND BRANCH CIRCUIT BREAKER

- A. General:
  - 1. Circuit breakers shall be furnished and installed in control panels to provide automatically operated switch protection in an electrical circuit from damage caused by an overload or short circuit.
  - 2. Branch circuit breakers shall be approved for branch circuit applications in the United States.
- B. Features:
  - 1. Type: High Density Circuit Breaker.
  - 2. Provide Single Pole 120/240V breakers within the control panels.
  - 3. Rating: Provide breakers with proper amp rating to protect the circuit it serves. Normal operating load of each circuit shall be noted on the panel power distribution wiring drawing.
  - 4. Insulation Resistance: 100M-ohm at 500VDC.
  - 5. Terminal Type: Tubular screw with self-lifting box lug.
  - 6. Push-to-set mechanism for circuit actuation.
  - 7. Manual trip button.
  - 8. DIN rail mounted.
  - 9. Status on/off indicator lights
  - 10. Compliance: UL 1077 Listed, CSA C22.2 No. 235, EN/IEC 60934.
- C. Products and Manufacturers:
  - 1. Phoenix Contact.
  - 2. Or Equal.

# 2.5 SELECTOR SWITCHES, PUSHBUTTONS AND INDICATING LIGHTS

- A. General:
  - 1. Selector switches, pushbuttons and indicating lights shall be supplied by one manufacturer and be of the same series or model type.
  - 2. Type:
    - a. Heavy duty, oil tight.
  - 3. Provide legend plate for indication of switch, pushbutton or light function (e.g., "OPEN-CLOSED", "HAND-OFF-AUTO", "SILENCE").
  - 4. Mounting: Flush mounted on control panel front, unless otherwise noted.
  - 5. NEMA rated to match panel in which they are mounted.
- B. Selector Switches:
  - 1. Type: Provide selector switches with number of positions as required to perform intended functions as shown and specified.
  - 2. Contacts:
    - a. Provide number and arrangement of contacts as required to perform intended functions specified, but not less than two single pole, double throw contact.
    - b. Type: Double break, silver contacts with movable contact blade providing scrubbing action.

- c. Rating: Compatible with AC or DC current with devices simultaneously operated by the switch contacts, but not less than 10 A resistive at 120 VAC or DC continuous.
- 3. Switch Operator: Standard black knob.
- C. Pushbuttons (Standard or Illuminated):
  - 1. Type: Provide momentary lighted and/or unlighted, single and/or dual type pushbuttons as required to perform intended functions specified and shown.
  - 2. Contacts: Comply with the requirements specified for selector switches.
- D. Indicating Lights:
  - 1. Type: Light-Emitting Diode (LED).
  - 2. Lamps: 2.2 volt, long life (20,000 hours minimum).
  - 3. Push-to-test circuit.
- E. Button and Lens Colors:
  - 1. Red for indication of open, on, or running.
  - 2. Green for indication of closed, off (ready), or stopped.
  - 3. Amber for indication of equipment malfunction, process trouble and alarms (e.g., "HIGH LEVEL", "LOW LEVEL", etc.).
  - 4. White for indication of electrical control power on.
  - 5. Blue for indication of remote.
- F. Products and Manufacturers:
  - 1. Allen Bradley.
  - 2. Eaton Corp.
  - 3. Or equal.

# 2.6 CONTROL RELAY (ICE CUBE TYPE)

- A. Type: General purpose, plug-in Ice-cube type rated for continuous duty.
- B. Construction Features:
  - 1. Coil Voltages: 24 VDC or 120 VAC, as required.
  - 2. Contacts: DPDT or 4PDT.
    - a. Silver cadmium oxide rated not less than 10 A resistive at 120 VAC or 24 VDC continuous.
    - b. For switching low energy circuits (less than 200 mA) fine silver, gold flashed contacts rated not less than 5 A resistive at 120 VAC or 28 VDC continuous shall be provided.
  - 3. Relays to have clear plastic dust cover.
  - 4. Relays to have pilot light to show energized coil.
  - 5. Relays to have push-to-test and manual override.
  - 6. Relays to be UL recognized.
- C. Products and Manufacturers:
  - 1. Allen Bradley.
  - 2. Square D Company.

3. Or equal.

### 2.7 PROGRAMMABLE LOGIC CONTROLLER (PLC)

- A. The PLC system shall include as a minimum a power supply, network connections, I/O cards and CPU as shown and as required to achieve the specified functionality. The system shall be complete with all necessary processors, I/O modules, backplanes, power supplies, terminals, terminal bases, and cables. The plant control system hardware listed herein is provided for CONTRACTOR's convenience and may not include all PLC hardware components that shall be provided.
- B. The final system configuration shall utilize the System Manufacturer's standard hardware and software to meet the functional requirements of these Specifications.
- C. All equipment furnished under this Contract shall be provided to meet the functional requirements of these Specifications plus a 20 percent growth in project requirements, (e.g., graphic displays, alarms, additional instrumentation and equipment). All equipment shall be provided under this Contract, such that the entire 20 percent project growth can be implemented into the PLC, without any additional hardware cost to the OWNER.
- D. The PLC shall have the ability to communicate with multiple remote I/O racks, or devices configured with multiple I/O modules. The PLC shall have the ability to support multiple data communications networks in the same chassis.
- E. Assembled System:
  - 1. Within the enclosure all I/O racks, processor racks, and power supplies shall be grounded to meet the manufacturer's specifications.
  - 2. Provide a dry contact rated at 2 amperes and 24 VDC for remote indication of processor failure.
  - 3. PLCs shall be capable of being programmed and updated where installed.
  - 4. Provide interposing relays for all digital output circuits or loops.
  - 5. Provide individual fuses for all inputs and outputs. Fuses shall be capable of being inspected without removal of and replaced without disassembly of the terminal block. Blown fuse LED status indicators shall be provided.
- F. The PLC shall perform the following functions:
  - 1. The programming format shall be IEC 1131-3 compliant Ladder Diagram (LD), Function Block Diagram (FBD), Sequential Function Chart (SFC), and Structured Text (ST) languages.
  - 2. Accept analog input signals (4 to 20 mADC) and dry contact input signals (24 VDC).
  - 3. All analog inputs shall have 16-bit resolution with 0.025 percent accuracy.
  - 4. Output analog signals (4 to 20 mADC) and contact output commands as required to meet interface requirements. Contact outputs shall be dry contacts rated for 24 VDC.
  - 5. Respond to interrogations for data and receive downloaded program changes and operating parameter changes from HMI's or engineering workstation.

- 6. Errors and/or failures shall be indicated locally by Light Emitting Diode (LED) and reported at the HMI. Multiple-bit errors shall cause immediate processor halt. Error diagnostic tables shall be user accessible and provide clear and accurate descriptions of PLC system and process level errors.
- 7. All I/O boards shall be capable of being removed without powering down any process controller resource.
- 8. The unit shall be provided with the following timing elements:
  - a. Real-time clock to provide time reference for processor and system operations.
  - b. Watchdog timer for monitoring system software operations to detect hardware malfunction or a non-productive loop (stall condition).
- 9. The processor should be able to perform basic arithmetic operations using floating-point data.
- 10. A single fault tolerant power supply shall be provided for each CPU, rack and I/O modules. Each power supply shall be capable of being powered from separate 120 Vac and 24 or 125 Vdc sources. The power supplies shall incorporate full power factor correction, AC input filtering, and a 40-millisecond hold up time.
- 11. Isolation transformers and other power normalization devices to protect against over voltage and frequency distortion characteristics shall be used where frequent power failures are common.
- G. The PLC should have as a minimum the following features and capabilities:
  - 1. The CPU shall be a microprocessor with onboard dynamic random access memory (DRAM) and flash memory for read/write functions and storage of configured data without battery backup. The microprocessor shall operate:
    - a. Minimum of 2.0 Mbytes of User memory.
    - b. The controller shall be able to operate within the following environmental parameters: Processor and I/O modules shall be capable of withstanding temperatures of 32°F to 132°F at a relative humidity of 5 to 95 percent (non-condensing) in system manufacturer's standard enclosures.
    - c. Ethernet RJ-45 port and USB port
    - d. 1 Gb SD memory card.
  - 2. Current Input Module:
    - a. 4-20 mADC.
    - b. Minimum of 8 single-ended input channels.
  - 3. Current Output Module:
    - a. 4-20 mADC.
    - b. Minimum of 4 single-ended output channels.
  - 4. Discrete Digital Input Module:
    - a. 24 VDC.
    - b. Minimum of 16 input channels.
  - 5. Discrete Digital Contact Output Module:
    - a. Relay output (NO contacts, 24 VDC 0.5A minimum).
    - b. Minimum of 8 individually isolated output channels.
  - 6. Ethernet Interface Module:
    - a. Standard Ethernet media (10base2, 10base5, 10baseT, 100baseT).
    - b. RJ-45 interface.

- c. Standard TCP/IP communications.
- d. Subnet masking.
- 7. Power Supply (PS):
  - a. 120 VAC input voltage.
  - b. Minimum current output as required to power all local PLC modules.
- Products and Manufacturers (Provide the following, with no exceptions):
  a. GE PACSystems RX3i

### 2.8 OPERATOR INTERFACE TERMINAL (OIT)

- A. General:
  - 1. Operator Interface Terminal (OIT) shall be microprocessor-based, flat panel, touchscreen type. The unit shall have data entry capabilities and shall include a password security function. The unit shall be connected to the PLC/PLC and shall display status, alarm, and diagnostic information.
  - 2. The OIT shall be mounted on front of enclosure door as shown on the drawings. Unit shall be provided with all accessories as required for this application.
- B. Required Features:
  - 1. Display Type: TFT LCD
  - 2. Display Size: 7"
  - 3. Pixels: 800 x 480
  - 4. Brightness: 300 cd/m<sup>2</sup>
  - 5. CPU: 500Hz Fanless
  - 6. Real Time Clock: Battery-backed clock timestamps critical data, ± 2 minutes per month. Battery life: 4 year min. @ 25 °C (77 °F). Field-replaceable.
  - 7. Operating System: Microsoft Windows Embedded Compact 7.
  - 8. System Memory: 512 Mbytes nonvolatile flash and 512 MB RAM
  - Communication Ports: Ethernet (10/100 Mbps, Auto-MDI/MDI-X), RS-232, (2) USB host, (1) USB device.
  - 10. External Storage: Secure Digital (SD) card slot. USB flash drives supported by high-speed, hot-swappable, 2.0 USB ports
  - 11. Power: 24 VDC, 15W
  - 12. Temperature: 0 to 50 Deg C
  - 13. Humidity: 5 to 95% (non-condensing)
  - 14. Enclosure: NEMA 4/4X
- C. The HMI shall be from one of the following (Provide the following, with no exceptions):
  - 1. GE QuickPanel.

### 2.9 POWER SUPPLIES

- A. Provide a 24 VDC power supply in the control panel to power field instruments, panel devices, etc., as required. Equip the power supply with a power on/off circuit breaker.
- B. The 24 VDC power supply shall meet the following requirements:

- 1. Input power: 115 vac, plus or minus 10 percent, 60 Hz.
- 2. Output voltage: 24 vdc.
- 3. Output voltage adjustment: 5 percent.
- 4. Line regulation: 0.05 percent for 10 V line change.
- 5. Load regulation: 0.15 percent no load to full load.
- 6. Ripple: 3 mV RMS.
- 7. Operating temperature: Full output current between -32 to 140 degrees Fahrenheit.
- 8. DIN rail mounting.
- 9. Integrated Selective Fused Breaking.
- 10. Local and remote fail indication.
- C. Size the 24 vdc power supply to accommodate the design load including existing devices plus a minimum 40 percent spare capacity. Provide calculation with the submittal.
- D. Provide output overvoltage and overcurrent protective devices with the power supply to protect instruments from damage due to power supply failure and to protect the power supply from damage due to external failure.
- E. Mount the 24 vdc power supply such that dissipated heat does not adversely affect other panel components.
- F. Provide a Power Supply Diode Redundancy module from the same manufacturer of the power supplies.
- G. Products and Manufacturers:
  - 1. Phoenix Contact.
  - 2. Puls.
  - 3. Allen Bradley.
  - 4. Or Equal.

### 2.10 HATCH INTRUSION SWITCHES

- A. General:
  - 1. Intrusion switches shall be provided at the Catherine Street Fluoride Facility which shall interconnect with the Facility Control Panel PLC.
  - 2. Activate contact when hatch is opened.
  - 3. Magnet shall be mounted on the hatch, and switch shall be mounted inside hatch frame.
  - 4. Deactivate contact when hatch is closed.
- B. Required Features:
  - 1. Hatch Switch type: Magnetic.
  - 2. Switch shall be closed-circuit system where the contact is activated when the hatch is opened.
  - 3. Contact type: Dry Contact, SPST, Rhodium-plated.
  - 4. Switch cycles: 50 million.
  - 5. Operation Gap: 1 inch.

- 6. Case Construction: Weatherproof, high impact plastic.
- 7. Magnet: Alnico 5.
- 8. Temperature: -15 to 150 degrees F.
- 9. Mounting: Screw-type with Adhesive.
- 10. Color: Gray.
- 11. Nominal Dimensions of Switch: 3.0" x 0.5".
- 12. NEMA 4X rating.
- C. Manufacturer: Provide switch from one of the following:
  - 1. Simplex Security Systems.
  - 2. Or equal.

## 2.11 FLUORIDE ANALYZER

- A. The OWNER shall provide a Hach CA 610 Fluoride Analyzer. The CONTRACTOR shall provide the complete installation, along with all necessary appurtenances for successful operation. CONTRACTOR shall replace the fluoride analyzer electrodes prior to testing and startup. Accessories to be provided by the CONTRACTOR shall include a Flow Meter with 1/4-inch OD Tubing (Part Number 4643600), Power Cord (Part Number 5448800), as well as sufficient quantities of reagents and expendables for one year of operation. All provided accessories shall be compatible with the instrument as per Hach's requirements. No Or Equal shall be allowed.
- B. The CONTRACTOR shall be required to provide all necessary testing and startup to ensure the system is fully functional.

### 2.12 LEVEL TRANSMITTER – ULTRASONIC TYPE

- A. Type: Microprocessor based, non-contacting, ultrasonic type continuous liquid level measuring system consisting of a transducer, remote transmitter, and interconnecting cable which produces an output signal linear with level.
- B. Performance Requirements:
  - 1. Accuracy: 0.25 percent of range (with no temperature gradient).
  - 2. Resolution: 0.1 inches
  - 3. Range: 1 to 5 feet.
  - 4. Operating Temperature:
    - a. Sensor: -40 to 140 degrees F.
    - b. Transmitter: -22 to 140 degrees F.
  - 5. Output: One 4-20 mADC.
  - 6. Relay Output: 4 Relays (10 A at 110 VAC).
  - 7. Power: 120 VAC.
- C. Construction Features:
  - 1. Transmitter:
    - a. Microprocessor based control circuitry.
    - b. Keypad for system programming and configuration.

- c. NEMA 4X, IP65 polycarbonate enclosure. Provide Type 316 stainless steel hardware for mounting.
  - d. Display: 4 character LCD.
  - e. Units of Measure: Feet.
- 2. Sensor:
  - a. Type: PVDF-faced ceramic.
  - b. Housing: PC/PET blend.
  - c. Ratings: NEMA 4X.
  - d. Beam Pattern: 9 degrees off axis.
  - e. Provide coaxial communication cable from transducer to the remote transmitter; length as required.
  - f. Process Connection: 2" NPT.
- D. Products and Manufacturers:
  - 1. Snyder Industries Ultrasonic Level Indicator.
  - 2. Or equal.

## 2.13 LEVEL SWITCH – REED SWITCH TYPE

- A. Type: A magnetic float moves with liquid level to actuate a hermetically sealed, magnetic reed switch within the unit stem.
- B. Required Features:
  - 1. SPST control switch, open when level is detected. Electrical rating: 120 VA, 0.3. amps.
  - 2. Material CPVC.
  - 3. Float Overtravel 1/8-inch
  - 4. Operating Temperature -0 to 150 degrees F.
  - 5. Cable and packing assembly shall withstand submergence. Cable length 3 feet minimum.
  - 6. Provide mounting PVC pipe and brackets.
- C. Manufacturers Provide products of one of the following:
  - 1. Gems Sensors.
  - 2. Kobold Instruments, Inc.
  - 3. Or equal.

### 2.14 MAGNETIC FLOWTUBE AND TRANSMITTER

- A. Type: Flowtube with pulsed DC Magnetic Flow Transmitter.
- B. Function: Monitor liquid flows as shown. The transmitter shall display the monitored flow value and shall output a 4 to 20 mADC signal proportional to the monitored flow.
- C. Performance Requirements:
  - 1. Range: -5 to 5 MGD.
  - 2. Accuracy (with analog output):

- a.  $\pm 0.5$  percent of flow rate, or better, over a range from 1 fps to 31 fps.
- b.  $\pm 0.005$  fps, or better, at flows below 1 fps.
- c. Accuracy unaffected by changes in fluid velocity, density, pressure, temperature or conductivity (above minimum conductivity limits).
- d. System accuracy shall be proven by submittal of flow test curves of the actual meters being furnished.
  - Test curves shall show a minimum of ten equally spaced flow points. Tests shall be performed using water and a weight or volume tank. A "master meter" used as a reference standard is not acceptable. The test setup shall be submitted and approved prior to testing.
- 4. Repeatability:  $\pm 0.15$  percent of flow rate, or  $\pm 0.0015$  fps, whichever is greater.
- 5. Drift: Complete zero stability.
- 6. Minimum Fluid Conductivity Limit: Five microsiemens per centimeter or less.
- 7. Minimum Pre-amp Input Impedance: 1012 ohms.
- 8. Power:
  - a.  $120 \text{ VAC} \pm 10 \text{ percent}, 60 \text{ Hz}, \pm 3 \text{ Hz power supply}.$
  - b. Power Consumption shall not exceed 50 watts for flowtube and transmitter combined.
- 9. Output:
  - a. 4 to 20 mADC, direct acting and isolated, into 0 to 1000 ohms.
  - b. High accuracy, field adjustable scaled pulse output (0.1 to 10 Hz or greater) to drive local totalizer.
- 10. Operating Temperature: Suitable for operation with process fluid temperature from  $0^{\circ}$  to  $140^{\circ}$ F.
- 11. Pressure Rating: Greater than or equal to test pressure specified in Section 40 05 05, Exposed Piping Installation, for appropriate piping system.
- D. Construction Features
  - 1. Flowtube:
    - a. Type: Lined metal flowtubes.
    - b. Interchangeability: Ratio of flow velocity to voltage reference signals generated identical for all meter sizes to permit interchangeability with transmitter without requiring circuit modifications.
    - c. Tube Material: Type 304 stainless steel.
    - d. Electrode:
      - 1) Conical or elliptical shaped.
      - 2) Material: 316 Stainless Steel.
    - e. Lining: Neoprene.
    - f. Termination Box:
      - 1) Cast low-copper aluminum alloy or fabricated sheet steel.
      - 2) NEMA 6P (IP68) rated.
      - 3) Capable of withstanding accidental submergence in 30 feet of water for 48 hours.
    - g. Finish: Finish exterior, except for flange faces, with a high build epoxy paint.

- h. End Connections: ANSI Class 150 suitable for mating with pipe specified.
- i. Electrical Connections: 3/4-inch NPT tapped holes for power conduit fitting and signal conduit fittings.
- 3. Pulsed DC Magnetic Flow Transmitter:
  - a. Materials and Rating:
    - 1) Die cast, low-copper aluminum alloy.
    - 2) NEMA 4 rated.
  - b. Solid state construction.
  - c. Local Indication:
    - 1) 3-1/2 digit minimum LCD meter with field selectable engineering units.
    - 2) Seven-digit electromechanical totalizer or eight digit electronic LCD totalizer with reset and lithium battery backup. Totalizer shall be integral with transmitter and visible through viewing window, or shall be externally mounted in a separate NEMA 4X enclosure or condulet with viewing window and installed adjacent to the transmitter.
  - d. Pulse and analog outputs galvanically isolated from input and earth ground.
  - e. Automatic zeroing feature making it unnecessary to zero the instrument before or after placing it in operation.
  - f. Pre-calibrated span adjustment providing continuous span adjustment over entire range.
  - g. Range Adjustment: Direct reading thumbwheel switches or calibrated potentiometer, continuously adjustable for full scale settings from 1 to 31 feet per second.
  - h. Signal Conditioning: Adjustable damping circuit with response times of 1 to 25 seconds minimum.
  - i. Low Flow Cutoff: Provide automatic low flow cutoff circuitry to stop pulse output and local totalization when flow drops below 0.5 percent  $\pm$  0.2 percent of the calibrated upper range valve.
- E. Accessories:
  - 1. Mounting:
    - a. Provide complete Type 316 stainless steel mounting hardware.
    - b. All transmitter and driver electronics shall be remotely mounted from the flow tubes at locations shown.
    - c. Type of mounting (wall, support frame or pipe stand) as required.
  - 2. Shielded cable assemblies of sufficient length for connection between flowtube and transmitter electronics.
  - 3. Type 316 stainless steel grounding rings for flowtubes.
  - 4. Type 316 stainless steel grounding straps.
  - 5. NEMA 4X rated 120 VAC power on-off selector switch as specified in Article 2.2, above.
  - 6. A spool piece for replacement of each different size flow tube where no bypass piping is provided.
  - 7. One calibrator suitable to calibrate all flow tubes provided.

- F. Products and Manufacturers: Provide one of the following:
  - 1. Rosemount 8700 Series.
  - 2. Endress and Hauser Proline Promag.
  - 3. ABB WaterMaster.
  - 4. Or equal.

## 2.15 PRESSURE GAUGE

- A. Bourdon Tube Pressure Element Type, Liquid Filled Gauges (for pressure ranges of 15 psi and greater and vacuum ranges to 30-inch Hg):
  - 1. Performance Requirements:
    - a. Accuracy:  $\pm 0.5$  percent of span (ANSI B40.1 Grade 2A).
- B. Construction Features:
  - 1. Case:
    - a. Solid front design constructed of glass filled polyester.
    - b. Color: Black.
    - c. Window: Glass.
  - 2. Ring: Threaded, glass filled polyester.
  - 3. Full blowout back.
  - 4. Range: 0-300 PSI.
  - 5. Dial: White with black marking; 270-degree scale.
  - 6. Bourdon Tube and Socket: Type 316 Stainless Steel, heliarc welded.
  - 7. Movement: Cam and roller movement, 300 series stainless steel.
  - 8. Size: 4-1/2-inch.
  - 9. Connection: 1/4-inch male NPT back or bottom, as required.
  - 10. Mounting: Stem, flush panel or wall mounting, as required.
  - 11. Adjustable pointer.
  - 12. Externally accessible zero adjustment.
  - 13. Built-in overload and underload movement stops.
  - 14. Process Isolation: Provide gauge cocks or ball valves for process isolation.
  - 15. Provide diaphragm seal:
    - a. Maximum Pressure: 250 PSI.
    - b. Diaphragm Material: PTFE.
    - c. Instrument Port: 1/2-inch.
    - d. Process Inlet Port: 1/2-inch.
    - e. Body Material: PVDF
    - f. Fill Port included.
    - g. Products and Manufacturers: Provide the following:
      - 1. Tuff Guard or equal.
- C. Products and Manufactures: Provide one of the following:
  - 1. Ashcroft.
  - 2. Or equal.

### 2.16 ROTAMETER

- A. Type: Low-Flow Variable-area Flowmeter.
  - 1. Range and Scale: Direct reading in gpm; length up to 10".
  - 2. Accuracy: +/- percent of maximum capacity; +/- 10 percent of full scale for extra low capacity meters.
  - 3. Working Pressure: 75 psig
  - 4. Frame: Type 304 stainless steel.
  - 5. End Fittings: Type 316 stainless steel.
  - 6. Tube: Borosilicate Glass.
  - 7. Float: Black glass.
  - 8. O-Rings: Viton.
  - 9. Tube Retainer: Kynar or 316 stainless steel.
  - 10. Adapters and Plugs: Type 316 stainless steel.
- B. Manufacturer: Provide one of the following:
  - 1. Wallace and Tiernan
  - 2. ABB
  - 3. Brooks.

#### 2.17 NUMERIC KEYPAD

- A. General:
  - 1. The numeric keypad shall be configured to enable and disable the autodialing feature once the correct password is keyed in by an operator.
  - 2. The numeric keypad shall be configured to silence the alarm horn upon activation of any of its triggering conditions.
- B. Required Features:
  - 1. Capable to be configured with 3 to 8 digit access codes.
  - 2. 3 Programmable Outputs:
    - a. One SPDT Relay rated at 2A at 24V max.
    - b. 2 Open Collector, 1/4 A Max to ground.
  - 3. Programmable Output time: 0-99 seconds.
  - 4. Tamper Alarm: Multiple incorrect entries Tamper Alarm and lock out system for 30 seconds.
  - 5. Laser marked keypad graphics.
  - 6. Vandal resistant design, fully encapsulated keypad with Stainless Steel bezel.
  - 7. Power Requirements: 12 VDC.
  - 8. Current Draw: Less than 70 mA.
  - 9. Keypad Switch Life: 1 Billion Cycles.
  - 10. Operating Environment:
    - a.  $-40^{\circ}$ F to  $160^{\circ}$ F.
    - b. 100% relative humidity.
- C. Products and Manufacturers: Provide one of the following:
  - 1. Essex Electronics.
  - 2. Securitron.
  - 3. Or Equal.

## 2.18 INTRINSICALLY SAFE RELAY

- A. General:
  - 1. Intrinsically Safe Relay shall electrically isolate circuits extending into Class I, Division I (Group A, B, C and D) hazardous areas from circuits in non-rated areas. Failures of the circuit within the hazardous area shall be indicated by illuminating a light emitting diode (LED) located on the face of the relay.
- B. Required Features:
  - 1. Contact design: one Normally Open (NO) and one Normally Close (NC), isolated contacts.
  - 2. Contacts Rating: 8A at 110 VAC resistive, 5A at 30VDC resistive
  - 3. Contact Cycle Rating:
    - a. Mechanical 10,000,000 operations.
    - b. Electrical: 100,000 operations minimum at rated load.
  - 4. Electronic Module: Solid state components epoxy encapsulated in nylon shell.
  - 5. Supply Voltage: 115 VAC 50/60Hz.
  - 6. Supply Current: Relays energized, 1.7 VA.
  - 7. Sensitivity: 0-470,000 ohms Maximum specific resistance.
  - 8. Operation Temperature: -40°F to 150°F.
  - 9. Time delay: 0.5 seconds rising level, 3 seconds lowering level.
  - 10. DIN Rail mounted.
- C. Products and Manufacturers: Provide one of the following:
  - 1. Phoenix Contact.
  - 2. Gems Sensors,
  - 3. Or equal.

### 2.19 PROCESS CONTROL DESCRIPTIONS

- A. General:
  - 1. Process control function shall be structured to permit the realization of all control strategy requirements. In addition, each control function shall be designed so that smooth bumpless operation transfers are obtained during any operating mode changeover, initialization, and normal shutdown modes. Where applicable, user-changeable parameters shall be automatically defaulted to a preset value if a specific value is not given during system generation.
  - 2. The P&IDs represent requirements for process monitoring and control. The required control for the system is a combination of the representation on the P&IDs and the requirements specified herein. The P&IDs do not show all the required point and/or internal diagnostic indications. In addition, to the indications shown on the P&IDs the following, at a minimum shall be provided:
    - a. Indication and alarming of bad quality or "out of range" on any hardwired analog input/output point (such as zero milliamps on a 4 to 20 mADC circuit).
    - b. Individual PLC fault indications and alarms (at the processor, I/O card, and module levels).

- c. Indication and alarm of a communications failure for each fiber optic, Ethernet, or field network communication link. For redundant links provide indication and alarm for both links so that an operator will be notified if the link has lost redundancy.
- d. For all motor start and stop commands check for run feedback after adjustable time delay (0 to 30 seconds). Provide a "FAIL TO START" and "FAIL TO STOP" alarm if unit fails to run or stop. Use the bad start or stop bit to remove the run command from the control logic.
- e. For all open/close valves provide Fail to Open, Fail to Close.
- f. For all modulating valves, provide "fail to open to commanded setpoint" and "fail to close to commanded setpoint."
- g. For analog control loops, when control of field equipment is not in "REMOTE/AUTO," the associated PID controller output shall track the position feedback, and eliminate windup, for bumpless transfer or entry to REMOTE/AUTO mode.
- h. RUNTIMES for all electric motors shall be provided with no manual reset button at the OIT. It shall be able to accumulate up to 999,999 and then go back to zero. Runtimes values shall be saved in the PLC even under power failures.
- i. FLOW TOTALIZATION shall be provided for each flow signal. Provide lifetime flow total, daily flow total, and previous day flow total for all flow signals.
- j. For all analog signals, provide the following alarm indications:
  - 1) "HIGH-HIGH."
  - 2) "HIGH."
  - 3) "LOW."
  - 4) "LOW-LOW."
  - 5) "HIGH AND LOW RATE OF CHANGE."
- k. Synchronize time and date of the PLC and OIT with the main SCADA system. Update at least every day at 3:00 AM.
- 3. In addition to the indications shown on the P&IDs, the following shall be provided at a minimum:
  - a. Analog Data Scaling: This control function shall scale all analog inputs to a common span and shall normalize the digital representation of each analog input to a percent of the operating span. The processed value shall be expressed as a binary number that specifies the analog input's position on a straight line lying between zero and full scale as defined for a given input by the zero span values in the data base.
  - b. Amplitude Limit Check: This control function shall perform dual level, high/low amplitude limit checking and shall identify a limit violation every time a measured or virtual variable goes out-of-limits and returns back into limits. The control function shall determine the time at which each limit excursion occurred. A deadband shall be provided on each limit and shall be expressed as a percentage of span or in engineering units. Low and high limiting default values shall be set-up for each measured or calculated variables used in the process control loops.
  - c. Engineering Unit Conversion: This control function shall convert scaled analog data to engineering units by means of the following equation:

Y = (H - L) (D/DH) + L

where:

- Y = Value in engineering units.
- H = High value of span, expressed in engineering units.
- L = Low value of span, expressed in engineering units.
- D = Digitized scaled input value in counts.
- DH = Full scale digitized value in counts.
- d. Verification of Digital Outputs: This control function shall verify that the equipment has responded to the digital commands before proceeding to next step during automatic operation. If any discrepancy is detected, an alarm shall be annunciated.
- 4. Hardware: CONTRACTOR shall provide all the hardware, as shown, specified or required to implement the control strategies as described.
- 5. Configuration: All set points, tuning parameters and engineering scales etc. shall be documented for each control point and each control strategy on configuration sheets or similar documents. These documents shall be updated during Factory Testing and finally during start-up.
- 6. Plant Power Failure: Plant equipment controlled by the control system shall be programmed to automatically reset upon restoration of power after a power failure, and unless otherwise specified, restart in the mode previous to the power failure. Requiring the manual reset of equipment after a power failure, unless otherwise specified, is unacceptable. All equipment shall restart in an orderly fashion or sequence approved by the ENGINEER.
- 7. All relays, parameters, scales, configuration values, mathematical constants, equations and set points given in the control strategies shall be adjustable over a defined range. Values given are initial and may change during Shop Drawing review and may have to be readjusted during start-up. All initial values, settings, and setpoints shall be enterable between+/- 50% of value provided. If a value outside of this range is entered, the system shall provide an "invalid entry" message and reject the entry.
- 8. OIT screens shall be developed in accordance to the requirements as described herein.
- B. Control Strategy and OIT Graphic Workshops:
  - 1. Provide time for a minimum of two (2), 1-hour on-site workshops with City Operations and Engineer staff to review and provide detailed input to the control narratives and system graphics. Revise control setpoints, adjustment ranges, ad default values, logic capabilities and system graphics as required. Provide as many off-site conference calls, WebEx's, or workshops as required to fully meet strategy and graphic requirements.
  - 2. Items discussed at these workshops shall be incorporated into the OIT configuration at no additional cost to the OWNER.
- C. Alarms and Events:

- 1. All alarms shall annunciate on the alarm banner and have corresponding indication on associated graphics screen.
- 2. Alarm acknowledgment shall be synchronized across the process control network so only one acknowledge is required.
- 3. Up to sixteen high priority alarms shall be sent from the PLC to the existing Mission control system.
- D. Trending:
  - 1. Trending shall be available for all mode and status selections.
  - 2. Configure trends for all analog values, including derived analog values in the program (e.g. PID setpoint, PID output, interim holding registers, etc.)
  - 3. All trend screens shall be of a similar format.
  - 4. As examples of grouping of analog values, provide multi-pen pre-configured trend screens for the following:
    - a. Bulk Tank Level.
    - b. Day Tank Level.
    - c. Fluoride.
    - b. Trends shall have logical groupings by system, with appropriate scaling for visibility. For example:
      - 1) Gas monitoring:  $O_2$ ,  $H_2S$ , and combustible for both wells
      - 2) Influent and Effluent Flow.
      - 3) Pumps run status (on/off)
      - 5) Group other analog signals as shown on P&IDs in a similar fashion.
- E. Catherine Street Fluoride Facility Chemical Metering Pump Control Strategy:
  - 1. General: Reference Drawing E-3. A chemical metering pump shall be provided with the following signals interfaced with the FCP:
    - a. Speed Command (Analog Output from FCP).
    - b. Start/Stop Command (Discrete Output from FCP).
  - 2. An ultrasonic level transmitter shall be installed in the Day Tank. The level transmitter shall send a 4-20 mA DC signal to the FCP. An interlock shall be configured at the FCP PLC which shall shut down the Chemical Metering Pump if a Low Low Level is detected after an operator adjustable time delay.
  - 3. A Reed Level Switch shall be installed in the Containment Area to detect a Fluoride Leak, from the Bulk Tote, Day Tank or Metering Pump piping. The switch shall send a contact closure to the FCP PLC, and shall alarm at the OIT. An interlock shall be configured at the FCP PLC which shall shut down the Chemical Metering Pump if this switch is activated.
  - 4. A Reed Level Switch shall be installed in the Flow Meter Vault to detect a pipe leak at both High High Level, and High Level. The switch shall sent a contact closure to the FCP PLC for each signal, and shall alarm at the OIT.
  - 5. A magnetic flow meter shall be installed in the Flow Meter Vault and shall be used to monitor the flow of water to/from the Town of Yorktown/Catskill Water Treatment Plant.
  - 5. The operator shall have provisions at the FCP OIT for Manual/Auto control of the Chemical Metering Pump via soft selector switch.

- a. When the soft selector switch is placed in Manual, the operator shall have provisions to Start/Stop and send a manual speed signal to the Chemical Metering Pump.
- b. When the soft selector switch is placed in Auto, the Chemical Metering Pump speed shall be "Flow Paced". The required pump speed shall be calculated in the FCP PLC, based on the Catherine Street Flow signal.
  - i. Flow pacing portion of the speed is proportionally calculated.
  - ii. Pump speed shall be calculated. Pump speed formula shall be provided during Shop Drawing review.
  - iii. Pump speed command shall be clamped. Pump speed range shall be provided during Shop Drawing review.
- c. Concentration setpoint for fluoride dose control is 0.7 mg/L. An interlock shall be configured at the FCP PLC which shall shut down the Chemical Metering Pump at High Fluoride Concentration of 1.0 mg/L after an operator adjustable time delay. Once fluoride residual reduces to 0.7 mg/L and stabilizes, the PLC shall be programmed to start the Chemical Metering pump and resume flow paced dosing to achieve 0.7 mg/L setpoint.
- F. Miscellaneous Catherine Street Fluoride Facility Control Strategy:
  - 1. All alarms as shown on the P&IDs shall be configured.
- G. Communication between Facilities:
  - 1. Cable Modem and network equipment shall be provided and mount by NAE. All OIT displays and values, shall be available for display at the existing Catskill Water Treatment Plant GE iFIX SCADA system. The operator shall have provisions at the Workstation to change setpoints, Start/Stop the Chemical Metering Pump, and provide a Speed Command when operating in Manual.
  - 2. If communication is lost between the Facility Control Panel PLC and the Catskill Water Treatment Plant, an interlock shall be configured at the PLC to shut down the Chemical Metering Pump to prevent any Fluoride dosing.

### 2.20 SOURCE QUALITY CONTROL

- A. General:
  - 1. Factory Test:
    - a. Representatives of OWNER and ENGINEER will witness factory test at testing facility during operational test of equipment, either for individual units or as an integrated system. All travel costs, including meals and lodging, for OWNER and ENGINEER shall be borne by CONTRACTOR. OWNER and ENGINEER shall submit expenses directly to the CONTRACTOR for reimbursement. If the test fails as determined by the OWNER and ENGINEER, deficiencies will be corrected and an additional factory test shall be conducted within two weeks at no cost to the OWNER. All labor and expenses for the second factory test, and subsequent factory tests as necessary, shall be borne by the CONTRACTOR. Give minimum of 30 days' notice prior to the

proposed testing date so that arrangements for test witnessing can be made.

- b. Presence of OWNER and ENGINEER during testing does not relieve CONTRACTOR from complying with the Contract Documents and shall not imply acceptance of equipment. When factory tests have been successfully completed, submit factory test report to ENGINEER.
- 2. Factory test results will be acceptable when all components within tested control panel or system being tested successfully operate and meet its intended function, and are so certified by the testing entity.
- 3. Do not ship the equipment until obtaining ENGINEER's acceptance of factory test results.
- B. Factory Inspection:
  - 1. Inspect each panel, console, device, and cabinet before testing and before shipping. Inspection shall include, but not be limited to the following:
    - a. Verify all "Approved as Corrected" comments on Shop Drawings were implemented.
    - b. Verify presence of and accuracy of nameplates and tags.
    - c. Verify that wire sizes and color-coding comply with the Contract Documents.
    - d. Verify presence of terminal blocks, terminal block numbers, and required quantity of spares.
    - e. Verify annunciator window engravings and quantity of spare windows comply with the Contract Documents.
    - f. Verify proper wiring practices and grounding.
    - g. Verify enclosure flatness, finish, and color.
    - h. Verify anchoring of wire bundles between subpanels and front panelmounted devices.
    - i. Verify presence of applicable items specified in this Section.
    - j. Check and verify software licenses for latest release and license types.
- C. Control Panels Operational Testing:
  - 1. Test all input/output components to verify that internal panel wiring is properly terminated at correct locations. Verify initial ranges and settings.
  - 2. Test all system hardware and software to verify proper operation as standalone units. Test shall include, but not be limited to, the following:
    - a. Power distribution and breaker ratings to match approved Shop Drawings.
    - b. Power fail/restart tests.
    - c. Diagnostics checks.
    - d. Demonstrate that all specified equipment functional capabilities are working properly.
    - e. Check and verify process displays are in accordance with approved Shop Drawings.
  - 3. Test components and devices requiring data transmission to verify that communication between such components is working properly. Verify communication by using the same media required for the completed system at the Site as indicated in the Contract Documents.

- 4. Perform integrated system test with all system equipment and simulated inputs/outputs connected to verify that equipment is performing properly as an integrated system.
- 5. Simulation devices shall be of suitable quality to not mask control panel defects.

## PART 3 – EXECUTION

### 3.1 INSPECTION

A. Examine conditions under which the Work will be installed and notify ENGINEER in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions are corrected.

### 3.2 INSTALLATION

- A. Environmental Requirements:
  - 1. Do not install instruments in areas where construction may cause instrument to be damaged, without providing adequate protection for said instrument.
- B. Installation of Instrumentation:
  - 1. Secure field-mounted instruments to stands or brackets in accordance with manufacturer's recommendations, approved or accepted (as applicable) submittals, and the Contract Documents.
  - 2. Locate sensors where shown on the Drawings. Confirm exact locations in the field with ENGINEER.
  - 3. Install all devices so that devices are readily accessible for service and do not cause potential hazards.
- C. Installation of Fiber Optic Cable
  - 1. Provide continuous inter- and intra-building installation, closet to closet, suitable for passing through inside conduit locations directly from outside conduit. Exempt from NFPS 70 (NEC) Article 770-50 (50-foot rule).
  - 2. Fiber optic cable installation shall include the following:
    - a. Do not exceed cable minimum bend radius. Tight loops, kinks, knots, and tight bends during and after installation are unacceptable.
    - b. Pull cables using an indirect attachment method such as Kellems Grip to distribute the pulling forces over cable's outer portion. Pulls directly on fiber core are not allowed.
    - c. Provide handholds and pull boxes as required by cable manufacturer. At minimum, provide handholds or pull boxes minimum of every two hundred feet, and at every third 90-degree conduit bend.
    - d. Upon receipt of the fiber optic cable reels, test each fiber separately with optical time domain reflectometer (OTDR) to verify fiber length, attenuation and continuity. Maximum total loss including connectors and cable attenuation for each fiber optic link shall not exceed 7.5 dB.
- D. Services and Operator Instructions:

- 1. Provide repairs or replacement of defective materials, equipment or workmanship, including with respect to equipment, the services of factory-trained servicemen.
- 2. In addition to the calibration required for check-out, provide two additional calibrations on all instruments. The first re-calibration shall be approximately six months after acceptance of the system, and the second shall be approximately eleven months after acceptance. As part of each calibration, provide two copies of the calibration sheets, a detailed list of deficiencies (should any be found), and a statement that the entire system is in proper operation and condition (except for the deficiencies noted) and shall be turned over to the OWNER.

## 3.3 FIELD QUALITY CONTROL

- A. Tests and Inspections: Field-verify calibration and performance of each instrument prior to start-up of the associated equipment, and document on a separate sheet for each.
  - 1. For each calibration certification sheet, include the following information:
    - a. Project name.
    - b. Tag number and description.
    - c. Manufacturer.
    - d. Model and serial number.
    - e. Date, time and person who performed calibration.
    - f. Calibration data to include.
      - 1) Input, output, and error at 0, 25, 75, and 100 percent of span for analog instruments.
      - 2) Switch setting, contact action and deadband, if applicable, for discrete elements.
    - g. Space for comments.
    - h. Signature and date.
  - 2. System Check-Out and Start-Up Responsibilities:
    - a. CONTRACTOR shall retain the services of the System Supplier to supervise and/or perform check-out and start-up of all system components. As part of these services, the System Supplier shall include for those equipment items not manufactured by him the services of an authorized manufacturer's representative to check the equipment installation and place the equipment in operation. The manufacturer's representative shall be thoroughly knowledgeable about the installation, operation and maintenance of the equipment.
    - b. Check and approve the installation of all instrumentation and control system components and all cable and wiring connections between the various system components prior to placing the various processes and equipment into operation.
    - c. Conduct a complete system checkout and adjustment, including calibration of all instruments, tuning of control loops, checking operation functions, and testing of final control actions. When there are future operational functions included in the Work, they should be included in the

system checkout. All problems encountered shall be promptly corrected to prevent any delays in start-up of the various unit processes.

- d. CONTRACTOR shall provide all test equipment necessary to perform the testing during system checkout and start-up.
- e. CONTRACTOR and System Supplier shall be responsible for initial operation of monitoring and control system and shall make any required changes, adjustments or replacements for operation, monitoring and control of the various processes and equipment necessary to perform the functions intended at no additional cost to the OWNER. These changes or adjustments shall be documented by the CONTRACTOR and submitted to the ENGINEER as part of the Installation Inspection Report described in Paragraph g. below.
- f. CONTRACTOR shall furnish to the ENGINEER certified calibration reports for field instruments and panel mounted devices specified in this Section as soon as calibration is completed.
- g. CONTRACTOR shall furnish ENGINEER an Installation Inspection Report certifying that all equipment has been installed correctly and is operating properly. The report shall be signed by authorized representatives of both CONTRACTOR and the System Supplier.
- h. Instrumentation and Control System Field Test:
  - 1) Following the instrumentation and control system checkout and initial operation, CONTRACTOR, under the supervision of the System Supplier, shall perform a complete system test to verify that all equipment and programmed software is operating properly as a fully integrated system, and that the intended instrumentation and control functions are fully implemented and operational. Any defects or problems found during the test shall be corrected by CONTRACTOR and then retested to demonstrate proper operation.
  - 2) Following demonstration of all system functions, the instrumentation and control system, including field sensors/transducers and instruments, and telemetry system shall be running and fully operational for a continuous 48 hour period.

# 3.4 MANUFACTURER'S SERVICES

- A. General:
  - 1. CONTRACTOR shall retain the services of the System Supplier to provide operation and maintenance training for all instrumentation and control system equipment as specified herein.
  - 2. For equipment items not manufactured by the System Supplier, he shall provide for on-Site training by an authorized representative of the equipment manufacturer as part of his services. The manufacturer's representative shall be fully knowledgeable in the operation and maintenance of the equipment.
  - 3. CONTRACTOR shall be responsible for all costs associated with training and shall provide all required materials, texts and required supplies.
  - 4. Training shall conform to the requirements of Section 01 79 23, Instruction of Operations and Maintenance Personnel.

- B. On-Site Training:
  - 1. General:
    - a. Provide on-Site operation and maintenance training by System Supplier and the equipment manufacturer representatives prior to placing the equipment in continuous operation.
    - b. Training courses shall include time for students to develop and demonstrate understanding of training concepts. Testing shall include hands on training with equipment.
    - c. At the conclusion of each course students shall be tested on course material. Testing shall include exercises where students must demonstrate proper response to normal operational needs, emergencies and maintenance tasks. Every student shall be tested individually.
    - d. Training shall accomplish the following:
      - 1) Provide instruction covering use and operation of the equipment to perform the intended functions.
      - 2) Provide instruction covering procedures for routine, preventive and troubleshooting maintenance, including equipment calibration.
      - 3) Explain procedures for placing the equipment in and out of operation and explain necessary actions and precautions to be taken regarding the overall plant monitoring and control system.
      - 4) Provide classes and field training as to how to change process control and alarm set points in all microprocessor based controllers and transmitters. Maintenance personnel shall be trained to enter passwords, programming or configuration data, etc.
  - 2. Primary Sensors/Transducers and Field Instruments:
    - a. The services of equipment manufacturer's representatives shall be provided for a minimum of two 2-hour sessions for each type of instrument.
    - b. Training shall include:
      - 1) Basic repair and maintenance capabilities of installed equipment.
      - 2) Procedures for placing the equipment in and out of operation.
      - 3) Use of any special repair equipment or software packages that are used for repair or maintenance.
      - 4) Procedures for testing any repair before placing equipment back in service.
  - 3. PLC and OIT Training
    - a. Training shall include
      - 1) Hardware and software configuration of PLC and OIT programs.
      - 2) Perform a walk through with students identifying system components. Instructor shall test each student's knowledge of system components during walk through.
      - 3) Identify key operating and alarm features of the project specific PLC and HMI programs.
      - 4) Test students' knowledge of proper response to alarms, capabilities to replace hardware components, switch hardware and software between online and offline, add new components, know when to call for assistance, demonstrate understanding of hardware and safety

requirements, understand impact of changes made to rest of the control system.

5) Provide instruction covering basic editing of PLC programs and OIT screens. Instruction shall include testing students programming capabilities by having students make minor changes to programs and test changes online.

+ + END OF SECTION + +

### SECTION 43 41 00

#### POLYETHYLENE TANKS

#### PART 1 - GENERAL

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment and incidentals as shown, specified and required to furnish and install Type I (cross-linked) high-density polyethylene flat-bottomed vertical, cylindrical storage tanks, complete and operational with accessories as shown and specified.
- B. Coordination:
  - 1. Review installation procedures under other Sections and coordinate the installation of items that must be installed with or before polyethylene tanks.
  - 2. Size and location of pipe connections, fittings, etc. shall be coordinated with the requirements of applicable chemical feed systems per the Contract Documents and as shown on the Contract Drawings.
- C. Related Sections:
  - 1. Section 03 30 00, Cast In Place Concrete.
  - 2. Section 05 05 33, Anchor Systems.
  - 3. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
  - 4. Section 46 33 44, Hydrofluorosilicic Acid Feed Equipment.
  - 5. Division 26, Electrical.
  - 6. Division 40, Process Interconnections.

#### 1.2 REFERENCES

- A. Reference Standards: Comply with the latest edition of the applicable provisions and recommendations of the following, except as otherwise shown or specified:
  - 1. ASTM D618, Conditioning Plastics and Electrical Insulating Materials for Testing
  - 2. ASTM D638, Tensile Properties of Plastics.
  - 3. ASTM D790, Flexural Properties of Unreinforced and Reinforced Plastics and Electrical Insulating Materials.
  - 4. ASTM D883, Definitions of Terms Relating to Plastics.
  - 5. ASTM D1505, Density of Plastics by the Density-Gradient Technique.
  - 6. ASTM D1525, Test Method for Vicat Softening Temperature of Plastics.
  - 7. ASTM D1693, Test Method for Environmental Stress-Cracking of Ethylene Plastics.
  - 8. ASTM D1998, Standard Specification for Polyethylene Upright Storage Tanks.
  - 9. ASTM D2765, Degree of Crosslinking in Crosslinked Ethylene Plastics as Determined by Solvent Extraction.

- 10. ASTM D2837, Method for Obtaining Hydrostatic Design Basis for Thermoplastic Pipe Materials.
- 11. ASTM D3892, Practice for Packaging/Packing of Plastics.
- 12. ASTM F412, Definitions of Terms Relating to Plastic Piping Systems.
- 13. ARM (Association of Rotational Molders) Standards Low Temperature Impact Resistance (Falling Dart Test Procedure).
- 14. ANSI B-16.5, Pipe Flanges and Flanged Fittings.
- 15. NSF/ANSI Standard 61, Drinking Water System Components Health Effects.

### 1.3 QUALITY ASSURANCE

- A. Manufacturer's Qualifications: Manufacturer shall have at least ten years experience in producing polyethylene tanks and shall show evidence of at least five installations in satisfactory service for at least five years each for each chemical listed in Part 2 of this Section.
- B. Source Quality Control:
  - 1. All dimensions shall be taken with the tank in the vertical position, unfilled. Tank dimensions will represent the exterior measurements.
    - a. The tolerance for the outside diameter, including out of roundness, shall be per ASTM D 1998-13.
    - b. The tolerance for fitting placements shall be +/- 0.5 inch in elevation and two degrees radial at ambient temperature.
  - 2. Test specimens shall be taken from fitting location areas or piggy-back test molds.
  - 3. Low Temperature Impact Test ARM Standard:
    - a. Test specimens shall be conditioned at -40 degrees Fahrenheit for a minimum of two hours.
    - b. The test specimens shall be impacted in accordance with ARM Standard Test Method. Test specimens less than two inches in thickness shall be tested at 100 ft.-lb. Test specimens greater than two inches in thickness shall be tested at 200 ft.-lb.
  - 4. Degree of Crosslinking Test (Type I Tanks Only):
    - a. The test method is to be the o-xlene insoluble fraction (gel test) per ASTM D 2765 Method C. This test method is for determination of the ortho-xlene insoluble fraction (gel) of crosslinked polyethylene.
    - b. The percent gel level for tanks on the inside 1/8-inch of the wall shall be a minimum of 60 percent.
  - 5. Hydrostatic Water Test:
    - a. The hydrostatic water test shall consist of filling the tank to brim full capacity for a minimum of four hours and conducting a visual inspection for leaks. Hydrostatic tests shall be performed after all fittings and bulkheads are installed.
- C. Each tank shall be inspected for defects such as foreign inclusions, air bubbles, pinholes, pimples, crazing, cracking and delaminations that will impair the serviceability of the vessel. All cut edges where openings are cut into the tanks shall be trimmed smooth.

D. The manufacturer shall assume full responsibility for the engineering, design and completeness of the tanks. All components shall be specifically constructed for the specified service conditions and shall be integrated into the overall equipment assembly by the tank manufacturer.

#### 1.4 SUBMITTALS

- A. Shop Drawings: Comply with Section 01 33 00, Submittal Procedures, and the additional requirements below. Submit for approval the following:
  - 1. Layout and all critical dimensions, including thickness of tank wall and dome dimensions.
  - 2. Materials of construction.
  - 3. Fitting locations and details.
  - 4. Accessories.
  - 5. Anchor and hold-down details.
  - 6. Manufacturer's literature, illustrations, chemical compatibility data, calibration charts, specifications, engineering data, and installation instructions.
- B. Delegated Design Certification of Design: The manufacturer shall include with the submittals signed written certification that all tanks have been designed and manufactured to the current ASTM D 1998-13 standard for polyethylene tanks. The manufacturer shall provide a wall thickness calculation stamped and signed by a Professional Engineer, who may be an employee of the manufacturer, verifying that the polyethylene tanks to be furnished under this section meet all applicable design requirements for structural integrity as a function of necessary wall thickness.
- C. A statement shall be provided by the storage tank manufacturer and by a licensed professional engineer, who may be an employee of the manufacturer, stating:
  - 1. The storage tanks proposed for this project meet all specified requirements. The design of the tanks and supports has been reviewed and they are entirely suitable for the specified service conditions.
- D. Test Reports: Submit copies of test reports to the ENGINEER before shipping tanks to the Site. Do not ship tanks until after the ENGINEER has approved the test reports.
- E. List of recommended spare parts.
  - 1. Manufacturer shall furnish a list of additional recommended spare parts for an operating period of one year. The list shall describe each part, the quantity recommended, and the unit price of the part.
- F. Operation and Maintenance Manuals: Submit in accordance with requirements of Section 01 78 23, Operation and Maintenance Data. The Operation and Maintenance Manuals shall include, but not be limited to, the following:

- 1. Manufacturer's written instructions for unloading, handling, storing and routine maintenance of polyethylene tanks and appurtenances.
- 2. Installation instructions for installing tank on a concrete slab.
- 3. Tank manufacturer's recommended bolt torques for flanges.
- G. Local Service Representative: Provide name, address and telephone number of manufacturer and local factory trained service representative.
- H. Documentation that the manufacturer has produced, supplied, and placed into satisfactory service, equipment similar to that specified herein. Criteria shall be a minimum of five installations in service for a minimum of five years each for the chemicals listed in Part 2 of this specification.
- I. Once installation is complete, the polyethylene tanks manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the polyethylene tanks and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop Drawings and recommendations, and that the system is ready to be tested and placed into operation.

## 1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. In general, polyethylene tanks shall be:
  - 1. Vented to allow for temperature changes that may affect their integrity.
  - 2. Provided with opening protection to exclude foreign matter.
  - 3. Protected from sunlight (UV) degradation.
  - 4. Stored on-site in cradles if storage is required prior to installation.
- B. Delivery of Materials:
  - 1. Refer to Division 1 for transportation and handling of products, and supplementary requirements below.
  - 2. Deliver materials to the site to insure uninterrupted progress of the Work. Deliver anchor bolts and anchorage devices that are to be embedded in cast-in-place concrete in ample time not to delay that Work.
  - 3. Tanks delivered to the job site shall be inspected by the CONTRACTOR for damage, unloaded and stored with a minimum of handling.
  - 4. All fittings shall be installed, removed and shipped separately.
- C. Storage of Materials:
  - 1. Refer to Division 1 for storage and protection of products, and supplementary requirements below.
  - 2. Store materials to permit easy access for inspection and identification. Protect equipment, including packaged materials, from weather, corrosion, and deterioration.
- D. Handling of Materials:

- 1. Refer to Division 1 for transportation and handling of products, and supplementary requirements below.
- 2. Handle all polyethylene tanks and appurtenances as recommended by the manufacturer to avoid damage. Polyethylene tanks that are damaged will not be acceptable. Protect all polyethylene tank appendages from damage and contamination.
  - a. Comply with manufacturer's recommendations in handling and storing tanks.
  - b. Whenever feasible, shipments shall be made by truck.
  - c. Tanks that are shipped in horizontal position shall be mounted on padded cradles. All tank end blocking used to prevent shifting of tanks shall be padded and bear only upon the knuckle radius of the tank bottom.
  - d. Tanks shall be secured to the cradles or skids to prevent rotation or other movement. In turn, the cradles or skids shall be fastened securely to the truck bed.
  - e. All tie-down straps shall give provision for thermal expansion and shall be padded where in contact with the equipment.
  - f. Flange faces shall be protected from damage by covering with suitable plywood or hardboard, securely fastened. The tanks shall be positively vented at all times.
  - g. Pipe and tubing, fittings and miscellaneous small parts shall be crated or boxed. Additional protection, such as end wrapping, cross bearing, or other interior fastening may be required to ensure such individual equipment pieces are not damaged in transit.
  - h. The equipment shall be inspected by the ENGINEER before unloading at the installation site and any resulting damage shall be the carrier and/or fabricator's responsibility to repair or replace at no cost to the OWNER.
  - i. The tanks and appurtenances shall be unloaded and stored in accordance with the manufacturer's written instructions.

### 1.6 WARRANTY

A. Tanks shall be warranted to be free of defects in material and workmanship for a period of three years.

### PART 2 - PRODUCTS

### 2.1 SERVICE CONDITIONS

- A. General Design Conditions:
  - 1. Design Temperature: Ambient, indoor, 60 degrees F to 100 degrees F. Chemicals may be delivered at higher or lower temperatures, depending upon season.
  - 2. Normal Internal Loads: Hydrostatic.
  - 3. External Loads: Appurtenances as shown and specified herein.
  - 4. Configuration: Flat bottom, vertical, cylindrical with flat or dished tops.
- B. Tanks shall be suitable for:

Tank Description	Nominal Volume (gallons)	Chemical	Concentration, %	Specific Gravity	
Day	35	Hydrofluorosilicic Acid	23 - 25	1.21 – 1.23	

C. Size: Each tank shall have a volumetric capacity as listed above and shall be sized as shown on the Contract Drawings.

#### 2.2 MATERIALS OF CONSTRUCTION

- A. Polyethylene:
  - 1. The material used shall be virgin polyethylene resin as compounded and certified by the manufacturer. Type I tanks shall be made from crosslinked polyethylene resin as manufactured by Ingenia Polymers Corp., Exxon Mobil, or resin of equal physical and chemical properties.
  - 2. All polyethylene resin material shall contain a UV stabilizer as compounded by the resin manufacturer. Pigments may be added, but shall not exceed 0.25 percent (dry blended) of the total weight.
  - 3. Mechanical Properties of Type I Tank Material:

Property	ASTM	Value	
Density (Resin)	D 1505	0.938-0.946 g/cc	
Tensile (Yield Stress 2-inch min.)	D 638	2,700 – 2,900 PSI	
Elongation at Break (2-inch min.)	D 638	300 - 800%	
ESCR (100% lgepal, Cond. A, F50)	D 1693	>1,000 hours	
ESCR (10% lgepal, Cond. A, F50)	D 1693	>1,000 hours	
Vicat Softening Degrees F. Temperature	D 1525	250	
Flexural Modulus	D 790	100,000 PSI	

B. Surface portions of tanks, inside and outside, shall be suitable for the specified environment.

#### 2.3 DETAILS OF CONSTRUCTION

- A. Manufacturer:
  - 1. Snyder Industries, Inc.
  - 2. Poly-Processing, Inc.
  - 3. Assmann, Inc.
  - 4. Or equal.
- B. Design:

1. The minimum required wall thickness of the cylindrical shell at any fluid level shall be determined by the following equation, but shall not be less than 0.187 inch thick.

$$T = \frac{P \bullet O.D.}{2 \bullet SD} = \frac{0.433 \bullet S.G.\bullet H \bullet O.D.}{2 \bullet SD}$$

T = wall thickness SD = hydrostatic design stress, PSI P = pressure (.433 x S.G. x H), PSI H= fluid head, ft. S.G. = specific gravity, g/cm^3 O.D. = outside diameter, in.

- a. The hydrostatic design stress shall be determined by multiplying the hydrostatic design basis, determined by ASTM D 2837 using rotationally molded samples, with a service factor selected for the application. The hydrostatic design stress is 600 PSI at 73 degrees Fahrenheit. The tank shall have a stratiform (tapered wall thickness) wall.
- b. The hydrostatic design stress shall be derated for service above 100 degrees Fahrenheit and for mechanical loading of the tank.
- 2. The minimum required wall thickness for the cylinder straight shell must be sufficient to support its own weight in an upright position without any external support. Flat areas shall be provided to allow locating large fittings on the cylinder straight shell. The bottom knuckle radius of flat bottom tanks shall be a minimum of two inches.
- 3. The top head must be integrally molded with the cylinder shell. The minimum thickness of the top head shall be equal to the top of the straight wall.
- 4. The tank shall be designed to provide a tie-down system for tank retention in wind and seismic loading situations without tank damage.
- C. Liners:
  - 1. Type I tanks shall be furnished with an anti-oxidant, LLDPE (Linear Low Density Polyethylene) liner, as required for the chemical service.
- D. Construction:
  - 1. Tanks shall be upright, cylindrical, flat-bottomed, one-piece seamless construction, rotational molded.
  - 2. The tanks shall be designed for above-ground, vertical installation and shall be capable of containing chemicals at atmospheric pressure.
  - 3. Tanks shall be of the types and sizes shown on the Contract Drawings and specified herein.
  - 4. Lifting Lugs: As required for installation. Capable of withstanding weight of tank with a safety factor of 3 to 1.
  - 5. Hardware for mounting and connections of accessories shall be Type 316 stainless steel or Hastelloy.

- 6. All piping, fittings, gaskets and accessories shall be compatible with the chemical in storage.
- E. Dimensions:
  - 1. Day Tank
    - a. Diameter: 18-inches
    - b. Height: 35-3/4-inches (29-1/2-inches straight shell height)
- F. Tank Fitting Schedule:
  - 1. CONTRACTOR shall coordinate tank fitting locations and size with chemical feed system and piping layout.

Tank Description	Fitting Diameter							
	Vent	Overflow	Transfer Pump Discharge	Metering Pump Suction	Sight Tube (with Drain)	Level Sensor		
Day Tank	2"	1"	1/2"	1/2"	3/4"	2"		

### G. Fittings:

- 1. Tank fittings shall be according to the Tank Schedule and as specified and as shown in the Contract Drawings.
- 2. Flange fittings above liquid level shall be Bolted Double 150 lb. Flange Fittings or Bolted One-Piece Sure Seal (B.O.S.S.) double flange fittings. Flange will have one full face gasket to provide a sealing surface against inside tank wall.
- 3. Provide all sidewall fittings with a flexible expansion joint or flexible hose suitable for the chemical being stored. See Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
- 4. Self-aligning threaded bulkhead fittings shall be used on curved/domed tank tops. Fittings shall be placed away from tank radiuses. Tank thickness and fitting angle shall be considered for self-aligning fitting placement.
- 5. Bottom Side Outlet Fitting:
  - a. Molded Outlet:
    - 1) The outlet shall be integrally molded into the tank during the rotational molding process. The outlet shall be seamless and manufactured from the same material as the tank. Inserts are not acceptable.

### 2.4 ACCESSORIES

- A. Ultrasonic Level Indicators/Transmitters:
  - 1. Type: Microprocessor based, non-contacting, ultrasonic type continuous liquid level measuring system consisting of a transducer, remote transmitter, and interconnecting cable which produces an output signal linear with level.

- 2. Connection: Tank manufacturer shall provide a bulkhead fitting on the top of the tank for mounting the level transmitter.
- 3. Performance Requirements:
  - a. Accuracy: 0.25 percent of range (with no temperature gradient).
  - b. Resolution: 0.1 inches (2.5 mm).
  - c. Range: 1 to 25 feet (0.3 to 7.6 mm).
  - d. Operating Temperature:
    - 1) Sensor: -40 to 140 degrees F.
    - 2) Transmitter: -22 to 140 degrees F.
  - e. Output: One 4-20 mADC.
  - f. Relay Output: 4 Relays (10 A at 110 VAC).
  - g. Power: 120 VAC.
- 4. Construction Features:
  - a. Transmitter:
    - 1) Microprocessor based control circuitry.
    - 2) Keypad for system programming and configuration.
    - 3) NEMA 4X, IP65 polycarbonate enclosure. Provide Type 316 stainless steel hardware for mounting.
    - 4) Display: 4 character LCD.
    - 5) Units of Measure: Feet.
  - b. Sensor:
    - 1) Type: PVDF-faced ceramic.
    - 2) Housing: PC/PET blend.
    - 3) Ratings: NEMA 4X.
    - 4) Beam Pattern: 9 degrees off axis.
    - 5) Provide coaxial communication cable from transducer to the remote transmitter; length as required.
- 5. Products and Manufacturers:
  - a. Snyder.
  - b. Or equal.
- 6. Reference Section 40 60 05, Instrumentation and Control for Process Systems, for process control descriptions.
- B. Sight Level Gauge:
  - 1. Provide sight level gauge to allow for visual level reading, which shall include two fittings with one at the top and one at the bottom of the tank. The day tank sight level gauge shall be oriented in a location that is visible when filling the day tank from the bulk storage tank.
  - 2. Sight level gauge shall be constructed of flexible polyethylene or PVC tubing to allow for tank contraction and expansion.
  - 3. Sight glass, fitting, and gasket material shall be suitable for compatible with the chemical in tank.
- C. Manways and Access Ports:
  - 1. Provide manways and access ports.

- 2. Manways and access port caps shall be non-vented, threaded, and constructed of polyethylene material. The gaskets shall be compatible with the chemical in storage.
- D. Down Pipes and Fill Pipes:
  - 1. Down pipes and fill pipes shall be supported at 5-foot maximum intervals.
  - 2. Down pipes and fill pipes shall extend down to within 18 inches of the tank bottom.
- E. Overflow:
  - 1. Invert of overflow pipes shall be located 6 inches below the seam line separating the dish-top from the vertical side walls.
  - 2. Overflow pipes shall be turned downward and have an isolation valve as specified and shown on the Contract Drawings.
- F. Vents:
  - 1. Each tank vent line shall be vented to the outside through the roof as shown on the Contract Drawings.
  - 2. The vent shall have a gooseneck and be equipped with a corrosion resistant 24 mesh insect screen that is compatible with the chemical stored.
- G. Drains:
  - 1. Tank drain lines shall be valved as shown on the Contract Drawings.
- I. Pipe Supports:
  - 1. Pipe supports shall be provided per manufacturer's recommendation.
  - 2. Provide support braces from tanks' outside wall for support of the tanks' overflow lines.
  - 3. Maximum spacing between pipe supports shall be 5 feet.

### 2.5 IDENTIFICATION

- A. Label: Permanently attach label to each tank with the following minimum information:
  - 1. Chemical to be stored including:
    - a. Concentration.
    - b. Specific gravity.
    - c. Maximum temperature.
  - 2. Tank manufacturer.
  - 3. Date of manufacture.
  - 4. Tank serial number.
  - 5. Tank material.
  - 6. Tank capacity.
- B. Tanks shall be furnished with OSHA approved 20-inch by 24-inch Chemical Warning signs with NFPA 704 hazard numbers for the chemical services intended. Chemical signs shall include, at a minimum, the name of the product stored in the tank, precautionary measures, signal word ("danger", "warning", "caution"), statement of hazard,
precautionary measures, instructions in case of contact, exposure, etc. and NFPA 704 hazard numbers.

# PART 3 - EXECUTION

### 3.1 INSPECTION

- A. CONTRACTOR shall examine the conditions under which the Work is to be installed and notify the ENGINEER in writing of conditions detrimental to the proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.
- B. Inspect tanks prior to installation. If damaged, notify ENGINEER and manufacturer at once.
- C. Do not install damaged tanks until repairs are made in accordance with manufacturers written instructions and approval by ENGINEER.
- D. Inspect concrete pads for proper elevation, dimensions, evenness and anchor bolt locations. Correct if required.

### 3.2 INSTALLATION

- A. Install tanks in complete conformance with manufacturer's instructions.
- B. Each tank shall receive roofing felt between the bottom of the tank and the concrete pad according to the manufacturer's recommendations. Lining material must be compatible with chemical stored in tank.
- C. Once installation is complete, the polyethylene tanks manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the polyethylene tanks and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop Drawings and recommendations, and that the system is ready to be tested and placed into operation.

# 3.3 FIELD QUALITY CONTROL

- A. Required Manufacturer Services:
  - 1. Following installation, Manufacturer's representative shall provide a minimum of 2 site visits, with a minimum of 4 hours onsite for each visit. First visit shall be for checking completed installation and start-up, and second visit shall be to instruct operations and maintenance personnel. Representative shall revisit the Site as often as necessary until installation is acceptable.

- 2. Training: Furnish services of qualified factory trained specialists from manufacturer to instruct OWNER's operations and maintenance personnel in recommended operation and maintenance of products. Training requirements, duration of instruction, and other qualifications shall be per Section 01 79 23, Instruction of Operations and Maintenance Personnel.
- 3. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for visits to Site shall be included in the Contract Price.
- 4. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for additional visits required due to test operation failure shall be at no additional cost to the OWNER.
- 5. Furnish test forms and procedures for field testing.
- B. Field Testing:
  - 1. Provide all test apparatus required at no extra cost to OWNER.
  - 2. Follow testing procedures recommended by the manufacturer and approved by the ENGINEER.
  - 3. After installation is complete, but before piping connections are made, block all outlets and fill each tank with water to the top of the invert of the overflow.
  - 4. Each tank must maintain the overflow elevation level with zero leakage for a 24-hour period.
  - 5. Repair all leaks in accordance with manufacturer's instructions.
- C. Manufacturer's Installation Report:
  - 1. Prepare manufacturer's installation reports and submit within 30 days after completion of field testing and operation instruction. The reports shall include the following:
    - a. Field testing reports.
    - b. Description of installation deficiencies not resolved to the OWNER'S satisfaction.
    - c. Description of problems or potential problems.

# 3.4 CLEANING AND REPAIRING

- A. Following installation, CONTRACTOR shall remove all debris and waste materials resulting from installation.
- B. CONTRACTOR shall block all outlets, clean tank inner walls and nozzles with detergent, and rinse with 180°F water.
- C. Tanks shall be dried completely prior to addition of chemical.

+ + END OF SECTION + +

### SECTION 46 33 44

### HYDROFLUOROSILICIC ACID FEED EQUIPMENT

### <u>PART 1 – GENERAL</u>

#### 1.1 DESCRIPTION

- A. Scope:
  - 1. CONTRACTOR shall provide all labor, materials, equipment, and incidentals required to furnish, install, test and place into satisfactory operation, hydrofluorosilicic acid feed equipment as shown on the Drawings and as specified herein. This Section includes:
    - a. Metering pump skid complete with pumps, piping and appurtenances.
    - b. Transfer pump.
- B. Coordination:
  - 1. Review installation procedures under this and other Sections and coordinate installation of items that must be installed with or before hydrofluorosilicic acid feed equipment and appurtenances Work.
  - 2. Notify other contractors in advance of installing the hydrofluorosilicic acid feed equipment to provide them with sufficient time for installing items included in their contracts that must be installed with or before hydrofluorosilicic acid feed equipment Work.
- C. Related Sections:
  - 1. Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
  - 2. Section 40 60 05, Instrumentation and Control for Process Systems.
  - 3. Division 26, Electrical

#### 1.2 REFERENCES

- A. Standards referenced in this Section are:
  - 1. American Bearing Manufacturers Association, (ABMA).
  - 2. American Gear Manufacturers' Association (AGMA).
  - 3. American National Standards Institute (ANSI).
  - 4. API 675, Positive Displacement Pumps Controlled Volume.
  - 5. American Society for Testing and Materials (ASTM).
  - 6. Institute of Electrical and Electronics Engineers (IEEE).
  - 7. National Electrical Code (NEC).
  - 8. National Sanitation Foundation (NSF).

# 1.3 QUALITY ASSURANCE

A. Qualifications:

- 1. Manufacturer: Shall have minimum of five years experience producing substantially similar equipment to that required and shall be able to document of at least five installations, each in satisfactory operation for at least five years.
- B. Component Supply and Compatibility:
  - 1. All equipment required under this specification shall be supplied by a single supplier, regardless of component manufacturer, who shall assume responsibility for the adequacy, and performance of all the equipment.
    - a. The Supplier shall identify those components furnished by him that are not of his manufacturer.
  - 2. Supplier shall prepare all Shop Drawings and other submittals for components furnished under this Section.
  - 3. Materials and equipment shall be fully compatible with specified service conditions and shall be integrated into overall assembly by skid manufacturer.

# 1.4 SUBMITTALS

- A. Action Submittals: Submit the following:
  - 1. Shop Drawings:
    - a. Complete layout and installation drawings for skid, metering pumps, transfer pumps, and accessories showing mounting details, dimensions, fitting locations, and materials of construction.
    - b. Wiring diagrams.
  - 2. Product Data:
    - a. Complete product data for each size and type of pump, motor, and accessories, including manufacturer's brochure, specifications, weight, performance data, turndown, and capacity.
  - 3. Testing Plans:
    - a. Source quality control testing plan.
    - b. Field quality control testing plan.
- B. Informational Submittals: Submit the following:
  - 1. Certificates:
    - a. Provide certificate of compliance prior to shop drawing submission, as specified in this Section.
    - b. Once installation is complete, pump manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the skid mounted metering pumps and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop Drawings and recommendations, and that the system is ready to be tested and placed into operation.
  - 2. Manufacturer's Instructions:
    - a. Setting drawings, templates, and directions for installing anchor bolts and other anchorage devices.
    - b. Instructions for handling, storing, and installing equipment.
  - 3. Source Quality Control Submittals:
    - a. Results of source quality control tests and inspections.

- 4. Site Quality Control Submittals:
  - a. Results of field quality control tests.
  - b. Manufacturer's Reports: Submit a written report of results of each visit to Site by Supplier's service technician, including purpose and time of visit, tasks performed, and results obtained.
- 5. Qualifications Statements:
  - a. When requested by ENGINEER, submit qualifications data for manufacturer.
- C. Closeout Submittals:
  - 1. Operation and Maintenance Data: Furnish Operation and Maintenance Manuals in conformance with the requirements of Division 1.

# 1.5 DELIVERY, STORAGE AND HANDLING

- A. Packing, Shipping, Handling, and Unloading:
  - 1. Deliver materials and equipment to Site to ensure uninterrupted progress of the Work.
  - 2. Inspect all boxes, crates, and packages upon delivery to the Site and notify ENGINEER in writing of loss or damage to products. Promptly remedy loss and damage to new condition in accordance with manufacturer's instructions.
  - 3. Conform to Section 01 65 00, Product Delivery Requirements.
- B. Storage and Protection:
  - 1. Keep materials and equipment off ground using pallets, platforms, or other supports. Protect steel, packaged materials, and electronics from corrosion and deterioration.
  - 2. Conform to Section 01 66 00, Product Storage and Handling Requirements.

# 1.6 WARRANTY

- A. General Warranty: The special warranty specified in this Article shall not deprive OWNER of other rights or remedies OWNER may otherwise have under the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under the Contract Documents. Obligations of CONTRACTOR under the Contracts Documents shall not be limited in any way by provisions of the specified special warranty.
- B. Special Warranty: Provide skid manufacturer's written warranty, running to the benefit of OWNER, agreeing to correct, or at option of OWNER, remove and replace materials and equipment specified in this Section found to be defective during a period of 2 years after date of Substantial Completion of the Work under this Section.

### PART 2 – PRODUCTS

#### 2.1 SERVICE CONDITIONS

#### A. General:

1. All surfaces and wetted surfaces of skids, metering pumps and appurtenances, and transfer pumps and appurtenances and all sealing gaskets shall be suitable for continuous exposure to the following service conditions:

Service Conditions	Hydrofluorosilicic Acid		
Service (Chemical and % Solution)	Hydrofluorosilicic Acid (23 – 25%)		
Specific Gravity of Pumped Fluid at 60 degrees F	1.21 – 1.23		
pH of Pumped Fluid	1		
Temperature Range of Pumped Fluid (degrees F)	60 – 100* (indoor installation)		

\* Hydrofluorosilicic acid may be delivered at higher or lower temperatures, depending upon season

- 2. Wetted surfaces shall be material suitable for contact with potable water and shall not leach out organic or inorganic constituent not allowed by Laws and Regulations.
- 3. Provide equipment compatible with specified service conditions.
- 4. Piping, valves and appurtenances not specified herein shall meet the requirements of Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed System.

#### 2.2 SKID MOUNTED METERING PUMP AND APPURTENANCES

- A. System Description:
  - 1. Provide skid-mounted metering pump, complete with skid assembly containing chemical metering pump, all necessary piping, valves, fittings, supports, any wiring integral to the pump and skid, and accessories as specified herein. CONTRACTOR shall be responsible for delineating limits of skid systems and coordinating remainder of piping, valves, appurtenances, and wiring required for complete installation. Components of each of the skid systems shall be as indicated on the Drawings and shall include the following items:
    - a. Metering pump
    - b. Calibration column
    - c. Pulsation dampener

- d. Pressure gauge
- e. Ball valves
- f. Pressure relief valve
- g. Backpressure valve
- h. Anti-siphon valve
- i. Pressure release valve
- j. Controls
- k. All piping, valves, gaskets, supports, hardware, wiring, junction boxes, and accessories necessary for a fully functioning skid. Piping shall be terminated within 2 inches from the edge of skid.
- 1. All power and controls cabling, including 4 pin cable for remote start/stop, as required, and associated raceways. This includes connections to the metering pumps and instrumentation back to the control panel.
- 2. The skid shall be constructed of chemical resistant materials such as PVC, polypropylene, polyethylene or approved equal.
- 3. Non-metallic, chemical and corrosion resistant pipe supports and clips shall be used to secure the piping to the skid.
- 4. Unions shall be used to allow easy maintenance of the system.
- 5. All piping shall be Schedule 80 PVC and assembly shall be performed by the skid manufacturer.
- 6. Each skid shall be completely assembled and tested by the manufacturer prior to delivery to the job site.
- 7. All equipment shall be designed and constructed for 24-hour continuous service at any and all points within the specified range of operation without overheating, without excessive vibration or strain, and requiring only that degree of maintenance generally accepted as normal for the specific type of equipment supplied.

Number of Pumps Required	2 Total (1 Duty / 1 Uninstalled Spare)		
Design Flow Rate (gallons/hour)	0.04 - 0.52		
Maximum Capacity (gallons/hour)	1.3		
Transmission Main Operating Pressure (psi)	175		
Maximum Rated Discharge Pressure (psi)	250		

B. Performance Criteria for Skid Mounted Metering Pump:

- C. Manufacturers:
  - 1. Skid systems: Provide one of the following:
    - a. Pumps and Controls.
    - b. Or approved equal.
  - 2. Metering Pumps: Provide one of the following:

- a. LMI Milton Roy, Model C901-499SI.
- b. Or approved equal.
- D. Metering Pump Construction:
  - 1. Metering pumps shall be positive displacement, electrically driven diaphragm type. Pumps shall be provided with the following:
    - a. Electronic stroke speed controller capable of accepting 4-20mA signal.
    - b. Manual stroke length adjustment from 10 to 100 percent in 1 percent increments.
    - c. Digital stroke frequency adjustment from 1 to 100 strokes per minute.
    - d. Stroke speed shall be programmed, so that the maximum dosing rate for the metering pump shall not exceed 0.89 gallons per hour. Refer to Section 40 60 05, Instrumentation and Control for Process Systems, for chemical metering pump control strategy.
    - e. Metering pumps shall have a clear liquid crystal display to display pump speed.
    - f. Control shall be selectable between internal and external pulsing by means of a tactile keypad.
    - g. The liquid end shall be completely sealed and physically separated from the drive unit.
    - h. Chemical metering pumps shall be capable, without a hydraulically backed diaphragm, of injecting solutions against pressures up to 175 psi.
    - i. NEMA 4X rated housing.
    - j. UL approved.
    - k. Drive Assembly Unit: 120 VAC. Provide pump with NEMA L5-20P plug. Note that pump receptacle will be a twist lock.
    - 1. Pump drive shall be totally enclosed with no exposed moving parts. Electronics shall be housed in chemical resistant enclosure at the rear of the pump for protection against chemical exposure.
    - m. Pressure capacity shall be keypad adjustable to reduce noise, vibration and wear.
    - n. Solid state electronic pulser shall be encapsulated and supplied with quick connect terminals at least 3/16-inch wide.
    - o. Multi-function discharge side valve to provide anti-siphon, backpressure regulating, pressure relief, and pressure relief functions.
    - p. Single- or double-check valves for suction and discharge ports.
    - q. Automatic pressure relief.
    - r. Strainer on suction line.
  - 2. Materials of Construction:
    - a. Liquid End Head and Fittings: PVC
    - b. Balls: PTFE
    - c. Seals: Polyprel (elastometric PTFE copolymer), or other material compatible with pumped fluid.
    - d. Diaphragm: Fluorofilm (copolymer of PTFE and PFA), or other material compatible with pumped fluid.
    - e. Check Valve: PVDF/PTFE
    - f. Housing: Fiberglass reinforced polypropylene

- 3. Pump shall be provided with an integral controller housed in a NEMA 4X enclosure.
  - a. The pump display shall include the following:
    - 1) Start/stop button
    - 2) Pump prime function
    - 3) Manual/remote button
    - 4) LED to indicate status
    - 5) Pump speed display
    - 6) Illuminated LCD display
  - b. The integral controller shall accept the following inputs at a minimum:
    - 1) Remote start/stop
    - 2) Remote speed control (4-20 mA)
- E. Appurtenances
  - 1. Calibration Columns:
    - a. Product Description:
      - 1) Transparent, chemical resistant clear tube for use in calibrating the metering pump.
      - 2) Calibration columns must have an inlet port (bottom) and outlet port (top) that can be connected to piping via threaded or solvent welded joints.
      - 3) Calibration columns shall be calibrated in gallons per hour.
      - 4) Provide isolation valve at calibration column inlet port and top connection.
      - 5) Size calibration columns to provide at least 30 seconds of storage at maximum rated pump flow.
    - b. Materials:
      - 1) Entirely suitable for the chemical solution and capable of withstanding maximum pressure conditions.
    - c. Manufacturers:
      - 1) Milton Roy.
      - 2) Or approved equal.
  - 2. Pulsation Dampeners:
    - a. Provide pulsation dampener on discharge piping of each metering pump as shown or indicated on the Drawings, and as specified in this Section.
    - b. Pulsation dampeners shall be air-charged, diaphragm type, complete with valved air charge connection and pressure gauge graduated for zero to 100 psi.
    - c. Size pulsation dampeners to allow no more than five percent discharge pressure fluctuation. Pulsation dampener bladder and lower housing shall be fabricated of materials recommended by manufacturer and suitable for the chemical being pumped.
    - d. Provide each pulsation dampener with four, extra-long bolts at 90 degrees to one another for mounting to dampener support brackets.
    - e. Materials of construction of diaphragm and body shall be corrosion resistant to the chemical fluid pumped.
  - 3. Pressure Gauges:

- a. Provide pump discharge pressure gauges and diaphragm seals meeting the requirements of Section 40 60 05, Instrumentation and Control for Process Systems with wetted parts compatible with the process fluid measured.
- b. The skid mounted metering pump manufacturer shall be capable of providing all named makes and models of pressure gauges and diaphragm seals as listed in Section 40 60 05, Instrumentation and Control for Process Systems.
- c. Final selection of pressure gauges and diaphragm seals to be provided shall be made by OWNER on a project-wide basis following project bid.
- 4. Multi-Function Discharge Valve:
  - a. Multi-function discharge side valve shall be an integral four-function valve supplied by pump manufacturer and provide anti-siphon, backpressure regulating, pressure relief, and pressure release functions.
  - b. Materials of Construction:
    - 1) Body: PVC
    - 2) Diaphragm: Fluorofilm (copolymer of PTFE and PFA), or other material compatible with pumped fluid.
    - 3) O-Ring: Polyprel (elastometric PTFE copolymer), PTFE, or other material compatible with pumped fluid.
  - c. Manufacturer:
    - 1) LMI Milton Roy Roytronic 4-Function Valve.
    - 2) Or approved equal.
  - d. Anti-Siphon Function:
    - 1) The anti-siphon port shall only open on the discharge stroke of the pump to prevent the discharge line from siphoning from the day tank.
  - e. Backpressure Function:
    - 1) Backpressure valve pressure setting shall be 20 psi for each installation unless otherwise recommended by Supplier.
    - 2) Backpressure valve material shall be compatible with pumped fluid and piping system material. Valve size shall be as shown on the Drawings.
  - f. Pressure Relief Function:
    - 1) Size relief valves for each specific application.
    - 2) Valves shall be set 10 psi higher than design discharge pressure of the associated pumps unless otherwise recommended by Supplier.
    - 3) Pressure relief valve material shall be compatible with pumped fluid and piping system material.
    - 4) Pressure relief shall be automatic.
  - g. Pressure Release Function:
    - 1) The pressure release function shall allow for depressurization of the discharge line without loosening or removing tubing, piping, or fittings.
- 5. Check Valves:
  - a. Single- or double-check for suction and discharge ports.

- b. Valves shall be ball type, poppet type or spring-loaded valves as required by pump manufacturer and suitable for the service, capacity, viscosity, and pressure
- c. Valves and connections shall be compatible with connection piping and/or tubing.
- 6. Ball Valves:
  - a. Isolation valves and tubing adapters, where required, shall be provided at skid piping termination to allow for connecting suction and discharge provided by CONTRACTOR.
  - b. Valves shall be utilized as shown on the Contract Drawings and as specified in Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
- 7. Piping:
  - a. Pipe and tubing shall be utilized as shown on the Contract Drawings and as specified in Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.

# 2.3 TRANSFER PUMP

A. Provide seal-less, magnetic drive transfer pumps with the following conditions:

Number of Pumps Required	2 Total (1 Duty / 1 Uninstalled Spare)		
Rated Capacity (gallons/minute)	0.25 - 15.1		
Maximum Rated Discharge TDH (feet)	20.4		

- B. Manufacturer:
  - 1. Finish Thompson; Model DB3
  - 2. Or equal
- C. Materials of Construction:
  - 1. Pump: Polypropylene.
  - 2. Bushing: Carbon.
  - 3. O-Ring: EPDM.
  - 4. Manufacturer to provide chemical compatibility data sheets to verify suitability of material for each chemical.
- D. Connection Sizes:
  - 1. Suction: 1-inch NPT
  - 2. Discharge: 1/2-inch NPT
- E. Motor:
  - 1. 115-volt, 1 phase, 60 Hz, 1/8 HP, 3450 rpm, TEFC chemical duty motor.

2. Motors and magnets shall be sized so that the pump load at any point on the operating curve does not exceed the motor or magnet rating.

### 2.4 SOURCE QUALITY CONTROL

- A. Pumps: Test each pump in the shop as follows:
  - 1. Calibration test (metering pump).
  - 2. Inspect all components prior to and during shop testing.
- B. Controls: Test controls in the shop as follows:
  - 1. Verify operation in all operating modes.
  - 2. Inspect control components for defects.
  - 3. Perform manufacturer's standard quality tests.
- C. Piping, Valves and Appurtenances: Test piping, valves, and appurtenances in the shop as follows:
  - 1. Inspect components for defects.
  - 2. Perform manufacturer's standard quality tests.

# 2.5 EXTRA MATERIALS

- A. Furnish special tools required for equipment maintenance. Furnish list of equipment and tools needed to maintain and calibrate equipment.
- B. Furnish manufacturer's recommended spare parts list.

#### PART 3 - EXECUTION

#### 3.1 INSPECTION

- A. Inspect all equipment immediately upon delivery to site. All surfaces shall be smooth, free of voids and porosity, without dry spots, crazes or unreinforced areas. If damaged, notify ENGINEER and manufacturer at once. ENGINEER shall be notified in writing of conditions detrimental to proper and timely completion of the Work. Do not proceed with the Work until unsatisfactory conditions have been corrected.
- B. Do not install damaged equipment until repairs are made in accordance with manufacturer's written instructions and approved by the ENGINEER or OWNER. Only minor repair work shall be permitted in the field. All other damaged items shall be sent to factory for repair or replacement.

### 3.2 INSTALLATION

- A. Install materials and equipment in conformance with Laws and Regulations, applicable standards, manufacturer's instructions and recommendations, and the Contract Documents.
- B. Anchorages and Base Plates:
  - 1. Provide anchorages in new or existing concrete, as applicable, in accordance with equipment manufacturer's recommendations and the Contract Documents.

### C. General:

- 1. Perform drilling and fitting required for installation. Set equipment accurately in location, alignment, and elevation, plumb, true, and free of rack.
- 2. Making plate cutouts or openings at the Site is not allowed.
- 3. Fit exposed connections accurately together to form tight hairline joints.
- 4. Provide utility connections in accordance with the Contract Documents.
- 5. Align and adjust equipment including motors, belts, drives, support stands, and appurtenances in presence of ENGINEER.
- 6. Do not energize equipment until safety devices are installed, connected, and functional.
- 7. Install valves, piping, and appurtenances in accordance with applicable provisions as noted herein and of Section 40 23 26, Piping, Valves and Appurtenances for Chemical Feed Systems.
- 8. Make all electrical connections in conformance with the requirements of Division 26, Electrical.

# 3.3 FIELD QUALITY CONTROL

- A. General:
  - 1. Materials and equipment shall be tested or inspected as required by the ENGINEER and Contract Documents, and the cost of such work shall be included in the cost of the equipment. The CONTRACTOR shall anticipate that delays may be caused because of the necessity of inspection, testing and accepting materials and equipment before their use is approved;
  - 2. Furnish the services of a factory representative who has complete knowledge of proper operation and maintenance to inspect the final installation and supervise a test run of the equipment.
  - 3. Field tests shall not be conducted until the entire installation is complete and ready for testing.
  - 4. The CONTRACTOR shall check all motors for correct clearances and alignment and for correct lubrication in accordance with manufacturer's instructions. The CONTRACTOR shall check direction of rotation of all motors and reverse connections if necessary.
  - 5. Once installation is complete, pump manufacturer representative shall inspect the installation and provide certification on manufacturer's letterhead that the skid mounted metering pumps and appurtenances have been properly installed in accordance with the Drawings, Specifications, and Manufacturer's Shop

Drawings and recommendations, and that the system is ready to be tested and placed into operation.

- B. Field Tests:
- 1. After all pumps and appurtenances have been completely installed and working under the direction of the manufacturer, conduct in the presence of the OWNER and the ENGINEER operating tests of all equipment, functions, and controls at the Site necessary to indicate that pump operation conforms to these specifications. Field tests shall include all pumps under this section. Supply all water, hydrofluroosilicic acid, labor, equipment and incidentals required to complete the field tests.
- 2. Operating Test:
  - a. Demonstrate and document accuracy and calibration of the metering pump using job supplied calibration chamber. Document metering pump calibration over the full operating range of stroke and speed using simulated or SCADA supplied control signals, as indicated in the following table:

	Speed %	0	25	50	75	100
Stroke %	Control Signal (ma)	4	8	12	16	20
0						
25						
50						
75						
100						

Note: Indicate output in gallons per hour.

- b. Field test equipment and its controls in local mode, followed by demonstrating proper operation and controls in automatic mode. Demonstrate that each part individually and all parts together function properly in manner intended. Total duration of testing shall be minimum 4 hours, continuous and uninterrupted, in automatic mode and using the chemicals to be fed. All testing equipment and labor shall be by CONTRACTOR.
- c. Should tests result in malfunction, make necessary repairs, revisions, and adjustments and restart test from the beginning. Repeat tests and repairs, revisions, and adjustments until, in opinion of ENGINEER, installation is complete, and equipment is functioning properly and accurately and is ready for permanent operation.

- C. Manufacturer's Services: Provide a qualified, factory-trained representatives of the pump manufacturer with demonstrated ability and experience in the installation and operation of the pumps to perform the services listed below:
  - 1. Instruct CONTRACTOR in installing equipment.
  - 2. Supervise installation of materials and equipment.
  - 3. Inspect, calibrate, adjust, and test equipment after installation, ensure proper operation, and prepare an inspection report.
  - 4. Assist in initial start-up and field testing.
  - 5. Instruct OWNER's personnel in operating and maintaining the equipment.
  - 6. Manufacturer's representative shall make a minimum of 2 visits with a minimum of 4 hours at the Site each. First visit shall be for checking completed installation and start-up of system, and second visit shall be to instruct operations and maintenance personnel. Representative shall revisit the Site as often as necessary until installation is acceptable. Representative shall revisit the Site as often as necessary until installation is acceptable.
  - 7. Training: Furnish services of Supplier's qualified factory trained specialists to instruct OWNER's operations and maintenance personnel in recommended operation and maintenance of materials and equipment. Training requirements, duration of instruction, and other qualifications shall be per Section 01 79 23, Instruction of Operations and Maintenance Personnel.
  - 8. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for visits to the Site shall be included in the Contract Price.
  - 9. All costs, including expenses for travel, lodging, meals and incidentals, and cost of travel time, for additional visits required due to test operation failure shall be at no additional cost to the OWNER.

+ + END OF SECTION + +

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